

Determination of Natural Frequencies and Mode Shapes of a Wind Turbine Rotor Blades using Timoshenko Beam Elements

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Abstract. In the simulation of a wind turbine, the lowest eigenmodes of the rotor blades are usually used to describe their elastic deformation in the frame of a multibody system. In this paper, a finite element beam model for the rotor blades based on the transfer matrix method is proposed. Both static and kinetic field matrices for the 3D Timoshenko beam element are derived by numerical integration of the differential equations of motion using RUNGE KUTTA 4th order procedure. The beam reference axis in the general case is at an arbitrary location in the cross section. The inertia term in the motion differential equation is expressed using appropriate shape functions for the Timoshenko beam. The kinetic field matrix is built by numerical integration applied on the approximated inertia term. The beam element stiffness and mass matrices are calculated by simple matrix operations from both field matrices. The system stiffness and mass matrices of the rotor blade model are assembled in the usual finite element manner in a global coordinate system with the accounting for structural twist angle and possibly pre-bending. The program developed for the above calculations and the final solution of the eigenvalue problem is accomplished using MuPAD, a symbolic math toolbox of MATLAB[®]. The calculated natural frequencies using generic rotor blade data are compared with the results proposed from FAST and ADAMS software.

1 Introduction

Vibration of an elastic system refers to a limited reciprocating motion of a particle or an object of the system. Wind turbines operate in an unsteady environment which results in a vibrating response (Manwell, McGowan, & Rogers, 2009). They consist of long slender structures (rotor blades and tower), of which resonant frequencies should be taken into account during the initial design and construction. When the excitation frequency of the vibrating system is near any natural frequency, the undesirable resonant state occurs with large amplitudes, which may lead to damage or even collapse of the wind turbine or its components. The vibration response especially of the rotor blades depends on the stiffness which is a function of the materials used, design and size (Jureczko, Pawlak, & Męzyk, 2005). Therefore, the estimation of natural frequencies in the early design phase plays an important role in avoiding resonance.

The eigenmodes associated to the lowest natural frequencies are employed as shape functions to describe the elastic deformation of the rotor blade beam model in the frame of the usual simulation of the wind turbine as a multi-body system.

Mostly, the first two bending eigenmodes in each (flapwise and edgewise) direction and optionally the two additional torsional eigenmodes are used. The determination of those lowest eigenmodes with sufficient numerical accuracy is the first step for the modal superposition applied to the deformational motion of the rotor blades.

Due to geometrical complexity of the blade cross section profiles and the extended use of composite materials, the exact calculation of natural frequencies in the design process takes a considerable time and financial expense for the 3D modelling of the rotor blade using CAD software. Hence a simplified finite element beam model is necessary. A twisted rotor blade is simplified into a cantilever beam with non-uniform cross section. The structural twist angle is implemented by changing the orientation of the principal axis of the blade cross section along the length of the blade.

In the finite element formulation of beams two linear beam theories are established, the Euler Bernoulli beam model and the Timoshenko beam model. Although the Euler-Bernoulli beam theory is widely used, the Timoshenko beam theory is considered to be better as it incorporates the effects of transverse shear and the rotational inertia on the dynamic response of the beam (Kaya, 2006). In the classical approach of finite element formulation for the free vibration analysis of beams, the stiffness and mass matrices are derived using interpolation functions derived from second and fourth order Hermite polynomials. The stiffness matrix is derived from the equation (Wu, 2013):

$$\underline{\underline{K}}(e) = \int \underline{\underline{B}}^T \underline{\underline{D}}_m \underline{\underline{B}} dv \quad (1)$$

where, $\underline{\underline{K}}(e)$ is the element stiffness matrix, $\underline{\underline{B}}$ is the strain matrix, $\underline{\underline{D}}_m$ is the elasticity matrix for the beam. The element mass matrix of the beam is derived using the equation (Wu, 2013):

$$\underline{\underline{M}}(e) = \int \rho \underline{\underline{a}}^T \underline{\underline{a}} dv \quad (2)$$

where, $\underline{\underline{M}}(e)$ is the element mass matrix, ρ is the mass density, v is the volume and $\underline{\underline{a}}$ is the matrix of interpolation functions.

Using the above standard relations and appropriate shape functions for the Euler-Bernoulli beam and Timoshenko beam, the stiffness matrix and consistent mass matrix for the finite beam element can be derived. However, an alternative to this procedure, based on the transfer matrix method for the beam theory, see (Graf & Vassilev, 2006), p.69-88 and (Stanoev, 2007), will be developed in the present article. The element stiffness matrix can be derived on the basis of numerical integration of the first order ordinary differential equation system for the differential beam element. The associated mass matrix can be developed by numerical integration of the inertia term in the differential equation of motion. The numerical integration results in static and kinetic field matrices, from which the element stiffness and mass matrices can be easily assembled.

In the present article, the above mentioned procedure is used to determine the element stiffness and element mass matrix for the Timoshenko beam. The interpolation functions used for deriving the mass matrix are based on Hermite polynomials according to (Bazoune & Khulief, 2003). The system stiffness and mass matrices for the rotor blade are assembled in a global coordinate basis in the usual finite element manner. The numerical solution of the associated eigenvalue problem for the system without damping is computed using computer algebra software (in the frame of MATLAB®).

In the section 2 and 3, the proposed method is described in detail and in section 4 the method is applied on a rotor blade structure with 288 DOF. The results for the natural frequencies and the corresponding eigenmodes are compared with the results calculated using FAST and ADAMS software.

2 Theoretical framework for 3-D Timoshenko beam

5 2.1 Kinematic relationships

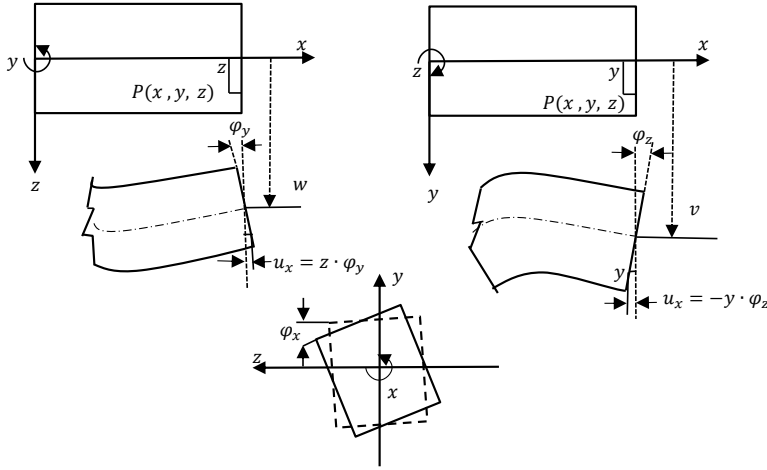


Figure 1: Deformation at the point $P(x, y, z)$ (Andersen & Nielsen, 2008)

The general assumptions in the linear beam theory are as follows:

- a) The beam reference (longitudinal) axis is at any arbitrary location of the cross section.
- b) Only stresses that occur are normal stresses σ_x and shear stresses τ_{xy}, τ_{xz} .
- 10 c) Cross section planes, which are initially normal to the longitudinal axis, will remain plane after deformation.

The geometrical representation of the deformation state of a beam cross section is shown in the fig. 1. The deformations $u_p(x, y, z)$, $v_p(x, y, z)$, and $w_p(x, y, z)$ at a cross-sectional point $P(x, y, z)$ are determined by three displacement functions $u(x)$, $v(x)$, $w(x)$ and three cross-sectional rotation angles $\varphi_x(x)$, $\varphi_y(x)$ and $\varphi_z(x)$ – all of them are a function of the beam axis coordinate x . The differential equation system is derived in accordance to (Stanoev, 2013).

- 15 From fig. 1, the displacement vector \underline{u}_p can be expressed at any cross-section point $P(x, y, z)$ as:

$$\underline{u}_p = \begin{bmatrix} u_p(x, y, z) \\ v_p(x, y, z) \\ w_p(x, y, z) \end{bmatrix} = \begin{bmatrix} u(x) - y\varphi_z(x) + z\varphi_y(x) \\ v(x) - z\varphi_x(x) \\ w(x) + y\varphi_x(x) \end{bmatrix} \quad (3)$$

The three components of the strains occurring in the beam can be expressed as the derivatives of the displacement functions u_p , v_p and w_p . The axial strain ε_{xx} and the two shear strain components γ_{xz} and γ_{xy} are given by:

$$\begin{aligned}\varepsilon_{xx} &= \frac{\partial u_p}{\partial x} = u' - y\varphi'_z + z\varphi'_y \\ \gamma_{xz} &= \frac{\partial u_p}{\partial z} + \frac{\partial w_p}{\partial x} = \underbrace{\varphi_y + w'}_{\gamma_z} + y\varphi'_x\end{aligned}\quad (4a-c)$$

$$5 \quad \gamma_{xy} = \frac{\partial u_p}{\partial y} + \frac{\partial v_p}{\partial x} = \underbrace{-\varphi_z + v'}_{\gamma_y} - z\varphi'_x$$

where, γ_z and γ_y are the constant shear strains which are not neglected in Timoshenko beam theory.

$$\gamma_y = -\varphi_z + v' \quad (5a)$$

$$\gamma_z = \varphi_y + w' \quad (5b)$$

2.2 Principle of virtual work for internal forces

10 The virtual work components for internal forces corresponding to normal stresses and shear stresses are given by:

$$-\delta W_i = \int_x \{ \delta u' N + \delta \varphi'_z M_z + \delta \varphi'_y M_y \} dx + \int_x \{ \delta \gamma_z Q_z \} dx + \int_x \{ \delta \gamma_y Q_y \} dx + \int_x \{ \delta \varphi'_x M_{TP} \} dx \quad (6)$$

Where, N is the axial force, M_z and M_y are bending internal moments, Q_y and Q_z are the corresponding shear forces, M_{TP} is the St. Venant torsional moment.

With the introduction of the constitutive relations of Hooke's material law for the stresses corresponding to the internal

15 forces in (6) and expressing the strains by Eq. (4), (5), the virtual work relationship is reformulated as:

$$\begin{aligned}-\delta W_i &= \int_x \left\{ E \left[\underbrace{\left(\int_A dA \right)}_A \cdot u' - \underbrace{\left(\int_A y dA \right)}_{A_y} \cdot \varphi'_z + \underbrace{\left(\int_A z dA \right)}_{A_z} \cdot \varphi'_y \right] \delta u' \right. \\ &\quad - E \left[\underbrace{\left(\int_A y dA \right)}_{A_y} \cdot u' - \underbrace{\left(\int_A y^2 dA \right)}_{A_{yy}} \cdot \varphi'_z + \underbrace{\left(\int_A y z dA \right)}_{A_{yz}} \cdot \varphi'_y \right] \delta \varphi'_z \\ &\quad + E \left[\underbrace{\left(\int_A z dA \right)}_{A_z} \cdot u' - \underbrace{\left(\int_A y z dA \right)}_{A_{yz}} \cdot \varphi'_z + \underbrace{\left(\int_A z^2 dA \right)}_{A_{zz}} \cdot \varphi'_y \right] \delta \varphi'_y \\ &\quad \left. + G \left[\underbrace{A_{sz}(w' + \varphi_y) \delta w' + A_{sz}(w' + \varphi_y) \delta \varphi_y}_{I_T} + A_{sy}(v' - \varphi_z) \delta v' - A_{sy}(v' - \varphi_z) \delta \varphi_z \right] + G \left[\int_A (z^2 + y^2) dA \right] \varphi'_x \delta \varphi'_x \right\} dx\end{aligned}\quad (7)$$

Here, $A_{sz} = \alpha_{sz} \cdot A$ and $A_{sy} = \alpha_{sy} \cdot A$ are the shear areas in z and y directions respectively, α_{sz}, α_{sy} are the corresponding shear correction coefficients, A is the cross-sectional area, A_y is the static moment with respect to the z axis, A_z is the static moment with respect to the y axis, A_{yy} is the moment of inertia with respect to the z direction, A_{zz} is the moment of inertia with respect to the y direction, A_{yz} is the deviation moment of inertia, I_T is the torsional moment of inertia.

After coefficient comparison in the Eq. (6) and (7) the internal forces corresponding to the normal stresses can be expressed by introducing the cross sectional stiffness matrix $\underline{\underline{EA}}$:

$$\begin{bmatrix} N \\ -M_z \\ M_y \end{bmatrix} = \underbrace{\begin{bmatrix} EA & EA_y & EA_z \\ EA_y & EA_{yy} & EA_{yz} \\ EA_z & EA_{yz} & EA_{zz} \end{bmatrix}}_{\underline{\underline{EA}}} \cdot \begin{bmatrix} u' \\ -\varphi'_z \\ \varphi'_y \end{bmatrix} \Rightarrow \begin{bmatrix} u' \\ -\varphi'_z \\ \varphi'_y \end{bmatrix} = (\underline{\underline{EA}})^{-1} \begin{bmatrix} N \\ -M_z \\ M_y \end{bmatrix} \quad (8)$$

The shear stress component in Eq. (6) and (7) leads to the following relations:

$$M_x = M_{Tp} = GI_T \varphi'_x \quad (9)$$

$$Q_z = GA_{sz}(w' + \varphi_y) \quad (10a)$$

$$Q_y = GA_{sy}(v' - \varphi_z) \quad (10b)$$

The relation (10a,b) implies that the chosen reference axis coincides with the shear centre – due to neglected shear-torsion coupling terms in Eq.(7).

For the special case of the cross section coordinate system coinciding with the principal axes, the deformation relationship in Eq. (8) reduces to:

$$\begin{bmatrix} N \\ -M_z \\ M_y \end{bmatrix} = \begin{bmatrix} EA & 0 & 0 \\ 0 & EA_{yy} & 0 \\ 0 & 0 & EA_{zz} \end{bmatrix} \cdot \begin{bmatrix} u' \\ -\varphi'_z \\ \varphi'_y \end{bmatrix} \Rightarrow \begin{bmatrix} u' \\ -\varphi'_z \\ \varphi'_y \end{bmatrix} = (\underline{\underline{EA}})^{-1} \begin{bmatrix} N \\ -M_z \\ M_y \end{bmatrix} \quad (11)$$

2.3 Differential equation system

The virtual work relation in Eq. (7) is rewritten for the case the beam coordinate system coinciding with the principal axis of the cross section – see Eq. (11):

$$-\delta W_i = \int_x \{ (EAu')\delta u' + (EA_{yy}\varphi'_z)\delta \varphi'_z + (EA_{zz}\varphi'_y)\delta \varphi'_y + (GI_T\varphi'_x)\delta \varphi'_x + GA_{sz}(w' + \varphi_y)(\delta w' + \delta \varphi_y) + GA_{sy}(v' - \varphi_z)(\delta v' - \delta \varphi_z) \} dx \quad (12)$$

After partial integration of Eq. (12) the cross section deformation relations and differential equilibrium conditions for the Timoshenko beam element are compiled in a differential equation system of 1st order, see Eq. (13), (14). For the Timoshenko beam with arbitrary beam reference axis at any point on the cross-section, see Eq. (8), the system of differential equations can be expressed in the following form:

$$\frac{d}{dx} \begin{bmatrix} u \\ v \\ w \\ \varphi_x \\ \varphi_y \\ \varphi_z \\ N \\ Q_y \\ Q_z \\ M_x \\ M_y \\ M_z \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & S_{11} & 0 & 0 & 0 & S_{13} & -S_{12} \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & \frac{1}{GA_{sy}} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & \frac{1}{GA_{sz}} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \frac{1}{GI_T} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & S_{31} & 0 & 0 & 0 & S_{33} & -S_{32} \\ 0 & 0 & 0 & 0 & 0 & 0 & -S_{21} & 0 & 0 & 0 & -S_{23} & S_{22} \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} u \\ v \\ w \\ \varphi_x \\ \varphi_y \\ \varphi_z \\ N \\ Q_y \\ Q_z \\ M_x \\ M_y \\ M_z \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ -p_x \\ -p_y \\ -p_z \\ -m_T \\ -m_y \\ -m_z \end{bmatrix} \quad (13)$$

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The differential equation system for the Timoshenko beam with beam reference axis on principal axes can be represented in the following matrix form:

$$\frac{d}{dx} \begin{bmatrix} u \\ v \\ w \\ \varphi_x \\ \varphi_y \\ \varphi_z \\ N \\ Q_y \\ Q_z \\ M_x \\ M_y \\ M_z \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & \frac{1}{EA} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & \frac{1}{GA_{sy}} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & \frac{1}{GA_{sz}} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \frac{1}{GI_T} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \frac{1}{EA_{zz}} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \frac{1}{EA_{yy}} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} u \\ v \\ w \\ \varphi_x \\ \varphi_y \\ \varphi_z \\ N \\ Q_y \\ Q_z \\ M_x \\ M_y \\ M_z \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ -p_x \\ -p_y \\ -p_z \\ -m_T \\ -m_y \\ -m_z \end{bmatrix} \quad (14)$$

10 The entries S_{ij} in Eq. (13) are determined by inversion of the cross-sectional stiffness matrix in Eq. (8):

$$\begin{bmatrix} u' \\ -\varphi'_z \\ \varphi'_y \end{bmatrix} = \underbrace{\left(\begin{bmatrix} EA & EA_y & EA_z \\ EA_y & EA_{yy} & EA_{yz} \\ EA_z & EA_{yz} & EA_{zz} \end{bmatrix} \right)^{-1}}_{\underline{\underline{S}}} \cdot \begin{bmatrix} N \\ -M_z \\ M_y \end{bmatrix} = \underbrace{\begin{bmatrix} S_{11} & S_{12} & S_{13} \\ S_{21} & S_{22} & S_{23} \\ S_{31} & S_{32} & S_{33} \end{bmatrix}}_{\underline{\underline{S}}} \cdot \begin{bmatrix} N \\ -M_z \\ M_y \end{bmatrix} \quad (15)$$

3 Alternative Finite element formulation

In the classical finite element formulation, the beam stiffness matrix and the consistent beam mass matrix are derived by developing an approach for the displacement functions through shape (interpolation) functions, which consists of 2^{nd} and 4^{th} order Hermite polynomials. In this section, an alternative finite element procedure is presented, based on the numerical RUNGE KUTTA 4^{th} order integration of the differential motion equations. The integration of the static part (the coefficient matrix in Eq. (13) and (14) resp.) leads to the static field matrix, the integration of the inertia terms in the equations of motion Eq. (16) results in a kinetic field matrix. From the last step of the integration, using both field matrices, the element stiffness and resp. the element mass matrices can be calculated by simple matrix operations.

3.1 The differential equations of motion

The differential equations of motion for the differential beam element can be written in a matrix form (Stanoev, 2007) as:

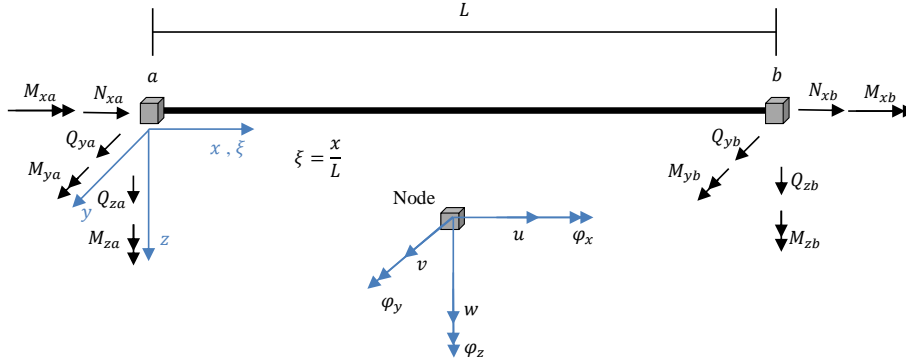


Figure 2: The finite beam element – cutting forces and nodal DOFs

$$\begin{bmatrix} \underline{z}_{1,x} \\ \underline{z}_{2,x} \end{bmatrix} = \underbrace{\begin{bmatrix} \underline{A}_{11} & \underline{A}_{12} \\ \underline{A}_{21} & \underline{A}_{22} \end{bmatrix}}_{\underline{A}} \cdot \begin{bmatrix} \underline{z}_1 \\ \underline{z}_2 \end{bmatrix} + \begin{bmatrix} \underline{b}_1 \\ \underline{b}_2 \end{bmatrix} + \begin{bmatrix} 0 \\ \underline{m} \end{bmatrix} \cdot \ddot{\underline{z}}_1 \quad (16)$$

Where, matrix \underline{A} is the coefficient matrix, see Eq. (13), (14), and vector $\underline{z} = [\underline{z}_1 \quad \underline{z}_2]^T$ is the state vector,

$\underline{z}_1 = [u(x) \quad v(x) \quad w(x) \quad \phi_x(x) \quad \phi_y(x) \quad \phi_z(x)]^T$: vector of the displacement functions (16a)

$\underline{z}_2 = [N(x) \quad Q_y(x) \quad Q_z(x) \quad M_x(x) \quad M_y(x) \quad M_z(x)]^T$: vector of ~~cutting–internal~~(internal section) force functions (16b)

The vector $\underline{b} = [\underline{b}_1 \quad \underline{b}_2]^T$ contains the known excitation forces. However, for an eigenvalue problem $\underline{b} = 0$. The coefficient matrix \underline{A} together with the state vector \underline{z} and excitation force \underline{b} constitute the static part of the motion equation.

The kinetic part of the motion equation can be expressed using a matrix of interpolation function and nodal acceleration vector as:

$$\left[\frac{0}{\underline{\underline{m}}} \right] \cdot \ddot{\underline{\underline{z}}}_1 = \underbrace{\left[\frac{0}{\underline{\underline{m}}} \right] \cdot \left[\underline{\underline{\Phi}}_1(x) \quad \underline{\underline{\Phi}}_2(x) \right]}_{\underline{\underline{m}}} \cdot \underbrace{\left[\begin{array}{c} \dot{\underline{\underline{V}}}(a) \\ \dot{\underline{\underline{V}}}(b) \end{array} \right]}_{\dot{\underline{\underline{V}}}^R(e,t)} \quad (17)$$

Where,

- 5 $\ddot{\underline{\underline{z}}}_1 = [\ddot{u} \quad \ddot{v} \quad \ddot{w} \quad \ddot{\phi}_x \quad \ddot{\phi}_y \quad \ddot{\phi}_z]^T$ - Vector of accelerations, $[\underline{\underline{\Phi}}_1(x) \quad \underline{\underline{\Phi}}_2(x)] \in R^{(6 \times 12)}$ matrix of interpolation functions (see sec. 3.3), $\dot{\underline{\underline{V}}}(a), \dot{\underline{\underline{V}}}(b) \in R^{(6 \times 1)}$ vector with nodal accelerations, $\underline{\underline{m}} \in R^{(6 \times 6)}$ is the inertia matrix of the differential beam element (see Sec.3.2).

3.2 The inertia matrix term

The inertia matrix in the Eq. (17) due to distributed mass $\mu(x) \left[\frac{kg}{m} \right]$ implies eccentrically application of the mass at any

- 10 location (y, z) in the cross section. The inertia matrix is expressed as (Stanoev, 2013):

$$\underline{\underline{m}} = \mu \cdot \left[\begin{array}{cccccc} 1 & - & - & - & z & -y \\ - & 1 & - & -z & - & - \\ - & - & 1 & y & - & - \\ - & -z & y & y^2 + z^2 + \frac{\theta_p}{\mu} & - & - \\ z & - & - & - & z^2 + \frac{\theta_y}{\mu} & -yz \\ -y & - & - & - & -yz & y^2 + \frac{\theta_z}{\mu} \end{array} \right] \left[\begin{array}{cccccc} 1 & 0 & 0 & 0 & z & -y \\ 0 & 1 & 0 & -z & 0 & 0 \\ 0 & 0 & 1 & y & 0 & 0 \\ 0 & -z & y & \left(y^2 + z^2 + \frac{\theta_p}{\mu} \right) & 0 & 0 \\ z & 0 & 0 & 0 & \left(z^2 + \frac{\theta_y}{\mu} \right) & -yz \\ -y & 0 & 0 & 0 & -yz & \left(y^2 + \frac{\theta_z}{\mu} \right) \end{array} \right] \quad (18)$$

Where, $\theta_p, \theta_y, \theta_z$ in $\left[\frac{kg \cdot m^4}{m^3} \right]$ are the mass moments of inertia for the cross section:

$$\theta_y = \frac{\mu \cdot I_y}{A} = \frac{\mu \cdot A_{zz}}{A}, \quad \theta_z = \frac{\mu \cdot I_z}{A} = \frac{\mu \cdot A_{yy}}{A}, \quad \theta_p = \theta_y + \theta_z \quad (19)$$

15 3.3 Shape functions for Timoshenko beam element

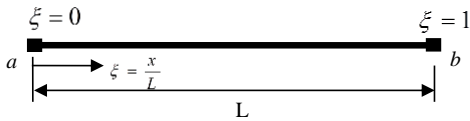


Figure 3: Definition of dimensionless coordinate (ξ) of a beam element

The acceleration terms $\ddot{\underline{\underline{z}}}_1$ in Eq. (17) are expressed using the product of Hermite interpolating polynomials and the nodal acceleration vectors $\dot{\underline{\underline{V}}}(a), \dot{\underline{\underline{V}}}(b)$.

Shape functions for axial and torsional deformations $u(\xi)$ resp. $\varphi_x(\xi)$ are derived using first order polynomial as:

$$u(\xi) = a_1 + a_2\xi = \underbrace{\begin{bmatrix} 1 & \xi \end{bmatrix}}_{\underline{N}_u} \underbrace{\begin{bmatrix} a_1 \\ a_2 \end{bmatrix}}_{\underline{a}} = \underline{N}_u \cdot \underline{a}, \quad \xi = \frac{x}{L} \quad (20)$$

To express the coefficients a_j in terms of the nodal displacements the following relations $u(\xi = 0) = u_a$,

$u(\xi = 1) = u_b$ resp. and for the torsion $\varphi_x(\xi = 0) = \varphi_{x_a}$, $\varphi_x(\xi = 1) = \varphi_{x_b}$ are applied to Eq. (20):

$$5 \quad \underbrace{\begin{bmatrix} u_a \\ u_b \end{bmatrix}}_{\underline{u}} = \underbrace{\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}}_{\underline{S}} \underbrace{\begin{bmatrix} a_1 \\ a_2 \end{bmatrix}}_{\underline{a}} \rightarrow \underbrace{\begin{bmatrix} a_1 \\ a_2 \end{bmatrix}}_{\underline{a}} = \underline{S}^{-1} \cdot \underline{u} = \begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix} \underbrace{\begin{bmatrix} u_a \\ u_b \end{bmatrix}}_{\underline{u}} \quad (21)$$

Substituting Eq. (21) in the Eq. (20) results in the shape function for axial deformation

$$u(\xi) = \begin{bmatrix} 1 & \xi \end{bmatrix} \underbrace{\begin{bmatrix} 1 & 0 \\ -1 & 1 \end{bmatrix}}_{\underline{G}_u} \underbrace{\begin{bmatrix} u_a \\ u_b \end{bmatrix}}_{\underline{v}_u} = \underline{N}_u \cdot \underline{G}_u \cdot \underline{v}_u = \underbrace{H_{u_1}}_{1-\xi} u_a + \underbrace{H_{u_2}}_{\xi} u_b \quad (22)$$

resp. for torsional deformation φ_x

$$\varphi_x(\xi) = H_{u_1} \varphi_{x_a} + H_{u_2} \varphi_{x_b} \quad (23)$$

10 Starting point to derive approximation functions for bending deformation in xz - plane are the relationships (10a), (11) and the corresponding part of Eq. (14):

$$Q_z = G A_{sz} (w' + \varphi_y) = M_y' = E A_{zz} \varphi_y'' \quad (24)$$

Using the above relation the expression for w' is given by:

$$w' = -\varphi_y + \frac{E A_{zz}}{G A_{sz}} \varphi_y'' = -\varphi_y + \underbrace{\eta_y \frac{L^2}{12} \varphi_y''}_{\gamma_z} \leftarrow \eta_y = \frac{12 E A_{zz}}{G A_{sz} L^2} \quad (25)$$

15 The translational deformation function $w(\xi)$ is approximated by a cubic polynomial function:

$$w(\xi) = c_0 + c_1\xi + c_2\xi^2 + c_3\xi^3 = \underbrace{\begin{bmatrix} 1 & \xi & \xi^2 & \xi^3 \end{bmatrix}}_{\underline{N}_w} \underbrace{\begin{bmatrix} c_0 \\ c_1 \\ c_2 \\ c_3 \end{bmatrix}}_{\underline{c}} = \underline{N}_w \cdot \underline{c} \quad (26)$$

Using the constant shear strain relation in Eq. (5b) and Eq. (26) the polynomial expression for constant shear strain can be deduced:

$$\gamma_z = \eta_y \frac{L^2}{12} \varphi_y'' \quad \text{where,} \quad \varphi_y'' = -w''' = -\frac{6c_3}{L^3} \quad (27)$$

20 By including Eq. (27) and (26) into Eq. (25) the polynomial expression for $\varphi_y(\xi)$ results in:

$$\varphi_y(\xi) = \frac{1}{L} \begin{bmatrix} 0 & -1 & -2\xi & -\frac{\eta_y}{2} - 3\xi^2 \end{bmatrix} \underbrace{\begin{bmatrix} c_0 \\ c_1 \\ c_2 \\ c_3 \end{bmatrix}}_{\underline{c}} = \underline{N}_{\varphi_y} \cdot \underline{c} \quad (28)$$

To determine the coefficients c_j the following boundary conditions are applied:

$$\underline{v}_w = \begin{bmatrix} w_a \\ \varphi_{ya} \\ w_b \\ \varphi_{yb} \end{bmatrix} = \begin{bmatrix} w(\xi=0) \\ \varphi_y(\xi=0) \\ w(\xi=1) \\ \varphi_y(\xi=1) \end{bmatrix} = \underbrace{\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{-1}{L} & 0 & \frac{-\eta_y}{2L} \\ 1 & 1 & 1 & 1 \\ 0 & \frac{-1}{L} & \frac{-2}{L} & \frac{-\eta_y+3}{L} \end{bmatrix}}_{\underline{H}_w} \cdot \begin{bmatrix} c_0 \\ c_1 \\ c_2 \\ c_3 \end{bmatrix} = \underline{H}_w \cdot \underline{c} \quad (29)$$

The inversion of Eq. (29) yields:

$$\underline{c} = \underline{H}_w^{-1} \cdot \underline{v}_w = \frac{1}{1+\eta_y} \cdot \underbrace{\begin{bmatrix} \eta_y+1 & 0 & 0 & 0 \\ -\eta_y & \frac{-L(\eta_y+2)}{2} & \eta_y & \frac{\eta_y L}{2} \\ -3 & \frac{L(\eta_y+4)}{2} & 3 & \frac{-L(\eta_y-2)}{2} \\ 2 & \frac{2}{-L} & -2 & \frac{2}{-L} \end{bmatrix}}_{\underline{G}_w} \begin{bmatrix} w_a \\ \varphi_{ya} \\ w_b \\ \varphi_{yb} \end{bmatrix} = \underline{G}_w \cdot \underline{v}_w \quad (30)$$

The interpolation functions for $w(x, y, z)$ and $\varphi_y(x, y, z)$, Eq. (26) and (28), can be expressed by employing Eq. (30):

$$w(\xi) = \underbrace{\begin{bmatrix} 1 & \xi & \xi^2 & \xi^3 \end{bmatrix} \frac{1}{\eta_y+1} \underbrace{\begin{bmatrix} \eta_y+1 & 0 & 0 & 0 \\ -\eta_y & \frac{-L(\eta_y+2)}{2} & \eta_y & \frac{\eta_y L}{2} \\ -3 & \frac{L(\eta_y+4)}{2} & 3 & \frac{-L(\eta_y-2)}{2} \\ 2 & \frac{2}{-L} & -2 & \frac{2}{-L} \end{bmatrix}}_{\underline{G}_w} \begin{bmatrix} w_a \\ \varphi_{ya} \\ w_b \\ \varphi_{yb} \end{bmatrix}}_{\underline{N}_w} \underline{v}_w \quad (31)$$

$$= H_{w_1} w_a + H_{w_2} \varphi_{ya} + H_{w_3} w_b + H_{w_4} \varphi_{yb}$$

Where, the product of both matrices \underline{N}_w and \underline{G}_w is introduced as functions H_{w_j} ($j = 1, \dots, 4$).

$$\varphi_y(\xi) = \frac{1}{L} \underbrace{\begin{bmatrix} 0 & -1 & -2\xi & -\frac{\eta_y}{2} - 3\xi^2 \end{bmatrix}}_{N_{\varphi_y}} \frac{1}{\eta_y+1} \begin{bmatrix} \eta_y+1 & 0 & 0 & 0 \\ -\eta_y & \frac{-L(\eta_y+2)}{2} & \eta_y & \frac{\eta_y L}{2} \\ -3 & \frac{L(\eta_y+4)}{2} & 3 & \frac{-L(\eta_y-2)}{2} \\ 2 & \frac{2}{-L} & -2 & \frac{2}{-L} \end{bmatrix} \cdot \begin{bmatrix} w_a \\ \varphi_{ya} \\ w_b \\ \varphi_{yb} \end{bmatrix} \quad (32)$$

$$= H_{\varphi_{y_1}} w_a + H_{\varphi_{y_2}} \varphi_{ya} + H_{\varphi_{y_3}} w_b + H_{\varphi_{y_4}} \varphi_{yb}$$

In Eq. (32), the functions $H_{\varphi_{y_j}}$ ($j = 1, \dots, 4$) are introduced in an analogous manner.

Similar method is used in determining the approximation functions $v(\xi)$ and $\varphi_z(\xi)$ for bending deformation in xy – plane.

10 Starting with relations (10b), (11) and (14) to obtain:

$$Q_y = GA_{sy}(v' - \varphi_z) = -M'_z = -EI_z \varphi'_z \quad (33)$$

$$v' = \varphi_z - \frac{EI_z}{GA_{sy}} \varphi'_z = \varphi_z + \eta_z \frac{L^2}{12} \varphi''_z \quad \leftarrow \quad \eta_z = \frac{12EI_z}{GA_{sy}L^2}, \quad (34)$$

The approximations analogous to Eq. (31) and (32) can be derived:

$$v(\xi) = H_{v_1} v_a + H_{v_2} \varphi_{za} + H_{v_3} v_b + H_{v_4} \varphi_{zb} \quad (35)$$

$$\varphi_z(\xi) = H_{\varphi_{z1}} v_a + H_{\varphi_{z2}} \varphi_{za} + H_{\varphi_{z3}} v_b + H_{\varphi_{z4}} \varphi_{zb} \quad (36)$$

The H_{*j} -functions developed in Eq. (31), (32) and Eq. (35), (36) are “static” shape functions for the Timoshenko beam.

Supposing dependence of time only for the nodal displacement vectors $\underline{V}(a)$, $\underline{V}(b)$, the matrix of the interpolation functions

$[\underline{\Phi}_1(x) \quad \underline{\Phi}_2(x)]$ in the inertia term Eq. (17) can be developed by using Eq. (31), (32), (35), (36) (Kusuma

5 Chandrashekhara, 2018):

$$[\underline{\Phi}_1(x) \quad \underline{\Phi}_2(x)] =$$

$$\begin{bmatrix} H_{u_x} & - & - & - & - & - & H_{u_x} & - & - & - & - & - \\ - & H_{v_x} & - & - & - & - & H_{v_x} & - & H_{v_x} & - & - & - & H_{v_x} \\ - & - & H_{w_x} & - & H_{w_x} & - & - & - & H_{w_x} & - & H_{w_x} & - \\ \hline - & - & - & - & H_{u_x} & - & - & - & - & - & H_{u_x} & - \\ - & - & H_{\varphi_{y_1}} & - & H_{\varphi_{y_2}} & - & - & - & H_{\varphi_{y_3}} & - & H_{\varphi_{y_4}} & - \\ - & H_{\varphi_{y_1}} & - & - & - & - & H_{\varphi_{y_2}} & - & H_{\varphi_{y_3}} & - & H_{\varphi_{y_4}} & - \end{bmatrix} \begin{bmatrix} H_{u_1} & 0 & 0 & 0 & 0 & 0 \\ 0 & H_{v_1} & 0 & 0 & 0 & H_{v_2} \\ 0 & 0 & H_{w_1} & 0 & H_{w_2} & 0 \\ 0 & 0 & 0 & H_{u_1} & 0 & 0 \\ 0 & 0 & H_{\varphi_{y_1}} & 0 & H_{\varphi_{y_2}} & 0 \\ 0 & H_{\varphi_{y_1}} & 0 & 0 & 0 & H_{\varphi_{y_2}} \end{bmatrix} \begin{bmatrix} H_{u_2} & 0 & 0 & 0 & 0 & 0 \\ 0 & H_{v_3} & 0 & 0 & 0 & H_{v_4} \\ 0 & 0 & H_{w_3} & 0 & H_{w_4} & 0 \\ 0 & 0 & 0 & H_{u_2} & 0 & 0 \\ 0 & 0 & H_{\varphi_{y_3}} & 0 & H_{\varphi_{y_4}} & 0 \\ 0 & H_{\varphi_{y_3}} & 0 & 0 & 0 & H_{\varphi_{y_4}} \end{bmatrix} \quad (37)$$

10 3.4 Numerical Integration

The special form of the numerical RUNGE-KUTTA 4th order integration method applied here is described in detail in (Müller & Wolf, 1975) and (Schenk, 2012). The integration operator is applied to the equations of motion in Eq. (16), i.e.

$$\begin{bmatrix} \underline{Z}_{1,x} \\ \underline{Z}_{2,x} \end{bmatrix} = \underline{A} \cdot \begin{bmatrix} \underline{Z}_1 \\ \underline{Z}_2 \end{bmatrix} + \begin{bmatrix} \underline{b}_1 \\ \underline{b}_2 \end{bmatrix} + \underline{b}_m \cdot \underline{\dot{V}}^R(e, t) \quad (38)$$

In order to gain sufficient numerical precision the beam axis needs to be divided into at least 20 integration intervals. The

15 integration operator transfers the known state vector at beginning of the integration interval to the end of the interval. The integration procedure starts with state vector at the first node a , i.e. at location $(x = 0)$:

$$\underbrace{\begin{bmatrix} \underline{Z}_1(x=0) \\ \underline{Z}_2(x=0) \\ 1 \end{bmatrix}}_{\underline{z}(a)} = \begin{bmatrix} 1 & & \\ & \dots & \\ & & 1 \end{bmatrix} \underline{z}(a) \quad (39)$$

The integration operator is applied subsequently to the evaluated coefficient matrix \underline{A} in each interval by excluding the initial state vector $\underline{z}(a)$. The result are static field matrices $\underline{F}(x, a)$, multiplicative linked to $\underline{z}(a)$, see Eq.(40). So each

20 $\underline{F}(x, a)$ -matrix “transfers” the state vector at location $(x = 0)$ to the end x of the considered integration interval (transfer matrix method). In the frame of the integration procedure the actual field matrix $\underline{F}(x, a)$ serves column wise as initial vector

for the next interval, the components of the state vector $\underline{z}(a)$ remains excluded. The beam “load” vector $\begin{bmatrix} \underline{b}_1 \\ \underline{b}_2 \end{bmatrix}$, Eq. (38),

evaluated in the actual interval, yields after integration the $\begin{bmatrix} \underline{\beta}_1 \\ \underline{\beta}_2 \\ 1 \end{bmatrix}$ -column in the $\underline{\underline{F}}(x, a)$ -matrix – Eq. (40).

The numerical integration of the inertia term \underline{b}_m in Eq. (38) is done column wise analogously to the “load” vector, by excluding the nodal accelerations $\underline{\ddot{V}}(e, t)$ – the result are kinetic field matrices $\underline{\underline{H}}(x, a)$ at the end of each integration

5 interval (at location x), see Eq.(40):

$$\begin{bmatrix} \underline{z}_1(x) \\ \underline{z}_2(x) \\ 1 \end{bmatrix} = \begin{bmatrix} \underline{\alpha}_{11} & \underline{\alpha}_{12} & \underline{\beta}_1 \\ \underline{\alpha}_{21} & \underline{\alpha}_{22} & \underline{\beta}_2 \\ \underline{0} & \underline{0} & 1 \end{bmatrix} \cdot \begin{bmatrix} \underline{z}_1(a) \\ \underline{z}_2(a) \\ 1 \end{bmatrix} + \begin{bmatrix} \underline{H}_{11} & \underline{H}_{12} & 0 \\ \underline{H}_{21} & \underline{H}_{22} & 0 \\ \underline{0} & \underline{0} & 1 \end{bmatrix} \cdot \begin{bmatrix} \underline{\ddot{V}}(a) \\ \underline{\ddot{V}}(b) \\ 0 \end{bmatrix}$$

$$\underline{z}(x) = \underline{\underline{F}}(x, a) \cdot \underline{z}(a) + \underline{\underline{H}}(x, a) \cdot \underline{\ddot{V}}^R(e) \quad (40)$$

This type of numerical integration allows (slightly) varying values of the coefficients of the $\underline{\underline{A}}$ -matrix, the \underline{b}_m -inertia term and of the \underline{b} -vector along the beam axis – i.e. all stiffness, mass and external load values of the beam element may vary.

10 After the last integration step at the second node b , at location ($x = L$), static $\underline{\underline{L}}(e)$ and kinetic $\underline{\underline{H}}(e)$ field matrices are obtained:

$$\begin{bmatrix} \underline{z}_1(b) \\ \underline{z}_2(b) \\ 1 \end{bmatrix} = \begin{bmatrix} \underline{L}_{11} & \underline{L}_{12} & \underline{f}_1 \\ \underline{L}_{21} & \underline{L}_{22} & \underline{f}_2 \\ \underline{0} & \underline{0} & 1 \end{bmatrix} \cdot \begin{bmatrix} \underline{z}_1(a) \\ \underline{z}_2(a) \\ 1 \end{bmatrix} + \begin{bmatrix} \underline{H}_{11} & \underline{H}_{12} & 0 \\ \underline{H}_{21} & \underline{H}_{22} & 0 \\ \underline{0} & \underline{0} & 1 \end{bmatrix} \cdot \begin{bmatrix} \underline{\ddot{V}}(a) \\ \underline{\ddot{V}}(b) \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} \underline{V}(b) \\ \underline{\underline{S}}(b) \\ 1 \end{bmatrix} = \underline{\underline{L}}(e) \cdot \begin{bmatrix} \underline{V}(a) \\ \underline{\underline{S}}(a) \\ 1 \end{bmatrix} + \underline{\underline{H}}(e) \cdot \underline{\ddot{V}}^R(e) \quad (41)$$

According to Eq. (13) and (14) the state variable \underline{z}_1 represents 6-component displacement vectors for $\underline{V}(a)$ and $\underline{V}(b)$, respectively, and the state variable \underline{z}_2 represents 6-component cutting-internal forces vectors $\underline{\underline{S}}(a)$ and $\underline{\underline{S}}(b)$, at locations

15 ($x = 0$) and ($x = L$) respectively.

3.5 The element stiffness and mass matrices

By solving the matrix in Eq. (41) for $\underline{\underline{S}}(a)$ and $\underline{\underline{S}}(b)$, and accounting for the definitions of cutting-internal forces in finite element beam formulation, see fig. 2,

$$\underline{F}(a) = -\underline{\underline{S}}(a), \quad \underline{F}(b) = \underline{\underline{S}}(b), \quad (42)$$

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one can derive the element stiffness matrix $\underline{K}(e)$, element mass matrix $\underline{M}(e)$ and element forces and moments \underline{F}^0 using simple matrix operations as shown in Eq. (43). Then the beam element relationships for the ~~cutting-internal~~ forces at two nodes can be formulated as:

$$\begin{aligned} \begin{bmatrix} \underline{F}(a) \\ \underline{F}(b) \end{bmatrix} &= \begin{bmatrix} \underline{F}^0(a) \\ \underline{F}^0(b) \end{bmatrix} + \underbrace{\begin{bmatrix} \underline{K}_{aa} & \underline{K}_{ab} \\ \underline{K}_{ba} & \underline{K}_{bb} \end{bmatrix}}_{\underline{K}(e)} \cdot \begin{bmatrix} \underline{V}(a) \\ \underline{V}(b) \end{bmatrix} + \underbrace{\begin{bmatrix} \underline{M}_{aa} & \underline{M}_{ab} \\ \underline{M}_{ba} & \underline{M}_{bb} \end{bmatrix}}_{\underline{M}(e)} \cdot \begin{bmatrix} \underline{\ddot{V}}(a) \\ \underline{\ddot{V}}(b) \end{bmatrix} = \underbrace{\begin{bmatrix} \underline{L}_{12}^{-1} \cdot \underline{f}_1 \\ \underline{f}_2 - \underline{L}_{22} \cdot \underline{L}_{12}^{-1} \cdot \underline{f}_1 \end{bmatrix}}_{\underline{F}^0} + \\ 5 \quad &\underbrace{\begin{bmatrix} \underline{L}_{12}^{-1} \cdot \underline{L}_{11} & -\underline{L}_{12}^{-1} \\ \underline{L}_{21} - \underline{L}_{22} \cdot \underline{L}_{12}^{-1} \cdot \underline{L}_{11} & \underline{L}_{22} \cdot \underline{L}_{12}^{-1} \end{bmatrix}}_{\underline{K}(e)} \cdot \begin{bmatrix} \underline{V}(a) \\ \underline{V}(b) \end{bmatrix} + \underbrace{\begin{bmatrix} \underline{L}_{12}^{-1} \cdot \underline{H}_{11} & -\underline{L}_{12}^{-1} \cdot \underline{H}_{12} \\ \underline{H}_{21} - \underline{L}_{22} \cdot \underline{L}_{12}^{-1} \cdot \underline{H}_{11} & \underline{H}_{22} - \underline{L}_{22} \cdot \underline{L}_{12}^{-1} \cdot \underline{H}_{12} \end{bmatrix}}_{\underline{M}(e)} \cdot \begin{bmatrix} \underline{\ddot{V}}(a) \\ \underline{\ddot{V}}(b) \end{bmatrix} \end{aligned} \quad (43)$$

3.6 Single masses at eccentric positions

The numerical integration according to RUNGE-KUTTA, described in Sec. 3.4, offers the possibility to include single load or mass quantities within a beam element. Single eccentric masses can be taken into account at the integration interval boundaries. In the local coordinate system of the beam element, at a general position vector $\underline{x}_{AE} = [y_E \quad z_E]^T$, the eccentric mass and the vector representation of dynamic equilibrium, Eq.(44a-b), is as shown in fig 4. The beam reference axis is at point A, and vector $\underline{\ddot{V}}_E$ represents the acceleration vector at the point of application (x, \underline{x}_{AE}) . With the help of the dynamic equilibrium conditions Eq.(44a-b), additional inertia forces and moments due to eccentric mass can be determined. (Li, 2015)

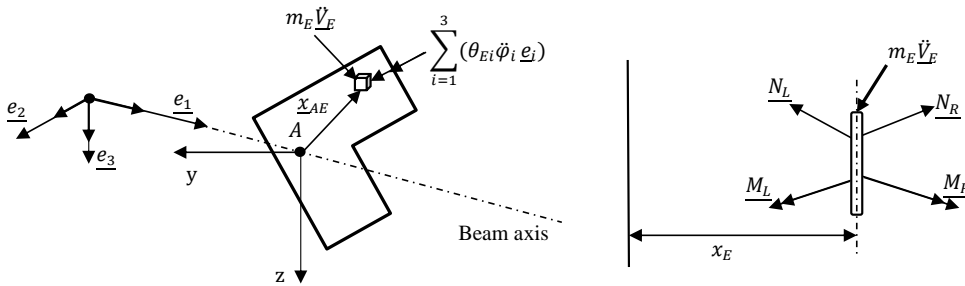


Figure 4: Eccentrically applied mass m_E at the point \underline{x}_{AE} of the beam in the 3D case

$$-\underline{N}_L + \underline{N}_R = m_E \underline{\ddot{V}}_E \quad (44a)$$

$$\underline{M}_L + \underline{M}_R = \sum_{i=1}^3 (\Theta_{Ei} \ddot{\varphi}_i \underline{e}_i) + (\underline{x}_{AE} \times m_E \underline{\ddot{V}}_E) \quad (44b)$$

where, \underline{N}_L , \underline{N}_R resp. \underline{M}_L and \underline{M}_R are ~~cutting-internal~~ force resp. moment vectors on left and right side in differential proximity to the point at location x (see fig. 4), m_E is the eccentric single mass, Θ_{Ei} are the mass moments of inertia of the mass and $\ddot{\varphi}_i$ are the angular accelerations at location x .

The additional inertia matrix $\underline{\underline{M}}_E(m_E, \Theta_{Ei}, x, x_{AE})$, derived from Eq.(44a-b), is analogous to the inertia matrix due to distributed mass in the Eq. (18). During the numerical integration within the beam element, see Sec. 3.4, an additional eccentric inertia term has to be added to the kinetic field matrix at the end x (the point of application of eccentric mass) of the corresponding integration interval – see Eq. (40) and (45).

$$\underline{z}(x) = \begin{bmatrix} \underline{\underline{\alpha}}_{11} & \underline{\underline{\alpha}}_{12} & \underline{\underline{\beta}}_1 \\ \underline{\underline{\alpha}}_{21} & \underline{\underline{\alpha}}_{22} & \underline{\underline{\beta}}_2 \\ \underline{\underline{0}} & \underline{\underline{0}} & 1 \end{bmatrix} \cdot \begin{bmatrix} \underline{z}_1(a) \\ \underline{z}_2(a) \\ 1 \end{bmatrix} + \begin{bmatrix} \underline{\underline{H}}_{11} & \underline{\underline{H}}_{12} & \underline{\underline{0}} \\ \underline{\underline{H}}_{21} & \underline{\underline{H}}_{22} & \underline{\underline{0}} \\ \underline{\underline{0}} & \underline{\underline{0}} & 1 \end{bmatrix} + \begin{bmatrix} \underline{\underline{0}} & \underline{\underline{0}} & \underline{\underline{0}} \\ \underline{\underline{M}}_E \Phi_1 & \underline{\underline{M}}_E \Phi_2 & \underline{\underline{0}} \\ \underline{\underline{0}} & \underline{\underline{0}} & 1 \end{bmatrix} \cdot \begin{bmatrix} \dot{\underline{V}}(a) \\ \dot{\underline{V}}(b) \\ 0 \end{bmatrix} \quad (45)$$

Single masses will usually not appear in a rotor blade model, but the same finite element may be used for modelling of wind turbine towers. In this case ~~of~~ single masses within a finite beam element ~~which~~ could represent ~~the~~ bolted ring flange connections or the mass of any equipment like lifts etc.

4 The eigenvalue problem

The system matrices for a rotor blade beam model are assembled in the usual finite element manner employing the developed element matrices $\underline{\underline{K}}(e)$ and $\underline{\underline{M}}(e)$ from Eq. (43). In the case of free damped oscillation, the linear homogenous differential equations of motion are given by:

$$\underline{\underline{M}} \ddot{\underline{q}}(t) + \underline{\underline{D}} \dot{\underline{q}}(t) + \underline{\underline{K}} \underline{q}(t) = \underline{0} \quad (46)$$

where, $\underline{\underline{M}} \in R^{(n \times n)}$ is the system mass matrix, $\underline{\underline{K}} \in R^{(n \times n)}$ is the system stiffness matrix, $\underline{\underline{D}} \in R^{(n \times n)}$ is the system damping matrix and $\underline{q}(t) \in R^{(n \times 1)}$ is the nodal displacement vector. The system matrices are symmetric and positive definite for finite element structures. For a free undamped system, the matrix for the equation of motion is reduced to:

$$\underline{\underline{M}} \ddot{\underline{q}}(t) + \underline{\underline{K}} \underline{q}(t) = \underline{0} \quad (47)$$

By introducing the following solution approach which is given by:

$$\underline{q}(t) = \underline{\hat{q}} e^{i\omega_0 t}, \quad \ddot{\underline{q}}(t) = \underline{\hat{q}} (i\omega_0)^2 e^{i\omega_0 t} \quad (48)$$

into the equation of motion Eq. (47) the eigenvalue problem is obtained:

$$\left(\underline{\underline{M}}^{-1} \underline{\underline{K}} - \omega_{0k}^2 \underline{\underline{I}} \right) \underline{\hat{q}}_k = \underline{0}, \quad (49)$$

Where, $\underline{\underline{I}}$ is a unity matrix. The condition for non-trivial solution for Eq. (49) is given by

$$p(\omega_{0k}^2) = \det \left(\underline{\underline{M}}^{-1} \underline{\underline{K}} - \omega_{0k}^2 \underline{\underline{I}} \right) = 0 \quad (50)$$

The n-grade characteristic polynomial $p(\omega_{0k}^2)$ has n real solutions ω_{0k} , ($k = 1, \dots, n$) (eigenfrequencies) and associated n eigenvectors \hat{q}_k , are calculated from Eq. (49). For real life tasks the solution is usually done by use of eigensolver software.

5 **Numerical example**

The programing code for the procedure described above as for the graphic plots shown below was written in MuPAD, a symbolic math toolbox of MATLAB®, see (Kusuma Chandrashekhara, 2018). The code was verified using realistic data for a wind turbine rotor blade. The blade structural data belongs to a 5 MW reference wind turbine designed for offshore development (Jonkman, Butterfield, Musial, & Scott, 2009). The blade is of length 63 m divided into 48 beam elements. The blade structural data consists of distributed mass (m_L), blade extensional stiffness (EA), flapwise stiffness (EA_{zz}), edgewise stiffness (EA_{yy}), torsional stiffness (GI_t), flapwise mass moment of inertia (θ_y), edgewise mass moment of inertia (θ_z). For lack of any shear stiffness data in (Jonkman, Butterfield, Musial, & Scott, 2009) the values of (GA_{sz}) and (GA_{sy}) - the edgewise resp. flapwise shear stiffness – are estimated as 10 % resp. 20 % of extensional stiffness (EA) . The values of the above mentioned stiffness and mass moment of inertias are specified at span wise locations along the blade pitch axis and about the principal axes of each cross section as oriented by a twist angle (γ) defined in the input data. The twist angle is incorporated by using the rotational transformation of each local element stiffness resp. mass matrices (obtained after numerical integration) into the global coordinate system. The results of first three (flapwise and edgewise) eigenfrequencies calculated using Timoshenko beam model, see (Kusuma Chandrashekhara, 2018), are compared with the proposed results from FAST and ADAMS (Jonkman, Butterfield, Musial, & Scott, 2009). The results are as shown in the table below:

Description	FAST [Hz]	ADAMS [Hz]	f_{Timosh} [Hz]	Percentage deviation [%]	
				FAST and Timoshenko	ADAMS and Timoshenko
1 st blade Asymmetric Flapwise Yaw	0.6664	0.6296	0.6704	0.60	6.48
1 st Asymmetric Flapwise Pitch	0.6675	0.6686		0.43	0.27
1 st Blade Collective Flap	0.6993	0.7019		4.13	4.49
1 st Blade Asymmetric Edgewise Pitch	1.0793	1.0740	1.0958	1.53	2.03
1 st Blade Asymmetric Edgewise Yaw	1.0898	1.0877		0.56	0.74
2 nd Blade Asymmetric Flapwise Yaw	1.9337	1.6507	1.8992	1.78	15.05
2 nd Blade Asymmetric Flapwise Pitch	1.9223	1.8558		1.20	2.34

<u>2nd Blade Collective Flap</u>	<u>2.0205</u>	<u>1.9601</u>		<u>6.39</u>	<u>3.11</u>
<u>Eigenmode Type</u>	<u>FAST</u> [Hz]	<u>ADAMS</u> [Hz]	<u>f_{Tim}</u> [Hz]	<u>Percentage deviation [%]</u>	
				<u>FAST and</u> <u>Timoshenko</u>	<u>ADAMS and</u> <u>Timoshenko</u>
<u>1st blade Asymmetric Flapwise Yaw</u>	<u>0.6664</u>	<u>0.6296</u>	<u>0.6704</u>	<u>0.60</u>	<u>6.48</u>
<u>1st Asymmetric Flapwise Pitch</u>	<u>0.6675</u>	<u>0.6686</u>		<u>0.43</u>	<u>0.27</u>
<u>1st Blade Collective Flap</u>	<u>0.6993</u>	<u>0.7019</u>		<u>4.13</u>	<u>4.49</u>
<u>1st Blade Asymmetric Edgewise Pitch</u>	<u>1.0793</u>	<u>1.0740</u>	<u>1.0958</u>	<u>1.53</u>	<u>2.03</u>
<u>1st Blade Asymmetric Edgewise Yaw</u>	<u>1.0898</u>	<u>1.0877</u>		<u>0.56</u>	<u>0.74</u>
<u>2nd Blade Asymmetric Flapwise Yaw</u>	<u>1.9337</u>	<u>1.6507</u>	<u>1.8992</u>	<u>1.78</u>	<u>15.05</u>
<u>2nd Blade Asymmetric Flapwise Pitch</u>	<u>1.9223</u>	<u>1.8558</u>		<u>1.20</u>	<u>2.34</u>
<u>2nd Blade Collective Flap</u>	<u>2.0205</u>	<u>1.9601</u>		<u>6.39</u>	<u>3.11</u>

Table 1: First three calculated (bolded values) flapwise and edgewise eigenfrequencies

The mode shapes and the corresponding eigenfrequencies for the first flapwise and edgewise eigenmodes as well for two torsional eigenmodes are as shown below:

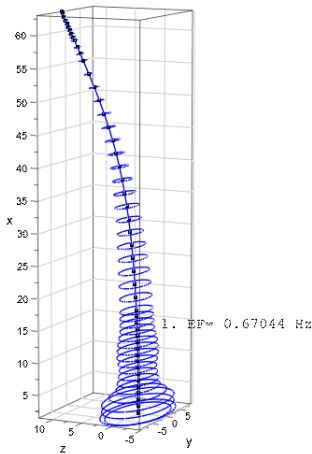


Figure 5: First flapwise eigenmode

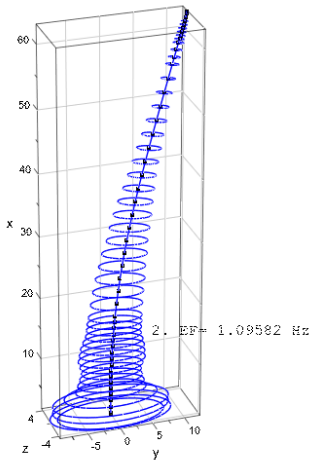


Figure 6: First edgewise eigenmode

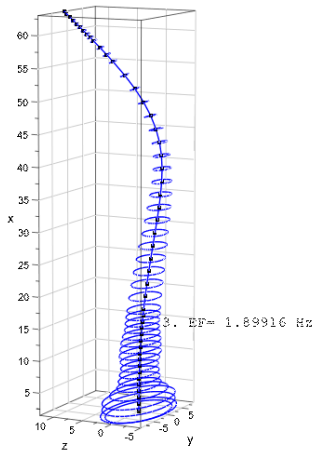


Figure 7: Second flapwise eigenmode

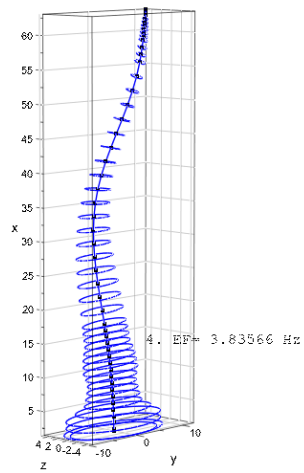


Figure 8: mixed flap/edgewise eigenmode

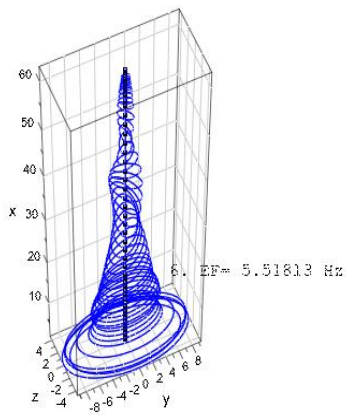


Figure 9: First torsional eigenmode

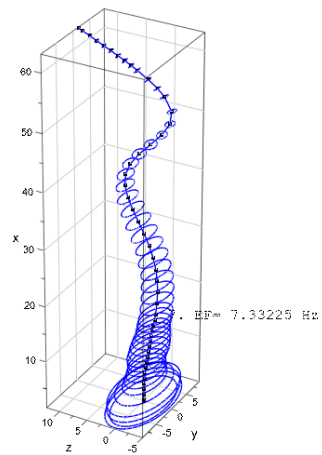


Figure 10: Third flapwise eigenmode

5

10

15

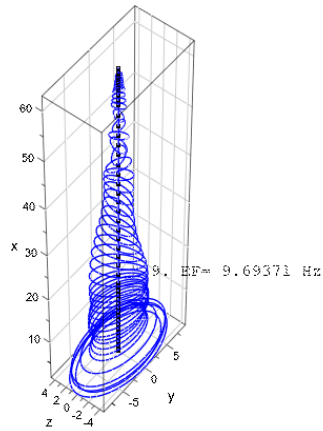


Figure 11: Second torsional eigenmode

$\frac{GA_{sz}}{EA} : \frac{GA_{sy}}{EA}$ Eigenmode Type	Timoshenko Beam			Bernoulli Beam
	10% : 20%	20% : 40%	30% : 60%	
	$f_{Tim} [Hz]$	$f_{Tim} [Hz]$	$f_{Tim} [Hz]$	$f_{Bern} [Hz]$
1 st Flapwise Bending Mode	0.6704	0.6737	0.6749	0.6771
1 st Edgewise Bending Mode	1.0958	1.1035	1.1060	1.1113
2 nd Flapwise Bending Mode	1.8992	1.9227	1.9307	1.9472
1 st Mixed Flap/Edge Mode	3.8357	3.9275	3.9596	4.0262
2 nd Mixed Flap/Edge Mode	4.2922	4.4062	4.4462	4.5295
1 st Torsional Mode	5.5181	5.5181	5.5181	5.5181
2 nd Torsional Mode	9.6937	9.6937	9.6937	9.6937

Table 2: Comparison Timoshenko – Bernoulli beam with 3 variants for shear stiffness values

In table 2 are shown the calculated natural frequencies for three different variants for the shear correction coefficients, approximated as $\frac{GA_{sz}}{EA}$ resp. $\frac{GA_{sy}}{EA}$ –ratios. The comparison to the frequencies calculated using the Bernoulli-beam model outlines the tendency to more stiff structure due to the presupposed infinite shear stiffness in this case. Natural frequencies f_{Bern} are on average 0.5% -1.0% higher then f_{Tim} - in the (30% : 60%)-case. The natural frequencies remain unchanged for both beam models only for the purely torsional modes. The reason is that the equations for torsion and bending are uncoupled (for the case of principal axes, see Eq. (14)) and remain the same in both models.

6 Conclusion and Outlook

The proposed Timoshenko beam element in 3D description has been developed on the basis of the transfer matrix method. Both static and kinetic field matrices for the beam element are derived by applying in a special way a RUNGE KUTTA 4th order numerical integration procedure on the differential equations of motion. Appropriate shape functions for the Timoshenko beam have been used to approximate the inertia forces in the motion differential equation. The beam element stiffness and mass matrices are assembled by matrix operations from the derived element field matrices. The usual finite element equations of motion for the rotor blade model are cast in the general case with the accounting for structural twist angle and possibly pre-bending. So in the general case the rotor blade beam model represents a polygonal approximated space curve.

For the sake of verification, the natural frequencies and associated eigenmodes are calculated using real life rotor blade data with incorporation of realistic twist angle data. The first two edgewise and flapwise eigenfrequencies obtained are compared with the proposed results from FAST and ADAMS software given in (Jonkman, Butterfield, Musial, & Scott, 2009). It can be observed that the deviation of the results of Timoshenko beam model from FAST is comparatively lesser and is in good agreement with FAST and thus, it can be stated that the presented approach of alternative finite element formulation works well.

One key input parameter for the Timoshenko beam model is the shear stiffness. As far it was not the main goal of the present work to determine an appropriate shear correction coefficient for realistic rotor blade data, the numerical example was performed with a very rough approximation for GA_{sz} and GA_{sy} . It was used in order to simply demonstrate the performance and differences to the Bernoulli beam model. However, if detailed data for the complex multilayer design of a rotor blade are available, more realistic estimation of the shear stiffness can be expected. A workable method for determination of the shear correction coefficient of a real life rotor blade represents an important topic for further research.

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Anonymous Referee #1 Received and published: 20 November 2018

The article by Stanoev and Chandrashekhara provides a valuable analysis of the determination of the free vibration properties of wind turbine blades. The dynamic models considered, contains shear flexibility within the framework of Timoshenko beam theory. This is a suitable compromise for wind turbine blades, due to the large changes in cross section shape along the beam axis and the large cylindrical parts at the root. Thus, the model is appropriate for the analysis of modern wind turbine blades. A key feature of the numerical approach in the article, is the formulation of the beam stiffness via the static formulation using classic virtual work. The C1 WESD Interactive comment Printer-friendly version Discussion paper formulation of the evolution format, integrated by a RK procedure, corresponds to the use of complementary virtual work, in which the static field comprise the virtual fields. Hereby, shear flexibility is included for free and variations in cross sectional properties are directly represented by the corresponding parameters appearing in the denominators of (13) or (14). The mathematic formulation appears to be elegant, although it is difficult to assess and verify all details in the formulation. The final results provide good agreement with the numerical results obtained by dedicated numerical packages. The paper is well suited for WES and should be accepted once the authors have addressed or commented on the following issues:

1. The analysis assumes an arbitrary location of the reference axis. This is very fine, as many simplified codes assumed decoupled kinematic effects. The authors still provide relations for a coinciding axis with the elastic center (see equations (11) and (14)). The paper would me more compact if the general case without the simplification by coinciding axes. Please consider whether it is necessary to include this particular case.

Answer: The particular case for coinciding axis with the elastic centre is necessary to be included (and be developed to the final state) in order to apply the proposed numerical approach to a rotor blade model. The available rotor blade cross section data are with respect to principal elastic-centre axes. To apply the general case with arbitrary location of the beam reference axis, there will be needed all the stiffness values in the matrix

$$\begin{bmatrix} EA & EA_y & EA_z \\ EA_y & EA_{yy} & EA_{yz} \\ EA_z & EA_{yz} & EA_{zz} \end{bmatrix}, \text{ see Eq.(8). Manufacturers of rotor blades do not release such data,}$$

same applies for shear stiffness A_{sz} and A_{sy} .

No changes have been made.

2. In (9)-(10) there is no coupling between torsion and shear. This implies that the chosen reference axis coincides with the shear center. Although this is done regularly in beam modelling, it is based on an assumption where coupling terms are neglected. The authors may note this in conjunction with equations (9)-(10).

Answer: You are right, in this regular case the shear-torsion coupling terms are neglected by default. I will include a note after Eq. (9)-(10) to point it out explicitly.

Changes in manuscript: After Eq.(10):

The relation (10a,b) implies that the chosen reference axis coincides with the shear centre – due to neglected shear-torsion coupling terms in Eq.(7).

3. In (13) and (14) many rows in the system matrices are zero rows, whereby the evolution of the section forces (strangely enough referred to as cutting forces in the paper) is explicitly attainable from the loading. Would it be possible to use the explicit relation to simply reduce the “redundant” section forces and thereby minimize the size of the system?

Answer: It would be possible, but we are aiming to apply in an unified way the RK integration on an 1th order differential equation system from type eq.(38) – in order to achieve the regular form of the transfer matrix – see $\underline{\underline{F}}(x, a)$ -matrix in eq.(40), with 12 state variables $\begin{bmatrix} z_1(a) \\ z_2(a) \end{bmatrix}$. And, you are right, I change the “cutting” forces by “internal” or “section” forces at all places.

Changes: Replacing the “cutting” forces by “internal” or “section” forces at all places.

4. In the mass matrix (18) the structure is difficult to verify because zeros have not been included. Please consider to explicitly add the zero entries, as in (13)-(14).

Answer: I will add all zero entries in Eq.(18).

Changes:

$$\underline{\underline{m}} = \mu \cdot \begin{bmatrix} 1 & 0 & 0 & 0 & z & -y \\ 0 & 1 & 0 & -z & 0 & 0 \\ 0 & 0 & 1 & y & 0 & 0 \\ 0 & -z & y & \left(y^2 + z^2 + \frac{\Theta_p}{\mu}\right) & 0 & 0 \\ z & 0 & 0 & 0 & \left(z^2 + \frac{\Theta_y}{\mu}\right) & -yz \\ -y & 0 & 0 & 0 & -yz & \left(y^2 + \frac{\Theta_z}{\mu}\right) \end{bmatrix} \quad (18)$$

5. It is stated that Hermitian interpolation is of 4th and 2nd order. As the polynomial order is cubic I would think it would be interpolation of order 3 and 1. Consider to change the naming of order or be more specific of what you mean about e.g. 4th order interpolation.

Answer: I would change the notation of the Hermitian interpolation to 3th and 1th order. I mean the notation as e.g. 4th order interpolation points out that there are 4 unknown coefficients to be determined in a cubic polynomial.

Changes: In Sec. 3, page 7:

In the classical finite element formulation, the beam stiffness matrix and the consistent beam mass matrix are derived by developing an approach for the displacement functions through shape (interpolation) functions, which consists of 1st and 3rd order Hermite polynomials.

6. The use of Runge-Kutta integration is elegant. Gauss integration is commonly used, as it is exact for polynomials, although they possess discontinuities at the element boundaries. For beam elements this is not required, whereby the alternative integration schemes are feasible. (This is just a comment.)

No answer, no comment.

Anonymous Referee #2 Received and published: 22 November 2018

1) I think this paper conveys the information, which is useful for the Wind Energy Science community. However, I've got an impression that the novelty and the significance of this submission are not clearly outlined. The authors have included the paper by A. Bazoune, Y.A. Khulief and N.G. Stephen (JSV, 2003) in the list of references and mentioned it in Section 1 just in passing. However, it is not quite clear whether they have done anything novel in formulation of the stiffness matrix as compared with this reference.

Answer: In connection with your first remark to the cited paper by Bazoune, A., & Khulief, Y. (2003) : we have used just the shape functions for Timoshenko beam elements, presented in (JSV,2003), and have described them detailed in the article – Eqs. (31), (32), (35), (36). They are employed, see Eq. (37), only for the inertia-forces term in Eq.(17), and have not been used in the derivation of the element stiffness matrix $K(e)$ in Eq. (43). The stiffness matrix is developed by numerical Runge-Kutta integration of the coefficient matrix A of the differential equations system, Eq.(16), for Timoshenko beam – in the form of Eq. (14). In the frame of this integration, described in Sec. 3.4, first the static field matrix $L(e)$, see Eq. (41), is built, and finally the element stiffness matrix $K(e)$ - by the operations shown in Eq.(43).

No changes.

2) The formulation of the inertia matrix is reproduced from the earlier publication of the first author. I think the authors should be more prudent in assessment of novelty of their contribution.

Answer: The formulation of the element mass matrix by similar numerical integration of the inertia-force matrix b_m , see Eq.(17)-(18), is in fact reproduced from earlier publication of the first author (Stanoev 2007) where only Bernoulli beam element is considering. In the present article are combined the numerical procedure for assembly of the element mass matrix with the above mentioned Timoshenko shape functions.

3) The numerical example, which aims to validate the proposed solution method is unconvincing. In Conclusions, lines 16,18, the authors acknowledge difficulties in assessment of shear correction coefficients and confess that the values used for their calculations (10% and 20%, page 15, line 9) are 'very rough approximation' (page 18, line 18). How sensitive are the frequencies reported in Table 1 to the choice of these parameters? What would give the Bernoulli-Euler model?

Answer: To your remarks on the numerical example, I admit, we haven't study the sensitivity of the eigenfrequencies to the choice of the shear correction coefficients. I will add, similarly to Tab.1, two additional tables with different shear coefficients approximation and for comparison of Bernoulli to Timoshenko beam model.

Changes: on page 18:

$\frac{GA_{sz}}{EA} : \frac{GA_{sy}}{EA}$ Eigenmode Type	Timoshenko Beam			Bernoulli Beam
	10% : 20%	20% : 40%	30% : 60%	
	$f_{Tim} [Hz]$	$f_{Tim} [Hz]$	$f_{Tim} [Hz]$	$f_{Bern} [Hz]$
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Table 2: Comparison Timoshenko – Bernoulli beam with 3 variants for shear stiffness values

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