

# Evaluation of the impact of active wake control techniques on ultimate loads for a 10 MW wind turbine.

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**Abstract.** Wind farm control is one of the solutions recently proposed to increase the overall energy production of a wind power plant.

A generic wind farm control is typically synthesized so as to optimize the energy production of the entire wind farm by reducing the detrimental effects due to wake-turbine interactions. As a matter of fact, the performance of a farm control is typically measured by looking mainly at the increase of the power production, properly weighted with the wind Weibull and rose at a specific place, and, sometimes, by looking also at the fatigue loads. However, an aspect which is rather overlooked in the literature is the evaluation of the impact that a farm control law has on the individual wind turbine in term of maximum loads and dynamic response under extreme conditions.

In this work, two promising wind farm controls, based respectively on Wake Redirection (WR) and Dynamic Induction Control (DIC) strategy, are evaluated at a single wind turbine level. To do so, a two-pronged analysis is performed. Firstly, the control techniques are evaluated in terms of the related impact on some specific key performance indicators (e.g. ultimate loads, maximum blade deflection, actuator duty cycle and annual energy production). Secondly, an optimal blade redesign process, which takes into account the presence of the wind farm control, is performed with the goal of quantifying the possible modification in the structure of the blade and hence of assessing the impact of the farm control on the Cost of Energy model.

## 1 Introduction and motivation

So far, the majority of the works devoted to wind farm control is aimed at evaluating the effectiveness of such techniques as means of power harvesting maximization. Among all, one can mention the methodologies based on wake steering (see Fleming et al. (2019); Gebraad et al. (2017, 2016)), steady axial induction (see Annoni et al. (2016)) and dynamic induction control, also called active wake mixing (see Munters and Meyers (2018, 2017)). When it comes to farm control synthesis, the energy production is typically viewed as the most significant merit figure, and seldom the fatigue loads on single wind turbine (WT) are considered within the control optimization problem (Bossanyi, 2018; Knudsen et al., 2015).

On the other hand, the quantification of the impact of wind farm control setpoint on turbine fatigue has been object of an extensive research in recent years, especially in relation to yawed operations (Cardaun et al., 2019; Ennis et al., 2018; White et al., 2018). All works suggested that the impact of misalignment depends strongly on ambient conditions (such as vertical shear and turbulence intensity).

Boorsma (2012) evaluated the fatigue loads of a 2.5 MW turbine operating in misaligned conditions using different aerodynamic models, and compared the results with field measurements. It was shown that the combination of vertical shear layer and misalignment may lead to increase or decrease of fatigue loads, depending on the sign of the yaw misalignment angle.

30 A similar study with similar conclusions, based on a dedicated and systematic test campaign on the field, is presented in Damiani et al. (2018), for a 1.5 MW turbine.

Zalkind and Pao (2016) analyzed the impact of wake redirection control in terms of fatigue loads considering a simple two-turbine cluster and a reference wind rose. The aggregate damage equivalent loads were computed from the actual yaw misalignment angles which the upstream turbine would experience for maximizing the total power of the cluster. In such an ideal case, the impact of wake redirection control resulted to be small due to the amount of time spent with no yaw misalign-  
35 ment.

Mendez Reyes et al. (2019) and Kanev et al. (2018) considered the problem of quantifying the fatigue loads of downstream turbines impinged by the wakes shed by upstream machines. Both studies concluded that impinging wakes are typically more detrimental in terms of loading than operating with yaw misalignment, and hence, that wake redirection is expected to reduce the overall lifetime fatigue thanks to the mitigation of rotor-wake interactions.

40 Finally, Frederik et al. (2020b) provided the first evaluation of the impact on fatigue of dynamic induction control, considering a reference 5 MW turbine model.

Despite the availability of such relevant results, the question over the actual impact of farm control on rotor design is still an open. In fact, while fatigue loads may increase or even decrease according to the farm layout, the ultimate loads or the maximum blade deflections, which typically come from extreme events, as gusts and/or faults, may significantly increase  
45 due to the different wind turbine operating conditions connected to the wind farm control. Ultimate loads, maximum tip displacements, as well as fatigue loads, participate together in the definitions of the constraints to which a machine is subject during the design phase. Hence, the possible increase in machine loading induced by wind farm control determines whether a turbine structure is to be re-designed, with an eventual increase of its mass and cost, or not.

This consideration is of paramount importance as the turbine design and the resulting CoE can be considered as the ultimate  
50 indicators of the effectiveness of a farm control strategy.

As expected the advantages (i.e. the increased power production at farm level) and the disadvantages (i.e. the possible increased loading at turbine level) of a farm control have to be combined to determine their impact in terms of cost of energy (CoE). In order to show this concept, one may consider the simple definition of the CoE of a single turbine, reported in Fingersh et al. (2006),

55 
$$\text{CoE} = \frac{\text{FCR} \cdot \text{ICC}}{\text{AEP}} + \text{AOE},$$

where FCR is the fixed charge rate, ICC the initial capital cost, consisting mainly in the turbine cost, and AOE the annual operating expenses (expressed per unit energy yield), which may include land or sea lease and operation and maintenance costs. Clearly, the AEP of a single turbine may be reduced or increased by the farm control according to the fact that a

60 machine operates mainly upstream or downstream. The sum of the AEPs of all turbines belonging to the farm increases for a farm control neatly designed. Besides that, the related effect on the turbine loading and, eventually, on ICC, is still to be carefully determined in order to find the final effect on CoE.

To do so, given a specific wind farm control technique, the component loading should be evaluated on a turbine level, so as to clarify if a generic turbine within a “controlled farm” would need a dedicated design and, consequently, evaluate the effect of the farm control in terms of ICC.

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The scope of this paper is twofold. First, to quantify the impact of two wind farm control strategies, i.e. wake steering and dynamic induction control, at turbine level through some indicators strictly connected to machine design, which ultimate loads and maximum blade tip deflection, computed according to the present Standards. To the best of the Authors’ knowledge, the implications of farm control in terms these indicators have never been addressed, hence this part of the work represents the major source of novelty of the paper. Second, in order to provide an insight in the impact of farm control on the cost of the rotor, an optimal blade re-design process is performed, which takes into consideration the most critical wind farm control law. A complete procedure for a wind turbine design should consider all the machines of a wind farm, of which therefore it is necessary to know the layout and the wind rose. This complex and site-specific procedure is out of the scope of this research activity and hence the parametric analyses will here focus only on the front-row wind turbine, i.e. the one where different wind farm controllers operate. Clearly, in a single farm, there is a subset of machines which see most of the time a clean flow, i.e. the outermost ones exposed according to the most probable wind direction, and another subset of turbines, the innermost ones, which sometimes see a waked flow. In this scenario, it is certainly interesting to evaluate a possible usage of partially customized or totally different turbines in a single farm, depending on the specific machine location. In such a case, the turbines proposed for the innermost farm locations may be characterized by more competitive designs thanks to the farm control. Although extremely interesting, this idea falls out of the scope of the present paper. Hence, the final design process presented in Sec. 4.4 supports this investigation providing a preliminary indication on the potential impact of farm controls on the rotor design of the front-row wind turbine, and hence directly on its ICC. Finally, in this work, all analyses are performed on the INNWIND.EU 10 MW wind turbine (DTU, 2012), which can be considered as a generic reference model for future machines proposed for the exploitation of on- and off-shore resources.

85 The present paper is organized as follows. Sections 2 and 3 deal with the explanation of the methodologies adopted to evaluate the impact of wind farm control on single wind turbine level. These sections include the description of the wind turbine used for the present analysis and its controller, of the multibody software used for the aeroservoelastic simulations and of the optimal rotor design tool. In Sec. 4, a sensitivity analysis on the effects of two wind farm control techniques (i.e. WR and DIC) is considered. Specifically, ultimate loads and maximum blade tip deflection are evaluated considering different setting of the control techniques (e.g. different yaw misalignment angles for wake redirection technique) in order to find the most impacting conditions for the turbine. Such sensitivity analysis is viewed as a preliminary step for the optimal blade design process which is described in Sec. 4.4. Finally, Sec. 5 concludes with a listing of the main findings and possible outlooks of the work.

## 2 Methodology

95 Having an overview of the effects that a wind farm control has on the single wind turbine and eventually quantifying its impact on the design of the rotor is not an easy task. In fact, when dealing with an entire wind farm, the problem of analyzing wind turbine performance becomes highly site specific because the inputs of the farm control will depend on many factors, such as the farm layout, the wind distribution and rose as well as the turbulence intensities. In such a scenario, deriving conclusions of general validity without focusing too much on a specific case is a difficult task.

100 Moreover, in the same wind farm, a turbine may act according to a farm control input (e.g. operates at a specific yaw misalignment angle to redirect its wake) and/or feel the effects of the control action performed by another machine, depending on whether it is up- or down-stream with respect to the wind direction. As a consequence, one should have to model all possible cases to have a global overview. This clearly poses some difficulties as the study would result to be again strongly dependent on the farm geometry and would lose, at least in part, its generality.

105 Last but not least, wind turbines are designed according to international Standards, which prescribe the computation of fatigue and ultimate loads in a certain number of conditions, e.g. for specific wind speeds and turbulence intensity levels. Hence, the impact of a farm control, **in this work**, has been evaluated through those existing Standards specifications. Although adhering to Standards poses some limitations to the analyses, e.g. fatigue is verified only for a single shear layer exponent and for specific combinations of wind speed and turbulence intensity, it is only through such an approach that one can evaluate the  
110 “practical” impact of wind farm control on turbine design. Unfortunately, regulations, in their current status, do not consider yet the fact that a turbine may operate out of the design conditions according to a farm control.

This discussion highlights the fact that there are three critical issues in this study which should be neatly addressed: site-dependency of the problem, if and how to consider the effects of wind farm control on downstream machines and the inclusion of the farm control within the Standards.

115 To overcome these points, in this work, the analyses have been conducted as sensitivity studies, in which the effects of the wind farm control are considered as functions of some important wind farm control parameters. Hence, the study of the wake redirection was carried out for different values of yaw misalignment, whereas that of the DIC for different frequency and amplitude values of the pitch oscillation. From this point of view, such a sensitivity analysis can be even used as input for the synthesis and fine tuning of a farm control by reducing its authority in conditions which could be critical from the loading  
120 side. For example, since a turbine operating in yawed conditions may be more exposed to extreme events (i.e. gusts or extreme shear), it could be interesting to bound the operational range of the controller within certain values of misalignment, in order to limit the increase of the driving loads. Otherwise, the amplitude and the frequency of the pitch motion for dynamic induction control can be chosen also by looking at the effect of fatigue and actuator duty cycle. This possibility, although interesting, is not considered in this paper, as it is out of its scope, and will be further investigated as a follow-up of this work.

125 Dealing with the effects of the farm control on downstream machines, it is important to stress the fact that real turbines typically operate in a farm and are certainly influenced by wake interaction phenomena. Despite that, when a wind farm comes to its end of service, most turbines still have some residual life to exploit (Ziegler et al., 2018). For this reason, even if wake

interactions represent a significant source of loading, as demonstrated in some recent publications (Mendez Reyes et al., 2019; Kanev et al., 2018), one could conclude that modern design procedures and regulations are adequate to guarantee safe wind turbine operations even in presence of normal wake impingement events. Accordingly, the analyses conducted in this work refer only to the upstream turbine, i.e. the one which performs an action according to the control of the farm; an aspect which is actually not considered in current design procedures. Moreover, in order to simplify the treatment, the work of this paper considered only a wind speed-dependency of the control and imposed as limit for its activation 15 m/s no matter of TI.

The next sections will show that, even if only the front wind turbine, e.g. the one which operates the wind farm control out of the wakes of other turbines, is investigated, the design loads, coming from extreme events, may increase under these wind farms controllers. Clearly this assumption, e.g. not to consider the inner wind turbines, does not make it possible to determine how much the loads could increase when considering the "internal" wind turbines. However, the investigation of these conditions is strictly dependent on the wind farm layout and the wind rose, and is beyond the scope of this work.

To further investigate the effect of these wind farm controls, in the last section is presented a state-of-the-art procedure in which the rotor blades have been redesigned with the DIC algorithm enabled. The goal of this last analysis is to quantify the changes in internal blade geometry required when the wind farm control is operating on the wind turbine itself. The subsequent increase in mass, and thus blade cost, is, in fact, an important and direct indicator of the impact of the wind farm control as discussed in the previous section.

### 3 Analysis and design framework

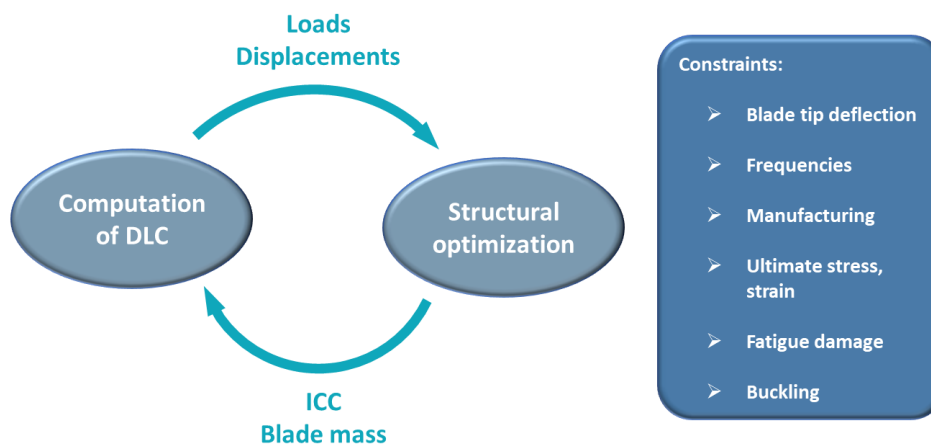
In this work we use the INNWIND.EU 10 MW wind turbine (DTU, 2012) as a reference for all parametric analyses and design activities. All dynamic simulations are run by our in-house multibody solver  $C_p$ -Lambda (Bottasso and Croce, 2009–2018; Bottasso et al., 2006). This tool allows to model the flexibility of blades, tower and shafts through a 1D geometrically exact beam model (Bauchau, 2011) coupled to full  $6 \times 6$  mass and stiffness matrices. Aerodynamics is rendered via the classical BEM theory with hub- and tip-losses and tower shadow. First and second order dynamical models are employed to include respectively generator and pitch actuator dynamics.

The control of the turbine in operating conditions is managed by the CL-WINDCON standard controller (CL-Windcon, 2016–2019; IK4 Research Alliance, 2016), while non-operating conditions like faults, startups, shutdowns and parking are all managed by the *POLI-Wind Supervisor* (Riboldi, 2012), that also supervises the transitions between different operating states to ensure a smooth variation of the control variables.

The design and optimization activities are run by  $C_p$ -Max, a tool for the integrated design of wind turbines jointly developed by Politecnico di Milano and the Technische Universität München. A detailed description of the algorithm is provided by Sartori (2019) and by Bortolotti et al. (2016), together with a range of design applications focusing on the development of next-generation wind turbines.

Thanks to its multi-level architecture,  $C_p$ -Max is able to both optimize the general features of the turbine (rotor diameter, tower height, tilt and cone angle) and to conduct specific optimization of the turbine subcomponents like blades, tower and generator.

This double capability is achieved through the coupling of a Macro Design Loop (MDL) and several design submodules. In this work, we only use the Structural Design Submodule (SDS), whose workflow is shown in Fig. 1.



**Figure 1.** Architecture of the Structural Design Submodule (SDS).

The purpose of the SDS is to manage the structural design of the rotor through a dedicated optimization in which the thicknesses of all structural components are optimized to minimize the turbine ICC. As shown in the Figure, the structural optimization is conducted through a multi-steps procedure: initially, an arbitrarily large set of Design Load Cases (DLCs) is computed with  $C_p$ - $\Lambda$  to extract the driving loads and displacements from fully-resolved aeroelastic simulations. Once loads and displacements are computed, the structural optimization begins and the internal thicknesses are modified until a converged solution is found. Then, if the optimal blade mass is different from the initial, the whole process (DLC + structural design) is repeated, so that the design can always account for the updated load spectra. Along this process, structural integrity constraints are enforced according to international certification guidelines. Those account for maximum deflections, stiffness, strength, possible manufacturing limitations, fatigue and buckling.

Given the complexity of the problem at hand, any design-oriented activity should be planned out carefully as to identify the best trade-off between modelling accuracy, computational effort and design scope. In this view, we introduced some design assumptions in order to find a good balance between scope and CPU time. Our first choice was to limit the redesign effort to the optimization of the blade structure. In fact, although wind farm controllers may impact other aspects of the design, it is reasonable to expect that they significantly affect the driving loads, with important implications on the rotor structure. As a consequence, the macro parameters of the wind turbine like the rotor radius and tower height have not been modified through this activity. Similarly, the aerodynamic shape of the rotor has been kept constant and coherent to that of the baseline. It must be noticed that, by introducing this scope limitation, it was possible to include a large set of fully-resolved DLCs directly in the design, without the need to adopt any simplification on the load spectra. In particular, it was possible to use the set of DLCs

listed in Table 1, defined according to the chosen standards IEC 61400-1 Ed.3. (2004). The entire set includes about 130 load cases, for a total computational time of 26~28 hours on a common desktop.

**Table 1.** Definition of the DLCs considered in the analyses.

DLC	Wind Type	Wind speed	Horizontal Misalignment	Fault	Safety Factor	Performance indicator
1.1	NTM	$V_{in} : V_{out}$	-	-	1.35	Ultimate
1.2	NTM	$V_{in} : V_{out}$	-	-	1.0	AEP, ADC, Fatigue
1.3	ETM	$V_{in} : V_{out}$	-	-	1.35	Ultimate
1.4	ECD	$V_r, V_r \pm 2, V_{out}$	-		1.35	Ultimate
1.5	EWS	$V_r, V_r \pm 2, V_{out}$	-		1.35	Ultimate
2.1	NTM	$V_{in} : V_{out}$	-	Grid Loss	1.35	Ultimate
2.2 PF	NTM	$V_{in} : V_{out}$	-	Pitch Freeze	1.35	Ultimate
2.2 PR	NTM	$V_{in} : V_{out}$	-	Pitch Runaway	1.35	Ultimate
2.3	EOG	$V_r, V_{out}$	-	Grid Loss	1.1	Ultimate
6.1	EWM	$V_{ref}$	-8 : 8 deg	-	1.35	Ultimate
6.2	EWM	$V_{ref}$	-180 : 180 deg	Grid Loss	1.1	Ultimate
6.3	EWM	$V_{ref}$	-20 : 20 deg	-	1.1	Ultimate

#### 4 Sensitivity analysis about the effects of wind farm control on turbine level

The Standards require a turbine to be designed under a full list of design loads cases which include normal operative conditions, as well as situations where the machine undergoes extreme events or faults, and also cases in which the turbine is parked. Clearly, according to the specific case, we can either reasonably consider or completely exclude that the turbine may operate in a wind-farm-controlled regime.

For example, it may happen that, for a specific turbine and for a specific sub-component, the related ultimate load, noted  $U^*$ , comes from a case in which the wind farm control is not active (as for the DLC6.n in parked conditions). In this case, it is possible and foreseeable that the wind farm control may entail a general increase of machine loading, but if such increase is not enough to exceed  $U^*$ , the wind farm control has not any effect on that load.

Along with the list of DLCs, it is necessary to select the range in which the wind farm control is active. Clearly, the activation of the wind farm control is based on the specific implementation of the control scheme, and may depend on wind speed, turbulence intensity, geometry of the farm and even on combinations of the previous factors. In this very complex scenario, in order to simplify the analysis the farm control is considered active only in a range of wind speeds (i.e. up to a given speed), no matter of the turbulence intensity or other factors.

## 4.1 Evaluation of the impact of wake redirection technique

In this Section the effects of the wake redirection control on wind turbine loads and blade deflections are investigated.

200 The wind farm control based on the wake redirection technique consists in yawing an upstream turbine by a specific amount in order to deflect its wake out of one or more downstream turbines ( Fleming et al. (2019); Gebraad et al. (2017, 2016)). Within such a wind farm control scenario, while the upstream machine experiences a loss of power, due to the wind misalignment, the downstream ones produce more power thanks to a reduced wake impingement.

205 In this work, the turbine misalignment is reproduced in the simulations by rotating the nacelle. Positive angles are associated to counterclockwise rotations of the nacelle viewed from above. Hence, the turbine experiences positive yaw misalignment when the wind is coming from the right side, for an observer sitting on the hub and looking at the wind. In the reference configuration (i.e. for a null yaw angle) the wind is assumed to blow from North to South and, accordingly, the nacelle is oriented towards North.

The study is then carried out as a sensitivity analysis, where the effects of different steady yaw misalignment between -30 and 30 degrees on turbine fatigue and ultimate loads have been evaluated.

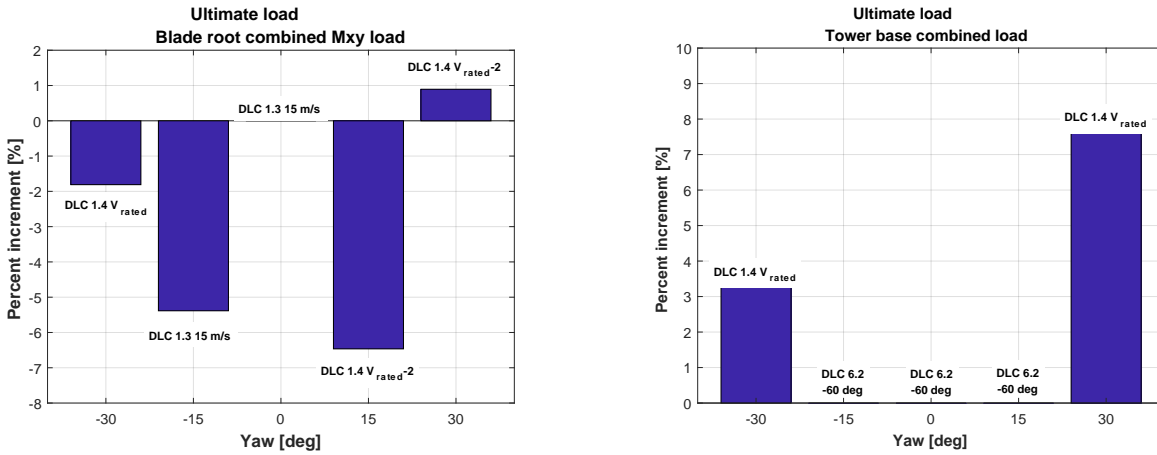
210 The 10 MW INNWIND.EU model, implemented through the software Cp-Lambda, was subjected to the full list of DLCs described in Tab. 1. As already explained in Sec. 1, DLC6.n series was simulated only for the reference turbine (i.e. without misalignment), whereas DLC1.n and 2.n for reference and for other four different yaw misalignment angles ( $\pm 15$ ,  $\pm 30$  deg).

215 Once all load conditions have been calculated for each yaw angle, it is possible to extract the maximum loads for each wind turbine sub-component, having previously considered the relative safety factor as defined in Table 1. As explained above, the DLC6.n are computed only for the baseline case, but are included also for the other angles. As an example of this analysis, figure 2 shows the ultimate load increment at different yaw angles for the blade root combined moment (left) and for the tower base combined moment (right). The text above each bar indicates the DLC which has generated such maximum loads. In terms of blade, the effect of yaw misalignment is limited with just a small increase of about 1% at 30 deg. Loading on tower seems to suffer a bit more at high misalignment with an increase of 7.5% at 30 deg, while at lower angles it does not change because caused by the parking conditions DLC6.2.

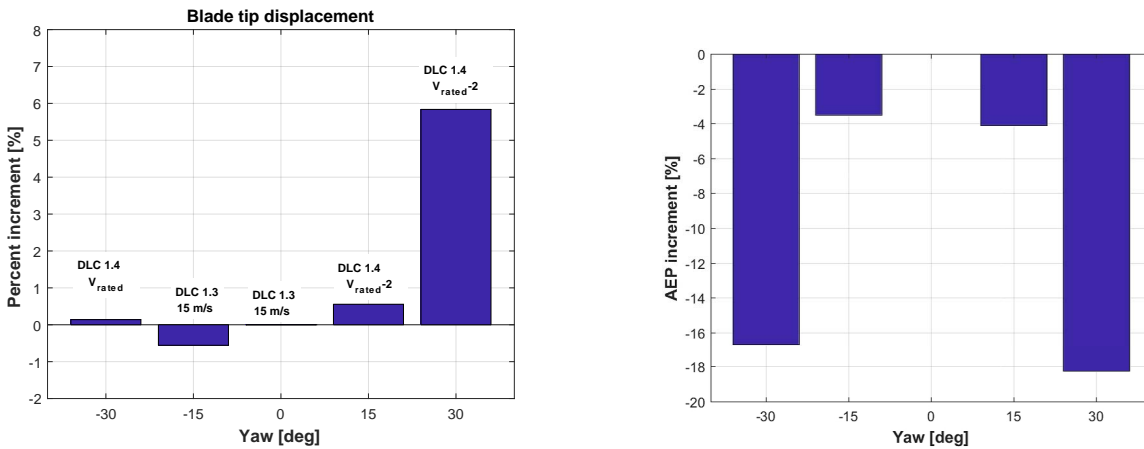
225 The analysis of blade tip displacement, on the other hand, shows an increase of tip displacement up to 6% as shown in Fig. 3 on the left. This increment, although apparently small, deserves a special attention. In fact, often, the blade design is constrained by the maximum tip deflection which is to be bounded in order to avoid dramatic blade-tower collision. Since the maximum tip deflection typically enters in the design process as a constraint, it is possible that even a small increment of this value may lead to a violation of this constraint and in turn to the need of a blade redesign. In this specific case, this maximum blade deflection has been obtained during the DLC1.4, i.e. during the ECD gust (*Extreme Coherent gust with Direction change*), where an increase of the wind speed is coupled with a direction change. This combination causes the yawed rotor to experience a much higher axial wind when the rotor itself is tilted in the direction the gust is coming from.

230 From the same analysis, and in particular from DLC1.2 it is possible to estimate other Key Performance Indicators (KPIs). For instance, Fig. 3 on the right shows the AEP reduction for the different yaw angles. As one can see, the single wind turbine





**Figure 2.** Comparison of ultimate loads. Left: blade root combined moment. Right: tower base combined moment.



**Figure 3.** Comparison of maximum blade tip displacement (left) and of Annual Energy Production (AEP) (right).

may experience a loss up 18% at -30 deg. This result is already known to the scientific community and is generally balanced by the increase in power of the downstream wind turbine(s). In terms of actuator duty cycle, estimated in these DLC1.2 simulations, the wake redirection does not seem problematic. In fact, when a turbine operates in yawed condition, it experience a lower axial wind. This makes the turbine working in below-rated region, with limited pitch activity, for a higher amount of time. A quantitative evaluation shows a decrease of 41% in ADC for a misalignment of 30 deg.

Finally, DLC1.2 can be used to estimate fatigue loads. Even if these are not the goal of this work, and their estimation require a deep analysis, where one also has to consider the inner wind turbines, the wind farm layout, etc., we present here the direct effect of the wind farm controller on this first-row wind turbine, i.e. the yawed one. The plot on the left of Fig. 4 shows the blade root flap-wise DEL increment associated to the wake redirection for different yaw angles. These DEL loads have been

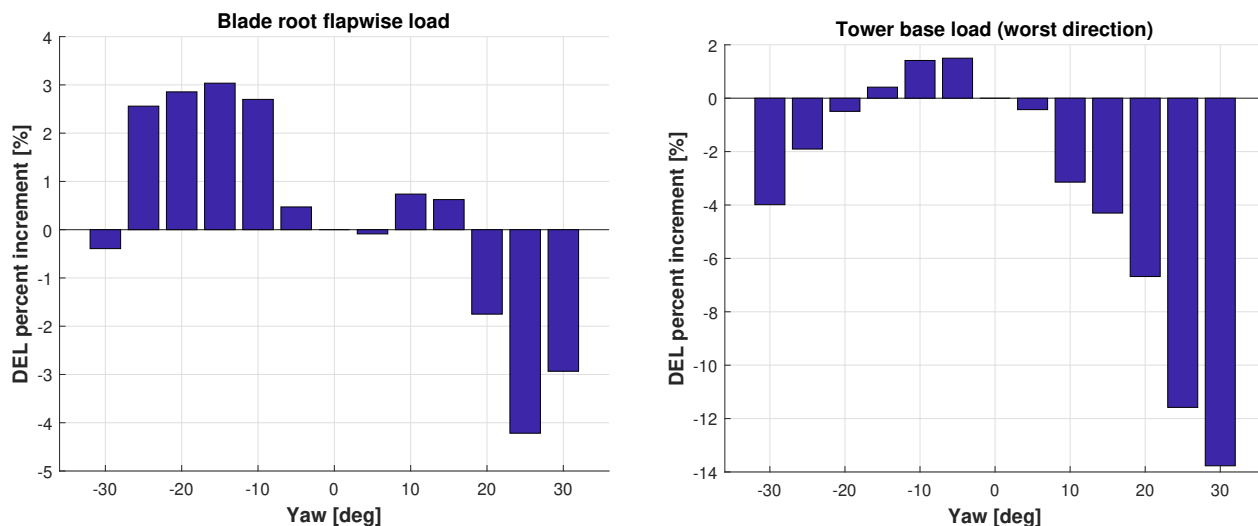
240 computed in power production with turbulent wind from the cut-in to the cut-out wind speed (DLC1.2) and then weighted with the Weibull probability function for a class IA (IEC 61400-1 Ed.3., 2004). In this analysis, and in the following ones, an equivalent frequency corresponding to 10M cycles in 20 years has been considered. Moreover, the following inverse SN-curve slope (i.e. Wöhler exponent) values have been considered:  $m = 10$  for the composite blades and  $m = 3$  for the steel tower. It's important to stress here that only for wind speed lower than 15 m/s the wind farm controller (i.e. the wake steering) is active,

245 so that at higher wind speeds there is no difference between the baseline and the wind-farm-controlled ones. As a side remark, limit the activity of the farm control to 15 m/s is reasonable for controllers aimed at maximizing the power production. It is however possible to have a farm control activity also beyond this wind speed for downstream fatigue mitigation, as proposed in Urbán et al. (2018). The same figure hence shows that the overall effect of the yaw misalignment on the cumulated DEL is limited, with an increase of slightly more than 3% at  $-15$  deg. The reduction experienced in most of the positive misalignment

250 range is due to the coupling between vertical shear layer and lateral flow velocity induced by yaw misalignment. In fact, vertical shear layer increases the blade loads when it is upward and decreases them when downward, generating a load oscillation at the rotor frequency. A lateral component of the wind is similarly responsible for oscillating loads, but with the difference that the increment may occur when the blade is upward or downward depending on weather the misalignment angle is positive or negative. As a consequence, the impact of yaw misalignment may be summed up to or subtracted from the one of the shear

255 layer. This behaviour is not new, as was also analyzed, for instance, in (Boorsma, 2012; Ennis et al., 2018).

The plot on the right of Fig. 4 shows the increment in the maximum directional DEL for tower base as function of the yaw angle. **In this case these DELs have been computed looking for the worst direction as typically done for axial-symmetric structures.** Again, operating in yawed condition (for wind speed below 15m/s) does not seem critical in terms of fatigue for the tower base.



**Figure 4.** Comparison of blade root flap-wise DEL (left) and tower base maximum directional DEL (right).

260 By looking at these results, one may also conclude that avoiding operating at very high misalignment (i.e. at 30 deg) may be beneficial. In fact, up to  $\pm 15$  deg, the increment of **ultimate loads and blade deflections** seem essentially limited. It is important to stress that the sensitivity analysis shown in this section allows also the designer to estimate the extreme parameters of this wind farm control technology to be applied to already existing wind farms. These limits are defined by the design loads of the single wind turbine: the wind farm operators may apply this farm controller as far as the loads induced by this technology do not overtake the design ones.

## 4.2 Evaluation of the impact of dynamic induction control

### 4.2.1 Review of dynamic induction control

Another interesting means of increasing the total wind farm power consists in the so-called Dynamic Induction Control (DIC). Specifically, the upstream wind turbine, when its wake impinges on a downstream machine, modulates the thrust in a periodic way. The modulation can be performed by pitching collectively or cyclically the blades at a specific frequency or by changing the rotor speed. Clearly, the most effective action is to enforce a Periodic Collective Motion (PCM) of the blade pitch angles. The effect of the PCM is to dynamically vary the induction of the rotor and, hence, to increase the mixing level inside the wake. The wake itself results to be energized by such fluctuating induction and recovers in a faster way. This technique was recently studied through CFD simulations (Munters and Meyers, 2017, 2018) and validated in a scaled wind tunnel experimental campaign (Frederik et al. (2020b)).

The DIC technique studied here is a pure PCM at a single frequency, as described by

$$\beta_{\text{PCM}} = A_{\text{PCM}} \sin(2\pi f_{\text{PCM}} t + \varphi_{\text{PCM}}), \quad (1)$$

where  $\beta_{\text{PCM}}$  is the pitch setting imposed by PCM to be summed up to the pitch of the trimmer,  $A_{\text{PCM}}$  is the related amplitude,  $f_{\text{PCM}}$  the frequency,  $t$  the time and  $\varphi_{\text{PCM}}$  the possible phase.

280 Despite the limited number of studies devoted to PCM, especially if compared with the amount of literature available about wake redirection technique, it is already possible to highlight some important concepts:

- PCM seems effective in increasing the total wind farm power output by some percent, as demonstrated by both simulations and wind tunnel experimentation.
- The increase in wind farm power depends strongly on the amplitude and frequency of the rotor thrust variation.
- Rather than in terms of frequency  $f$ , the effect of the PCM technique is to be viewed in terms of the dimensionless Strouhal number  $S_t$ , defined as

$$S_t = \frac{f_{\text{PCM}} D}{U_{\infty}}, \quad (2)$$

being  $D$  the rotor diameter and  $U_{\infty}$  the undisturbed wind velocity.

– The optimal Strouhal number was found to be 0.25 in CFD simulation (see Munters and Meyers (2018)), whereas in  
290 wind tunnel it was possible to verify significant power increases in a wider range between 0.17 and 0.45 (see Frederik  
et al. (2020b)).

#### 4.2.2 Effect of PCM amplitude and Strouhal number on turbine loading

In order to perform a detailed analysis concerning the ultimate loads, different combinations of amplitude  $\beta_{\text{PCM}}$  and Strouhal  
number  $S_t$  were considered: the range in amplitude was set between 1 and 4 deg, whereas the range of Strouhal between 0.2  
295 and 0.5, according to the findings of an experimental campaign in wind tunnel (see Frederik et al. (2020b)).

It was primarily observed that the phase of the oscillation  $\varphi_{\text{PCM}}$  is of paramount importance. Consider for example the set  
of DLCs including extreme conditions. By chance, it is possible that the particular extreme event, like a gust or a fault, occurs  
at a time in which the PCM control is increasing the collective pitch, thereby reducing the rotor loading. In this case, the peak  
of the load involved by the extreme event could be smoothed. Conversely, if the extreme event had occurred in correspondence  
300 of a decrease of the collective pitch, the effect of the control would have been that of increasing the load. This is due to  
the difference between the characteristic time of these extreme events (a few seconds) with respect to the PCM period (tens  
of seconds). For this reason, in order to find the worst case, i.e. that condition which maximizes the increase of the load, 8  
different 45-deg-spaced phases have been considered. Consequently, the full set of DLCs in Table 1 were simulated, for each  
couple of amplitude-Strouhal, eight times, by varying the phases  $\varphi_{\text{PCM}}$ .

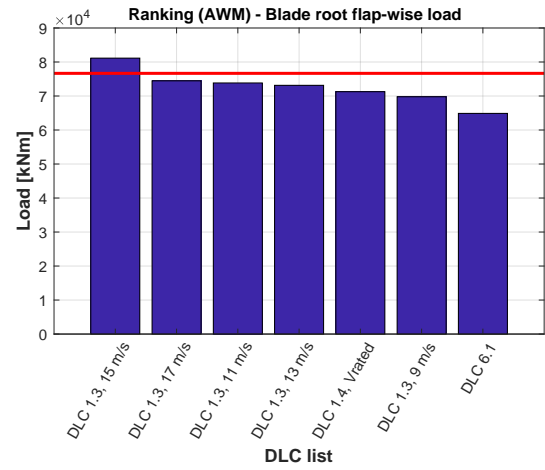
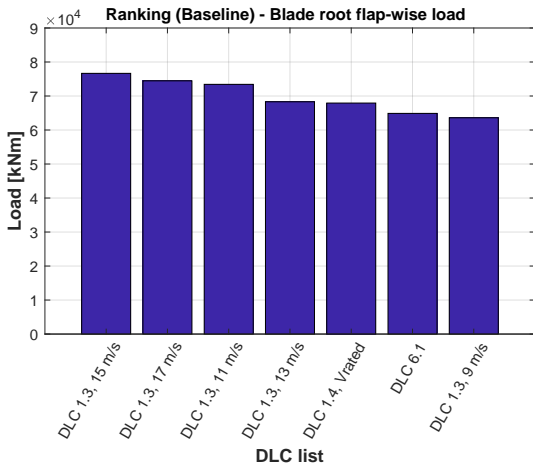
305 **Following the same analyses of Section 4.1, i.e. extracting for each combination of Strouhal number and pitch amplitude,  
the maximum loads in the wind turbine sub-components, it is possible to quantify the effects of this control technique.**

Figure 5 shows the ranking of DLC generating the highest peak load (including the related safety factor as reported in Tab. 1)  
for blade root flap-wise moment without PCM (left) and with a PCM control characterizing by  $S_t = 0.5$  and  $\beta_{\text{PCM}} = 2$  deg  
(right). The ultimate loads is generated in a DLC1.3 (extreme turbulence conditions) at 15 m/s and the PCM has the effect of  
310 increasing this load of about 6%.

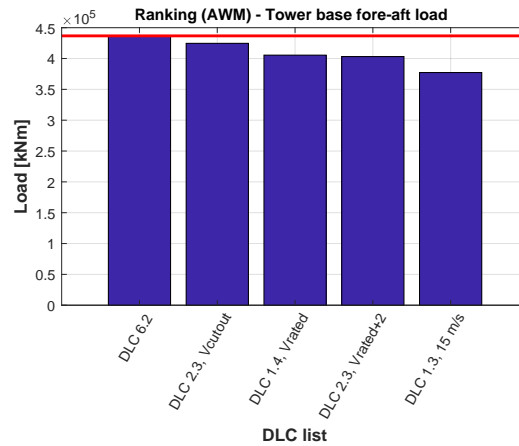
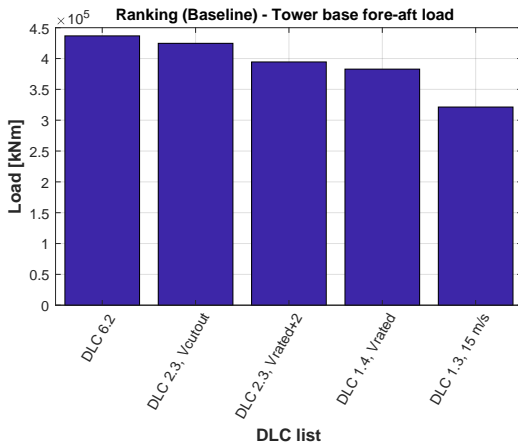
A different situation is experienced for tower base combined load, reported in Fig. 6. The two subplots are organized as in  
the previous case. The first place in the ranking is taken by a case in which the PCM is not active, DLC6.2 (right plot). When  
the PCM is active (right plot), the DLC1.4 at  $V_r$  increases its position in the ranking, from the 4<sup>th</sup> to the 3<sup>rd</sup> but it is not enough  
to get to the first position. From this discussion one can conclude that the effect of PCM is null in terms of tower base fore-aft  
315 ultimate load.

Figure 7 shows the percentage increment for different combinations of Strouhal number and amplitude for blade root com-  
bined moment (up left) and tower base combined moment (up right) and hub combined moment (bottom). Above each bar,  
a text indicates the DLC which has generated that maximum load. Significant increases are only associated to blade loads,  
whereas hub and tower result to be not affected by PCM.

320 A sensitivity analysis was also conducted so as to compute the variation of maximum blade tip displacement. An increase  
up to 12% is measured for  $\beta_{\text{PCM}} = 4$  deg, as shown in Fig. 8. This indication is extremely important as the maximum tip  
deflection typically enters as an active constraint into the design of the blade, affecting the thickness of its structural elements,



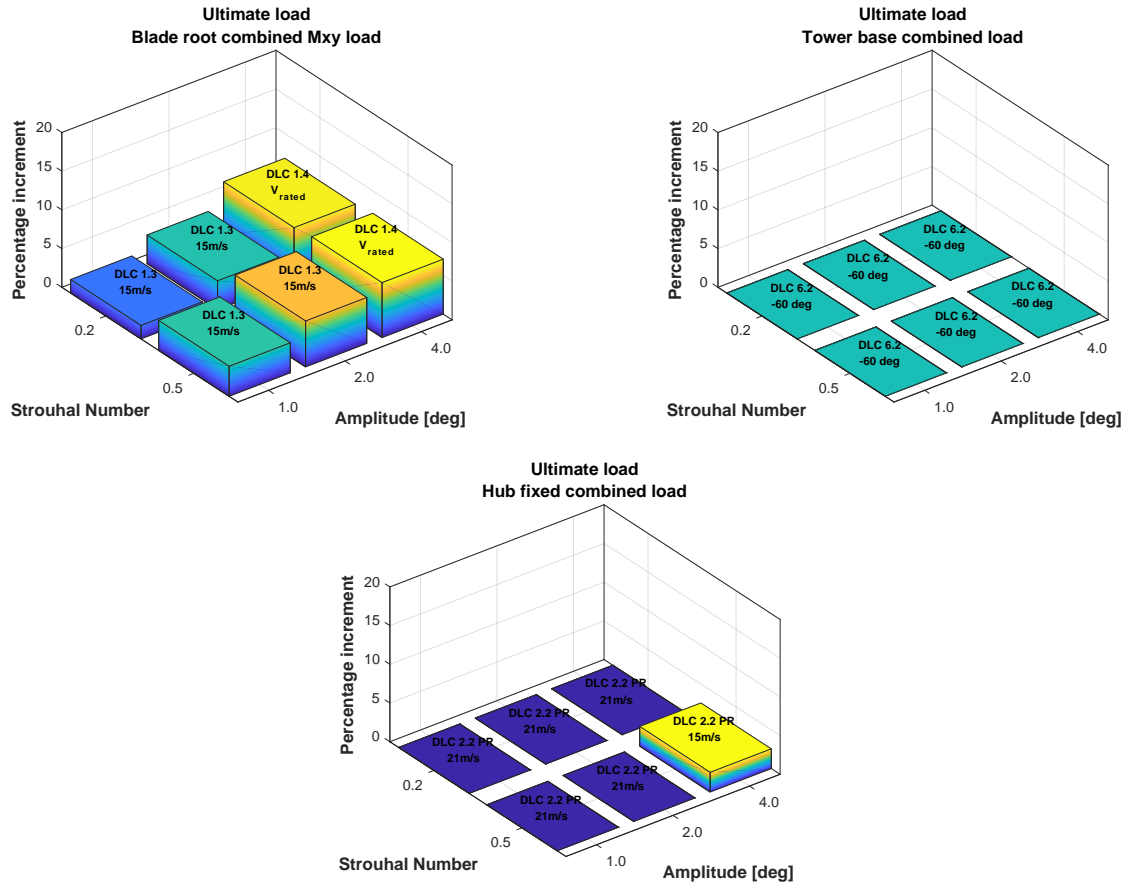
**Figure 5.** Ranking of ultimate loads for blade root flap-wise load for baseline, right, and PCM, left, in the case of  $S_t = 0.5$  and  $\beta_{PCM} = 2$  deg. Red line: baseline ultimate load.



**Figure 6.** Ranking of ultimate loads for blade root flap-wise load for baseline, right, and PCM, left, in the case of  $S_t = 0.5$  and  $\beta_{PCM} = 2$  deg. Red line: baseline ultimate load.

as already seen for the wake redirection technique. If one excludes the highest PCM amplitude (i.e. 4 deg), the maximum tip deflection increases only of 3% which may correspond to a lower impact on blade design.

325 From DLC1.2 it is possible to estimate AEP, ADC and fatigue loads, again under the assumption that PCM is active only below 15 m/s. The loss of AEP of the upstream wind turbine results very low. As example, the case of  $\beta_{PCM} = 2$  deg and  $S_t = 0.5$  is associate to a loss of AEP equal to 0.5%. On the other hand, considering a higher amplitude,  $\beta_{PCM} = 4$ , the decrease of AEP results 2.2%.



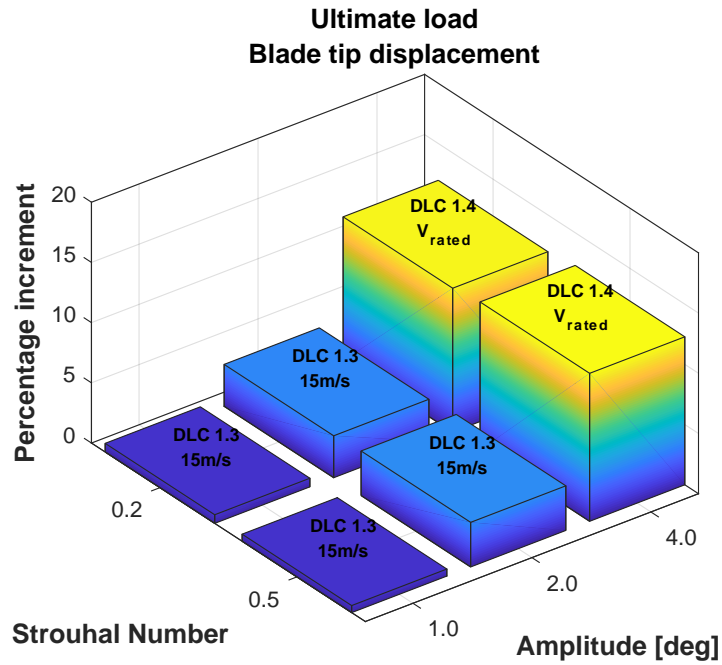
**Figure 7.** Maximum load increases as function of amplitude and Strouhal number. Up-left: blade root combined moment. Up-right: tower base combined moment. Bottom: hub combined moment.

Dealing with the Actuator Duty Cycle (ADC), the effect of PCM is way more significant. In particular, considering  $S_t = 0.5$ , the increase of the ADC results equal to 77% and 143% respectively for  $\beta_{PCM} = 2$  and  $\beta_{PCM} = 4$ .

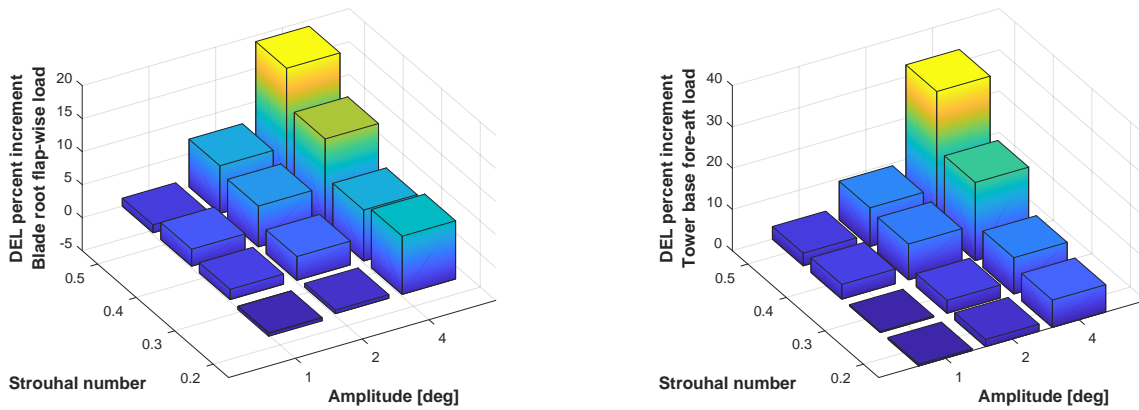
Finally, figure 9 shows the percentage DEL increment for the different combination of Strouhal number and amplitude for blade root flap-wise (left) and tower base (right). As expected, the highest increases are associated to larger pitch amplitudes and Strouhal numbers.

For both blade flap-wise and tower base, the effects are significant and may amount to 20% and 30%, respectively, in the worst cases ( $\beta_{PCM} = 4$  and  $S_t = 0.5$ ). However, if one excludes the highest amplitude limiting the authority of PCM to 2 deg, the detrimental effect of PCM in terms of fatigue seems acceptable, being equal to about 10% for both loads.

Particular attention should be devoted to the impact of PCM on downstream rotor loading. The change of thrust, in fact, results in a higher in-wake velocity, but also creates a low frequency flow travelling downwind. The impact of such a pulsating flow with downstream machines can be significant in terms of turbine loads and aero-servo-elasticity. This particular study,



**Figure 8.** Blade tip maximum displacement increase as function of amplitude and Strouhal number.



**Figure 9.** Weibull weighted DEL increases as function of amplitude and Strouhal number. Left: blade root flap-wise moment. Right: tower base F-A moment.

340 out of the scope of the present paper, is currently under investigation, and preliminary results are available in Cacciola et al. (2020).

### 4.3 Comparison between periodic collective motion and wake redirection for the 10 MW INNWIND.EU turbine

To summarize the previous results, Table 2 shows a comparison between the worst cases of WR control and PCM for this 10 MW INNWIND.EU turbine.

**Table 2.** Comparison of worst cases (PCM vs WR)

	PCM		WR
	$A_{PCM} = 2 \text{ deg}$	$A_{PCM} = 4 \text{ deg}$	
Ultimate blade root combined	+5.9 %	+7.1 %	+1.0 % (at 30 deg)
Ultimate tower base combined	0 %	0 %	+7.9 % (at 30 deg)
Ultimate hub combined	0 %	2.5 %	0 %
Max tip deflection	3 %	12.3 %	5.8 % (at 15 deg)
AEP	-0.5 %	-2.2 %	-18.4 % (at 30 deg)
ADC	+77 %	+143 %	-41 % (at 30 deg)
DEL blade flap	+7.2 %	+19.9 %	+3.5 % (at 30 deg)
DEL tower base fore-aft	+9.4 %	+32.9 %	+0.7 % (at 30 deg)
DEL hub	+1 %	+1 %	$\approx 0$ % (at 30 deg)

345 At first sight, PCM control with amplitude of 4 deg appears to be extremely impacting in terms of ultimate loads and blade deflections, with an increase of more than 10% in the maximum tip displacement which could be considered excessive, at least for the present machine whose design is constrained by this value. Excluding the previous case, the impact in terms of fatigue, computed for the first-row wind turbine, appears limited for both control techniques, especially if one considers the assumption that the wind farm control is always active no matter of the wind direction and TI. As noted in Zalkind and Pao (2016), in  
 350 realistic farms, the amount of time spent with non-null inputs coming from the farm control layer can be rather small, yielding an even lower impact on fatigue.

If one considers, on the other hand, only the PCM with amplitude of 2 deg, the impact of PCM and WR becomes comparable, even though the latter seems less impacting especially for fatigue. In terms of ultimate loads, PCM has a higher impact on blade loads and null on tower, while the opposite happens for blade redirection.

355 Maximum tip deflection needs a special attention as both control techniques entail a significant increase in this quantity. In fact, for a typical glass-fiber blade, the blade-to-tower clearance is often an active constraint of the structural design (Sartori, 2019; Bortolotti et al., 2019; Sartori et al., 2020). Moreover, in this case the load case resulting in the ultimate displacement is DLC1.4 referring to an ECD, that is a deterministic wind case. This suggests that an ECD may happen regardless of the turbulence intensity which justifies, at least for the present study, the initial choice of neglecting a dependency between the TI  
 360 and the activation of the wind farm controller.



#### 4.4 Evaluation of the impact of wind farm control on rotor design

In the previous sections, different wind farm control strategies have been analyzed with the aim of computing the related effect on wind turbine ultimate loads, as well as on other important design parameters, such as the maximum blade deflection and actuator duty cycles. The performed analyses showed that WR and PCM have an impact on ultimate loads, especially on the maximum tip deflection, which is a typical design driver for blades (i.e. a maximum blade tip displacement is severely enforced in the design process in order to maintain a suitable clearance between blades and tower).

For this reason, this Section on the design can be considered as the subsequent step with respect to the previous sensitivity analyses. The goal here is to quantify the possible modifications on the structural design of the blade when the wind farm control is considered. Possible increase in blade mass and turbine cost will be considered as concise indicators of the impact of wind farm control on blade design. Since the focus of this study is on the macroscopic impact of wind farm controllers on the design rather than to provide a fully-feasible structural layout, we limited our analysis to the aero-elastic optimization loop of Fig 1. Moreover, in order to perform a neat comparison where the effects of the sole wind farm control are highlighted, all redesigned rotors should be "optimal", in the sense that they should be all coming from an equal optimal design process characterized by the very same cost function and constraints, otherwise, it would be impossible to split the effects of the wind farm controller from those of the specific optimization strategy in the final comparison. To this end, the reference INNWIND.EU 10 MW wind turbine is firstly redesigned with  $C_p\text{-Max}$  following the procedure described in Sec. 3, yielding the "baseline" rotor. Then, the baseline configuration will be updated by the same optimization process but including this time the presence of the wind farm control.

Fatigue loads deserve also here a special attention. It's well known that fatigue loads are fundamental for the design of a wind turbine and hence are included in the design on the "baseline" rotor according to the Standards, thus obtaining a blade whose sub-components (especially reinforcements and shear webs) are also sized for fatigue. When one has to consider also the wind farm controllers, as discussed in the previous sections, these fatigue load analysis depend heavily on the wind direction, on the TI, etc.. Moreover, the above sensitivity analyses computed on the front-row wind turbine under the hypothesis the wind farm control is always active, have showed that the impact of these fatigue loads appear limited. In realistic farms, the amount of time spent with non-null inputs coming from the farm control layer can be rather small, yielding an even lower impact on fatigue. For these reasons, in this re-design of the rotor, the fatigue analysis is also simplified and the fatigue loads, usually included in the  $C_p\text{-Max}$  environment, are kept frozen and equal to those of the baseline case. Again, this approach allows for better highlighting of the impact on just the ultimate loads generated by the wind farm controllers on the rotor design.

The design process of the baseline generated an optimal solution compliant to all optimization constraints, with a structure mildly different with respect to the nominal INNWIND.EU. Hence, for the sake of brevity, the related detailed analysis is not reported in this manuscript. For the same reason, only the PCM, characterized by  $S_t = 0.5$  and  $\beta_{PCM} = 2$  deg, as been considered here, while in Sartori et al. (2020) is reported a rotor design comparison which includes also the WR control.

The structural optimization was then repeated by taking into account both the standard DLCs set from Table 1 and all the DLCs in which the turbine is controlled with the PCM. Different phase angles of the PCM were included in the ultimate

395 loads/displacements analysis. Once again, the entire set of DLCs was re-computed at each structural iteration to make sure that, as the structural design evolves, the loads are updated accordingly.

The main results of the redesigned rotor with the PCM are summarized in Table 3. As shown, the introduction of the wind farm controller leads to an overall deterioration of all key performance indicators. It must be stressed, however, that all indicators refer to the individual, front-row, turbine as the current release of  $C_p$ -Max implements a turbine-specific cost model and a proper assessment of the impact of the PCM on the cost of energy should be evaluated at wind farm-level.

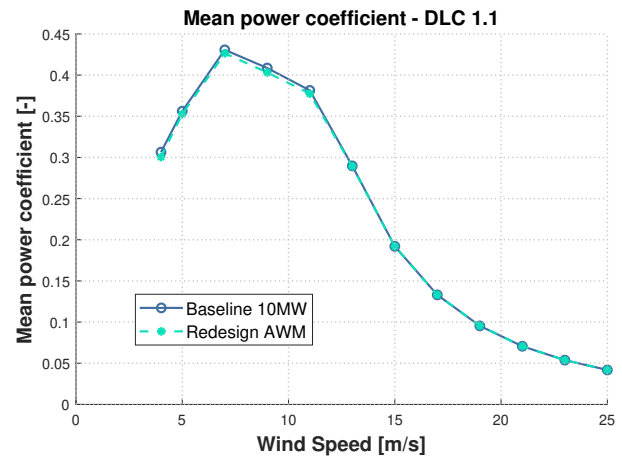
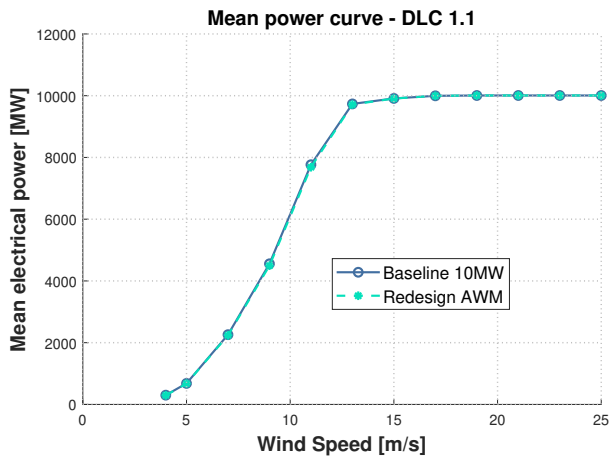
**Table 3.** Comparison between the KPIs of the Baseline 10 MW and the redesigned rotor.

Performance	Baseline 10 MW	Redesign PCM	Variation
Blade mass	40643 kg	45436 kg	+11.8%
Single wind turbine AEP	45.86 GWh	45.63 GWh	-0.5%
Single wind turbine CoE	89.42 EUR/MWh	90.22 EUR/MWh	+0.89%

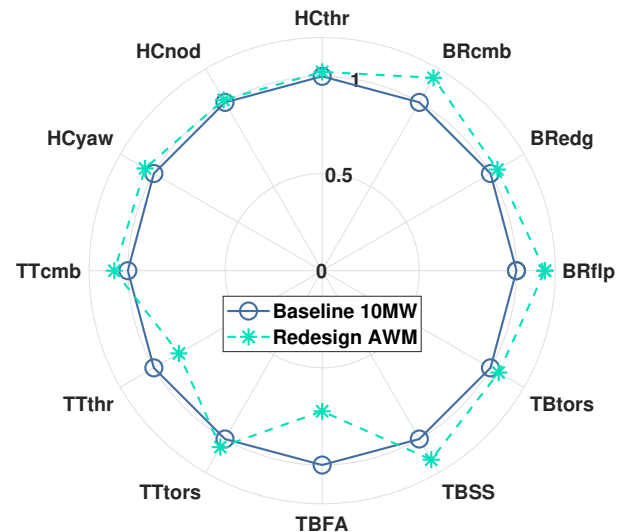
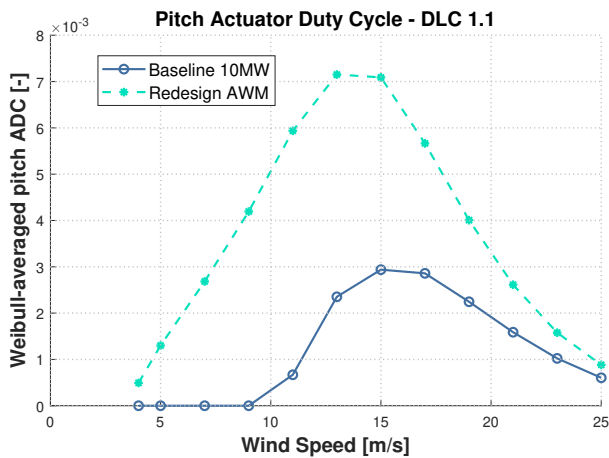
Concerning the aerodynamic performance, Table 3 shows that only a slight deterioration of the AEP is expected when the PCM is used. This is mainly due to the collective pitch motion imposed in the partial-loading range of the power curve, which results in the collective pitch of the blades being cyclically driven away from their theoretical optimum value. This is confirmed by looking at the time-averaged turbulent power curve given in Fig. 10 (left) and the corresponding power coefficient (right). However, this detrimental influence is partially compensated by the increased stiffness of the blades which contribute to preserve the AEP by reducing the deformations experienced by the rotor. Another important feature of the PCM is the increase of Actuator Duty Cycle (see Fig. 11 (left)), which is a direct consequence of the increased service time required to the pitch actuators.

410 A complete comparison of the ultimate loads of the Redesign PCM rotor against the Baseline 10MW is also given in Fig. 11 (right), in which all the loads are made non-dimensional with the corresponding values of the Baseline 10MW. Here, *flp* identifies flap-wise, *edg* is edgewise, *trs* is torsion, *thr* is thrust, *nod* is nodding, *yaw* is yawing, *FA* and *SS* are, respectively, fore-aft and side-side loads, and, finally, the tag *cmb* identifies multi-directional combined loads. From the diagram it is possible to notice how the blade loads are globally increased by the combination of PCM and higher blade mass. When it comes to the other sub-components, however, the impact is mixed. On one side, the side-side and the torsion at the tower base are slightly increased, while the fore-aft is significantly reduced. Based on this limited analysis, then, it is possible to conclude that the introduction of the PCM as a wind farm controller would require a redesign of the rotor but, likely, would not affect the structural integrity of the hub and the tower.

420 From a structural perspective, as expected, the introduction of the PCM resulted in an about 12% higher blade mass. This result comes from a combination of the above higher loads and higher displacements introduced by the wind farm controller. Specifically, the increased blade deflection required a heavy redesign of the spar caps in order to avoid the violation of the constraint on the maximum tip displacement. The optimal distribution of spar cap thickness is shown for both the baseline and



**Figure 10.** Redesign process including PCM. Left plot: time-averaged turbulent power curve. Right plot: power coefficient.



**Figure 11.** Redesign process including PCM. Left plot: Weibull-averaged ADC. Right plot: ultimate loads. ‘BR’ is blade root, ‘HC’ is hub center, ‘TT’ is tower top and TB is tower base

the redesigned rotor in Fig. 12. It is worth to mention that, due to the increased flap-wise stiffness, at the end of the optimization, the maximum displacement of the redesigned rotor is almost identical to that of the Baseline and, most importantly, it does not exceed the allowed tower clearance.

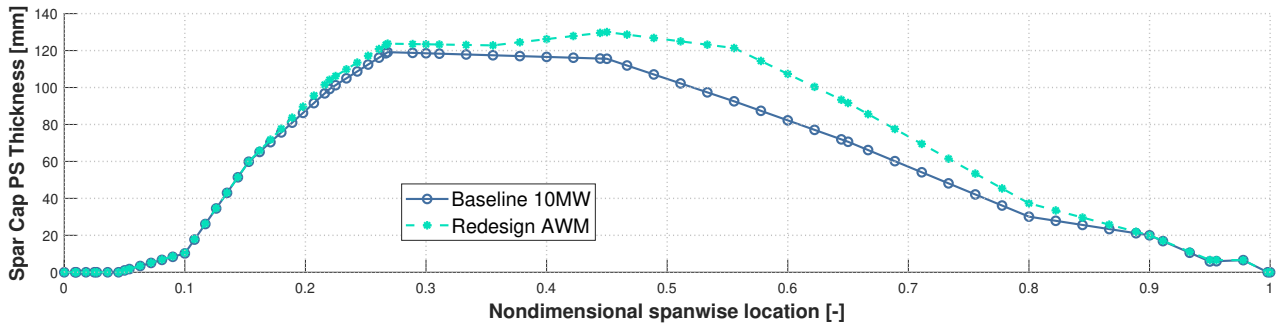


Figure 12. Redesign process including PCM: thickness distribution of the spar caps.

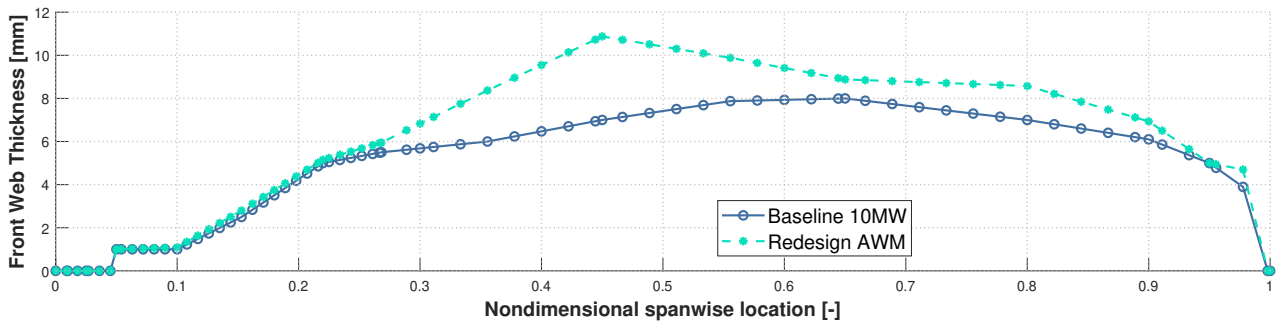


Figure 13. Redesign process including PCM: thickness distribution of the shear webs.

## 5 Conclusion and Outlook

In this paper, a procedure to evaluate the effects of wind farm control techniques on a single wind turbine has been developed. Two different control methods (dynamic induction control and the wake redirection) have been considered and tested on a reference 10 MW wind turbine. The study is conducted by simulating the main Design Load Cases prescribed by the Standards with the inclusion of the chosen wind farm control. In this strategy, an explicit dependency of the wind farm control on the turbulence intensity was neglected, whereas the value of turbulence intensity was always defined according to the turbine's class. Although this limits the validity of the conclusions to the turbine under investigation, it allows to obtain results which are compatible with current Standards, and which are not site-specific.

The study has been performed through two steps. At first, the impact of the controls is evaluated in terms of the turbine KPIs (maximum loads, maximum blade tip deflection, AEP, ADC). The study has been conducted as a sensitivity analysis where all indicators are computed as functions of the wind farm control parameters. At this step, we were able to quantify the impact of the control techniques on the performance of an existing turbine and, at the same time, to define the operational limits of the wind farm controller to not exceed the original load spectra. In a second step, a dedicated structural redesign of the rotor has been performed in order to quantify how much the design would have changed if a wind farm control had been considered from the beginning.

From the analyses performed in this work the following conclusions can be derived:

- The most impacting control seems to be the one based on the dynamic induction, especially if higher amplitudes of the blade pitch angles are considered. However, if the amplitude is maintained below 2 deg, the potential increase in fatigue is limited.
- 445 – Tower ultimate loads are particularly affected by wake redirection, with the ECD condition being the most impacting DLC.
- The blade loads, on the other hand, are mostly affected by dynamic induction control.
- Both controls lead to an increase in the maximum tip displacements. Since the turbine considered in this study is heavily constrained by tip deflection, this results in a significant mass increase when the rotor is redesigned. It must be noticed  
450 that different design concepts (or materials) could result in different sizing loads and, therefore, to different impacts on the redesign.
- The redesign process, due to the above mentioned higher blade deflection, led to an increased rotor mass.
- In this study, focused on maximum loads, a simplified fatigue load assessment was also made. The impact of these fatigue loads, computed for the first-row wind turbine under the hypothesis the wind farm control is always active, no  
455 matter the wind direction and TI, is limited but not negligible. However, in realistic farms, the amount of time spent with non-null inputs coming from the farm control layer can be rather small, yielding an even lower impact on fatigue.

It is important to stress that these findings only apply to the considered 10 MW wind turbine, and may be different for other machines. The parametric analyses and the design process have considered only the front-row wind turbine and, hence, the obtained results cannot be viewed as conclusive unless downstream turbines, together with the wind farm layout and wind rose,  
460 are included in the procedure. However, the proposed design provides an important indication on the potential impact of farm controls on the the sizing of those turbine components, whose design is driven by ultimate rather than fatigue loads. Moreover, the above hypothesis the wind farm controllers are always active, no matter the wind direction and TI, is surely severe for the fatigue loads, but not for the ultimate ones. In fact, even if the wind farm controls may be activated only for a very short period of time, it is essential to consider that in this timeframe the extreme event that induces these maximum loads may occur.

465 Future developments of this work will try to investigate this aspect by considering different turbine classes, design concepts and materials. In addition, it would also be interesting to include in the redesign of the rotor the aerodynamic shape together with the internal structure. Connected to the design, it could be also interesting to consider a different dynamic induction control strategy based on individual pitch control, which entails a lower variation of thrust and which could have in turn a milder impact on blade loading (Frederik et al., 2020a).

470 In terms of extensions of the present research, the evaluation of the impact of farm control on ultimate and fatigue loads of downstream turbines is certainly an interesting topic, which deserves dedicated analyses with more sophisticated tools than those used in the present work to simulate the wind farm flow, e.g. CFD or dynamic wake models (Cacciola et al., 2020).

Another important direction for future works deals with the assessment of the economic impact of wind farm control at wind-farm level. To this end, specific studies will be done to consider not only the impact of the chosen wind farm control on the turbine loads but also the beneficial effects that the chosen wind farm control have on the wind farm power production and, possibly, on its cost of energy.

*Author contributions.* All authors provided fundamental input to this research work through discussions, feedback and analyses of the state of the art. AC and SC conducted the sensitivity analysis with the WR control, SC conducted the sensitivity analysis with the PCM, LS performed the structural design, AC developed the main idea behind this work and supervised the research activities. SC, AC and LS wrote the manuscript.

*Competing interests.* No competing interests are present

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