

Response to Referee #1

MS Number: wes-2018-80

Title: System-level design studies for large rotors

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We would like to thank referee Christopher Kelley for his review and comments on our research paper. Through a major revision of the manuscript (including a formal internal review by the National Renewable Energy Laboratory), we have tried to address all the referee’s comments and we feel the result is a much stronger contribution. The following table collects the referee’s comments, the authors’ responses to each point, and the authors’ changes in the manuscript. In addition, a color-coded version of the manuscript is provided, in which all changes can be easily identified. We have used the **red color** to indicate text that has been removed from the submitted manuscript. The **descriptions in blue** represent the added or re-written parts, addressing the referee’s comments.

Comments of Referee #1	Authors’ Responses
<p>1. By considering the rotors bending motion only a function of azimuthal angle, are you ignoring the fact that resonance of the structure may be uncorrelated with azimuth? In other words, the structures flapping due to resonance may sometimes align with a specific azimuthal angle on one revolution, but not another? I think this is a source of confusion for me since I am not as familiar with this harmonic analysis. But maybe this is the key simplification to reduce computational cost, as opposed to letting random blade motion and turbulence appear with long timeseries like in FAST. A further explanation would be useful.</p>	<p>Answer: Yes, this is the key simplification to reduce the computational expense associated with performing the full set of simulations necessary to find design loads. We found that only considering the loads due to wind shear and turbine self-weight provides a reasonable representation of the loads for the objective of comparing different turbines. For example, turbines with larger blades will experience a larger 1P blade load and will also experience a similar increase in loading due to turbulence and non-periodic loads. These non-periodic components are not modelled by the transformation and are instead considered as part of the turbulent component of the load in Section 6.</p> <p>Changes in manuscript: When introducing the harmonic model, the simplification and use for the harmonic loads is explained:</p> <p><i>In this section, we describe harmonic loads m^H, which are derived from constant and periodic loads that arise due to steady wind loading, wind shear, and turbine self-weight. These harmonic loads can be mapped, or transformed, into estimates m^{Est} of design loads m^{DLC} that are computed using operational DLC simulations in Sect. 6. The key simplification of the harmonic load model compared to design loads computed using DLC simulations is the omission of load variations that do not correspond to the rotor speed. These non-harmonic load variations arise because of</i></p>

	<p>wind speed and direction changes, as well as the component's natural frequencies. All frequency components are necessary to determine the design load for a final, detailed design, but for exploring potentially large numbers of design trade-offs, simplified harmonic loads provide enough information about the various turbine loads.</p> <p>It is clarified again when discussing the derivation of peak and fatigue loads from the harmonic components of simulations with a constant, sheared inflow:</p> <p>The loads at higher harmonic and natural frequencies contribute to both fatigue and extreme loads, but since our goal is to derive a mapping from a simplified computation (harmonic load) to a more expensive simulation (design load), their effects are neglected and considered as part of the uncertainty of the transformation in Section 6.</p> <p>Finally, it is mentioned in Section 5.2 (Harmonic versus turbulent loads) that non-periodic loads are not modelled in the transformation from harmonic to design loads:</p> <p>The structural loads on a wind turbine originate from both steady state effects and constant and periodic effects, modeled by the harmonic load, as well as from dynamics due to turbulence and wind direction changes, which are not necessarily correlated with the azimuthal position of the rotor and are not modeled in this transformation.</p>
<p>2. In Section 4, when discussing the closed loop controller, it would be good to describe what pitch rate was the outcome of the gains for the PI controller to make sure the maximum blade pitch rate is physically possible. For a 13 m blade 5-10 deg/s is reasonable, but for a 13 MW blade 1-3 deg/sec would be realistic. This can drastically change the 50-year DLC 1.1 result.</p>	<p>Answer: During turbulent simulations (DLCs 1.2 and 1.3), the maximum pitch rate for the SUMR-13A is 2.45 deg/sec and 2.18 deg/sec for the SUMR-13B. During the extreme coherent gust with direction change (DLC 1.4), the maximum pitch rate limit of 4 deg/sec is not violated for either the SUMR-13A or SUMR-13B. For comparison, the NREL-5MW reference turbine (with 63-meter-long blades) has a maximum pitch rate limit of 8 deg/sec</p> <p>Changes in manuscript: A sentence on the pitch actuator rates is added in Section 4:</p> <p>The pitch actuator has a maximum pitch rate limit of $4\text{ }^{\circ}\text{s}^{-1}$; turbulent simulations have a maximum pitch rates between $1\text{ and }3\text{ }^{\circ}\text{s}^{-1}$ were recorded in the turbulent simulations that were run.</p>

<p>3. In equation 11, is m^{SS} for steady state amplitude equivalent to the 0th order amplitude, m^0?</p>	<p>Answer: Thank you for raising this question; these terms can be confusing. In eq. (11) we are referring to the harmonic load (peak or fatigue) that is derived from the mean load m^0 and dominant harmonic load component m^{nP} across wind speeds. The harmonic load is used as a surrogate model to estimate the design loads that are computed from DLC simulations.</p> <p>Changes in manuscript: Throughout the article we have eliminated the usage of “steady” and “quasi-steady” to use more precise language when describing how the load was generated, e.g., using harmonic loads m^H, we derive estimated loads m^{Est} that should approximate loads computed from DLC simulations m^{DLC} with some residual.</p>
<p>4. For Figure 6, I think a further explanation of interpreting the turbulence factor and std error/mean would be helpful. Is f^{turb} indicative of the mean error between the harmonic model and the FAST simulations? And is std error/mean indicative of the average dynamic error?</p>	<p>Answer: Thank you for this comment. f^{turb} is used to indicate how much of the load can be attributed to turbulence versus steady and periodic effects (harmonic load). For example, the mean harmonic (m^H) peak main bearing load about the y-axis is approximately 10 MNm, the mean DLC (m^{DLC}) peak main bearing load is approximately 40 MNm. Thus, we say the mean turbulent (m^{turb}) load is approximately 30 MNm, using the definition in (11), and the turbulence factor f^{turb} is 0.75.</p> <p>Std. error/mean is not indicative of the average dynamic error between the harmonic and turbulent simulations. We tried to point out that the proper term to use here is residual, which indicates the error between the observed points (m^{DLC}) and the estimated loads (m^{Est}) that are found via linear regression in (14). Normalizing by the mean of the load across turbines provides a qualitative comparison (Fig. 6, bottom, right) between different turbine parts. It’s not a perfect metric, as small mean values can be inflated (like Tower Clearance, which was removed from this plot). However, the appropriate values for the residual uncertainty are placed in the figures of Sections 8 to 11.</p> <p>Changes in manuscript: A more detailed explanation and example for computing the turbulent load contribution is provided in Section 6:</p> <p>[We quantify the turbulent load contribution using the turbulence factor f^{turb}] to compare between different turbine parts on how much of the design load m^{DLC} is attributed to turbulent versus harmonic loading. For example, all peak main bearing loads found using DLC simulations are shown in Fig. 6 (top). The average design load (m^{DLC}) of the 3-bladed peak main bearing loads (magenta) in Fig. 6 (top, left) is approximately 40 MNm, while the average of the corresponding harmonic loads (m^H) is approximately 10 MNm. Thus, the average turbulent load (m^{turb}) is</p>

	<p>approximately 30 MNm by (11). Thus, using (12), $f^{turb} \approx 0.75$, as shown in Fig. 6 (left, bottom) along with a selection of the component other turbine loads.</p> <p>Throughout the article, we have replaced error with residual to better represent its meaning.</p> <p>The sentence describing the standard deviation of the residual being normalized by the mean has been re-worded to more clearly describe its use:</p> <p>In Fig. 6 (bottom, right), we normalize the standard deviation of the residual by the mean load over all rotors to compare the fit of the transformation across different turbine parts.</p>
<p>5. In equation 13, does this mean you need two calibration constants for each of the 3 azimuthal modes you are considering?</p>	<p>Answer: We use different calibration (renamed as transformation) constants (a^{trans}, b^{trans}) that are determined separately for 2- and 3- bladed rotors, each load axis, and both peak and fatigue loads.</p> <p>Changes in manuscript: A sentence was added after equation (13) to clarify this point:</p> <p>Because 2- and 3-bladed rotors sample turbulence differently, we define a calibration set (a^{eat}, b^{eat}) transformation set (a^{trans}, b^{trans}) separately for each, illustrated by the different fits of Fig. 6 (top, left).</p> <p>There are also different transformation sets for each design load: at each axis and for both peak and fatigue loads. To estimate the design load, the same calibration set transformation set corresponding to the desired component, axis, and number of blades is used: ...</p>
<p>Figure 9 seems to be showing a lot of interesting trends. It might be useful to inform the reader which design load cases were the driving cases. For example, increasing damage equivalent load but decreasing</p>	<p>Answer: Thank you for this comment. Our design goals can be made more clearly. The design driving load for the SUMR-13A was found to be the peak flapwise bending moment. To account for this and to increase power capture, the design goal for the SUMR-13B is to constrain peak flapwise bending moments and increase AEP. Due to its more massive blades, the design driving loads for the SUMR-13B are the edgewise fatigue loads; this leads to the design study in Section 8.2.1.</p>

maximum peak load might be ok if tip deflection is the driving DLC.

Changes in manuscript: A paragraph was added to Section 8.1 describing the design driving loads of both rotors and the goal for the SUMR-13B:

The SUMR-13A blade design was found to be driven by extreme loading along a combined flapwise and edgewise direction, where DLC 1.4 (extreme coherent gust with direction change) caused the greatest blade load. Since edgewise loads are deterministic, varying with a near constant amplitude with respect to the rotor azimuth, the design goal of the next rotor iteration, the SUMR-13B was to constrain peak flapwise loads and increase power capture using the aerodynamic design changes previously described. The SUMR-13B is not necessarily cost optimal. Using larger blades with both greater power capture and structural loading could result in a net cost benefit compared to the SUMR-13B. However, in the absence of a detailed cost model, these design choices are difficult to make and depend on a wide array of factors. Larger rotors with both increased loading and power capture will be investigated in future design iterations.

The SUMR-13B does, however, provide a demonstration for using the harmonic loads and results in Fig. 9 to guide design: the aerodynamic design changes can be applied in combination. Since the goal of the SUMR-13B is to constrain peak flapwise loads and increase power capture (AEP), some combination of increasing the blade length, decreasing the axial induction, and increasing the cone angle should provide a blade with the desired properties. Looking at the peak flapwise blade load (leftmost in Fig. 9), if we start at the SUMR-13A, the black dot at (1,1), and increase the available rotor power to 16.9 MW, we will have a rotor with the relative power and load at the blue diamond. Then, if we decrease the axial induction to 0.2, the change in power and load is as if only the axial induction (and corresponding blade length increase) were changed by that amount (red, dashed vector). Finally, by increasing the cone angle from 5 deg. to 12.5 deg., the change in power and load is equivalent to the change indicated by the yellow, dashed vector. The combination of these design changes results in the AEP and structural loading of the SUMR-13B: it increases AEP by 11 % compared to the SUMR-13A, while constraining peak blade flapwise loads to the level of the SUMR-13A. The same changes can be applied in combination to the flapwise DELs and edgewise DELs. The increased blade length of the SUMR-13B increases the

flapwise DELs due to the enhanced effect of wind shear and edgewise DELs due to the additional blade weight. During the SUMR-13B structural layup design, we found the design driving blade load to be the fatigue DEL in the edgewise direction, which will be the focus of Sect. 8.2.1.

Response to Referee #2

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Title: System-level design studies for large rotors

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We would like to thank referee #2 for their review and comments on our research paper. Through a major revision of the manuscript (including a formal internal review by the National Renewable Energy Laboratory), we have tried to address all the referee's comments and we feel the result is a much stronger contribution. The following table collects the referee's comments, the authors' responses to each point, and the authors' changes in the manuscript. In addition, a color-coded version of the manuscript is provided, in which all changes can be easily identified. We have used the **red color** to indicate text that has been removed from the submitted manuscript. The **descriptions in blue** represent the added or re-written parts, addressing the referee's comments.

Comments of Referee #1	Authors' Responses
(1) In section 3, it is unclear what is the justification of assuming that the tower fairing is present in the current simulations. Can the authors be elaborated?	<p>Answer: Initially, it was felt that a tower fairing could be important to reduce fatigue loads. However, more detailed recent studies using a validated FAST approach (Noyes <i>et al.</i> 2018) have shown the shadow effect for this downwind coned geometry was minimal once turbulent inflow conditions were considered (e.g. changed the DEL values by only a few %).</p> <p>To maintain consistency between the harmonic and turbulent load simulations, we selected the simplifying assumption of no tower shadow for both sets of simulations in this study. Therefore, we have neglected the tower shadow effect for all these simulations in order to focus on the influence of the more important harmonic and turbulent loads.</p> <p>Changes in manuscript: We have eliminated the mention of a tower fairing in Section 3:</p> <p>A recent FAST-based, wind tunnel validated approach has shown that, compared with turbulence, tower shadow effects are relatively small (Noyes et al., 2018). and that tower fairings have been shown to greatly reduce the tower wake (Larwood and Chow, 2016). Thus, for simplicity, we have assumed a tower fairing is present and will omitted the tower shadow model from our analysis in order to focus on the influence of the more important harmonic and turbulent loads.</p>

(2) In section 4, do the authors have an explanation why at different wind speeds, the rotors have different transitions? How [does] that affect the parameters of the control architecture?

Answer: The larger SUMR-13B rotor produces greater aerodynamic torque than the SUMR-13A, leading to higher generator speeds at the same below-rated wind speed. Thus, the SUMR-13B will reach rated generator speed and transition to above-rated control at a lower wind speed.

The different transition, or rated, wind speeds do not affect the parameters of the control architecture. All turbines in this article use a gain-scheduled, proportional-integral control system for above-rated pitch control and all have a rated generator speed of 1173.7 rpm. The gearbox ratio of each machine is changed to reflect the different rated rotor speeds in Table 1. Once the generator speed exceeds the rated generator speed, pitch control is activated; this happens at a lower wind speed for larger rotors.

Changes in manuscript: Sentences were added in Section 4 to clarify that the same generator model is used for all turbines, but with different gearbox ratios:

The generator rated power of 13.2 MW and rated speed of 1173.7 rpm are assumed to be constant for all the turbines in this study. The gearbox ratio of each turbine is changed to reflect the aerodynamically-optimal rated rotor speed.

(3) In section 5, why [do] the authors select only the first four harmonics to reconstruct the load signal? Is that because of the linearity of these harmonics or because of their energy? How would the results change if we consider more or random harmonics?

Answer: We analyze only the first four harmonics of the load signal because those harmonics contain most of the information in the signal. Of the first 10 harmonics of a blade load signal in a constant, sheared inflow (harmonic load), the amplitude of the first 4 harmonics accounts for 99.9% of the energy.

Most of the amplitude of wind turbine loads for the harmonic loads is due to the mean load (m^0) and the dominant harmonic component: the 1P or $N_B P$ load, depending on whether the turbine part is rotating or non-rotating, respectively, where N_B is the number of blades. The magnitude of these harmonics is in the range of (10^1 – 10^2 MNm), whereas the non-dominant harmonic loads have a magnitude of about 10^0 and the magnitudes of the higher harmonic amplitudes are even less.

To reconstruct the load signal and create a mapping to design loads simulated in turbulence, we would like to use as few signals as possible to represent the mapping. More or random harmonics would only change the mapping slightly (due to the small load amplitudes at those harmonics) and make the definitions for peak and fatigue DELs more complicated.

	<p>Changes in manuscript: A more thorough motivation for deriving harmonic loads is provided in the introduction of Section 5:</p> <p>In this section, we describe harmonic loads m^H, which are derived from constant and periodic loads that arise due to steady wind loading, wind shear, and turbine self-weight. These harmonic loads can be mapped, or transformed, into estimates m^{Est} of design loads m^{DLC} that are computed using operational DLC simulations in Sect. 6. The key simplification of the harmonic load model compared to design loads computed using DLC simulations is the omission of load variations that do not correspond to the rotor speed. These non-harmonic load variations arise because of wind speed and direction changes, as well as the component's natural frequencies. All frequency components are necessary to determine the design load for a final, detailed design, but for exploring potentially large numbers of design trade-offs, simplified harmonic loads provide enough information about the various turbine loads.</p> <p>A discussion of the load magnitude at each harmonic is also provided in Section 5:</p> <p>An example for the blade flapwise load is shown in Fig. 4; most of the load magnitude is in the constant m^0 and once-per-revolution m^{1P} load component (10^1–10^2 MNm), with some in the 2P load component due to shaft tilt and gravity ($\sim 10^0$ MNm), and very little in the higher harmonics ($< 10^{-1}$ MNm).</p>
<p>(4) In subsection 5.1, the author used the Weibull distribution, which is one of the most widely used lifetime distributions in reliability engineering, can the authors explain what is the range of Wöhler exponent used in the steady state and with turbulence cases? Can the authors explain the effect of the gust or rare-events on the Wöhler exponents and the dominant harmonic components?</p>	<p>Answer: The Wöhler exponents used in this study reflect the values commonly used for composite materials ($n = 10$, blades) and for steel ($n = 3$, all other components). The same values were used for both the harmonic load (eq. 10) and in the turbulent fatigue calculations using MLife.</p> <p>Larger Wöhler exponents (e.g., for the blades) place a larger weight on gust or rare-events compared to materials with lower Wöhler exponents. Thus, the blade fatigue is driven more by gust or rare-events than the other components; this was not found to have a large effect on the turbulent load component or residual of the mapping in Section 6.</p> <p>Changes in manuscript: The Wöhler exponents for each component are now listed in Table 2.</p>

(5) In Section 6, it is unclear why the peak loads are more deterministic than DELs and rotating components are more deterministic than non-rotating component loads.

Answer: Thank you for this comment. When we say deterministic, we mean that the load has a smaller component due to turbulence and a larger component due to steady and periodic (harmonic) loading.

Peak loads are more well defined by the harmonic loads than DELs because the peak loads are defined by a large steady state component, which is the same for both the turbulent and harmonic loads. The turbulent DELs are affected by dynamic changes in wind speed, which is not modelled by the harmonic loads.

Changes in manuscript: Sentences were added and descriptions like “more deterministic” were removed for precision after this statement in Section 6 to provide our explanation of this observation:

Some loads, like the edgewise (Blade X) DEL and the hub DEL about the z_h -axis for 2-bladed rotors, are ~~more deterministic, with~~ better represented by the harmonic model, as indicated by lower turbulence factors, ~~than compared with~~ the others. In general, peak loads are ~~more deterministic~~ better represented by the harmonic load than DELs and rotating component loads are ~~more deterministic~~ better represented by the harmonic model than non-rotating component loads. Peak loads, defined both by the harmonic model and in turbulent simulations, depend to a large extent on the constant or mean wind speed, respectively, which is represented with the same value in both cases. On the other hand, wind speed changes have a large effect on the fatigue DELs, which is not modeled by the harmonic load. Rotating component loads in turbulence are primarily driven by the 1P load, which is more clearly modeled by the harmonic loads, due to gravity and wind shear, than the smaller $N_B P$ load component.

(6) In section 6, the authors mentioned that “[some] turbine parts have large turbulent components that are not directly modeled in steady state;” what [do] the authors mean by not directly modeled, and how much [does] this point affect the correlation and standard deviation shown in Fig. 6?

Answer: In this statement, when we refer to the steady state loads, we mean that the non-rotating components of 3-bladed rotors have very small 3P loads. Thus, the corresponding harmonic DELs are small, but the turbulent DELs can still be large, which leads to large turbulent factors f^{turb} and increases the standard deviation of the residual in Fig. 6. However, there is still a correlation and the residuals still appear to be acceptable given their unnormalized values (e.g., in Fig. 15).

Changes in manuscript: Throughout the article, the use of steady state has been eliminated and replaced by more precise language describing the type of load we are referring to.

<p>(7) In section 6, do the linear calibration factors relate to selecting only the first four harmonics?</p>	<p>Answer: Only 1 or 2 harmonics are used to determine m^H. Given the relative amplitude of the harmonics not included in the computation of m^H, the effect on the calibration factors would be very small.</p> <p>Changes in manuscript: We clarified the motivation for transforming the harmonic loads into design loads in the introduction of Section 5 and provided a discussion of the relative harmonic load magnitudes.</p> <p>For more information about the associated manuscript updates, please see our response to Referee comment/question #3 above.</p>
<p>(8) In section 6, what is the correlation between the uncertainty and level of turbulence?</p>	<p>Answer: Thank you for this question. Only Class 2B turbulence was considered in this study. Other studies (Dimitrov, 2018 and Robertson, 2018) show that design loads are sensitive to turbulence intensity. If the turbulence level increased, we would expect the turbulence factor f^{turb} in (11) to increase. Since we have seen a positive correlation between the turbulence factor and uncertainty in the mapping, we would expect the residual to increase with increasing levels of turbulence.</p> <p>Changes in manuscript: In Section 6, a note was added to clarify that Class IIB turbulence is used in the analysis:</p> <p>We quantify the turbulent load contribution m^{turb} of each component load using the turbulence factor $f^{turb} = \dots$ to compare between different turbine parts on how much of the design load m^{DLC} is attributed to turbulent versus harmonic loading for Class IIB turbulence.</p> <p>In Section 12 (Model limitations, suggested improvements, and potential use), a sentence was added to mention that this work only considered a single turbulence level and that others would change the turbulence factor and uncertainty of the transformation:</p> <p>Levels of turbulence, besides Class IIB that was analyzed in this study, would result in a different turbulent component and residual of the transformation from harmonic to design load.</p>
<p>(9) In section 6, the authors mentioned that “the most erroneous value (using the metric of standard deviation</p>	<p>Answer: The result of the “most erroneous” value being the minimum tower clearance is due to the imperfect metric (the standard deviation normalized by the mean) used to compare the residual error of the transformation from harmonic to design loads for different turbine parts. For the power and</p>

normalized by the mean) is the minimum tower clearance, but this is influenced by the small average tower clearance over all rotors.” How much is this point affected by the result assuming that the tower fairing is present?

loads compared in Fig. 6 (bottom, right), the mean values that normalize the residual error are all large positive values.

However, for the tower clearance, the values are near-zero and, in some cases, negative (Fig. 14). When normalized by this near-zero value, it seems to imply a poor fit. The unnormalized residual error of the estimated tower clearance is presented in Fig. 14: it has a standard deviation of 1.36 m. Compared to the magnitude of the tower clearances found in in the study represented in Fig. 14, this is an acceptable estimate to gain an understanding for how cone angle affects tower clearance. The study is not intended to replace a detailed DLC analysis that would determine the chance of a tower strike.

In Fig. 14, the tower clearance is the minimum perpendicular distance between the blade tip and the yaw axis. While the tower fairing is no longer needed for this turbine, more detailed simulations are recommended and a detailed tower geometry would be required to deem the tower safe from blade strike.

Changes in manuscript: To reduce confusion and since the metric does not apply well to values with a near-zero mean, we have eliminated tower clearance from the discussion in Section 6 and the bottom, right plot of Fig. 6:

~~The most erroneous value (using the metric of standard deviation normalized by the mean) is the minimum tower clearance, but this is influenced by the small average tower clearance over all rotors. Such an important design parameter would certainly be subject to verification using the full set of DLCs before deeming the tower safe from blade strike.~~

To clarify the precise definition of tower clearance in this study and highlight the extra information needed to determine blade clearance, we have included the following in Sect. 10:

These hub-to-tower overhang values result in adequate tower clearance (the minimum perpendicular distance between the blade tip and the yaw axis y_z) when the cone angle is at least 5° ~~in either direction~~ away from the tower (Fig. 14, left). However, such an important design parameter would certainly be subject to verification using a detailed tower design and the full set of DLCs before deeming the tower safe from blade strike.

(10) In subsection 8.1, can the authors elaborate on the impact of constraining the transition on the above-rated control at lower wind speeds?

Answer: The transition to above rated control is not constrained at lower wind speeds. The same control is used for all turbines in this study. When the generator exceeds the rated generator speed (1173.7 rpm for all rotors), the pitch controller causes the pitch angle to increase. This pitch increase reduces loads.

The “constraint” we were referring to is that we would have expected larger rotors to have larger increases in peak blade flapwise loading. By transitioning to above rated control at a lower wind speed than a smaller rotor, this increase in peak blade loads is limited.

Changes in manuscript: The control scheme used for all rotors is clarified in Section 4:

We have chosen this ~~simplified~~ control architecture, which is the same for all rotors, so that it can be easily tuned for ~~a large number of many~~ rotors in the same way.

Additionally, the wording referred to in this question is altered in Section 8:

However, all rotors are controlled to have the same rated generator power of 13.2 MW, which ~~somewhat constrains~~ limits the increase in peak blade loads by transitioning to above-rated control at lower wind speeds.

(11) In subsection 8.1, I see a potential innovation in the analysis and comparison should be provided in Fig. 9.

Answer: A key potential innovation that we see in this analysis is that the design changes (due to blade length, axial induction, and cone angle) can be applied in combination, which is reflected in Fig. 9.

Changes in manuscript: To clarify this innovation, a more thorough description is provided in Subsection 8.1, along with an example for the Blade flapwise peak load:

The SUMR-13B does, however, provide a demonstration for using the harmonic loads and results in Fig. 9 to guide design: the aerodynamic design changes can be applied in combination. Since the goal of the SUMR-13B is to constrain peak flapwise loads and increase power capture (AEP), some combination of increasing the blade length, decreasing the axial induction, and increasing the cone angle

should provide a blade with the desired properties. Looking at the peak flapwise blade load (leftmost in Fig. 9), if we start at the SUMR-13A, the black dot at (1,1), and increase the available rotor power to 16.9 MW, we

	<p>will have a rotor with the relative power and load at the blue diamond. Then, if we decrease the axial induction to 0.2, the change in power and load is as if only the axial induction (and corresponding blade length increase) were changed by that amount (red, dashed vector). Finally, by increasing the cone angle from 5 deg. to 12.5 deg., the change in power and load is equivalent to the change indicated by the yellow, dashed vector. The combination of these design changes in combination result in the AEP and structural loading of the SUMR-13B: it increases AEP by 11 % compared to the SUMR-13A, while constraining peak blade flapwise loads to the level of the SUMR-13A. The same changes can be applied in combination to the flapwise DELs and edgewise DELs. The increased blade length of the SUMR-13B increases the flapwise DELs due to the enhanced effect of wind shear and edgewise DELs due to the additional blade weight. During the SUMR-13B structural layup design, we found the design driving blade load to be the fatigue DEL in the edgewise direction, which will be the focus of Sect. 8.2.1.</p>
<p>(12) In section 8.1, the authors highlighted that the blades of three-bladed rotors experience lower loads in comparison with two-bladed rotors, it is unclear if this is the case for the damage equivalent load; can the authors explain that?</p>	<p>Answer: Fig. 9 shows that the blade loads for the 3-bladed rotors are less than the loads for similar 2-bladed rotors for blade flapwise peak, fatigue, and edgewise fatigue loads. This is primarily due to the larger chord length and mass of the blades in a 2-bladed rotor versus a similarly powered 3-bladed rotor.</p> <p>Changes in manuscript: A note has been added in Section 8.1 to make this result clear:</p> <p>The blades of the three-bladed rotors experience lower loads (both peak and fatigue, edgewise and flapwise) with the same power capture due to their smaller chord and mass.</p> <p>In the caption of Fig. 9, we added a sentence referencing the 3-bladed rotor designs:</p> <p>The set of 3-bladed rotor designs are represented with a dotted curve.</p>

(13) In subsection 9.1, please can the authors explain how 2P harmonic loads are used to determine the 3P load? Also, what is the impact of the 2P and 3P on rotating and non-rotating parts? Did this analysis include the effect of steady-state and turbulence? Please elaborate.

Answer: 2P harmonic loads map to 3P loads by

$$m_{sy}^{3P} = \frac{1}{2}(m_{hy,c}^{2P} + m_{hz,s}^{2P})$$

using the rotation matrix in eq. (23).

The 2P loads affect the non-rotating components of 2-bladed turbines and the 3P loads affect the non-rotating components of 3-bladed rotors. The effect the 2P and 3P harmonics on the rotating components is relatively small for both harmonic (steady-state) and turbulence loads compared to 1P loads.

Changes in manuscript: A sentence has been expanded in Section 9 for the derivation of 3P loads:

The 3P component is determined similarly based on the 2P harmonic load components [by using](#) (23).

A comparison of the load harmonics at the different periodic frequencies is provided in Section 5:

for more information about this comparison and associated manuscript updates, please see our response to Referee comment/question #3 above.

(14) In section 9.2, it is unclear why the authors omitted the turbulent component from the calibration set of 2-bladed rotors.

Answer: The reason we omitted the free teeter hinge from the transformation set is because it behaves much differently than the other rotors in terms of the harmonic and turbulent contributions to the design load. Turbulence has a much smaller effect, compared with all the other rotors. In Fig. 6, it is shown as an outlier.

Changes in manuscript: A sentence has been added to Section 9.2 to clarify this decision:

In other words, the design loads for the main bearing are nearly equal to the ~~steady-state~~ [harmonic](#) loads, but in every other case there is a significant turbulent component, as mentioned in Sect. 6. ~~For this reason~~ [Since this case is an outlier and behaves differently when mapping harmonic loads to turbulent loads](#), it is omitted from the ~~calibration~~ [transformation](#) set of 2-bladed rotors.

<p>(15) In subsection 9.3, can the authors highlight the turbulence contribution on the peak load on the main bearing and the other non-rotating components in terms of the cone angle and combine that with the yaw bearing and tower?</p>	<p>Answer: The turbulence contribution is highlighted by providing the standard deviation of the residual of the mapping from harmonic to design load, where it was applied, in each plot of Figs. 13—15. The authors believe there is too much information presented in each plot to adequately combine them. No general conclusions can be made on the effect of the cone angle on the turbulence factor of the non-rotating components.</p>
<p>(16) Can the authors include the citations for the equations used in this study?</p>	<p>Answer: Most equations have been developed by the authors for the study that this paper documents. Where the equations are not originally derived, defined, or based on common knowledge, citations have been added.</p> <p>Changes in manuscript: Citations have been added for eq. (2), (3–6), and (20).</p>

System-level design studies for large rotors

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Abstract. We examine the effect of rotor design choices on the power capture and structural loading of each major wind turbine component. A ~~steady-state, harmonic model~~ [harmonic model for structural loading](#) is derived from simulations using the NREL aeroelastic code FAST ~~is developed~~ to reduce computational expense while evaluating design trade-offs for rotors with radii greater than 100 m. Design studies are performed, which focus on blade aerodynamic and structural parameters as well as different hub configurations and nacelle placements atop the tower. The effects of tower design and closed-loop control are also analyzed. Design loads are calculated according to the IEC design standards and used to ~~calibrate~~ [create a mapping from](#) the harmonic model ~~and quantify uncertainty of the loads and quantify the uncertainty of the transformation.~~

Our design studies highlight both industry trends and innovative designs: we progress from a conventional, upwind, 3-bladed rotor, to a rotor with longer, more slender blades that is downwind and 2-bladed. For a 13 MW design, we show that increasing the blade length by 25 m while decreasing the induction factor of the rotor increases annual energy capture by 11 % while constraining peak blade loads. A downwind, 2-bladed rotor design is analyzed, with a focus on its ability to reduce peak blade loads by 10 % per 5 deg. of cone angle, and also reduce total blade mass. However, when compared to conventional, 3-bladed, upwind designs, the peak main bearing load of the up-scaled, downwind, 2-bladed rotor is increased by 280 %. Optimized teeter configurations and individual pitch control can reduce non-rotating damage equivalent loads by 45 % and 22 %, respectively, compared with fixed-hub designs.

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1 Introduction

Wind turbines are large, dynamic structures that experience significant structural loading on their component parts. Design choices impact the loading on each of these parts. We present a model for the rapid computation of wind turbine design loads, which we use to quantify the effect of design trade-offs associated with different rotor concepts. The economics of wind energy

have enabled larger wind turbine sizes, generator ratings, and blade lengths. Longer blades are economical simply because they capture more power more often. A wind turbine's annual energy production (AEP) is the total amount of energy captured by a wind turbine during one year. Increasing the power capture is the primary driver of reducing the cost of wind energy (COE)

$$\text{COE} = \frac{\text{CapEx} + \text{OpEx}}{\text{AEP}}, \quad (1)$$

5 where capital expenditures (CapEx) and operational expenditures (OpEx) make up the cost of building and running a wind turbine. Our goal is to minimize the cost of wind energy, enabling the sale of more wind turbines in an effort to ~~meet our climate goals.~~ make low-cost energy more available.

Operational expenditures are non-negligible, but make up roughly 15 % of the total cost, according to a study of the average 2015 offshore wind turbine (Mone et al., 2015). Capital expenditures include the wind turbine parts and balance-of-station
10 costs. Balance-of-station costs account for about 55 % of the total cost and include electrical infrastructure, assembly, and substructure costs. Wind turbine parts (tower, nacelle, blades, etc.) comprise about 30 % of the overall cost of an offshore, fixed-bottom wind plant (Mone et al., 2015). The small cost contribution of the wind turbine blades, which is only a fraction of the cost of the wind turbine parts, and the significant effect of wind turbine blades on AEP contribute to the economics that enable larger and larger blades.

15 However, longer blades require additional structural reinforcement, which increases the blade weight, resulting in larger loads experienced by other wind turbine components: like the hub, main bearing, yaw bearing, and tower. Various innovations have enabled lower weight blades; these innovations are then used to subsequently design larger blades that capture more power. Still, the wind turbine components must survive extreme structural loading and last 20-30 years. Wind turbine components are often designed by various engineering teams based on loads from aeroelastic simulations, making wind turbine design a large,
20 distributed design task.

The aerodynamic and structural aspects of wind turbines must be designed and controlled so that the structural loading for a design is feasible. There is a large ~~inter-dependence~~ interdependence between these design aspects (aerodynamic, structural, and controls) and on the various wind turbine components, which has led to numerous design optimization studies. These studies focus primarily on blade aerodynamic and structural design, e.g., in Ning et al. (2014) and Pavese et al. (2017). Some
25 incorporate dynamic control effects, like Tibaldi et al. (2015) and Bortolotti et al. (2016). System engineering tools, like HAW-TOpt2 (Døssing, 2011), WISDEM (Dykes et al., 2014), and Cp-Max (Bortolotti et al., 2016), have been developed to handle the large number of design variables, but often compute structural loads using simplified scaling rules, conservative static calculations, or many nonlinear aeroelastic simulations. A full set of design load cases (DLCs), specified by the International Electrotechnical Commission (2005) (IEC) in design standards, and simplified for research purposes in Natarajan et al. (2016),
30 can include up to 2000 simulations, which can be costly in terms of computational effort, resulting in long design cycle times. Often the results of these simulations do not fully elucidate the root cause of problematic load cases on the affected turbine component. An attempt to distill the DLCs into a reduced basis for design loads in an optimization framework was presented in Pavese et al. (2016).

We describe an alternative load estimation procedure, based on a set of ~~quasi-steady simulations that reflect simulations with a constant, sheared wind inflow that reflects~~ the main drivers of wind turbine loads and the effects of design changes on global wind turbine loads. Since both turbulent and ~~steady-constant~~ wind effects contribute to structural loading and the effect of turbulence has been well studied recently, e.g., in Dimitrov et al. (2018) and Robertson et al. (2018), we will focus our effort on how turbine model changes impact the ~~steady-harmonic~~ loads caused by wind shear and turbine self-weight. We do this by decomposing the turbine loads ~~from constant, sheared wind inputs~~ into their harmonic components, i.e., the load amplitude of the i^{th} -per-revolution (iP) load signal. These signals have been used for control (Bottasso et al., 2013), stability analysis (Bottasso and Cacciola, 2015), and wind field estimation (Bertelè et al., 2017). Here, we use the same signals to develop a ~~model-in-order-to-mapping, or transformation, from the harmonic loads to the DLC-simulated design loads, to~~ understand the effect that changing the underlying turbine model has on structural loading.

The power and load estimation procedure developed in this study is used to analyze concepts for enabling rotor radii greater than 100 m. Recently, large rotor concepts have been studied in the European projects UpWind and INNWIND. The Danish Technical University (DTU) 10 MW Reference Wind Turbine (RWT) (Bak et al., 2013) was provided as a design basis for large rotors to test design methods and tools. The DTU 10 MW RWT has motivated studies that focus on optimization methods (Zahle et al., 2015) and active (McWilliam et al., 2018) and passive (Pavese et al., 2017) load control methods, but the resulting designs from these studies ~~to do~~ not deviate far from the base rotor model. A two-bladed, downwind, teetering hub configuration of the DTU 10 MW RWT was developed, which shows that a teetering hub can greatly reduce the unbalanced loading on the main shaft and blade root (Bergami et al., 2014). ~~However, the authors~~ Bergami et al. (2014) suggest that the tower stiffness distribution needs to be redesigned in order to avoid a resonance at the twice-per-revolution (2P) rotor harmonic and that 2-bladed rotors (without teeter) increase loading on the main shaft significantly.

A couple of 20 MW rotor designs have been proposed in the literature. Sieros et al. (2012) and Peeringa et al. (2011) use classical similarity scaling rules to upscale conventional turbines. Both conclude that loads due to self-weight will increase significantly with blade length and drive component design as turbines grow larger. Specifically, edgewise blade loads and the effect of wind shear are magnified for larger rotor sizes.

A series of design studies at Sandia National Laboratories (SNL) detailed the structural design of a 100 m blade, with the goal of reducing the blade mass. First, a classically upscaled blade was given a detailed composite layup and tested against DLCs (Griffith and Ashwill, 2011). Next, a series of design innovations reduced the blade mass from 76 metric tons to 49 metric tons, utilizing carbon fiber reinforcement (Griffith, 2013a), advanced core materials (Griffith, 2013b), and flatback airfoils (Griffith and Richards, 2014).

Another concept to reduce mass-scaling issues is a highly coned, downwind rotor, which has shown that blade loads can be reduced by converting large cantilever loads at the blade root into tensile loads along the span of the blade (Ichter et al., 2016; Loth et al., 2017b). We will analyze this concept and its effect on the structural loading of the other wind turbine components besides the blades.

There are few openly published documents that quantify the effects of significant design changes and detailed rotor upscaling on the various wind turbine components. We will quantify the effect of aerodynamic changes, including the blade length, axial

induction, cone angle, and number of blades, as applied to both upwind and downwind rotors. A simplified structural model will demonstrate the effect of structural reinforcement on blade mass and loads. The upscaled structural model must provide enough stiffness to compensate for the increasing edgewise blade loads of large rotors. We quantify the effect of changes to the hub by looking at 3-bladed and 2-bladed rotor configurations, and consider the relative benefits of a teeter hinge or individual pitch control for the latter. Finally, we show how the nacelle placement atop the tower and control schemes can impact the loads on the tower and yaw bearing.

We believe this study will contribute an early stage design model for evaluating design concepts ~~more quickly in simulation with less computational effort~~ by eliminating hundreds of DLC simulations. The simplified load model provides a qualitative understanding of the relationship between wind turbine structural loads as they progress from the blades to the substructure, highlighting the wind speeds where peak and fatigue loads are most problematic. ~~We calibrate the model against a full set of A designer could use the simplified model to explore the design space and develop an initial wind turbine model for use in a more detailed load analysis. We map the harmonic loads to a set of loads found using~~ operational design load ~~eases case simulations~~ and quantify the uncertainty. Quantitative design studies evaluate the effect of increased blade size and power capture on global wind turbine loads, as well as the design trade-offs associated with 2-bladed wind turbines, teeter hinges, and individual pitch control.

We will present the baseline models used for comparison and our general design direction in Sect. 2. Section 3 will outline the tools used for design and simulation and will also provide environmental site specifics. A description of the control scheme used throughout the article is presented in Sect. 4. The ~~quasi-steady~~ harmonic model is described in Sect. 5 and in Sect. 6, the ~~model is calibrated and its uncertainty is quantified. transformation from harmonic loads to DLC-simulated design loads is described.~~ The set of design studies is described in Sect. 7, leading to studies of blade loads and power capture (Sect. 8), hub and main bearing loads (Sect. 9), yaw bearing loads (Sect. 10), and tower loads (Sect. 11). A discussion of the model's limitations and potential use is provided in Sect. 12, followed by conclusions in Sect. 13.

2 Baseline models and design direction

It is useful to start from established designs when doing comparative analysis. In Sect. 8.2, in lieu of a full structural layout design, we will use these baseline models for scaling the distributed structural properties of rotor blades. For 3-bladed rotors, we will use a conventional rotor design (CONR-13) as a starting point. The CONR-13 is the culmination of a series of design studies aimed at designing a lightweight 100 m blade; it utilizes flatback airfoils, carbon fiber reinforcement, and advanced core materials to reduce the blade mass below state-of-the-art scaling trends. The full design is described in Griffith and Richards (2014). The distributed blade structural properties of the CONR-13 will be used for all 3-bladed rotors in this study.

A downwind, 2-bladed rotor was developed with similar structural advances, but with the goal of reducing the total blade mass by at least 25 % compared to the CONR-13 (Griffith, 2017). The blade was designed to enable segmentation, ultralight design, and a morphing rotor; we refer to this design as the SUMR-13A. The initial aerodynamic design is presented in Ananda et al. (2018). We have slightly modified the initial design to have a downwind cone angle of 5 deg. for the purposes of the design

Table 1. Turbine models and environmental parameters used throughout this article.

Turbine Model	CONR-13	SUMR-13A	SUMR-13B
Rated Power	13.2 MW	13.2 MW	13.2 MW
Rated Rotor Speed	7.44 rpm	9.90 rpm	7.99 rpm
Rated Wind Speed	11.3 ms ⁻¹	11.3 ms ⁻¹	10.3 ms ⁻¹
Hub Height	142.4 m	142.4 m	142.4 m
Rotor Radius	102.5 m	101.2 m	125.4 m
Rotor Position	Upwind	Downwind	Downwind
Blade Mass	49.5 Mg	51.8 Mg	83.2 Mg
Number of Blades	3	2	2
Max Chord	5.23 m	7.22 m	6.79 m
Cone Angle	-2.5 deg.	5 deg.	12.5 deg.

Environmental Parameters

Wind Turbine Site Class	Class IIB
Cut-in, cut-out wind speed	3, 25 ms ⁻¹
Mean wind speed at 50 m, hub height	7.87, 9.11 ms ⁻¹
Weibull shape, scale factor	2.17, 10.3
Turbulence Intensity at 15 ms ⁻¹	0.14

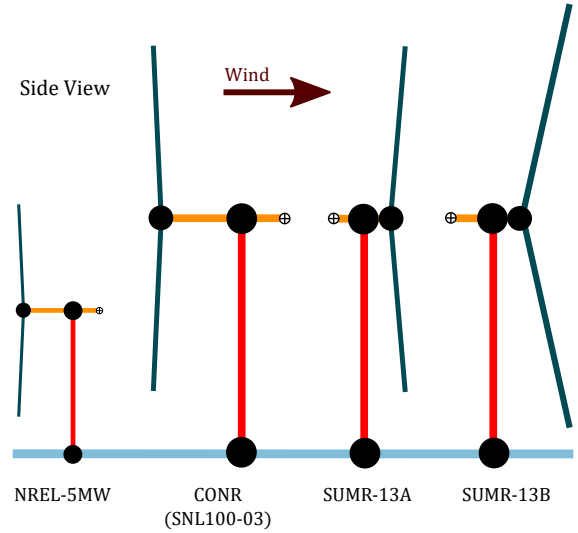


Figure 1. Illustrations of the turbines in this study, along with the NREL-5MW reference turbine (Jonkman et al., 2009) for comparison. Tower heights, rotor radii, and cone angles are drawn to scale; overhangs and nacelle center-of-masses are enlarged for comparison.

studies presented later. The distributed structural parameters of the SUMR-13A blade were used as a basis for scaling all 2-bladed rotors in this study. A summary of both baseline models is shown in Table 1 [and are drawn to scale in Fig 1](#). Both rotors were structurally validated to check strain limits, panel buckling, flutter, and fatigue.

In the remainder of this paper, we will evaluate designs aimed at

- 5 1. increasing the energy capture, and
2. reducing the wind turbine component loads.

To reduce the cost of energy in Eq. (1), it is most important to increase energy capture (AEP). Industry trends suggest a continued increase in blade length, leading to greater loads on all turbine components. Structural loads contribute to component design and capital cost (CapEx), but require detailed design and cost models for each individual part. Instead of a detailed cost analysis, which is specific to the component supplier and subject to uncertainty, we will develop a larger rotor design, called the SUMR-13B, described in Sect. 8.1, and then quantify the changes to global wind turbine loads and power capture, while exploring techniques to reduce those loads.

3 Design and simulation tools, wind turbine environment

Aerodynamic design was performed using two inverse design tools: PROPID and PROFOIL. PROPID (Selig and Tangler, 1995; Selig, 1995) is an inverse rotor design tool that enables a rotor geometry to be designed based on desired performance specifications like available power, tip speed ratio, wind speed distribution, axial induction, airfoils used, and desired lift distribution along the blade. PROFOIL (Drela and Giles, 1987) is an inverse airfoil design tool. It allows for the design of airfoil geometries based on prescribed velocity distributions and desired geometric (thickness and camber) and aerodynamic properties. Airfoil geometries output using PROFOIL are analyzed using XFOIL (Drela, 1989) and iterated on using PROFOIL until a final converged design is obtained.

Aeroelastic simulations were performed using the latest version of FAST (Jonkman, 2013). Different FAST modules couple the wind inflow with aerodynamic and elastic solvers that compute the structural loading on the wind turbine. Turbulent wind inputs are generated using TurbSim (Jonkman and Kilcher, 2012). ~~Recent studies have~~ A recent FAST-based, wind tunnel validated approach has shown that, compared with turbulence, tower shadow effects are relatively small (Noyes et al., 2018) ~~and that tower fairings have been shown to greatly reduce the tower wake (Larwood and Chow, 2016).~~ Thus, for simplicity, we ~~will assume a tower fairing is present and will omit a tower~~ have omitted the tower shadow model from our analysis in order to focus on the influence of the more important harmonic and turbulent loads. Control inputs are provided to FAST through a Matlab/Simulink interface that processes FAST outputs and performs closed-loop control. Fatigue results are computed using MLife (Hayman, 2012), which uses a rainflow counting algorithm to determine load cycles and extrapolates them over the lifetime of the wind turbine.

To properly compute lifetime fatigue and annual energy production, the wind turbine environment must be provided. The rotors in this study are all designed to be placed off the coast of Virginia, USA. The site corresponds to a Class IIB turbine rating (International Electrotechnical Commission, 2005), with mean and turbulent wind speed characteristics shown in Table 1.

4 Closed-loop control

To simulate turbine design loads and power capture, a closed-loop control scheme is necessary. In below-rated conditions, the generator torque τ_g is controlled so that the rotor speed ω is optimal for power capture, following the typical $\tau_g = k\omega^2$ law for most of the below-rated operating region, before transitioning to above rated (Pao and Johnson, 2011). For simplicity, this is implemented as a look-up table, though more sophisticated methods exist. The look-up table is altered to avoid a critical rotor speed for 2-bladed rotors only (see Fig. 2, center, and Sect. 11 provides more details). The generator rated power of 13.2 MW and rated speed of 1173.7 rpm are assumed to be constant for all the turbines in this study. The gearbox ratio of each turbine is changed to enable operation at the aerodynamically-optimal rated rotor speed.

In above-rated wind speeds, the pitch angle is controlled to regulate the rotor speed to its rated value using a gain-scheduled proportional-integral (PI) controller. The gains of the PI controller are set so blade fatigue is minimized, subject to a constraint on the maximum generator speed (Zalkind et al., 2017). We have chosen this ~~simplified control architecture~~ control architecture, which is the same for all rotors, so that it can be easily tuned for ~~a large number of many~~ rotors in the same way. The optimal

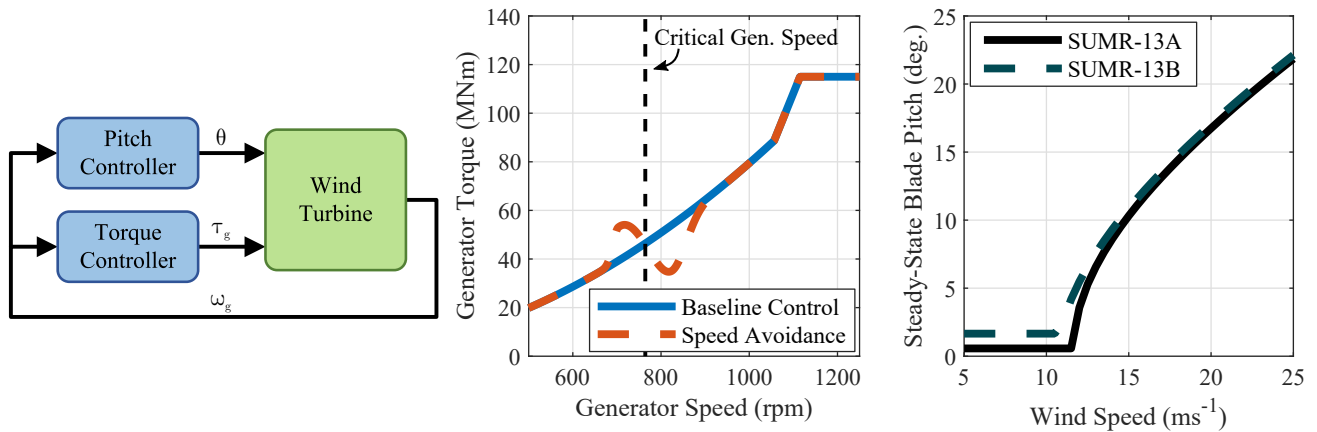


Figure 2. Baseline control block diagram, where θ is the pitch angle, τ_g is the generator torque, and ω_g is the measured generator speed (left). The torque control signal (center) for baseline control (blue) and speed avoidance control (red) to avoid the critical generator speed. Steady-state blade pitch angles for the SUMR-13A and SUMR-13B.

generator torque control gain k is computed using rotor parameters, and the PI pitch control gains are tuned using a subset of the DLC 1.2 turbulent simulations. The control architecture (as shown in Fig. 2, left) is adapted from the NREL-5MW baseline controller (Jonkman et al., 2009), which is commonly used as a reference to compare new controller designs. While this baseline control may not necessarily be the best possible controller, it allows us to focus on the power and load sensitivity to model changes.

Using closed-loop control for load simulations is important because peak loads often occur near the transition between below- and above-rated operation. With a constant generator rating (13.2 MW), different rotors transition from below to above rated at different wind speeds. Additional control signals, like individual pitch control (IPC) signals, are added to the baseline control signals in Fig. 2 (left).

A controller is also necessary for computing design loads in turbulent DLC simulations, where wind speed changes, or gusts, must be adequately controlled. Often, peak loads are caused by a negative gust, or lull, which we show in Fig. 3. During a decrease in wind speed, the rotor slows and the pitch decreases to its optimal power position. When the decrease in wind speed is followed by a positive gust, the pitch control must react quickly to regulate rotor speed. We model the actuator of each rotor in this study as a 2nd-order Butterworth filter with a cut-off frequency of 0.25 Hz. The pitch actuator has a maximum pitch rate limit of 4°s^{-1} ; maximum pitch rates between 1 and 3°s^{-1} were recorded in the turbulent simulations that were run. This decrease and then increase in wind speed creates a condition where there is an above-rated wind speed, but a below-rated pitch angle setting, resulting in a large thrust force on the rotor and high loads. To capture the effect that closed-loop control has on design loads as rotor changes are made, we use the same control architecture for computing loads **in-quasi-steady-state using the harmonic model** (Sect. 5) and for turbulent DLC simulations (Sect. 6), updating the controller parameters based on the rotor parameters.

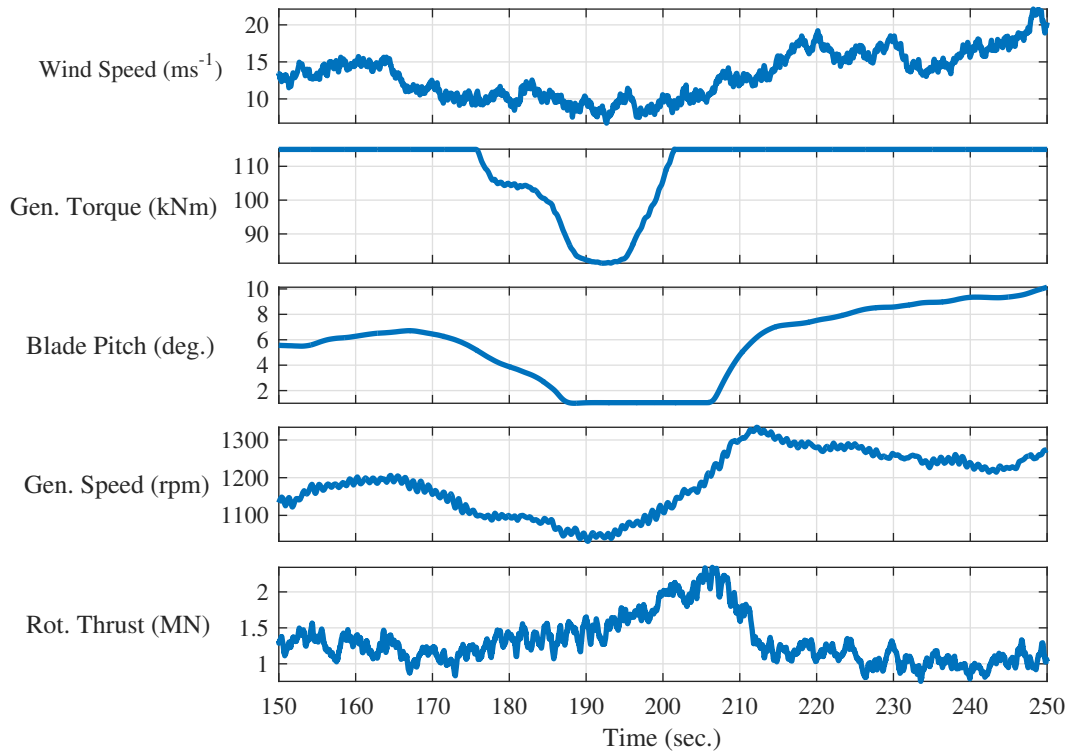


Figure 3. Baseline control illustration of a problematic gust for the SUMR-13A baseline rotor in extreme turbulence (DLC 1.3) with a mean wind speed of 14 ms^{-1} . The peak rotor thrust near 205 ~~see-s~~ causes the peak blade flapwise load for the SUMR-13A.

5 Harmonic model for load estimation

Load simulations according to the Design Load Cases (DLCs) can be time consuming, so we have developed a simplified model to estimate the loads on wind turbine components more quickly for evaluating design trade-offs across a wide range of parameters. ~~The model runs~~ In this section, we describe harmonic loads m^H , which are derived from constant and periodic loads that arise due to steady wind loading, wind shear, and turbine self-weight. These harmonic loads can be mapped, or transformed, into estimates m^{Est} of design loads m^{DLC} that are computed using operational DLC simulations in Sect. 6. The key simplification of the harmonic load model compared to design loads computed using DLC simulations is the omission of load variations that occur at frequencies that do not correspond to the rotor speed. These non-harmonic load variations arise because of wind speed and direction changes, as well as the component's natural frequencies. All frequency components of a load are required to determine the design load for a final, detailed design, but for exploring potentially large numbers of design trade-offs, simplified harmonic loads provide enough information about the various turbine loads.

The harmonic loads are derived from FAST simulations with a sheared wind inflow such that the wind speed u at height z is

$$u(z) = u_h \left(\frac{z}{z_h} \right)^\alpha, \quad (2)$$

where z_h is the hub height, u_h is the wind speed at hub height, and $\alpha = 0.14$, which is representative of an offshore wind field (Jenkins et al., 2001). Because of the wind shear, the turbine's structural load signals contain harmonic components that depend on the rotor azimuth ψ , i.e., a load signal $m(\psi)$ can be expressed as

$$m(\psi) = m_0 + m_c^{1P} \cos(\psi) + m_s^{1P} \sin(\psi) + \dots + m_c^{iP} \cos(i\psi) + m_s^{iP} \sin(i\psi) + \dots \quad (3)$$

The components are computed by

$$m_0 = \frac{1}{2\pi N_R} \int_{\psi-2\pi N_R}^{\psi} m(\psi) d\psi, \quad (4)$$

10

$$m_c^{iP} = \frac{1}{\pi N_R} \int_{\psi-2\pi N_R}^{\psi} m(\psi) \cos(i\psi) d\psi, \quad (5)$$

and

$$m_s^{iP} = \frac{1}{\pi N_R} \int_{\psi-2\pi N_R}^{\psi} m(\psi) \sin(i\psi) d\psi, \quad (6)$$

where N_R is the number of rotations used in the calculation (Phillips et al., 2007). We have found that load signals can be reconstructed closely using the first four harmonics; the most energy is usually in either the 1st, 2nd, or 3rd harmonic depending on the component (see Table 2) and number of blades.

From the components in Eqs. (5) and (6), the magnitude and phase of each harmonic can be computed,

$$|m^{iP}| = \sqrt{(m_c^{iP})^2 + (m_s^{iP})^2}, \quad (7)$$

and

$$20 \quad \phi^{iP} = \tan^{-1} \left(\frac{m_s^{iP}}{m_c^{iP}} \right). \quad (8)$$

An example for the blade flapwise load is shown in Fig. 4; most of the load magnitude is in the constant m^0 and once-per-revolution m^{1P} load component (10^1 – 10^2 MNm), with some in the 2P load component due to shaft tilt and gravity ($\sim 10^0$ MNm), and very little in the higher harmonics ($< 10^{-1}$ MNm). We will use these harmonic coefficients, calculated via Eqs. (4)–(8), to estimate fatigue and extreme loads for the various wind turbine components.

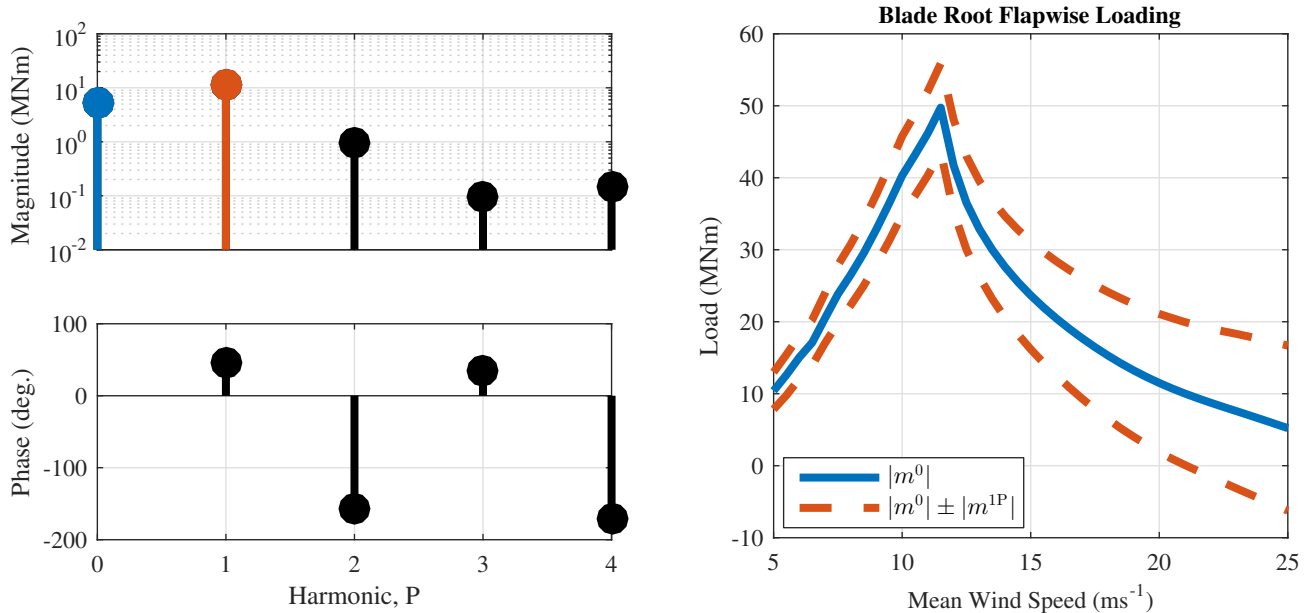


Figure 4. Load harmonic magnitude $|m^{zP}|$ and phase ϕ^{zP} for the zeroth through fourth periodic harmonic of the blade root load in the flapwise direction (left) of the SUMR-13A at 25 ms⁻¹. Mean load (blue) superimposed with the 1P harmonic amplitude (red) with respect to wind speed (right) used to estimate fatigue and extreme loads.

5.1 Extreme and fatigue loads

The forces and moments on a component drive its design: larger loads require greater reinforcement, leading to greater component mass and cost. We analyze component loads in terms of the maximum (or peak) load

$$m_{\text{Peak}}^{\text{Peak } H} = \max_{u \in U} (m^0 + m^{nP}), \quad (9)$$

- 5 where n is the dominant harmonic signal component and U is the set of [steady-state wind speed simulations in the study constant, sheared wind inputs used to derive the harmonic load](#). We perform simulations from cut-in to cut-out (Table 1) in 0.5 ms⁻¹ increments.

Fatigue loads are computed in terms of the damage equivalent load (DEL): the constant amplitude of a sinusoidal load signal that results in the same total accumulated damage from a more complex load signal. The accumulated damage in simulations with different wind speeds is extrapolated over the turbine lifetime using the wind speed probability distribution $p(u)$, characterized by the Weibull distribution in Table 1. We can relate the DEL of a component to its load harmonic by

$$m_{\text{DEL}}^{\text{DEL } H} = a_{\text{DEL}}(n, w) \sum_{u \in U} p(u) m^{nP} \quad (10)$$

where a_{DEL} is a tuning factor that depends on the [Whöler-hler](#) exponent w and the dominant harmonic component n . The dominant load harmonic nP of each component is either 1P or N_{BP} , specified in Table 2, depending on whether the component

Table 2. Structural loads evaluated in this article. Each component has loads in multiple directions and experiences the peak load and greatest contribution to fatigue loads at different wind speeds. N_B denotes the number of blades on the rotor. Loads that are nearly constant across wind speeds do not have a defined peak wind speed (N/A). [The dominant wind speed contributing to fatigue is determined by analyzing the relative fatigue contribution, \$p\(u\)m^{n_P}\$ from \(10\), across wind speeds.](#)

Component	Dominant harmonic	Wöhler exponent	Load direction, name	Wind speed at peak load	Dominant wind speed contributing to fatigue load
Blade	1P	10	Flapwise, m_{by}	rated	rated
			Edgewise, m_{bx}	N/A	below rated
Hub	1P	3	Tilt m_{hy}	N/A	rated
			Yaw, m_{hz}	N/A	rated
Main Bearing (non-rotating)	$N_B P$	3	Tilt m_{sy}	rated/cut-out	rated
			Yaw, m_{sz}	rated/cut-out	rated
Yaw Bearing	$N_B P$	3	Tilt, m_{yy}	rated/cut-out	rated
			Yaw, m_{yz}	rated/cut-out	rated
Tower	$N_B P$	3	Fore-aft, m_{ty}	rated	tower natural freq.
			Side-to-side, m_{tx}	tower natural freq./cut-out	tower natural freq.

is rotating (1P) or non-rotating ($N_B P$). Different load harmonics will be specified by their location, direction, and harmonic number, e.g., the 3P main bearing load about the y_s -axis will be written m_{sy}^{3P} . ~~The load axes and directions studied in this paper are~~ [In this article we focus on the moments about the load axes](#) specified in Table 2 and illustrated in Fig. 5. [The loads at higher harmonic and natural frequencies contribute to both fatigue and extreme loads, but since our goal is to derive a mapping from a simplified computation \(harmonic load\) to a more expensive simulation \(design load\), their effects are neglected and considered as part of the uncertainty of the transformation in Section 6.](#)

5.2 ~~Steady~~ [Harmonic](#) versus turbulent loads

The structural loads on a wind turbine originate from ~~both steady-state effects and constant and periodic effects, modeled by the harmonic load, as well as~~ from dynamics due to turbulence ~~and wind direction changes, which are not necessarily correlated with the azimuthal position of the rotor and are not modeled in this transformation~~. In some cases, the effect of turbulence greatly outweighs the ~~steady-constant and periodic~~ effects, but in all cases, the ~~steady-state effects predict, to some extent, changes in the design load~~ [harmonic loads can be mapped to the design loads](#) determined by the DLCs. We quantify this relationship in Sect. 6 by ~~calibrating the steady-state load estimates~~ [mapping the harmonic loads](#), computed using Eqs. (9) and (10), to the design loads computed in DLC simulations. In Sects. 7–11, we present the ~~calibrated harmonic load estimates (design load estimates and their uncertainties)~~, [transformed from harmonic loads](#), as various turbine design choices are evaluated.

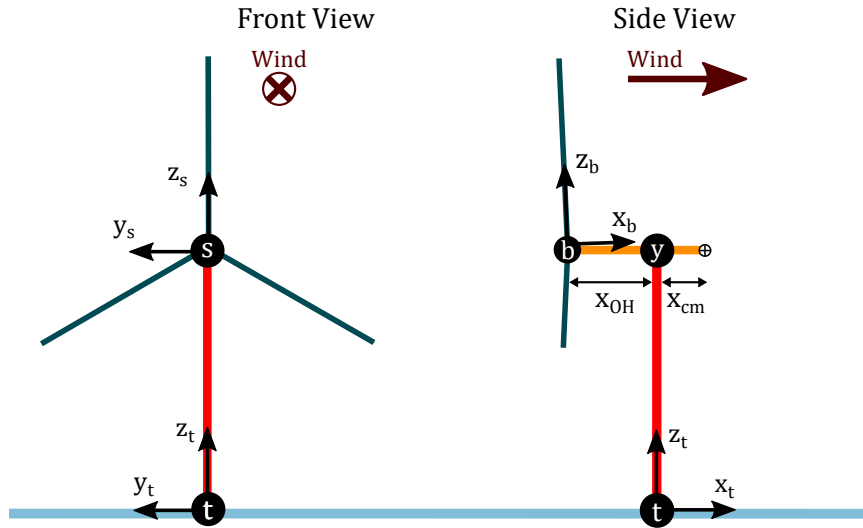


Figure 5. Illustration of the load axes used in this article. The non-rotating load axes—tower, main bearing, and yaw bearing—are all parallel and are denoted by subscripts t , s , and y , respectively. Note: the blade, hub, and main bearing axes-axis origins are collocated; the blade and hub load axes rotate with azimuth angle, as shown in Fig. 12. The CONR-13 is depicted to illustrate the rotor overhang x_{OH} and nacelle center of mass x_{cm} . The prevailing wind is positive in the same direction as the x_t axis.

6 ~~Model-calibration~~ Harmonic model transformation and uncertainty

To balance the computational efficiency of the harmonic load estimation in Sect. 5 with the more expensive and realistic design loads computed using DLC simulations, we present the following calibration-transformation procedure. In this article, we focus on the moments on the turbine components during power producing design load cases and simulate the following DLCs specified by the IEC standard (International Electrotechnical Commission, 2005):

- DLC 1.2: normal turbulence, for fatigue loads, using 6 random seeds at mean wind speeds from cut-in to cut-out, spaced 2 ms^{-1} apart
- DLC 1.3: extreme turbulence, for peak loads, using the same number of turbulent wind seeds and wind speeds
- DLC 1.4: extreme coherent gust with direction change, for peak loads near rated, above-, and below-rated wind conditions. Different rotor azimuthal initial conditions ~~were~~ are simulated to account for the rotor being in different positions when the gust occurs.
- DLC 1.5: extreme wind shear, for peak loads near rated and at cut-out wind speeds. The same azimuthal initial conditions ~~were used~~ as in DLC 1.4 are used.

Fatigue loads are computed using the DLC 1.2 simulations in MLife (Hayman, 2012); they are extrapolated using the Weibull distribution in Table 1 to determine the lifetime DEL. The peak design load is determined using the maximum (moment) over all the simulations in DLCs 1.3–1.5.

First, we compare the ~~quasi-steady harmonic load estimates~~ harmonic loads, calculated using the methods in Sect. 5, with the loads computed in DLC simulations. Then, we present a method ~~for calibrating the harmonic load estimates to map the harmonic loads~~ to the design loads, producing load estimates. Finally, we analyze the ~~uncertainty in the calibrated residual of the estimated~~ loads, since not all rotors in the design studies of Sects. 7–11 will be simulated using the DLCs. Only a subset of the rotors analyzed in this article, indicated in Table 3, are used in the following procedure to ~~calibrate-transform~~ the harmonic model. The design loads of a free teetering hinge will not be included in the ~~calibration-transformation set~~ and uncertainty analysis for reasons described in Sect. 9.2; it is marked with an ‘x’ in Fig. 6.

In Fig. 6 (top, left) we show the design load for the peak main bearing load versus the harmonic load estimate. In general, the harmonic load estimate is much less than the design load computed in DLC simulations. For each component, part of the load can be attributed to the ~~steady harmonic~~ loading and part to the turbulent loading:

$$m^{\text{DLC}} = m^{\text{SSH}} + m^{\text{turb}}. \quad (11)$$

We quantify the turbulent load contribution m^{turb} of each component load using the turbulence factor

$$f^{\text{turb}} = \frac{\text{mean}(m^{\text{turb}})}{\text{mean}(m^{\text{DLC}})}. \quad (12)$$

~~Figure to compare between different turbine parts on how much of the design load m^{DLC} is attributed to turbulent versus harmonic loading for Class IIB turbulence.~~

For example, all peak main bearing loads found using DLC simulations are shown in Fig. 6 (left top). The average design load (m^{DLC}) of the 3-bladed peak main bearing loads (magenta) in Fig. 6 (top, left) is approximately 40 MNm, while the average of the corresponding harmonic loads (m^H) is approximately 10 MNm. Thus, the average turbulent load (m^{turb}) is approximately 30 MNm by (11). Thus, using (12), ~~bottom) shows the turbulence factor for $f^{\text{turb}} \approx 0.75$, as shown in Fig. 6 (left, bottom) along with~~ a selection of the ~~component other turbine~~ loads. Some loads, like the edgewise (Blade X) DEL and the hub DEL about the z_h -axis for 2-bladed rotors, are ~~more deterministic, with a lower turbulent component, than better~~ represented by the harmonic model, as indicated by lower turbulence factors compared with the others. In general, peak loads are ~~more deterministic better represented by the harmonic load~~ than DELs and rotating component loads are ~~more deterministic better represented by the harmonic model~~ than non-rotating component loads. ~~Peak loads, defined both by the harmonic model and in turbulent simulations, depend to a large extent on the constant or mean wind speed, respectively, which is represented with the same value in both cases. On the other hand, wind speed changes have a large effect on the fatigue DELs, which is not modeled by the harmonic load. Rotating component loads in turbulence are primarily driven by the 1P load, which is more clearly modeled by the harmonic loads, due to gravity and wind shear, than the smaller N_{BP} load component.~~

We also see a difference in how turbulence affects 2- vs. 3-bladed rotors, illustrated by the different lines of fit in Fig. 6 (top, left). In general, 2-bladed rotors have a greater turbulent load component, but they also have a larger ~~steady harmonic~~

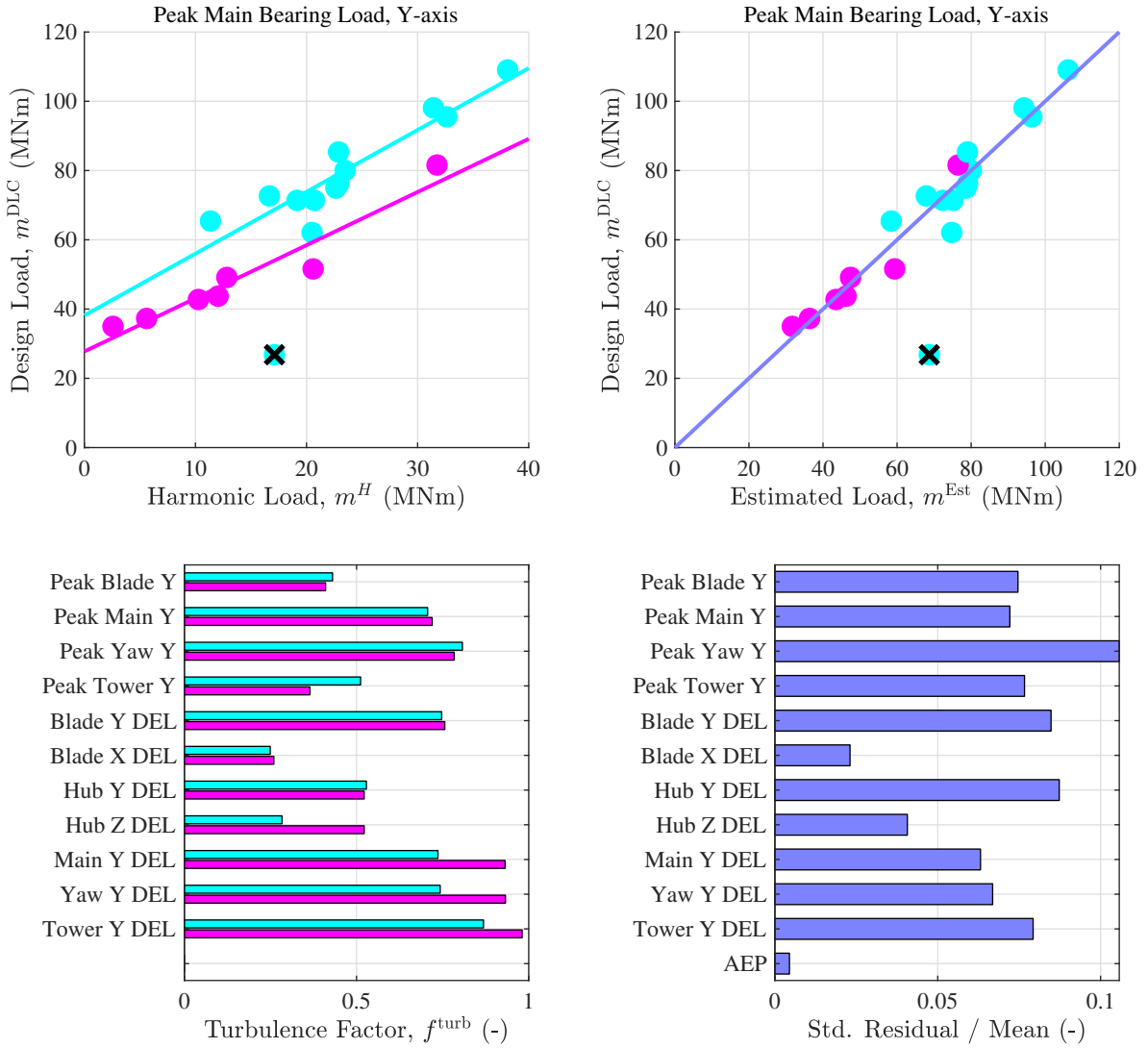


Figure 6. Peak main bearing loads computed using DLC simulations versus the quasi-steady-harmonic model load (top, left) and calibrated transformed load estimates (top, right) for two-bladed rotors (cyan) and three-bladed rotors (magenta). The same color scheme is used to show the relative effect of turbulence on selected component loads (bottom, left), as defined in Eq. (12), and the standard deviation of the error-residual normalized by the mean load is shown for the whole calibration-transformation set (bottom, right). The loads presented in this study are specifically the moments about the specified axis.

component, so the turbulence factor is similar to 3-bladed rotors. For 3-bladed rotors, the non-rotating load component DELs are not clearly modeled by their ~~quasi-steady~~ harmonic load, so they have a relatively high turbulence factor. Even though some turbine parts have large turbulent components that are not directly modeled ~~in steady-state~~ by their harmonic loads, there is still good correlation ~~with the steady~~ between the harmonic and design loads.

- 5 We ~~calibrate-transform from~~ the harmonic loads ~~estimates to the design loads~~ by fitting a linear model ~~through the harmonic estimates and design loads~~

$$m^{DLC} = a_{\text{cal}}^{\text{trans}} m_{\text{SSH}} + b_{\text{cal}}^{\text{trans}} \quad (13)$$

- ~~using a and finding the~~ linear least squares estimate of the parameters ~~a_{cal} and b_{cal}~~ a^{trans} and b^{trans} . Because 2- and 3-bladed rotors sample turbulence differently, we define a ~~calibration set~~ $(a_{\text{cal}}, b_{\text{cal}})$ ~~transformation set~~ $(a^{\text{trans}}, b^{\text{trans}})$ separately for each, 10 illustrated by the different fits of Fig. 6 (top, left). ~~There are also different transformation sets for each design load: at each axis and for both peak and fatigue loads.~~ To estimate the design load, the ~~same calibration set~~ transformation set corresponding to the desired component, axis, and number of blades is used:

$$m_{\text{estEst}} = a_{\text{cal}}^{\text{trans}} m_{\text{SSH}} + b_{\text{cal}}^{\text{trans}}, \quad (14)$$

- which results in a ~~calibrated harmonic-transformed~~ load estimate equal to the design load, plus some ~~uncertainty~~ residual 15 (Fig. 6, top, right).

- We analyze the uncertainty of the ~~calibrated data set (both the 2- and 3-bladed rotors, without the outlier case)~~ by computing transformation by computing the residual between the estimated loads, which are fit using the linear relation (14), and design loads of the set of rotors specified in Table 3. In Fig. 6 (bottom, right), we normalize the standard deviation of the ~~error for each component. The standard deviation of error is normalized residual~~ by the mean load over all rotors ~~and shown in Fig. 6 (bottom, right). We also indicate to use a qualitative metric comparing the fit of the transformation across different turbine parts. We present~~ the standard deviation of the ~~error for each component load residual without this normalization for each measure~~ in the figures of Sects. 7–11. 20

- In general, the standard deviation of the ~~error residual~~ is less than 12 % of the mean value, which indicates decent agreement between the ~~calibrated harmonic-transformed~~ load estimates and the DLC-computed design loads. The cases with lowest 25 uncertainty tend to have lower turbulence factors, like the blade edgewise (Blade X) DEL and the hub z_h -axis DEL. The AEP is also very well estimated by the ~~steady-state harmonic~~ model, which is good for power capture predictions as long as the effects of turbulence are ~~calibrated~~ transformed.

- The most erroneous load component is the peak yaw bearing load about the y_u -axis, which has a large turbulent component and where a subset of the ~~calibration transformation~~ set (the aerodynamic trade study designs) control a problematic gust 30 event, like the one in Fig. 3, similarly. These rotors have design loads that are about the same for each, despite the differences predicted by the ~~steady-state harmonic~~ model. The design loads for this component might be more a function of the gust event than the turbine configuration. ~~The most erroneous value (using the metric of standard deviation normalized by the mean) is the minimum tower clearance, but this is influenced by the small average tower clearance over all rotors. Such an important~~

design-parameter-would-certainly-be-subject-to-verification-using-the-full-set-of-DLCs-before-deeming-the-tower-safe-from-blade-strike-

In the remainder of this article, we use these [calibrated-harmonic-mapped](#) load estimates to analyze the structural loading and power capture of the various rotor configurations in Table 3.

Table 3. Set of turbines designed and analyzed in this article. * denotes a turbine for which DLC simulations were performed and used to [calibrate-map](#) the harmonic load estimates to DLC-based design loads. Otherwise, only the harmonic load analysis is performed. † was omitted from the [calibration-transformation](#) set. ‡ denotes the SUMR-13A rotor and § denotes a 3-bladed variation of the SUMR-13A rotor. [The process for using axial induction as an independent design variable will be described in the rotor aerodynamic trade studies section \(Sect. 8.1\).](#)

Baseline Set (Sect. 2): CONR-13*, SUMR-13A*‡, SUMR-13B*
Rotor Aerodynamic Trade Studies (2-bladed, Sect. 8.1): Available rotor power (MW): 13.9*‡, 14.9, 15.9, 16.9* Axial Induction (-): 0.175*, 0.200, 0.225, 0.250, 0.275, 0.300, 0.333*‡ Cone Angles (deg.): -5*, 0, 5*‡, 10, 15, 20*
Rotor Aerodynamic Trade Studies (3-bladed, Sect. 8.1): Available rotor power (MW): 13.9*§, 14.9, 15.9, 16.9* Axial Induction (-): 0.175*, 0.200, 0.225, 0.250, 0.275, 0.300, 0.333*§ Cone Angles (deg.): -5*, 0, 5*§, 10, 15, 20*
SUMR-13B Structural Parameter Analysis (Sect. 8.2) $k_{All} = 0^*$, $k_M = 1$, $k_{Fs} = 1$, $k_{Es} = 1$, $k_{All} = 1^*$
SUMR-13B Hub Configurations (Sect. 9) SUMR-13B (3-bladed)* Teeter: Free†, Ideal* IPC: Blade*, Bearing*

5 7 Overview of design studies

In this section, we [present-outline](#) the design and simulation results of the 42 turbines shown in Table 3. The design loads for each rotor are estimated [and-calibrated-using-the-methods-in-using-harmonic-loads-from](#) Sect. 5 and [the-transformation-method-in](#) Sect. 6, [respectively](#). Additionally, gross annual energy production (AEP) is calculated using the generator power $P(u)$ at [steady-state-mean](#) wind speed u by

$$10 \quad AEP = 8760 \sum_{u \in U} p(u)P(u), \quad (15)$$

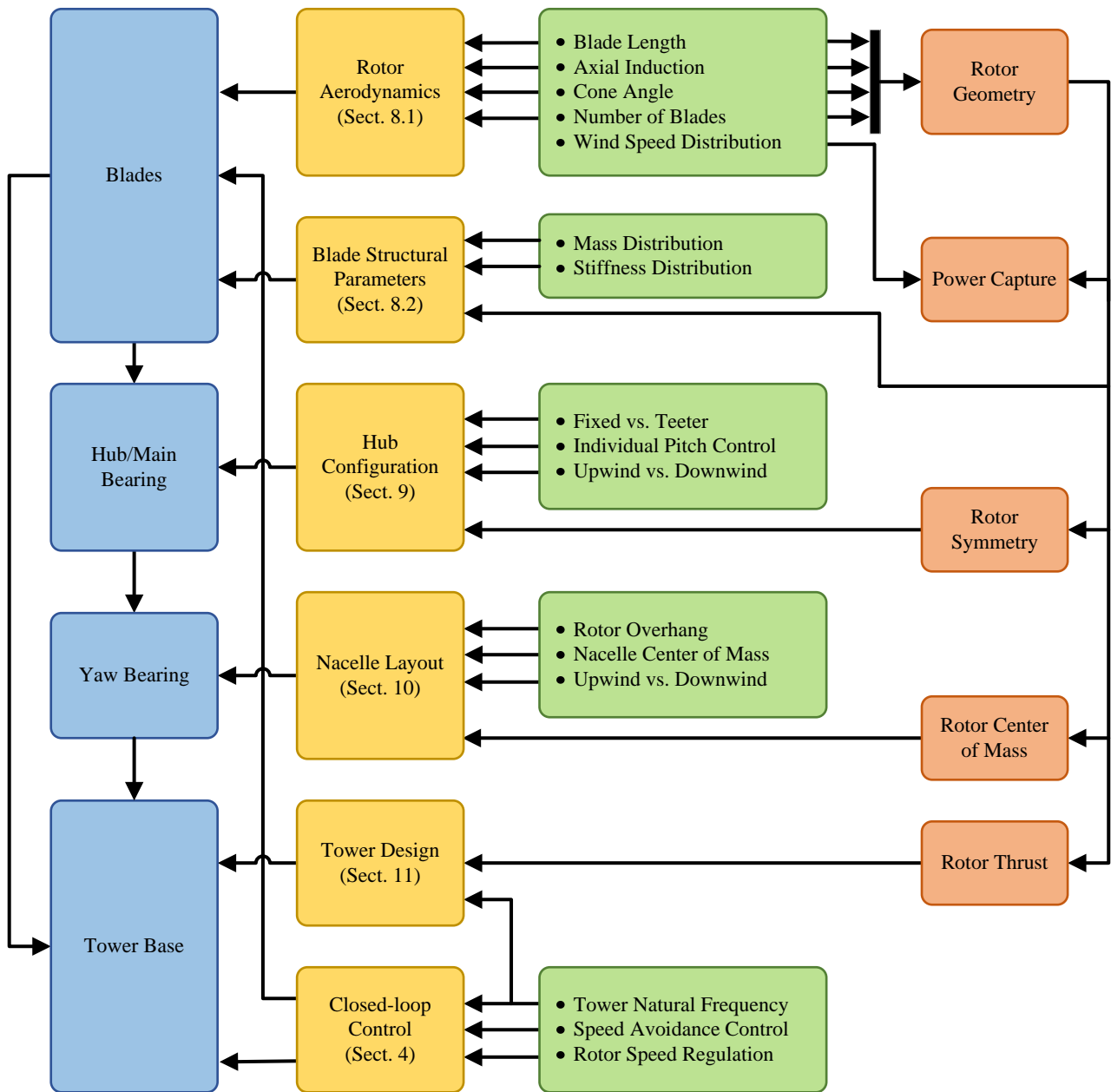


Figure 7. Overview of the design studies performed in this paper. The loads on each component (blue) transfer from the blades to the tower base as shown. Design studies (yellow) that affect each component are performed in Sects. 8–11 by altering the design parameters in green. Rotor design parameters (orange) affect all aspects of turbine design.

where $p(u)$ is the Weibull distribution in Table 1 and 8760 is the number of hours in a year.

We first examine changes to the blade loads and power capture of the SUMR-13A due to variations in the aerodynamics, including the blade length, axial induction, and cone angles. Both upwind (negative) and downwind (positive) cone angles are evaluated. The aerodynamic changes lead to a larger, heavier, but more powerful SUMR-13B rotor, which we use to study the effect of mass and stiffness scaling on blade loads. Next, non-rotating component loads will be compared for different hub configurations, considering the number of blades, a teetering hinge, individual pitch control, and rotor placement (upwind vs. downwind). Finally, the effect of a downwind rotor on yaw bearing design loads will be presented and the effect of a two-bladed rotor on tower design will be investigated. A summary of the design parameters considered in this article and the process for incorporating their interconnections is shown in Fig. 7; details are given in Sects. 8–11.

10 8 Blade loads and energy capture

We begin by analyzing the effect of changing rotor aerodynamics on blade loads and energy capture. Blade loads are computed at the blade root in both the flapwise (m_{by}) and edgewise (m_{bx}) directions. Blade flapwise loads are primarily aerodynamic in nature and depend on the thrust force exerted on the blades from the wind inflow. Peak blade flapwise loads occur near rated wind speed, which represents the worst combination of wind speed and orthogonal blade surface area, but before the blade begins pitching to regulate power in above-rated operation. Blade pitch has a significant influence on the mean blade flapwise load and control actions can often cause peak loads, e.g., when the pitch angle decreases towards its fine pitch angle to maximize power and then a wind speed gust occurs. The dependence of this load on the control system highlights the necessity of including control design at an early stage.

Flapwise fatigue loads are driven by blade thrust, wind shear, and, to a small degree, blade weight and cone angle. Edgewise fatigue loads, on the other hand, have a nearly constant load cycle amplitude, unless the rotor speed-torque is rapidly changing. The load cycle amplitude of edgewise blade loads depends on the blade weight, creating a large positive and then negative load when the blade is in each horizontal position during a rotor revolution. Edgewise fatigue loads increase with blade length and mass and influence the design of the baseline blade structures used in this study (CONR-13, SUMR-13A). Additional stiffness must compensate for increased edgewise loads, but at the cost of increased blade mass, leading to even greater loads. We will explore this relationship in Sect. 8.2.1.

8.1 Rotor aerodynamics

We evaluate rotors with longer blade lengths, lower axial induction factors, and large, downwind cone angles, using the SUMR-13A design described in Sect. 2 as a baseline. These design studies have led us to an updated, larger, 2-bladed design, indicative of the trends in industry towards longer, more slender blades, but with a greater downwind cone angle. We will call this new rotor SUMR-13B (see Table 1 for more details).

Blade length is changed indirectly in PROPID, by increasing the available rotor power at 11.3 ms^{-1} from 13.9 MW to 16.9 MW. However, all rotors are controlled to have the same rated generator power of 13.2 MW, which somewhat-constrains

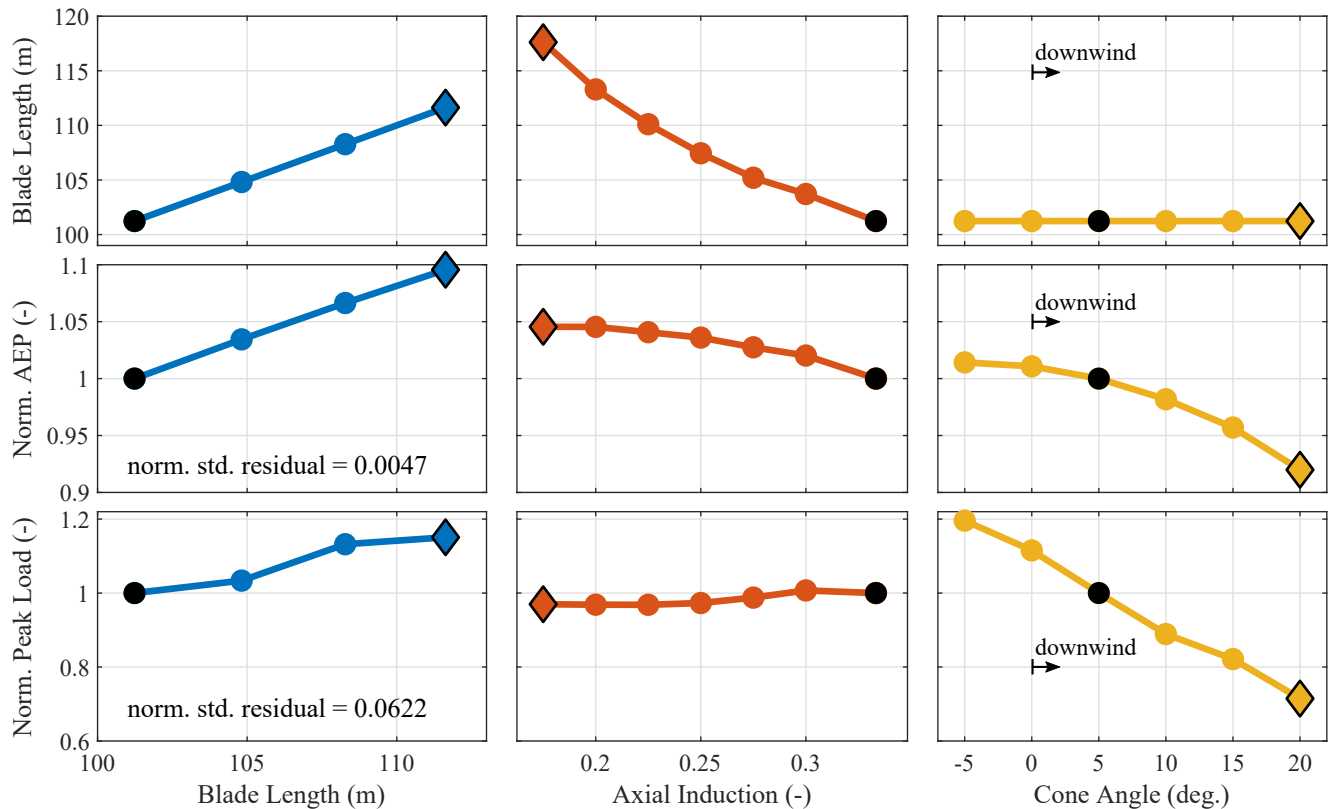


Figure 8. Summary of aerodynamic design studies: the blade length, axial induction (in combination with blade length, chord, and twist), and cone angle are varied while the AEP and peak blade load are calculated and compared to the base case (SUMR-13A, black dot in all). The standard deviations of the errors-residuals for AEP and peak flapwise load are normalized to the SUMR-13A values and apply across all design studies. All rotors here are 2-bladed and positive cone angles correspond to downwind rotors. Unless otherwise specified, the available rotor power is 13.9 MW, the axial induction is 0.333, and the cone angle is 5 deg.

limits the increase in peak blade loads by transitioning to above-rated control at lower wind speeds. Since generator power is fixed, there is a linear increase in AEP with blade length (blue, left column in Fig. 8), whereas power at a fixed wind speed increases quadratically with blade length.¹ The increased rotor swept area increases both power capture and blade loads; a 10 % increase in rotor radius results in about a 10 % increase in AEP and 15 % increase in peak blade flapwise load (blue, left column in Fig. 8). For the blade length design study, the axial induction factor along the outer $\frac{3}{4}$ of the blade is fixed at $\frac{1}{3}$ (theoretical Betz limit).

The rotors used to evaluate axial induction (red, center column in Fig. 8) are designed by fixing the flapwise root bending loads to that of the SUMR-13A and fixing the available rotor power at rated wind speed to 13.9 MW. The blade length, chord, and twist are allowed to vary as the local axial induction factor—from the 25 % radial location to the blade tip—varies from

¹The available rotor power of 13.9 MW at 11.3 ms^{-1} and rated generator power of 13.2 MW correspond to a 95% generator efficiency.

0.175 to 0.3 in increments of 0.025. Decreasing the designed axial induction of the rotor results in longer, more slender blades that capture more energy while constraining blade loads. In the most extreme example, a blade with a 0.175 axial induction factor can increase the AEP by 5 %, compared to a rotor with aerodynamically optimal blades (axial induction factor of $\frac{1}{3}$), but requires 16 % longer blades.

- 5 The cone angle design study is performed using the same baseline SUMR-13A blades for each rotor, but with different cone angles, including upwind (negative) and downwind (positive) cone angles. With a fixed blade length, downwind, highly coned rotors decrease the rotor swept area, resulting in both reduced power capture and blade loads. The load decrease is significant: 25 % compared with a 7 % decrease in power capture. In comparison with the blade length design study, it is clear why highly coned rotors are attractive for large rotor designs: an increased cone angle will decrease operational loads faster than an
- 10 increase in blade length will increase them.

For all the aerodynamic design studies, there is a trade-off between power capture and blade loading. Each design study is plotted together in Fig. 9, which also indicates the DELs in the flapwise and edgewise directions. In rotor design, our goal is to increase AEP and decrease blade loads, thus aiming to yield results in the lower-right quadrant of each plot.

- The ~~design changes can be applied in combination. If each individual design change, due to length, axial induction, or cone angle, is a vector in the (AEP, load) space of~~ SUMR-13A blade design was found to be driven by extreme loading along a combined flapwise and edgewise direction, where DLC 1.4 (extreme coherent gust with direction change) caused the greatest blade load. Since edgewise loads are largely deterministic, varying with a near constant amplitude with respect to the rotor azimuth, the design goal of the next rotor iteration, the SUMR-13B, was to constrain peak flapwise loads and increase power capture using the aerodynamic design changes previously described. The SUMR-13B is not necessarily cost optimal. Using
- 15 larger blades with both greater power capture and structural loading could potentially result in a net cost benefit compared to the SUMR-13B. However, in the absence of a detailed cost model, these design choices are difficult to make and depend on a wide array of factors. Larger rotors with both increased loading and power capture will be investigated in future design iterations.
- 20

- The SUMR-13B does, however, provide a demonstration for using the harmonic loads and results in Fig. 9, ~~the sum of those vectors are approximately the AEP and load of~~ to guide design: the aerodynamic design changes can be applied in combination. Since the goal of the new design. The SUMR-13B starts from the ~~is~~ to constrain peak flapwise loads and increase power capture (AEP), some combination of increasing the blade length, decreasing the axial induction, and increasing the cone angle should provide a blade with the desired properties. Looking at the peak flapwise blade load (leftmost in Fig. 9, if we start at the SUMR-13A, ~~increases the~~ the black dot at (1,1), and increase the available rotor power to 16.9 MW (blue diamond), ~~decreases~~, we will have a rotor with the relative power and load at the blue diamond. Then, if we decrease the axial induction to 0.2, ~~the change in power and load is as if only the axial induction (and corresponding blade length increase) were changed by that amount~~ (red, dashed vector), ~~and increases~~. Finally, by increasing the cone angle from 5 deg. to 12.5 deg. (, the change in power and load is equivalent to the change indicated by the yellow, dashed vector). ~~These design changes in combination result in~~. The combination of these design changes results in the AEP and structural loading of the SUMR-13B: it increases
- 25
- 30
- 35 AEP by 11 % compared to the SUMR-13A, while constraining peak blade flapwise loads to the level of the SUMR-13A. The

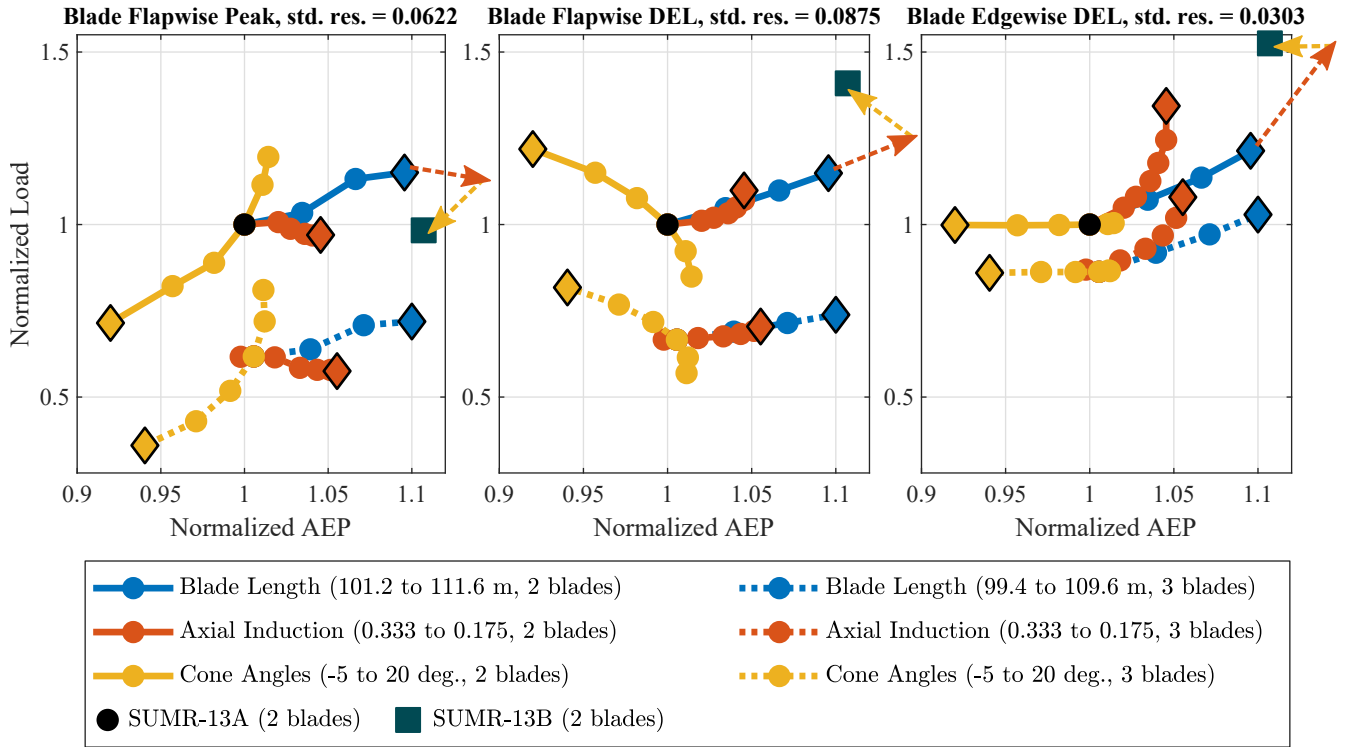


Figure 9. The trade off between power capture and blade loads. The AEP is plotted on the x-axis and blade loads are plotted on the y-axis. All rotors are normalized to the 2-bladed 101.2 m SUMR-13A baseline rotor design (black dot). Each dot represents a rotor design and each line-curve represents the variation of one design parameter. The set of 3-bladed rotor designs are represented with dotted curves. Unless otherwise specified, the available rotor power is 13.9 MW, the axial induction is $0.333/3$, and the cone angle is 5 deg.; the SUMR-13B is specified in Table 31. The normalized error-residual standard deviation for AEP is the same as in Fig. 8 and the load error-is-residual standard deviations are normalized to the corresponding SUMR-13A load values. The vectors indicate design changes in combination: blade length increase (blue diamond), axial induction factor decrease along with corresponding blade length increase (red, dashed vector), and cone angle increase (yellow, dashed vector) from the SUMR-13A to the SUMR-13B (square).

same changes can be applied in combination to the flapwise DELs and edgewise DELs. The increased blade length of the SUMR-13B increases the flapwise DELs due to the enhanced effect of wind shear and edgewise DELs due to the additional blade weight. During the SUMR-13B structural layup design, we found the design driving blade load to be the fatigue DEL in the edgewise direction, which will be the focus of Sect. 8.2.1.

5 A set of three-bladed rotors (shown with dotted lines in Fig. 9) is designed similarly to the two-bladed design studies and exhibit similar trends to the two-bladed rotors in terms of blade loads. The blades of the three-bladed rotors experience lower loads (both peak and fatigue, edgewise and flapwise) with the same power capture due to their smaller chord and mass.

Despite the larger blade loads on 2-bladed rotors compared to 3-bladed rotors with the same power capture, we will be analyzing the 2-bladed SUMR-13B for the remainder of this article. When comparing similarly powered rotors, e.g. the CONR-10 13 and the SUMR-13A, 2-bladed rotors reduce the total blade mass by as much as 25 %, which reduces the capital expenditures associated with blade material costs (Griffith, 2017). Given the constant AEP and decrease in CapEx of the 2-bladed rotors, we would expect the overall LCOE of a 2-bladed rotor to be less than that of a similarly powered 3-bladed rotor. However, periodic effects are more pronounced on the non-rotating components of 2-bladed rotors. We will analyze the load alleviating potential of different hub configurations in Sect. 9 and structural reinforcement in Sect. 8.2.

15 8.2 Blade structural parameters

As a wind turbine blade increases in length, its mass and stiffness increase to account for the additional structural loading. The structural properties of a blade are described by its distributed parameters along the blade span, which include mass-, stiffness-, and inertia-per-unit-length. In the previous section, these distributed structural parameters were constant for different blade lengths. ~~Here~~In this section, we will ~~examine the effect of changing these parameters and, later, determine~~ change the distributed mass and stiffness values through various scaling rules to observe the effect each parameter has on the blade loads. However, changes to the mass and stiffness to model the larger are not necessarily independent of each other. We will analyze the dependency between blade mass, stiffness, and load using the results of the initial parameter study to determine an initial guess for the distributed parameters of the SUMR-13B blade. The initial guess can then be used for the load simulations that are used to do a more detailed structural layup design and determine the final distributed structural parameters for the blade.

25 To model blades with different lengths, we start with classical similarity scaling rules (Loth et al., 2017a), based on the length scaling factor

$$\eta = L/L_0, \tag{16}$$

where L is the length of the scaled blade and L_0 is the length of the original blade. In this study, L_0 is the length of the baseline blades: the SUMR-13A for 2-bladed rotors and the CONR-13 for 3-bladed rotors. We will examine the scaling of the following 30 parameters (Griffith and Ashwill, 2011):

- mass per-unit-length, which scales with η^2
- stiffness per-unit-length in the flapwise, edgewise, and torsional directions, which scales with η^4

- stiffness per-unit-length in the spanwise direction, which scales with η^2 , and
- inertia per-unit-length in the flapwise and edgewise directions, which scales with η^4 .

Once integrated over the blade length, e.g., the mass scales with η^3 , while the stiffness and inertia properties scale with η^5 .

These parameters can be more flexibly scaled to account for innovations or changes to the structural design. For instance, we scale the mass-per-unit-length distribution by

$$M(r) = M_0(r)\eta^{2k_M}, \quad (17)$$

where $M(r)$ is mass-per-unit-length at spanwise location r of the scaled blade, M_0 is the mass-per-unit-length of the original blade, and k_M is a tunable parameter to increase or decrease the blade mass. Based on Eq. (17), a-once integrated over the blade length, $k_M = 0$ would produce a blade with a mass that scales linearly with blade length, while $k_M = 1$ would produce a blade with a mass that scales with the cube of blade length. State-of-the-art trends show that mass scales roughly with the square of blade length, or $k_M = 0.5$. A similar parameter can be defined for stiffness scaling

$$k_{s,flap} = k_{s,flap,0}\eta^{4k_{Fs}}, \quad (18)$$

where $k_{s,flap}$ is the flapwise stiffness-per-unit-length of the scaled blade, $k_{s,flap,0}$ is the flapwise stiffness-per-unit-length of the original blade and k_{Fs} is a tunable flapwise stiffness scaling parameter. The edgewise stiffness will be similarly scaled using a parameter k_{Es} . Flapwise and edgewise inertia will scale is scaled using the same mass scaling parameter k_M , but to the 4th 4th power as in Eq. (18). Torsional and spanwise stiffness will scale is scaled according to the similarity scaling rules defined above, with η^4 and η^2 , respectively. The SUMR-13B (2-bladed, $\eta = 1.24$) structural properties were-are scaled from the SUMR-13A blade, first separately each for the mass and stiffness parameters, and then all together (Full Scaling) in Fig. 10.

Ultimately the final structural parameters will be determined by the structural layup, but this model could be used to more quickly analyze trade-offs between blade mass, stiffness, loads, and power. In general, mass scaling has the greatest impact on loads. Since this article only considers operational load cases, the effect is most apparent when analyzing fatigue loading. Loads during shutdown events and fault cases are also expected to increase with blade mass. Increased flapwise stiffness contributes to a small increase in energy capture (about 1 %, not shown) due to decreased blade deflection. We also observe that the change in load due to each individual scaling parameter (k_M , k_{Fs} , and k_{Es}) approximately sum (or combine linearly), when multiple parameters are simultaneously scaled. This is shown in Fig. 10: the sum of the changes in load due to Mass, Flap. Stff., and Edge Stff., is approximately equal to the change in load due to Full Scaling. The same is true for the Final Design, which is a combination of the scaling parameters that are determined in the next section.

8.2.1 Selecting a k_M and k_{Es} for edgewise fatigue loads

The most significant impact of positive structural scaling is the increase in edgewise DELs due to the increased blade mass. Theoretically, the additional mass increase of the larger blade would provide additional reinforcement against these loads,

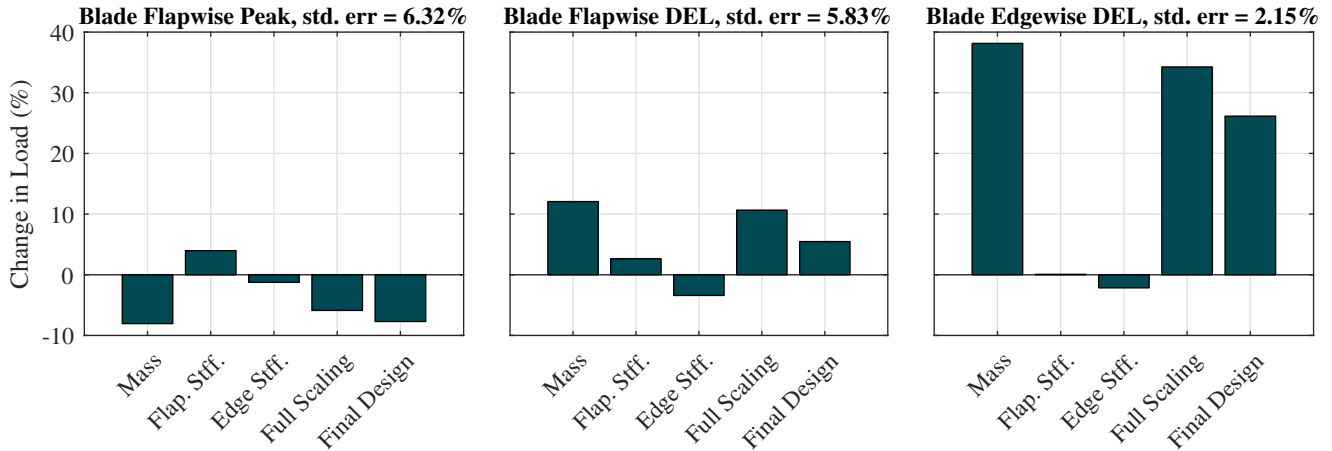


Figure 10. The With $\eta = 1.24$ and relative to the SUMR-13B with non-scaled structural parameters ($k_M = k_{F_s} = k_{E_s} = 0$, which yield the SUMR-13B loads in Fig. 9), these plots show the effect of mass-independently scaling the mass ($k_M = 1$), flapwise stiffness sealing ($k_{F_s} = 1$), and edgewise stiffness sealing ($k_{E_s} = 1$), and as well as the sealing-combined effect of scaling all of the structural parameters ($k_{\text{All}} = 1$) on blade loading compared with the non-scaled SUMR-13B ($k_{\text{All}} = 0$ Full Scaling, which yield the SUMR-13B loads in Fig. 9 $k_M = k_{F_s} = k_{E_s} = 1$). The standard deviation of error-the residual is computed using the calibration-transformation set in Table 3 and is normalized to the non-scaled SUMR-13B.

through trailing edge reinforcement or increased root diameter. We see that changes to the blade mass impact the edgewise loads result in a change in edgewise load δm_{bx} , i.e.,

$$\delta m_{bx} = a_1 k_M + b_1, \quad (19)$$

where a_1 and b_1 are determined from FAST simulations of the SUMR-13B blade with multiple k_M values from 0 to 1 by finding the linear relationship between k_M and δm_{bx} . Additional edgewise stiffness must compensate for the increase in edgewise load by increasing the ultimate load

$$m_{ult} = \frac{2\sigma EI_x}{c}, \quad (20)$$

where σ is the fiberglass strain limit at the trailing edge, EI_x is the edgewise stiffness, and c is the blade chord; this is a simplification that assumes the neutral axis is at mid chord (Budynas and Nisbett, 2015). In terms of the scaling coefficients, a linearized version of Eq. (20) can be obtained

$$k_{E_s} = a_2 \delta m_{bx} + b_2. \quad (21)$$

Finally, changes to the blade structural layup in the form of trailing edge reinforcement to increase edgewise stiffness will increase the blade mass

$$k_M = a_3 k_{E_s} + b_3, \quad (22)$$

Table 4. Blade structural coefficients for the SUMR-13B blade determined using the relationships described in Fig. 11.

Structural Relations	Final Design Coefficients
$a_1 = 0.51, \quad b_1 = 1.40$	$\delta m_{bx} = 1.8$
$a_2 = 0.95, \quad b_2 = -0.79$	$k_{Es} = 0.92$
$a_3 = 0.87, \quad b_3 = 0$	$k_M = 0.804$

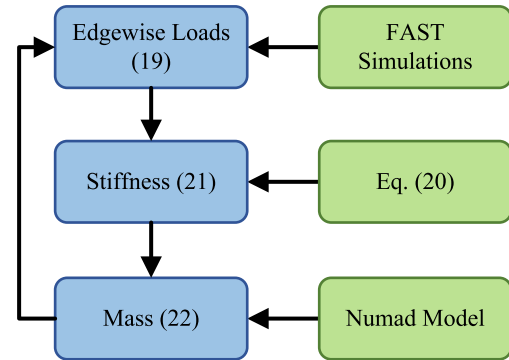


Figure 11. The relationship between blade mass, edgewise loads, and edgewise stiffness, as well how each value was derived.

where a_3 and b_3 are determined through a linear regression of [multiple SUMR-13B](#) blade designs in NuMAD (Berg and Resor, 2012) with a target k_{Es} from 0 to 1. Additional trailing edge reinforcement was applied to meet the target values within 5 % and the k_M was computed using the overall mass of the resulting blade model.

The linear system determined by Eqs. (19), (21), and (22) can be solved to determine the necessary structural reinforcement for accommodating the load increase due to the increase in mass. See Table 4 for the results. These parameters can serve as targets for a detailed SUMR-13B structural layup design. For the remainder of this study, we will evaluate the loading on other components as a result of the mass increase shown in Table 4.

9 Hub configuration and main bearing loads

Blade loads are transferred through the blade root to the hub at the pitch actuator. In this section, we analyze the load cycle amplitudes of the hub loads and how they transfer to the non-rotating turbine components. The hub load axes, y_h and z_h , rotate with the hub (Fig. 12). About the y_h -axis, hub loads are directly related to the blade loads for both 2- and 3-bladed configurations; they peak when the rotor is near $\psi = 0^\circ$ due to vertical wind shear, resulting in a large cosine-cyclic component of the hub load about the y_h -axis ($m_{hy,c}^{1P}$). A teeter hinge reduces the coupling between blade and hub loads, except in cases of very large rotor deflections, where “hard” end stops increase the coupling and result in large peak loads. About the z_h -axis, the source of loading depends on whether the rotor has 2 or 3 blades (see Fig. 12). For 3-bladed rotors, the hub load about the z_h -axis is driven by the blade aerodynamic loading due to wind shear and has a similar magnitude to the load about the y_h -axis (Fig. 12, top right). This symmetry is not inherent in a 2-bladed configuration; the m_{hz} load is primarily determined by the weight of the blades unless there is a horizontal wind shear. The mismatch between the load cycle amplitudes of m_{hy} and m_{hz} results in larger non-rotating loads, e.g., m_{sy} , for 2-bladed rotors (Fig. 12, bottom right). The hub load about the z_h -axis, for

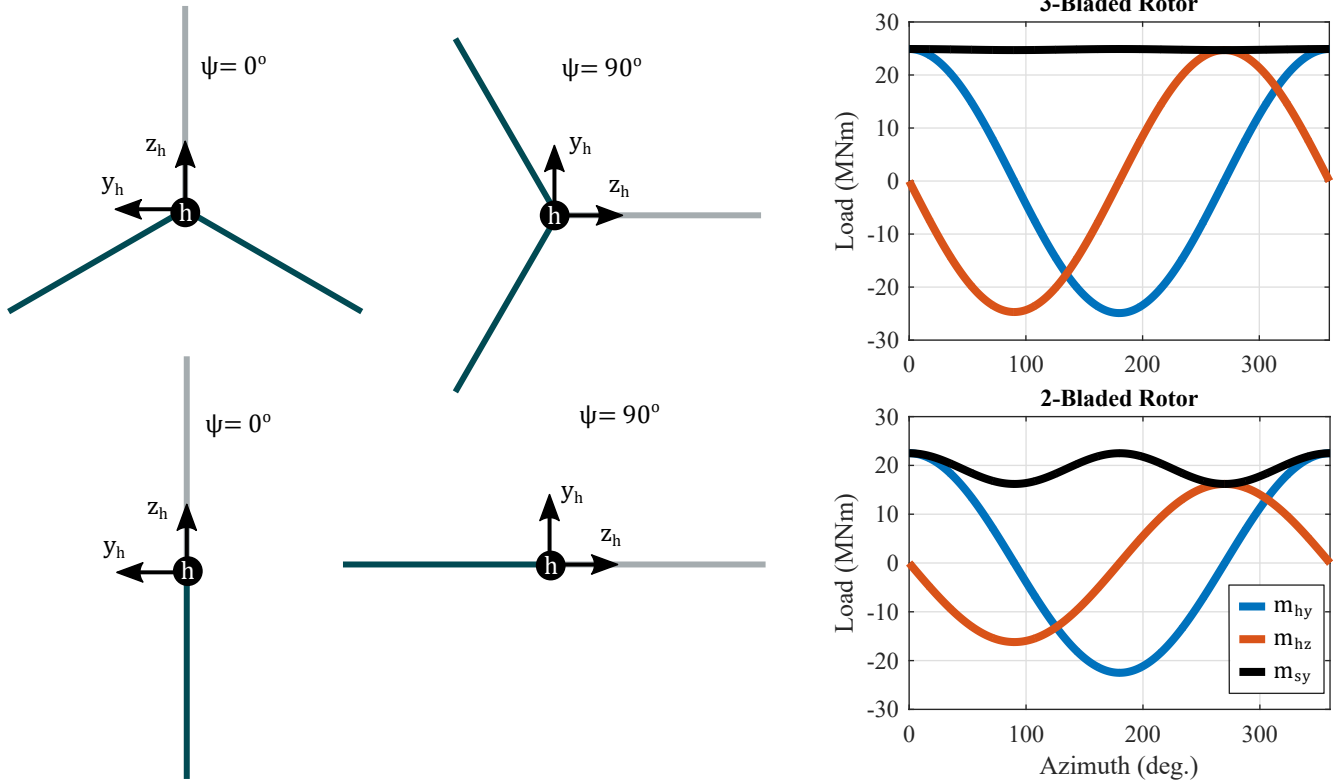


Figure 12. The hub axis (h) as it rotates with the rotor azimuth angle ψ for a 3- and 2-bladed rotor. Note that the y_s -axis in Fig. 5 does not rotate while the y_h -axis in Fig. 12 does. An example timeseries of the hub loads (m_{hy} and m_{hz}) is shown to demonstrate the difference in the non-rotating main bearing load (m_{sy}) for a 3-bladed (upper) and 2-bladed (lower) SUMR-13B rotor.

both hub configurations, peaks when the rotor is at $\psi = 90^\circ$, resulting in a large $m_{hz,s}^{1P}$ component. The magnitude of these loads in relation to each other is important for determining their impact on the non-rotating load components.

The rotating hub is connected to the main shaft, which is supported by a main bearing close to the hub and also may consist of additional bearings between the hub and gearbox. A rotation matrix models the transfer of loads from the rotating to

5 non-rotating frame

$$\begin{bmatrix} m_{sy} \\ m_{sz} \end{bmatrix} = \begin{bmatrix} \cos \psi & -\sin \psi \\ \sin \psi & \cos \psi \end{bmatrix} \begin{bmatrix} m_{hy} \\ m_{hz} \end{bmatrix}, \quad (23)$$

which results in the 1P hub loads mapping to a large 0P and 2P load component components. The large 2P loads result in large fatigue DELs on the non-rotating parts of 2-bladed turbines. The hub configuration, including the number of blades, whether a teeter hinge is used, and IPC all have an impact on the fatigue loading of the main bearing.

Table 5. Comparison of the **steady-state** (8.5 ms^{-1}) hub load harmonics for 2-bladed fixed, teeter, and IPC methods, as well as 3-bladed (3b) rotors, in upwind and downwind positions. We analyze the cosine-cyclic hub load about the y_h -axis ($m_{hy,c}^{1P}$, Fig. 12) and the sine-cyclic hub load about the z_h -axis ($m_{hz,s}^{1P}$) because of their combined effect on non-rotating component loads. The different teeter and IPC methods are presented in Sect. 9.2.

Rotor Orientation	Hub Configuration	Rotor Model	$m_{hy,c}^{1P}$ (kNm)	$m_{hz,s}^{1P}$ (kNm)
Downwind Rotors	2-bladed Fixed Hub	SUMR-13A	15500	-8840
		SUMR-13B	22500	-16200
	2-bladed Teeter	Free Teeter	0	-16900
		Ideal Teeter	16200	-16400
	2-bladed IPC	Blade IPC	12300	-16200
		Bearing IPC	17700	-16200
Upwind Rotors	3-bladed Fixed Hub	SUMR-13A (3b)	7180	-7220
		SUMR-13B (3b)	24900	-24700
	2-bladed Fixed Hub	SUMR-13A	3780	-3570
	3-bladed Fixed Hub	SUMR-13A (3b)	-526	543

9.1 Number of blades

To compare with the 2-bladed SUMR-13B, a 3-bladed SUMR-13B was designed using the same blade parameters described in Table 4. Peak and fatigue blade loads in both the flapwise and edgewise directions are unaffected by the change in the number of blades.

- 5 Loads on other turbine parts are, however, affected by the change in the number of blades. Hub loads on the 2-bladed SUMR-13B are mostly about the y_h -axis (see $m_{hy,c}^{1P}$ in Table 5), while 3-bladed rotors are balanced in both directions. The hub loads in Table 5 can be mapped to the non-rotating frame by Eq. (23). The 1P harmonic in the rotating frame transfers to 0P and 2P harmonics according to

$$m_{sy}^{0P} = \frac{1}{2}(m_{hy,c}^{1P} - m_{hz,s}^{1P}) \quad (24)$$

$$10 \quad m_{sy}^{2P} = \frac{1}{2}(m_{hy,c}^{1P} + m_{hz,s}^{1P}). \quad (25)$$

The 3P component is determined similarly based on the 2P harmonic load components [by using](#) (23).

- Three-bladed rotors are advantageous due to these balanced hub loads, which effectively nullify the 2P load components and only contain a small 3P load on the non-rotating turbine components. The difference in magnitude of the 1P hub load harmonics is responsible for the greater loading on the non-rotating components of 2-bladed rotors. Figure 13 shows more than
15 a 20 % reduction in main bearing DEL for the 3-bladed SUMR-13B, compared to the 2-bladed, fixed-hub SUMR-13B, even [with a significantly more powerful rotor though the 3-bladed rotor captures significantly more energy](#).

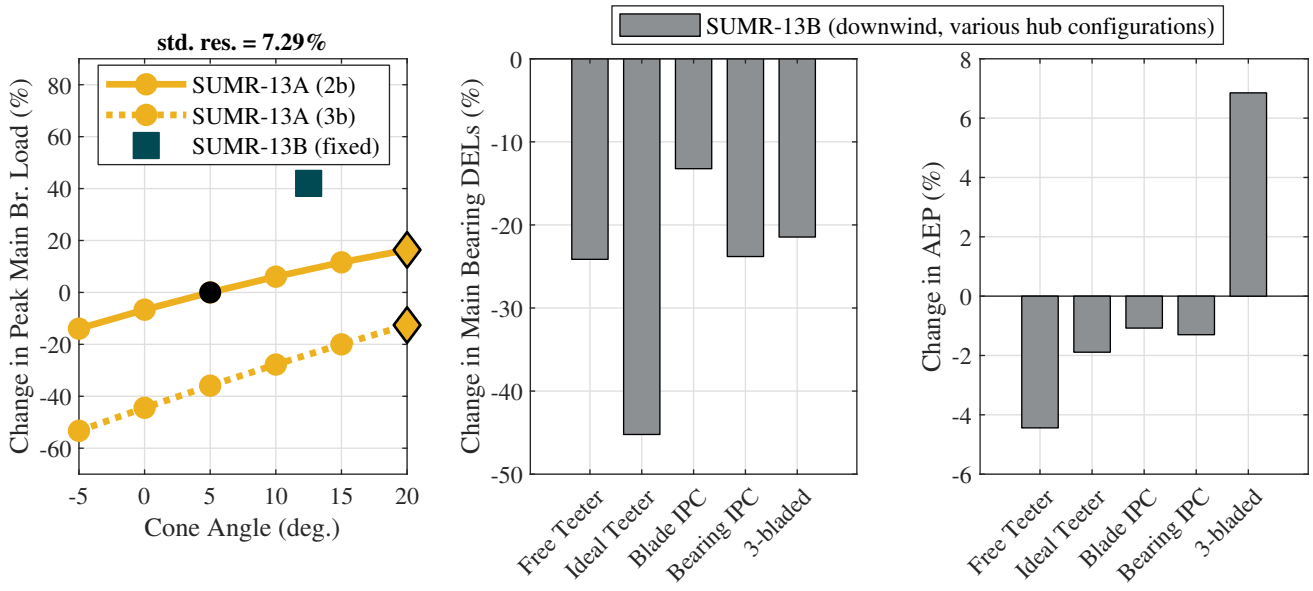


Figure 13. Change in peak main bearing loads (left) for the SUMR-13A cone angle study (2- and 3-bladed rotors) and the SUMR-13B fixed hub configuration, change in main bearing DELs (middle) about the y_s -axis (DELs about the z_s -axis are within 5 % of the y_s -axis DELs) and change in AEP (right) for various hub configurations of the SUMR-13B, compared with the fixed-hub, 2-bladed SUMR-13B Final Design described in Sect. 8.2. The DEL and AEP results from different hub configurations (center and right) are design loads computed directly from DLC simulations.

9.2 Teeter and individual pitch control

Historically, [some](#) 2-bladed turbines have used a mechanical teeter hinge, which allows for rotation about an axis perpendicular to the main shaft at the shaft tip. Recently, with the advent of pitch regulated turbines, individual pitch controllers have been designed in order to mimic this action by changing the aerodynamic loads on the blades as they rotate. Both solutions reduce loading on the hub, which translates into reduced loading on the main bearing and other non-rotating components.

We have modeled a free teeter hinge in FAST by enabling the teeter degree-of-freedom and setting a zero damping coefficient to the teeter motion. This free teeter setup would provide the best configuration for reducing [blades-blade](#) loads. A more realistic teeter hinge must account for friction, damping, and end stops (see, e.g., Schorbach et al. (2017)).

The free teeter hinge configuration completely eliminates the coupling between blade and hub loads, resulting in zero hub loads about the y_h -axis. The relationship in Eq. (25) and [steady-state results \(harmonic loads in Table 5\)](#) suggest that main bearing fatigue loads (m_{sy}^{2P}) increase when compared to the fixed hub configuration. However, DLC simulations show that turbulence has a relatively minimal impact on the non-rotating components for this rotor with a free teeter hinge, compared with all other rotors. In other words, the design loads for the main bearing are nearly equal to the [steady-state harmonic](#) loads, but in every other case there is a significant turbulent component, as mentioned in Sect. 6. [For this reason](#) [Since this case is an outlier and behaves differently when mapping harmonic loads to turbulent loads](#), it is omitted from the [calibration](#)

transformation set of 2-bladed rotors. Instead of presenting the ~~calibrated-harmonic-transformed~~ load estimates and power capture, we present the design loads computed directly from DLC simulations in Fig. 13. However, the ~~steady-state results~~ harmonic loads in Table 5 still illustrate how ~~a-more-an~~ optimal teeter design could mimic the balanced hub loads of 3-bladed rotors.

- 5 A more ideal teeter design could be achieved by selecting an appropriate teeter damping coefficient d_{teet} that matches the $m_{hy,c}^{1P}$ and $m_{hz,s}^{1P}$ load harmonics to minimize the main bearing load m_{sy}^{2P} . Since only one damping coefficient must be designed for all wind speeds, we minimize the main bearing load using the wind speed distribution $p(u)$ by

$$d_{\text{teet,opt}} = \arg \min_{d_{\text{teet}}} \sum_{u \in U_{\text{teet}}} p(u) m_{sy}^{2P}, \quad (26)$$

where U_{teet} is the set of wind speeds used to analyze the teeter damping, focused on below-rated operation, where the greatest
 10 fatigue contribution occurs. Main bearing load cycle amplitudes m_{sy}^{2P} and m_{sz}^{2P} increase with wind speed due to the increased effect of wind shear, but lower wind speeds are far more probable than high wind speeds. Since our design goal is to reduce fatigue loads on the main bearing and other non-rotating components, we focus on below-rated wind conditions. The ideal teeter design greatly reduces the main bearing fatigue loads, along with the fatigue loading on the other non-rotating components, but reduces energy capture by 1.9 %, compared with the fixed 2-bladed SUMR-13B (Fig. 13, center and right).

- 15 Alternatively, IPC can be used to mimic the rotor balancing of a teeter hinge by adding a time-varying pitch angle offset to each blade. An IPC algorithm was initially designed to focus on blade loads, which we call Blade IPC in Table 5 and Fig. 13. The 2-bladed IPC architecture used here was initially presented in van Solingen and van Wingerden (2015), which minimizes the teeter load

$$m_{\text{teet}} = \frac{1}{2}(m_{by,1} - m_{by,2}). \quad (27)$$

- 20 We have applied loop-shaping procedures (McFarlane and Glover, 1992) to fine tune the controller to reduce the 1P and 2P blade harmonics, which results in a decrease in the blade design load for the SUMR-13B (about 10 % for flapwise peak and fatigue loads). The IPC algorithm was designed to operate both above- and below-rated, since the bulk of the fatigue loads occur below rated, and the IPC must be active near rated in order to reduce the peak design load. Since this Blade IPC is designed to reduce blade loads as much as possible, hub loads about the y_h -axis are less than hub loads about the z_h -axis
 25 (Table 5). Therefore, the Blade IPC algorithm is not necessarily optimal for the main bearing DELs.

Using the relationship in Eq. (25), we designed a Bearing IPC algorithm with the goal of balancing the hub load components, such that $m_{hy,c}^{1P} = -m_{hz,s}^{1P}$, to minimize 2P loading on the main bearing. Equivalently, m_{hy}^{1P} and m_{hz}^{1P} should be equal in magnitude and 90° out of phase. Since $|m_{hz}^{1P}|$ changes more slowly than $|m_{hy}^{1P}|$, the m_{hz} signal is delayed by 90° and the difference

- 30 $m_d = m_{hy} - m_{hz}(\psi - 90^\circ)$ (28)

can be fed back using the same architecture as the Blade IPC because $m_{hy} = 2m_{\text{teet}}$. Harmonic load estimates suggest better load mitigation than those in Fig. 13, so we present the DLC-based design loads directly from turbulent simulations. In general,

dynamic control solutions are not as well estimated using harmonic load estimates, compared with changes to the rotor model using the same control [because dynamics due to turbulence often drive control design](#). Other control methods were attempted to balance the load components in Eq. (25), which are further explored in Zalkind and Pao (2019).

If used below rated, these load mitigation techniques reduce power capture, as shown in the right of Fig. 13. IPC can be designed so that it only operates above rated, resulting in a negligible power loss. However, this reduces its effectiveness in constraining peak loads that occur close to rated wind speeds.

9.3 Large cone angle effects

The main bearing must support the weight of the rotor and thrust imbalance on the rotor due to shear, i.e.

$$m_{sy}^0 = m_{sy,grav}^0 + m_{sy,shr}^0. \quad (29)$$

For downwind turbines, both components of Eq. (29) are positive, resulting in large, constant main bearing loads about the y_s -axis. For upwind turbines, the load due to gravity $m_{sy,grav}^0$ is negative while the load due to wind shear $m_{sy,shr}^0$ is positive, which greatly reduces the steady-state main bearing load for upwind turbines compared to downwind turbines. To quantify this difference, we analyze the ~~calibrated harmonic load estimates~~ [harmonic load estimate](#) of the peak main bearing load $(m_{sy}^{Peak} = m_{sy}^{OP} + m_{sy}^{2P})$ for rotors with various cone angles (Fig. 13, left).

The ~~steady-state results~~ [harmonic loads](#) in Table 5 suggest there would be a significant change in the mean main bearing load m_{sy}^{OP} going from upwind to downwind rotor configurations. However, the design loads computed using DLC simulations show that turbulence contributes a large amount to the peak load experienced by the main bearing (Fig. 6) for both configurations. A downwind configuration, compared to the same rotor upwind (with cone angles of ± 5 deg., respectively) only increases the main bearing load by about 15 %. Despite the larger total blade mass of the 3-bladed rotors, 2-bladed rotors still have a larger peak load due to the increased 2P loading and a larger turbulent load component. We see this same effect in the fatigue loading results of Fig. 13, which suggests that main bearing peak loads could be reduced using the same methods as in Sect. 9.2. The larger SUMR-13B, however, has a non-negligible increase in the peak main bearing load, due to combined increases in blade mass, blade length, and cone angle. These increased loads on the main bearing transfer to the other non-rotating components, which we will analyze in the yaw bearing and tower design studies.

10 Yaw bearing loads and nacelle layout

The main bearing is mounted to the bedplate of the nacelle, which attaches to the yaw bearing, responsible for rotating the entire nacelle and rotor to align with the wind direction. The yaw bearing experiences similar loads to the main bearing; they peak near rated and at cut-out due to thrust effects and wind shear, respectively. A potential issue with downwind turbines is a large, mean y_y -axis moment leading to large peak yaw bearing loads, similar to the peak main bearing load. However, peak loads on the yaw bearing can be counteracted by properly balancing the nacelle center-of-mass atop the tower. We will study

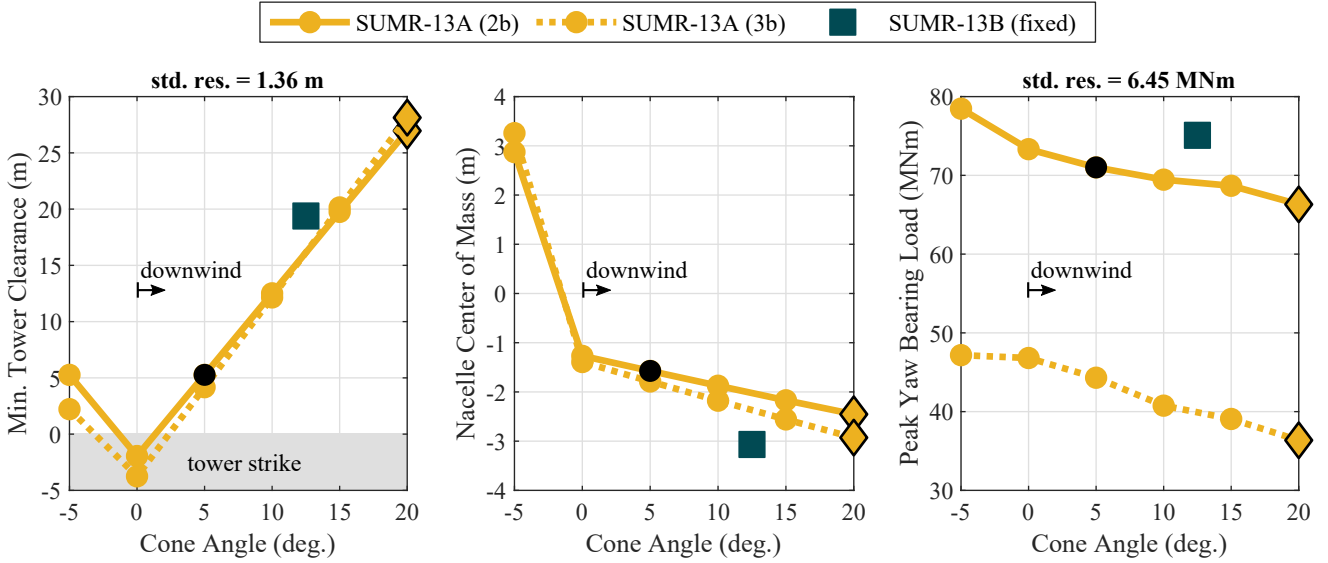


Figure 14. The tower clearance (left) resulting from upwind (negative cone angles) and downwind (positive cone angles) configurations, the nacelle center of mass (middle) required to balance the rotors, and the peak yaw bearing loads (right) of the balanced rotors.

the different cone angle designs from Sect. 8.1 for 2- and 3-bladed rotors, as well as our SUMR-13B Final Design to investigate the effect of rotor cone angle and increased mass on nacelle design and yaw bearing loads.

Large mean loads on the yaw bearing m_{yy}^{OP} cause large peak loads that can be overcome by properly choosing the hub-to-tower overhang x_{OH} and the nacelle center of mass x_{cm} (as shown in Fig. 5). We use a simple method for determining the nacelle overhang: for upwind turbines, the nacelle overhang was set to that of the CONR-13 (-8.61 m), and for downwind turbines, we used the minimum possible overhang (3.15 m, equal to the radius of the tower at the nacelle). These hub-to-tower overhang values result in adequate tower clearance (the minimum perpendicular distance between the blade tip and the yaw axis y_z) when the cone angle is at least 5° in either direction away from the tower (Fig. 14, left). However, such an important design parameter would certainly be subject to verification using a detailed tower design and the full set of DLCs before deeming the tower safe from blade strike. Rotors with larger cone angles have large tower clearances, which is part of the motivation for their design.

To compare peak yaw bearing loads across rotors, we adjust the nacelle center of mass so that mean yaw bearing loads m_{yy}^{OP} are minimized in 0 ms^{-1} winds still air. The mean yaw bearing load is linearly dependent on the component masses and center-of-masses

$$15 \quad m_{yy}^{OP} = g(m_{nac}x_{cm} + m_{rot}x_{cm,rot}), \quad (30)$$

Table 6. Component masses for placing the nacelle center-of-mass atop the tower.

Component	Mass (Mg)
Nacelle	1030
Hub	245
Blade (2-bladed SUMR-13A)	51.8
Blade (3-bladed SUMR-13A)	47.3
Blade (2-bladed SUMR-13B)	83.8

where g is the acceleration due to gravity, m_{nac} is the nacelle mass, m_{rot} is the total rotor mass, and $x_{\text{cm,rot}}$ is the rotor center of mass. The nacelle center of mass x_{cm} that ~~minimizes~~ sets the mean overturning yaw bearing load to zero is

$$x_{\text{cm}} = -\frac{m_{\text{rot}}x_{\text{cm,rot}}}{m_{\text{nac}}}. \quad (31)$$

The hub and nacelle masses are ~~sealed~~ approximated using a length-to-mass scaling factor of $\left(\frac{100}{63}\right)^3$ from the NREL 5 MW reference turbine (Jonkman et al., 2009) ~~;~~ and shown in Table 6. The hub and nacelle masses are constant for all rotors throughout this study, but the rotor mass and center-of-mass vary.

Rotors with large downwind cone angles must have nacelle center-of-masses further upwind (negative values in Fig. 14, center). Given the nacelle mass in Table 6, moving the nacelle center of mass 1 m upwind reduces the mean (and peak) yaw moment by about 10 MNm. Due to the extra overhang necessary for upwind turbines, the center of mass location for the downwind turbines is closer to the tower than for the upwind turbines. By designing the proper hub to tower overhang and nacelle placement, the peak yaw loads are no more problematic for downwind rotors than upwind rotors. Once properly balanced, the peak yaw loads are primarily driven by the thrust imbalance due to wind shear, which decreases with increased cone angle (Fig. 14, right). However, changing the nacelle center of mass is a non-trivial task that involves a detailed drivetrain and nacelle design. Fatigue loads (not shown) on the yaw bearing also depend on rotor thrust and decrease with increasing cone angles. The methods presented in Sect. 9-9.2 also reduce yaw bearing loads.

11 Tower loads

The yaw bearing is attached to the top of the tower, which must support the rotor-nacelle assembly and withstand large moments. We focus on the effect of rotor axial induction, cone angle, and the number of blades on peak loads in the fore-aft direction m_{ty}^{Peak} and fatigue loading in the side-to-side direction m_{tx}^{DEL} .

Peak fore-aft tower loading is similar to the peak blade loads described in Sect. 8.1; with a maximum near rated wind speeds, they are largely driven by rotor thrust, which is most sensitive to changes in axial induction and cone angle. Lower axial induction rotors and downwind rotors can both reduce the peak tower load by as much as 20 % (Fig. 15, left). Tower loads are not as sensitive to blade length. Longer blades increase the rotor thrust in below-rated wind speeds, but with a constant

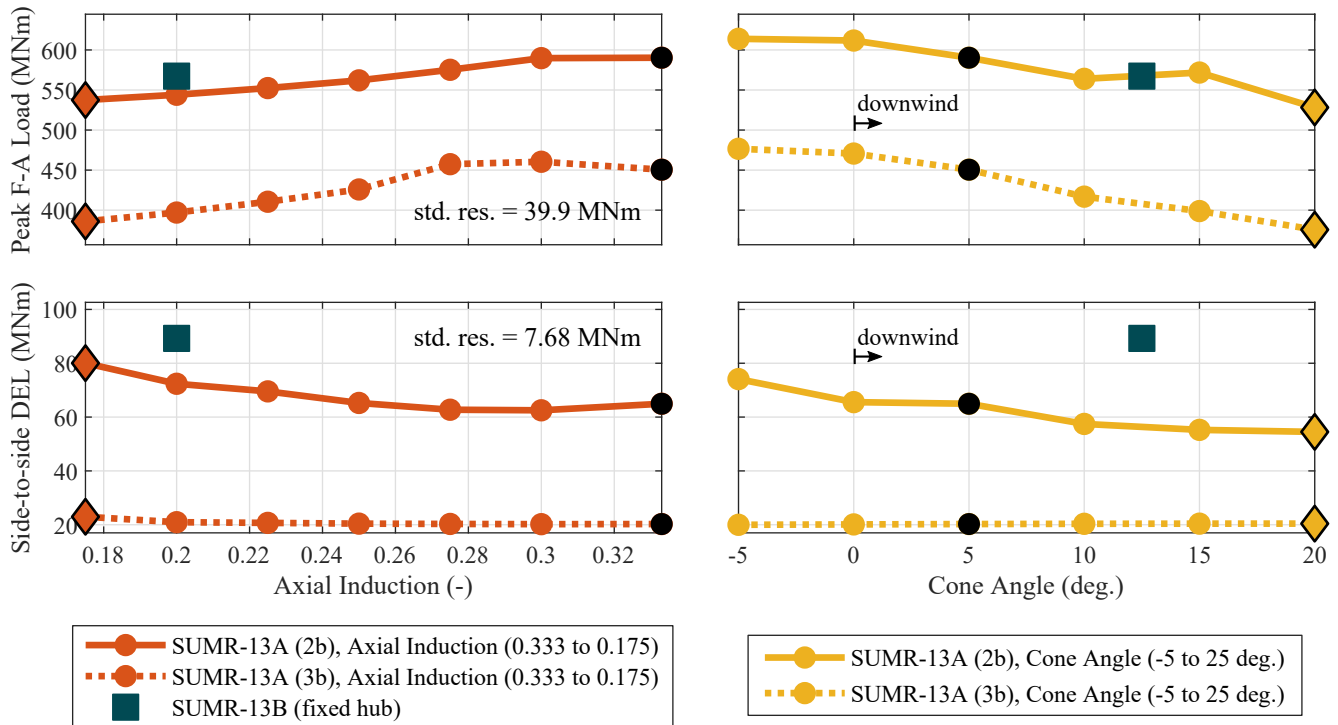


Figure 15. Peak tower loads in the fore-aft (F-A) direction (m_{ty}^{Peak}) and side-to-side DELs (m_{tx}^{DEL}) for rotors with different axial induction factors (and corresponding blade length changes as discussed in Sect. 8.1; red), cone angles (yellow), and number of blades. The same loads for the SUMR-13B are also shown. Unless otherwise specified, the available rotor power is 13.9 MW, the axial induction is 0.333, and the cone angle is 5 deg.; the SUMR-13B is specified in Table 3.1. The standard deviation of error-the residual for both load axes incorporates all of the presented design studies.

generator power, the pitch controller activates at lower wind speeds, constraining the peak tower load near rated. For rotors that capture the same amount of power, 2-bladed rotors experience about a 30 % increase in peak tower fore-aft load when compared to 3-bladed rotors, primarily due to because of a large difference in the turbulent sampling of the wind due to the increased chord lengths, an effect that is also present when looking at the tower DELs.

- 5 Besides having larger chord lengths that sample more turbulence than 3-bladed rotors, 2-bladed rotors also experience a resonance due to the tower design. Modern wind turbine towers are usually designed to be “soft-stiff”, with a natural frequency between the 1P and 3P harmonic-harmonics of the rotor (van der Tempel and Molenaar, 2003). When the 2P rotor speed interacts with the natural frequency of the tower, there are high fore-aft and side-to-side loads. Side-to-side tower DELs increase the most, since there is less aerodynamic damping from the rotor in this direction (Jonkman and Matha, 2011). One idea is to use
- 10 a high-compliance tower structure (Bergami et al., 2014) or a floating substructure with a natural frequency below the 1P harmonic. However, a very low tower natural frequency causes tower motion to be perceived as a wind speed disturbance, resulting in speed regulation issues. Several studies have considered this, given the emergence of floating wind turbines (Jonkman and

Matha, 2011), but to simplify our analysis, we have kept the same tower for all turbines: a scaled version of the NREL-5MW 3-bladed reference model (Jonkman et al., 2009).

Our solution is to implement a speed avoidance controller that reduces the rotor speed as it approaches the critical rotor speed from below and increases it after, avoiding the critical speed as much as possible (Fig. 2, center). Similar approaches have been used in 2-bladed rotor field testing (Johnson et al., 2005). While this controller does reduce side-to-side fatigue loading, 2-bladed rotors still experience 3–4 times the DELs that similar 3-bladed rotors experience (Fig. 15). Longer, heavier blades with lower axial induction factors amplify this effect. Changing hub architectures also impacts the tower fatigue loads. Both teeter and IPC decrease the fore-aft loading while increasing the side-to-side loading.

~~Steady-state~~The harmonic load simulations predict the same peak tower loads for both 2- and 3-bladed rotors, but turbulent simulations show a clear difference in the design load, as indicated in Fig. 15. Compared with other turbine parts, the ~~calibrated~~transformed estimates of the tower loads have a large amount of uncertainty (Fig. 6). This uncertainty can be attributed to the source of these tower loads, which are highly dependent on turbulent gusts.

12 Model limitations, suggested improvements, and potential use

When analyzing the design studies of Sects. 8–11, we have come across a few sources of uncertainty in the ~~calibrated-harmonic load estimates~~.When calibrating the quasi-steady-harmonic estimates to estimates of the transformed loads. When mapping the harmonic loads to the loads calculated using DLCs (Sect. 6), we see that a large component of the design load is due to turbulence, which primarily depends on the number of blades on the rotor, leading to different ~~calibration~~transformation coefficients for 2- and 3-bladed rotors in Eq. (14). However, the turbulent component is also correlated with other model parameters, most notably rotor thrust. Highly coned downwind rotors reduce the rotor thrust and have a lower turbulent component than upwind rotors. Different levels of turbulence, besides the Class IIB that was analyzed in this study, would result in different turbulent components and residuals of the transformation from harmonic to design load. Additionally, dynamic effects, like the problematic gust in Fig. 3 are not explicitly modeled in the ~~steady-state~~-harmonic model of Sect. 5. Thus, dynamic control solutions that appear promising in ~~steady-state~~constant wind inputs should be ultimately verified in turbulent simulations.

Several improvements to the ~~steady-state~~-harmonic model could be made. For instance, the problematic gust events follow a similar profile in many instances; this could be an additional simulation added to the model's set of simulations. While outside the scope of this study, parked, fault, and shutdown cases can result in the largest design loads in practice, e.g., in (Griffith and Richards, 2014); they could be added with little computational expense. The ~~calibration~~transformation procedure could be streamlined by perhaps doing a single, exemplary turbulent simulation for each case to determine the turbulent component of each load.

The ~~calibrated-harmonic load estimation method~~harmonic loads and their mapping to design load estimates used to evaluate design trade-offs ~~for energy capture and design loads presented in this article provides~~provide a potential middle ground for wind turbine system engineering tools. The method is more realistic than simple scaling rules and static estimates, but requires

less computational effort than full sets of DLC simulations and therefore allows for an initial optimization over a wider range of configurations.

13 Conclusions

In this article, we presented a method for estimating wind turbine power capture and structural loads, which uses the harmonic components of quasi-steady signals from aeroelastic simulations in FAST with a constant, sheared inflow. The power and load estimates are calibrated against the mapped to design loads from power producing design load cases and could be used for initial wind turbine system design or sensitivity analyses to model changes. We designed 42 different rotors with the goal of reducing the cost of wind energy through increased power capture and reduced capital expenditures. Power capture and structural loads are analyzed for blades longer than 100 m in both upwind and downwind configurations, with 2- and 3-bladed rotors, leading to an updated design, the SUMR-13B, with longer, more slender blades that align with industry trends. A series of detailed design studies was performed, with the following conclusions-:

- Low axial induction rotors using longer blades with smaller chord lengths can capture more energy while constraining peak operational blade loads.
- As rotor size increases, due to increasing blade mass, edgewise blade loading becomes a critical design-driving load and may ultimately constrain the size of wind turbine rotors.
- Downwind, coned rotors can significantly reduce peak operational blade loads, but capture less energy than rotors with lower cone angles.
- Downwind, coned rotors will experience slightly larger (about 15-25 %) peak main bearing loads than upwind turbines, but the effect is amplified with increasing blade length, mass, and cone angle.
- Peak yaw bearing and tower loads are not problematic for downwind rotors as long as the nacelle is properly balanced on the tower.
- 2-bladed rotors experience significantly greater loading on the non-rotating loads parts compared to 3-bladed rotors, unless a teeter hinge or individual pitch control is utilized. In these cases, the loading is comparable, but with a loss in power.
- 2-bladed rotors will require either speed avoidance control or a different tower design to avoid resonance with the 2P frequency of the rotor.

We believe that our model has provided future wind turbine designers with a method for more quickly analyzing design trade-offs, and our design studies can serve as a reference for future large rotor designs.

Data availability. The data from this study can be made available upon request.

Competing interests. The authors declare no competing interests.

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