

Ref: wes-2019-58

Title: Decreasing Wind Speed Extrapolation Error via Domain-Specific Feature Extraction and Selection

Journal: Wind Energy Science

**Referee #1: Mr. Leonardo Alcayaga**

We would like to thank Mr. Alcayaga for his time in reading and commenting on the manuscript that led to considerable improvement of the paper. We have tried to address all comments and hope that this next version is acceptable for publication.

# P. 1 Top “How does the ANN perform against other physics based models? Logarithmic profiles (which includes friction velocity, a measure of TI) and surface layer similarity theory (M-O) includes this and stability effects that perform much better than the power law. A comparison with these more physics-based approaches would make this assessment fairer.”

We have added the log law as a base case for the study, with an explanation in Section 2.2 (P. 5 L. 3). We have also stated that M-O theory is not expected to hold in two of the three campaigns due to the complex terrain (P. 5 L. 8).

# P. 2 L. 1 “Why beneficial?”

This explanation has been expanded to describe why physical models may struggle in certain regions, where machine learning methods may be a better option for modeling (P. 2 L. 1).

# P. 3 L. 10 “What is the reference for this?”

We were not able to find a reference for this in the literature, so we have presented a quick explanation in Appendix A. The phrasing was also changed to “insensitivity to non-dimensionalization” rather than “universality” in order to better define the goal (P. 4 L. 5).

# P. 5 L. 10 “What about wind speeds higher than the cut-off limit?”

A line was added stating that there were no wind speeds above  $23 \text{ m s}^{-1}$ , so no data needed to be removed due to a typical cut-off limit of  $25 \text{ m s}^{-1}$  (P. 6 L. 9).

# P. 5 L. 18 Language

Fixed spelling

# P. 5 L. 20 “What is reasonably complex?”

This has been clarified to mean that the terrain is complex, but less so than the Perdigão Campaign (P. 6 L. 20).

# P. 5 L. 21 “What value was used here? SNR or CNR is in general in dB”

The CNR thresholds were -23 dB for both Casper and Perdigão, and -22 dB for WFIP2. – no changes

# P. 6 L. 11 “There is also a dependency on the data available apparently”

Included a brief phrase mentioning the dependence on data availability (P. 7 L. 15). Also made a comment on the next line (P. 8 L. 1) stating that the WFIP2 project, which has the lowest extrapolation error, is also the site with the most robust dataset.

# P. 7 Fig. 3 “Table”

Fixed the labeling of both Fig. 3 and 4 as Tables 1 and 2, as well as all references in the paper.

# P. 7 L. 7 “This phrase is not clear. Because it is a feature like the others, it should have been neglected also for other cases”

The reason that we selected TI as a second input for the cases with three inputs is that it was the most beneficial input feature that included information about the flow’s turbulence levels, something that is expected to be highly influential particularly in the complex terrain sites. The number of tests would also become unwieldy if we were to test all features as a secondary feature. We have further clarified our reasoning in the manuscript (P. 8 L. 11).

# P. 7 L. 10 “Why is this feature (which is a model, not data) is included as an input? How do you determine the difference of alpha and dudz in this case?”

One of the nice things about machine learning methods is that they can be used as the foundation for ensemble or hybrid modeling.  $U_\alpha$  was used as an input to determine whether knowledge of another predictive model would be helpful as an aid for the ANN. In most cases it did not prove to be beneficial because it provided similar information as other inputs. The network is provided with the power law prediction (i.e. the extrapolated wind speed from the power law prediction) as opposed to the  $\alpha$  value. Therefore  $U_\alpha$  is distinct from dudz, discretizing the two input features. – no changes made

# P 10 Fig. 6 “I suppose that this means percentage over the whole dataset”

This is the percent of data at each wind speed over the testing set, which is very similar to the distribution over the entire dataset. We have added a line beneath the figure to this effect.

P. 11 L. 1 “Since there is less data available for higher wind speeds, the sigma\_error should increase for both cases (or at least become highly random). How is the normalization done in the non-dimensional case? Is normalized by the dimensional or non-dimensional mean WS in Equation (4)?”

Two additional sentences have been added below Equation 6 (P. 12 L. 9) further detailing why the non-dimensional network’s uncertainty remains so low at high wind speeds.

A sentence has been added just after Equation 6 (P. 12 L. 1) stating that, to calculate Equation 6 for the non-dimensional cases,  $U_n$  is first transformed back into  $U$ , so that we may find the true wind speed extrapolation uncertainty for all cases.

## Referee #2: Ms. Tuhfe Gocmen

We would like to thank Ms. Gocmen for taking the time to reviewing this manuscript and helping us to improve the manuscript substantially. We have tried to address all comments and have specifically cleaned up much of the terminology in order to more accurately reflect what we see as the novelty and major findings in this study. We hope that this revised manuscript is acceptable for publication.

# Please address to the attached comments in the pdf regarding the normalization/scaling being a standard procedure for network training, widely known for increasing the performance anyway. We have changed the language used in the manuscript for clarification of our intent. Much of the time when we have said “normalization” what we have meant is non-dimensionalization, which is a feature extraction technique in fluid dynamics. We have also added a paragraph in Section 2.2 (beginning P. 5 L. 29) pertaining to the typical normalization process and why it was not used for this study. We have referred to normalization when a variable is divided by a quantity of same dimensions to scale it to a convenient value without considering dynamical implications.

Two sentences have been altered to further clarify the novelty of this study. These sentences may be found at the end of the Introduction (P. 2 L. 26).

P. 2 L. 4 “These references are highly redundant – suggest to keep the focus of deep learning applications to (at least) the wind energy field.”

The references have been removed, as they did not add anything to the manuscript. More relevant meteorological citations have been added (P. 2 L. 15).

P. 2 L. 22 “Use either Sect. or Section – just a note on consistency”

All uses of “Sect.” have been changed to “Section”

P. 4 L. 7 “What is the ratio between the # of parameters to be fitted in your network to the number of data points?”

There were 986 model parameters, so the sample-parameter ratio ranged from approximately 2:1 to 23:1. – no changes

P. 4 L. 21 “Not clear if it is only the mean WS or more statistics extracted? (for the Second Base)”

The second base case only utilizes the mean streamwise wind speed at lower heights, no further statistics are extracted. – no changes

P. 4 L. 22 “So the third base does not have WS as an input?”

The third base case does use WS as an input alongside wind direction and hour of the day – no changes

P. 4 L. 29 “More commonly referred to as the standard deviation of wind speed”

Language is changed to standard deviation of wind speed.

P. 4 L. 29 “What is meant is horizontal wind speed is normalized by the streamwise wind speed @ 20m below the height in question? (i.e. it is not clear if WS refers to the stream-wise or axial wind speed only)

Clarification has been added to this subsection that U refers to streamwise wind speed (P. 5 L. 11). WS has also been changed to U for improved interpretability.

P. 4 L. 31 “What does that mean exactly? How is  $WS_p$  different than  $WS_n$  (except of the time step of normalization)  $WS_p = WS_{t-1}$ ,  $WS_n = WS_t$ , isn’t it?”

That is correct, except we took  $U_p$  all the way up to extrapolation height, so it is assumed that the previous period’s wind speed at extrapolation height is known. Clarification has been added in Section 2.2 (P. 5 L. 20).

Bottom of P. 4 “It would help with clarification if the authors include brief formulation of the non-dimensionalized inputs listed in this paragraph”

Clarifying notes have been added to Appendix B to describe how non-dimensional inputs were extracted.

P. 5 L. 1 “If the authors use the conventional cartesian nomenclature for wind speed, then it would be more clear to use u, v, w for all the wind speeds referred here (instead of WS, that does not have a clear direction defined for it so far)

We have changed the nomenclature for streamwise wind speed from WS to U in order to ease interpretability.

P. 5 L. 2 “In addition to the physically non-dimensionalized features (e.g. TI), it is not clear if additional scaling is applied as a part of data pre-processing for NN. If not, why? It is a pretty standard processing technique for deep learning problems, and shown to improve the performance of the networks majority of the times...”

We did not normalize the data in the typical manner because it did not have any discernable impact on the network’s performance. However, we did rescale the wind direction inputs from  $-180 \rightarrow 180$  to  $-1 \rightarrow 1$  in order to relieve scaling issues. This resulted in changes to the results seen for the third base case, and updates to the manuscript have been made accordingly. We have added a paragraph in Section 2.2 addressing the question of input normalization (P. 5 L. 29).

P. 6 L. 7 “It is unclear if this uncertainty is taken into account for the input feature sampling...”

A sentence has been added at the end of Section 3 (P. 7 L. 9) stating that the lidar measurements are treated as true due to a lack of secondary measurements at the lidar locations.

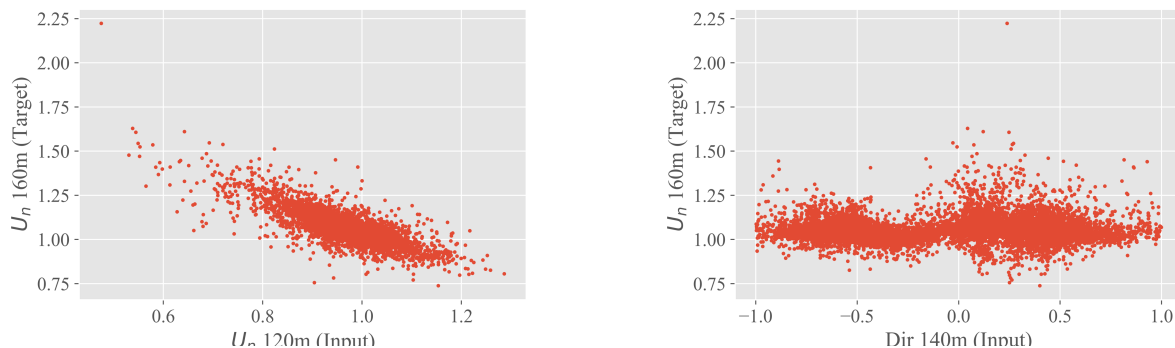
P. 6 L. 10 “Is it training + validation + test data? Or validation + test (I would suggest to use that)? Or only the test dataset?”

The data shown in Table 1 was the training+validation+test, but have been changed to reflect the number of samples for validation+test. This is clarified at the beginning of Section 4 (P. 7 L. 12) and in the Table 1 description.

P. 7 Figure “Would be nice to see a pair plot or similar to see the correlation between these input features and the output – at least for the training dataset.”

We agree that visualizing the correlation between inputs and the target variable is useful, but such a pair plot visualization would require dozens if not hundreds of plots (each input feature is found at multiple heights, and many correlations are different for each site/extrapolation height) and would be more overwhelming than beneficial for readers.

For the benefit of the reviewers, we have attached two scatter plots showing the relationship between exemplary input (x axis) and target (y axis) variables. The first plot, showing the relationship between  $U_n$  at 120m and 160m AGL, is a “best-case scenario,” with an input variable that is highly correlated with the target variable ( $U_n$  at 160m AGL at Perdigão). These variables have a negative correlation that is scattered and contains some outliers. The second plot is a “worst-case scenario,” or an input variable that is almost completely uncorrelated with the target variable. This plot shows the relationship between wind direction at 140m AGL and  $U_n$  at 160m, which are almost completely uncorrelated. – no changes



P. 7 Figure “Since  $WS_p$  as an input feature seems to include a time dependency in the problem, randomized split for train/validation/test dataset should be considered or clarified further.”

A brief statement, in parentheses, is added at the beginning of Section 4 (P. 7 L. 12) stating that the data is randomly split. A more detailed description of data splitting is given at the end of Section 2.1 (P. 4 L. 18).

P. 8 Figure “It is not realistic to expect the selected NN architecture is the most optimum for all these essentially different problems... The potential effects of having more/less features fed into the training on the overfit/underfit should be addressed. That might be one of the reasons why there seems to be no clear trend in the performance of the networks when more information is added, or in terms of different terrain complexity...”

It is true that this exact network architecture is likely not the optimal architecture for each of these input scenarios and sites. However, tests were run where we both increased the number of nodes in each layer and added more layers to the network. None of these tests showed that a larger network provided more accurate results when more input variables were added (as mentioned in Section 2.1). This is likely due to two reasons:

The first is that the existing network architecture contains two dropout layers that reduce overfitting for testing cases with fewer inputs (Section 2.1). This allows us to use a larger network for cases with fewer input variables, essentially allowing us to have tailored the network architecture for cases with more inputs.

Second, many of the inputs contain very similar or interdependent information. Including more inputs, all of which contain the same information, should not improve ANN accuracy because the ANN is not receiving anything new that would improve its accuracy (e.g. inputting  $U_{dud}$  or  $U_{\alpha}$  repeats information already given by the  $U$  values). Instead, the additional redundant or noisy inputs actually decrease the ANN's prediction accuracy because they reduce its ability to pick up on meaningful patterns contained in the pre-existing input features (first paragraph of Section 5). – no changes

P. 8 L. 10 “That is yet another indication that scaling was not applied and should seriously be considered in order to assess the full potential of the developed networks.”

This same result occurs both with and without standardization of dimensional inputs. Additional scaling of dimensional variables had a negligible effect. This is addressed in the paragraph added to Section 2.2 (P. 5 L. 29).

P. 9 L. 6 “Both are quite high actually... although the ‘dimensional’ features don’t clearly work for higher wind speeds.”

Correct, both models perform well when the wind speed is between 5-14  $\text{ms}^{-1}$ . However, the non-dimensional network performs much better for high wind speeds. – no changes

P. 9 L. 13 “which error? Percentage error? MAPE? RMSE?...”

Clarification added: it is the standard deviation of RMSE.

P. 11 L. 11 “Rewording is suggested; 15-16 m/s is not necessarily extreme wind speed”

“Extreme wind speeds” changed to “high wind speeds” (P. 10 L. 5; P. 12 L. 18).

P. 11 L. 24 “More ‘objective’ wording is suggested”

“Unexpected” is removed as it does not add anything to the explanation.

P. 11 L. 27 “This is exactly why we normalize/scale all the input/output features, so the improvement in performance compared to non-normalized is not so surprising...”

“Normalizing” has been replaced with “extracting non-dimensional” for clarification.

P. 12 L. 28 “Domain knowledge definitely adds value to the resulting ML applications. However, a thorough investigation of how to best apply the ML technique as well as possible optimum architecture for different problems are also needed.”

We agree that model optimization is something that must be investigated. However, a thorough investigation as to the optimal ANN architecture (as well as the optimal ML technique) is beyond the scope of this investigation. - no changes

P. 12 L. 29 “The references after the highlights sentence do not belong to the Conclusion.”

These sentences have been moved to the introduction (P. 2 L. 7).

P. 13 L. 4 “I am afraid I have a hard time seeing the novelty in this conclusion, as further detailed in my previous comments attached to the normalization discussions.”

We appreciate the comment, these sentences have been altered to clarify that the benefit is seen from extracting non-dimensional variables rather than simple normalization (P. 14 L. 7).

P. 13 L. 19 “How so? It is not clear from the manuscript...”

The variables used are the only things altered in the code. The phrasing has been changed to add clarity (P. 14 L. 23).

# Decreasing Wind Speed Extrapolation Error via Domain-Specific Feature Extraction and Selection

Daniel Vassallo<sup>1</sup>, Raghavendra Krishnamurthy<sup>1,2</sup>, and Harindra J.S. Fernando<sup>1</sup>

<sup>1</sup>University of Notre Dame, Indiana, USA

<sup>2</sup>Pacific Northwest National Laboratory, Washington, USA

**Correspondence:** Daniel Vassallo (dvassall@nd.edu)

**Abstract.** Model uncertainty is a significant challenge in the wind energy industry and can lead to mischaracterization of millions of dollars' worth of wind resource. Machine learning methods, notably deep artificial neural networks (ANNs), are capable of modeling turbulent and chaotic systems and offer a promising tool to produce high-accuracy wind speed forecasts and extrapolations. This paper uses data collected by profiling Doppler lidars over three field campaigns to investigate the efficacy of using ANNs for wind speed vertical extrapolation in a variety of terrains, and quantifies the role of domain knowledge on ANN extrapolation accuracy. A series of 11 meteorological parameters (features) are used as ANN inputs and the resulting output accuracy is compared with that of both standard log law and power law extrapolations. It is found that extracted non-dimensional inputs, namely turbulence intensity, current wind speed, and previous wind speed, are the features that most reliably improve the ANN's accuracy, providing up to a 65% and 52% increase in extrapolation accuracy over log law and power law predictions, respectively. The volume of input data is also deemed important for achieving robust results. One test case is analyzed in-depth using dimensional and non-dimensional features, showing that feature non-dimensionalization drastically improves network accuracy and robustness for sparsely sampled atmospheric cases.

## 1 Introduction

Challenges to the prediction of microscale atmospheric flows are well-documented, especially for complex terrain and forested regions (Baklanov et al., 2011; Krishnamurthy et al., 2013; Fernando et al., 2015, 2019; Sfyri et al., 2018; Yang et al., 2017; Berg et al., 2019; Wilczak et al., 2019; Pichugina et al., 2019). Poor or unfit parameterizations can lead to inaccurate flow prediction and extrapolation, producing large modeling uncertainties. Every location has unique flow features with variability that warrants a dedicated field campaign to develop and validate parameterization schemes befitting local forecasting. This process can still result in poor spatial representation of the site due to limitations in measurement technology, area covered by the field campaign, and site complexity.

Large extrapolation errors are particularly detrimental for wind farms, which rely on accurate wind speed extrapolation to estimate available wind resource and forecast output power. With the industry currently bracing for turbines up to 260m tall, vertical extrapolation accuracy has become particularly important for the next generation of wind farms. The current industry standard of 1% uncertainty per 10m vertical extrapolation (Langreder and Jogararu, 2017) must be improved in order to increase

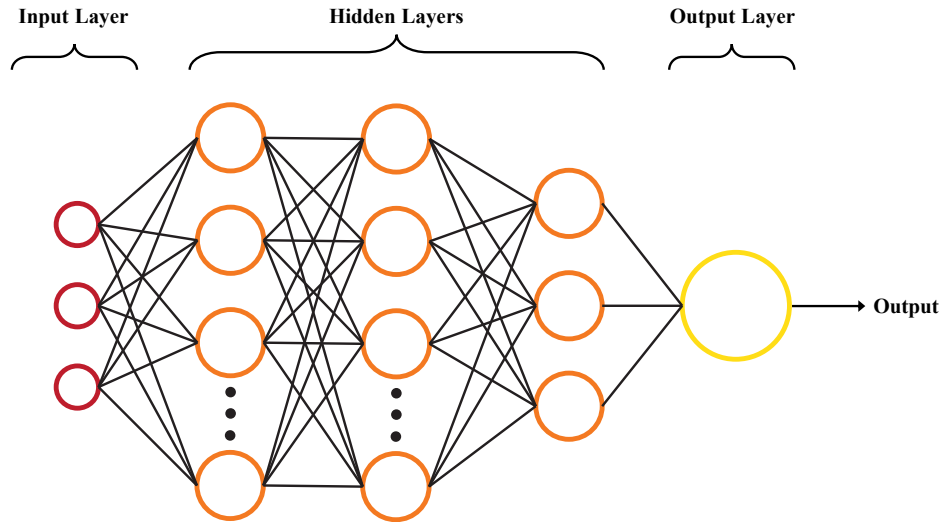


the viability of such large-scale, powerful turbines. This would likely be particularly difficult to accomplish by using numerical models. In complex terrain, these models' parameterization schemes must be tuned for each individual region in order to obtain optimal results (Stiperski et al., 2019; Bianco et al., 2019; Olson et al., 2019; Akish et al., 2019), reducing the plug-and-play effectiveness of numerical modeling. Model results must also be scaled down to the desired finer resolutions, which can result in representivity error (Dupré et al., 2020). Therefore, it would be beneficial to move away from such models toward robust machine learning techniques that can be rapidly, economically, and automatically parameterized, require no downscaling, and consistently improve with time and data availability. When properly applied, these machine learning techniques can likely aid in effectively calculating the rotor equivalent wind speeds for power curve measurements based on IEC 61400-12-1:2017 either from a met-mast lower than hub-height or a wind profiler with low data availability at higher heights. Additional applications in wind energy for such a technique range from feed-forward control of wind turbines or wind farms (Schlipf et al., 2013; Krishnamurthy, 2013; Kumar et al., 2015), prediction of yaw mis-alignment (Fleming et al., 2014), and optimizing wake steering approaches (Fleming et al., 2019).

Neural networks have recently come into vogue due to the rapid increase in computational power alongside a plethora of available data. They are particularly skilled at pattern recognition and bias correction, having been used for various meteorological applications (McGovern et al., 2019; Hsieh and Tang, 1998). Multiple studies have shown that neural networks perform well when tasked with wind speed forecasting on a variety of timescales (Bilgili et al., 2007; More and Deo, 2003; Chen et al., 2019). However, wind speed measurements from meteorological towers or remote sensors often must be extrapolated in space as well as time to reach the location of interest (e.g. turbine hub height), adding another layer of forecasting complexity.

In a recent study, Mohandes and Rehman (2018) found that neural networks in conjunction with lidar data can accurately extrapolate wind speeds over flat terrain using wind speeds measured below the targeted height (extrapolation height). However, it is unclear whether this finding holds for more complex terrain. Knowledge of meteorological conditions and site characteristics could be essential for optimal extrapolation accuracy. In the same vein, Li et al. (2019) found that adding turbulence intensity as an input greatly improves wind speed forecasting accuracy, suggesting that the input feature set may be highly influential for machine learning tools applied to meteorological problems. Following such developments, the present study focuses on proper extraction and selection of meteorological features across multiple sites for a neural network designed for vertical extrapolation of wind speed. The novelty of this study is in addressing the following questions: First, is it possible to improve wind speed extrapolation accuracy under various terrain conditions using neural networks by invoking physics-based input features? Second, which atmospheric features should be selected to optimize the model's prediction capabilities?

Section 2 provides an introduction to neural networks and the list of input features utilized. Section 3 briefly describes the campaign sites and instrumentation utilized as well as measurement uncertainty. Section 4 presents findings of the investigation, and Section 5 provides analysis and discussion. Concluding remarks are given in Section 6.



**Figure 1.** Artificial neural network diagram. Input nodes are in red, hidden nodes in orange, and output node in yellow. Black lines represent weighted connections between layers.

## 2 Model Overview

### 2.1 Neural Network Architecture

Artificial neural networks (ANNs) are a machine learning framework wherein a multi-layered network of nodes attempts to compute an output from a given set of inputs while eliciting (often hidden) patterns underlying a given data structure. A classic feed-forward ANN layout is given in Fig. 1. These networks mimic the inner workings of the human brain and consist of four main elements: a layer of user-defined inputs, one or more hidden layers, an output layer, and the weighted connections that adjoin any hidden layer to that before and after itself. Each layer is made up of nodes, which gather information from the previous layer, perform an activation function, and send the altered information to the next layer. ANNs with multiple hidden layers (deep neural networks) are often much better at unearthing patterns in complex, nonlinear systems. These networks learn best when supplied with large datasets and a well-selected feature set. Poor feature extraction or selection can lead the network to find a pattern that is either misleading or potentially incorrect. In other words: garbage in, garbage out.

ANNs first go through a training phase where they learn the structure of a system. Batches of training data are fed into the network, which produces an output. This output is then compared to the actual output, which is known *a priori*. The network then backpropagates the error through the system via stochastic gradient descent (SGD), starting from the last layer and ending at the first. During this process, the weights between layers are altered to produce a robust network physiology. This process is repeated for as many iterations as is desired, with the network seeing all training data in each iteration.

At the end of each iteration, a set of validation data is given to the network to ensure that the network is not over-fitting the training data. At the end of training, the network is given a third set of data, known as testing data, that has been unseen by the ANN theretofore. The network's performance is characterized by its prediction accuracy on the testing data, defined by a certain error or loss metric  $C$ . This study uses the mean absolute percentage error (MAPE, Eq. 1) as the loss metric due to ease of comparison with industry metrics and its **insensitivity to non-dimensionalization (Appendix A)**.

$$C = MAPE = \frac{1}{N} \sum_{i=1}^N 100 \times \left| \frac{y_i - \hat{y}_i}{y_i} \right| \quad (1)$$

where  $N$  is the number of observations,  $y_i$  the observed output, and  $\hat{y}_i$  the network output. The ANN used here has a similar framework as Mohandes and Rehman (2018), containing four hidden layers with 30, 15, 10, and 5 nodes, respectively, descending until the final output layer that has a single node. Research on the effect of increasing the number of hidden layers shows that deeper networks are better able to approximate highly complex systems (Aggarwal, 2018). The number of hidden layers generally is a function of the number of input and output arguments used in the ANN as well as the expected non-linearity in the system. The true depth of an ANN is generally concluded based on several trial and error runs. Increasing the number of hidden layers in our case, however, **did not yield higher extrapolation accuracy**.

There were also two dropout layers, located after the first and second hidden layers, that protect against over-fitting. The activation function in each hidden layer was the hyperbolic tangent, while the output layer had a linear activation function. The MAPE cost function was utilized as the cost function  $C$ . The Adam optimization algorithm (Kingma and Ba, 2014) was implemented to enhance SGD, and all trials were discontinued after no more than 1,000 iterations through the entire training dataset. All datasets were split into three distinct pieces: training data (50%), validation data (25%), and testing data (25%). In order to minimize bias, all data was randomly split before each of the 10 runs for every test case. From these 10 runs, the best, average, and standard deviation of the testing data MAPE were recorded. Tests were performed with different input features and different heights at various site locations to confirm that bias from a given site and/or measurement height was removed. The Keras library, built on TensorFlow, was utilized to construct the ANN model (Abadi et al., 2016; Chollet et al., 2015).

## 2.2 Input Features

Our main hypothesis is that more informed meteorological inputs lead to lower model extrapolation error and possibly lower error than can be achieved by existing models. **All meteorological inputs utilized in this study are listed alongside their respective definitions in Appendix B**. To ensure that the model performs better than that achieved via simple analysis or with unadulterated inputs, we consider four base cases. The first is a power law extrapolation, a simple algorithmic representation of how wind speed varies with height,

$$U_\alpha = U_r \left( z / z_r \right)^\alpha \quad (2)$$

where  $U_\alpha$  is the **streamwise** wind speed at the height of interest,  $U_r$  the **streamwise** wind speed at a reference height,  $z$  the height of interest,  $z_r$  the reference height, and  $\alpha$  a power law coefficient that characterizes the shear between  $z$  and  $z_r$ . The  $\alpha$  value was derived dynamically for each individual period (Shu et al., 2016). **The second base case is the log law extrapolation under neutral conditions. The formulation of the log law when the wind speed is known at a reference height can be given as**

$$5 \quad U_L = U_r \frac{\ln((z-d)/z_0)}{\ln((z_r-d)/z_0)} \quad (3)$$

where  $U_L$  is the wind speed at extrapolation height,  $d$  the zero-plane displacement, and  $z_0$  the roughness length. Both  $d$  and  $z_0$  are determined based on local topographic information (Holmes, 2018). The log law extrapolation (and more generally Monin-Obukhov similarity theory) is expected to perform poorly for the complex terrain sites due to the lack of stationarity and horizontal homogeneity (Fernando et al., 2015).

10 The other two base cases involve using nearly raw meteorological data as input features. The third base case uses only the **streamwise** wind speeds ( $U$ ) below the height of interest as inputs, while the fourth base case uses  $U$ , wind direction ( $Dir$ ), and hour ( $Hour$ ) as inputs. The hour is formatted as a cosine curve to ensure continuity between days, while the direction is formatted from  $-1 \rightarrow 1$  to alleviate scaling issues.

Neural network inputs are taken at 20m intervals to a maximum of 80m below the height of interest (e.g., for an output  
15 at 120m, data from 100m, 80m, 60m, and 40m are used). The lowest measurement height available was 40m. Because sites (Section 3) had different instrumentation, the only features used are those obtained by a single profiling lidar. All lidar data are 10-minute averaged. Three **non-dimensional** features are extracted from the lidar data, namely turbulence intensity ( $TI = \sigma_U / U$ ;  $\sigma_U$  is the **standard deviation of the wind speed**), **non-dimensional streamwise** wind speeds ( $U_n$ ; **non-dimensionalized by  $U$  20m below the height of interest**), and non-dimensional streamwise wind speed from the previous time period ( $U_p$ ).  $U_p$  is  
20 the only input feature utilized that extended up to the height of interest (i.e. we assume that the previous period's wind speed at the extrapolation height is known), and bold lettering on these three features indicates that they are non-dimensional quantities. Three additional features are also **extracted**: vertical wind shear ( $dudz = \partial U / \partial z$ ), local terrain slope in the direction of incoming flow ( $\phi$ ), and vertical wind speed ( $W$ ). The **non-dimensional** input features were selected considering their robustness in inputting more accurate features (e.g., possible compensation of measurement errors in formulating non-dimensional variables)  
25 and ability of non-dimensional variables to better **represent** flow structures (Barenblatt and Isaakovich, 1996). Features are used in various combinations in order to determine which provide useful information to the network and which provide unnecessary or redundant information that lead to confusion. All input features were included in a final test to show that simply throwing multitudes of data at the network yields poor results.

It is typical industry practice to normalize (i.e. standardize) input variables, wherein an input variable  $x$  is scaled to  $\hat{x}$  via

$$30 \quad \hat{x} = \frac{x - \mu}{\sigma} \quad (4)$$

where  $\mu$  is the variable's mean and  $\sigma$  is the variable's standard deviation (Aggarwal, 2018). This technique is particularly useful when input variables have Gaussian distributions and cover multiple scales. However, none of the variables in our study

had such a distribution, and many inputs already have similar scaling. Testing showed that standardization had no discernible impact on network performance (not shown), and therefore the input features were kept in their unaltered state. The non-dimensionalization performed followed typical fluid dynamical practices (Barenblatt and Isaakovich, 1996).

The subscript 1 (e.g.,  $U_{p,1}$ ) denotes that the input value was only taken at the height of interest, subscript 2 (e.g.,  $W_2$ ) denotes that the input value was taken at 20m below the height of interest, and subscript 3 (e.g.,  $U_{p,3}$ ) denotes that the input value was taken at the height of interest and 40m below. Input variables without a subscript 1 or 3 were taken from all four heights below the extrapolation height. Additionally, because a vast majority of industrial wind turbines do not produce power at exceedingly low wind speeds, all cases with streamwise velocity 20m below the extrapolation height ( $U_1$ )  $< 3ms^{-1}$  were removed before testing. The highest wind speed value recorded at any site was less than  $23 ms^{-1}$ , below the standard cut-off limit of  $25 ms^{-1}$  (Markou and Larsen, 2009).

### 3 Site Description and Instrumentation

Data from three international field campaigns, whose locations can be seen in Fig. 2a, were used in this study. The authors participated in each of these campaigns by deployment of instruments and data analysis. The Wind Forecasting Improvement Project 2 (WFIP2) was a multi-year field campaign focused on improving the predictability of hub-height winds for wind energy applications in complex terrain (Wilczak et al., 2019). An 18-month field campaign took place in the US Pacific Northwest from October 2015 to March 2017. Several remote sensing and in-situ sensors were located in a region with distributed commercial wind farms along the Columbia river basin. This study focuses on using vertical profiling lidar (Leosphere's Windcube V1) data collected by the University of Colorado at Boulder from the so-called Wasco Site for a period of 15 months (Bodini et al., 2019; Lundquist, 2017). The lidar's location can be seen as the orange marker in Fig. 2b. The surrounding terrain is complex (although nominally less so than that at Perdigão to be described below), with neighboring wind farms to the east of the lidar. Any periods with missing data at multiple heights were ignored in the analysis. A Signal-to-Noise Ratio (SNR) and availability threshold (30%) recommended by the manufacturer is used to remove any potentially bad data.

The Coupled Air-Sea Processes for Electro-magnetic ducting Research (CASPER) field campaign was focused on measurement and modeling of the Marine Atmospheric Coastal Boundary Layer (MACBL) to better predict the interaction of EM propagation and atmospheric turbulence (Wang et al., 2018). Two field campaigns were conducted during CASPER, one near the coast of Duck, North Carolina (CASPER-East) in 2015 and another near the coast of Point-Mugu, California (CASPER-West) in 2017. This study uses data from the CASPER-West experiment. Vertical profiler data (Windcube V1) from the Floating Instrument Platform (FLIP), collected over approximately a month, was used for this study. The profiler's location can be seen as the blue marker in Fig. 2c. The data were filtered using the SNR and availability threshold recommended by the manufacturer. Datasets available at all heights were selected for this study.

The final study is the Perdigão campaign, a multinational project that took place in the Spring and Summer of 2017 aimed at improving microscale modeling for wind energy applications (Fernando et al., 2019). Conducted in the Castelo Branco region of Portugal, the campaign deployed an array of state-of-the-art sensors to measure wind flow features within and around a



**Figure 2.** (a) depicts all site locations. Remaining three panels depict topography at (b) WFIP2, (c) CASPER, and (d) Perdigoão. Map data ©2019 Google, INEGI and Inst. Geogr. Nacional.

complex double-ridge topography. The ridges are spaced approximately 1.4km apart with a valley in between. Both ridges rise approximately 250m above the surrounding topography, which mainly consists of rolling hills and farmland. **Over four months of data** were taken from a Leosphere profiling lidar, denoted by the black marker in Fig. 2d, which was located on top of the northern ridge of the Perdigoão double-ridge. This particular location was selected due to the multitude of complex flow patterns seen at this location during the campaign. **A meteorological tower was located adjacent to the lidar, but it only rose to 100m above ground level, below all extrapolation heights.** Profiler data available at all heights were used for this study, and any data below 30% availability over 10 minutes were ignored.

The uncertainty of the wind Doppler lidar measurements is expected to be within 2% (Lundquist et al., 2015, 2017; Giyanani et al., 2015; Kim et al., 2016; Newsom et al., 2017; Newman and Clifton, 2017). **Owing to a lack of secondary measurements at the locations and heights of interest, all lidar measurements are treated as true.**

## 4 Results

**Table 1** shows for each case the best testing extrapolation accuracy at all sites. The **total number of (randomly split) validation and testing samples for each case** is also shown for reference. The table is color coded, with the best accuracy in yellow and the worst in red. At first glance it is obvious that the network's accuracy is highly dependent not only on the inputs used, but also the site location **and data availability**. The site with the highest extrapolation accuracy is the nominally mildly complex WFIP2



	<i>Perdigão</i>			<i>WFIP2</i>		<i>CASPER</i>	
	120m (4,772 samples)	160m (4,944 samples)	200m (4,860 samples)	100m (11,392 samples)	120m (11,456 samples)	100m (1,040 samples)	120m (1,026 samples)
$U, Dir, Hr$	2.30	2.48	1.94	1.57	1.41	2.59	2.81
$U$	2.30	2.47	1.95	1.51	1.43	2.69	2.74
$U_\alpha$	2.45	3.59	2.17	1.74	1.44	2.18	2.91
$U_L$	4.39	4.69	3.82	3.06	2.71	3.70	3.90
$U_n$	2.19	2.41	1.75	1.35	1.21	1.72	1.99
$U_n, TI$	2.15	2.29	1.71	1.27	1.18	1.71	1.97
$U_n, U_{p,1}$	2.01	1.99	1.60	1.20	1.10	1.37	1.41
$U_n, TI, U_\alpha$	2.14	2.30	1.74	1.24	1.16	1.61	1.88
$U_n, TI, dudz$	2.16	2.29	1.70	1.26	1.19	1.78	1.82
$U_n, TI, \phi$	2.26	2.29	1.90	1.24	1.15		
$U_n, TI, \phi_2$	2.17	2.11	1.74	1.25	1.18		
$U_n, TI, W$	2.11	2.23	1.71	1.25	1.14	1.78	1.90
$U_n, TI, U_{p,1}$	1.91	1.94	1.61	1.14	1.08	<u>1.29</u>	1.42
$U_n, TI, U_{p,3}$	1.92	<u>1.92</u>	<u>1.54</u>	<u>1.12</u>	<u>1.06</u>	1.34	<u>1.37</u>
$U_n, TI, U_{p,3}, \phi_2$	1.98	1.92	1.62	1.12	1.06		
$U_n, TI, U_{p,3}, U_2$	1.92	1.94	1.59	1.12	1.06	1.39	1.56
$U_n, TI, U_{p,3}, W_2$	<u>1.86</u>	1.94	1.56	1.12	1.08	1.39	1.41
All Inputs	2.09	2.00	1.73	1.28	1.29	2.16	2.25

**Table 1.** Best MAPE per test case. For each height at every site, the case with the best result is underlined. Yellow is highest accuracy, red is lowest. The total number of validation and testing samples is shown for reference.

site, which also has the most robust dataset. The highly complex Perdigão site has the worst extrapolation accuracy, with the accuracy of the offshore CASPER site between the two. The best MAPE achieved for all heights (underlined), with each site below 2%, meets and often exceeds industry standards (Langreder and Jogararu, 2017).

The power law performed better than the log law and was therefore used as a baseline for comparison in Table 2. As this table shows, the two ANN baseline cases (one utilizing  $U, Dir$ , and  $Hr$ , as well one only utilizing  $U$ ; first two rows of Tables 1 and 2) performed almost equally well and showed a slight improvement over the power law extrapolation. However, there is no clear distinction between the results of the two cases and therefore  $Dir$  and  $Hr$  can be presumed to have no effect on prediction accuracy. When  $U$  is replaced by  $U_n$ , the network accuracy again improves, providing a result 10-33% more accurate than the power law extrapolation.  $TI$  and  $U_{p,1}$  are the most beneficial secondary input features when used alongside  $U_n$ . While  $TI$  improves network accuracy in all except at the CASPER site,  $U_{p,1}$  is more impactful, improving accuracy up to 52% over the power law extrapolation.  $TI$  was chosen as the second input for cases with three input features because it is the most beneficial feature that includes information about the flow's turbulence levels and to some extent the atmospheric stability, information that is expected to be highly influential in determining the flow, particularly at the complex terrain sites.

A majority of the third input features, specifically  $U_\alpha, dudz, \phi, \phi_2$ , and  $W$ , have negligible or negative effects on extrapolation accuracy. There are exceptions to this rule, nevertheless, as  $U_\alpha$  considerably improves accuracy for CASPER and  $\phi_2$  improves accuracy at 160m height for Perdigão. With a single exception, the best extrapolation accuracy is obtained when  $U_n, TI$ , and either  $U_{p,1}$  or  $U_{p,3}$  are used as inputs. Adding extra input features beyond this point has, at best, negligible impact on network extrapolation accuracy. This is best described by the final test case where all available features are forced into the

	<i>Perdigão</i>			<i>WFIP2</i>		<i>CASPER</i>	
	120m (%)	160m (%)	200m (%)	100m (%)	120m (%)	100m (%)	120m (%)
U, Dir, Hr	6.1	30.9	10.6	9.8	2.1	-18.8	3.4
U	6.1	31.2	10.1	13.2	0.7	-23.4	5.8
$U_n$	10.6	32.9	19.4	22.4	16.0	21.1	31.6
$U_n$ TI	12.2	36.2	21.2	27.0	18.1	21.6	32.3
$U_n$ $U_{p,1}$	18.0	44.6	26.3	31.0	23.6	37.2	51.5
$U_n$ TI, $U_\alpha$	12.7	35.9	19.8	28.7	19.4	26.1	35.4
$U_n$ TI, dudz	11.8	36.2	21.7	27.6	17.4	18.3	37.5
$U_n$ TI, $\phi$	7.8	36.2	12.4	28.7	20.1		
$U_n$ TI, $\phi_2$	11.4	41.2	19.8	28.2	18.1		
$U_n$ TI, W	13.9	37.9	21.2	28.2	20.8	18.3	34.7
$U_n$ TI, $U_{p,1}$	22.0	46.0	25.8	34.5	25.0	40.8	51.2
$U_n$ TI, $U_{p,3}$	21.6	46.5	29.0	35.6	26.4	38.5	52.9
$U_n$ TI, $U_{p,3}$ , $\phi_2$	19.2	46.5	25.3	35.6	26.4		
$U_n$ TI, $U_{p,3}$ , $U_2$	21.6	46.0	26.7	35.6	26.4	36.2	46.4
$U_n$ TI, $U_{p,3}$ , $W_2$	24.1	46.0	28.1	35.6	25.0	36.2	51.5
All Inputs	14.7	44.3	20.3	26.4	10.4	0.9	22.7

**Table 2.** ANN percent improvement over power law extrapolation. Gold denotes most improvement, while blue denotes less improvement or a decline in accuracy.

network. With all inputs, the best extrapolation accuracy is up to 67% worse compared to the input case that obtains the best result (100m CASPER, Table 1).

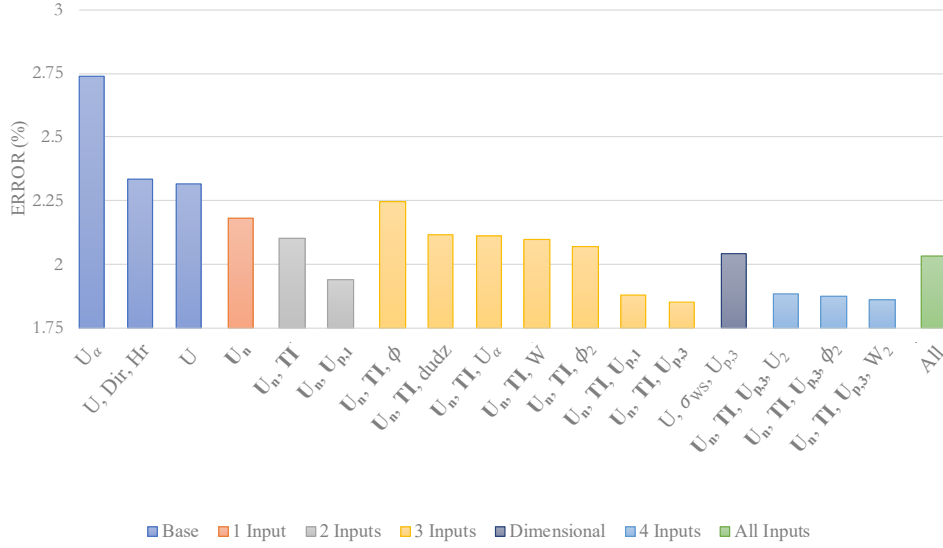
## 5 Discussion

A brief analysis shows that **extracted** non-dimensional meteorological input features ( $U_n$ ,  $TI$ , and  $U_p$ ) drastically improve the network’s extrapolation accuracy, allowing it to perform much better than **conventional log red and** power law extrapolations. However, this uptick in accuracy does not continue as more features are added. As can be seen in Fig. 3, using more than three input features for Perdigão actually reduced network accuracy. This is most obvious when all possible features are thrown into the network. The input noise and redundancy reduces the network’s ability to find usable patterns. Excess information, much of it redundant, confuses the network.

Two tests were performed to determine whether this improvement in accuracy is derived from feature **non-dimensionalization**. Because the network performed best at Perdigão with input features of  $U_n$ ,  $TI$ , and  $U_{p,3}$ , the same inputs were then given to the network, but in dimensional form (i.e.  $U$ ,  $\sigma_U$ , and  $U_{p,3}$ ). The dark blue bar in Fig. 3 shows that the network performed significantly worse when given dimensional features. In fact, the network performs just as poorly with dimensional features as it does when given all the input features indiscriminately, showing that non-dimensionalization has a significant impact on network performance.

Next, the 160m Perdigão extrapolation with input features  $U_n$ ,  $U_{p,3}$ , and  $TI$  was analyzed in-depth. In order to determine the exact effects of **non-dimensionalization**, the same inputs were then given to the network in dimensional form. The results are





**Figure 3.** MAPE at Perdigoão averaged over all heights. Color coding describes the number of input features used for the ANN. Dark blue bar indicates an additional test for comparison. **Log law extrapolation (4.3% average MAPE) excluded for clarity.**

given in Fig. 4. The left column shows network outputs when given dimensional features, whereas the right column shows the results obtained using non-dimensional features (herein referred to as the dimensional and non-dimensional network, respectively). Fig. 4a and b show a comparison of true wind speed and that predicted by the network. It is immediately obvious that, upon approaching sparse sample regions, the dimensional network begins to fail, clearly underpredicting high wind speeds.

- The non-dimensional network, however, does not have this problem and accurately extrapolates these **higher** wind speeds.

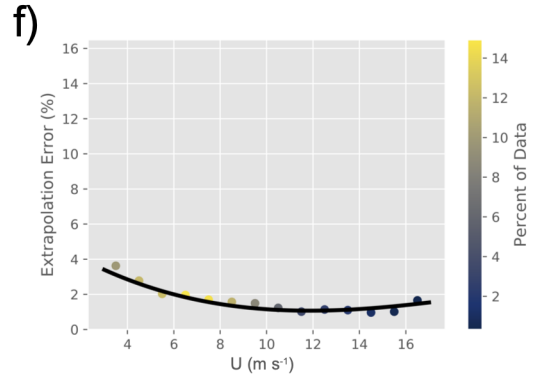
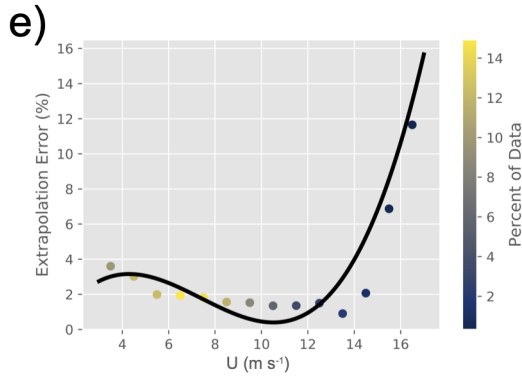
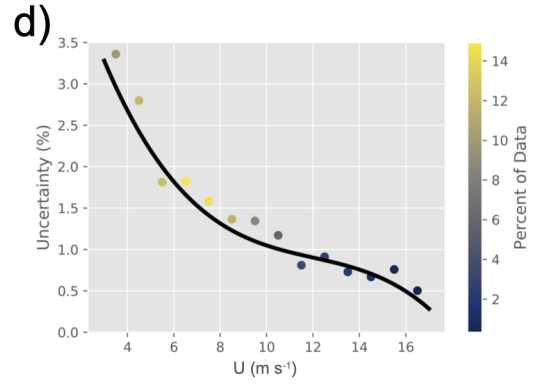
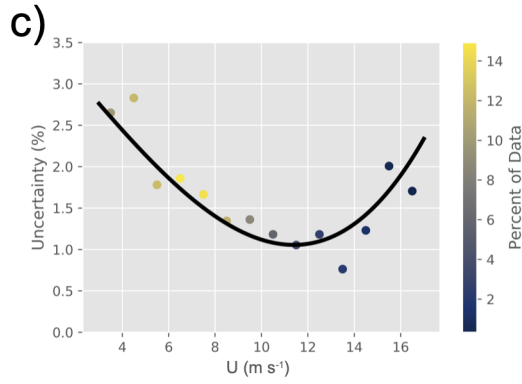
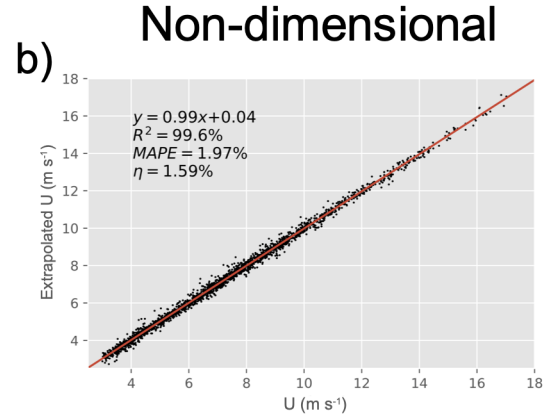
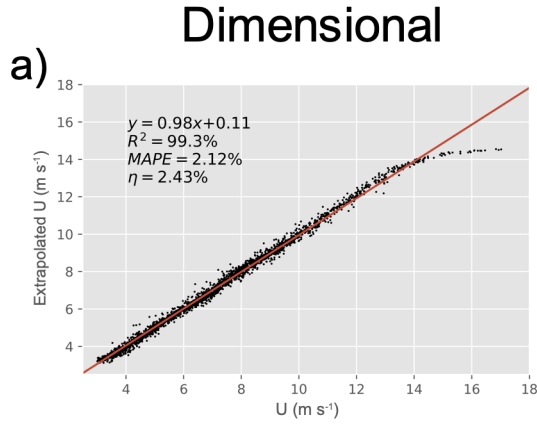
An elementary indicator of the network's predictive power is the coefficient of determination  $R^2$ , given by

$$R^2 = 1 - \frac{\sum (y_i - \hat{y}_i)^2}{\sum (y_i - \bar{y})^2} \quad (5)$$

- where  $y_i$  and  $\hat{y}_i$  have the same meanings as in Eq. 1 and  $\bar{y}$  is the mean observed output. Non-dimensionalization improves  $R^2$  from 99.3% to 99.6%. While this is a clear improvement, it does not tell the whole story. Non-dimensionalization minimizes the network's dependence on wind speed, possibly by **forcing it to calculate** the amount of shear between reference and extrapolation heights, which is more easily determined with the assistance of  $TI$ . Therefore, it may be expected that non-dimensionalization reduces error at high wind speeds where there is a deficiency of samples.

The decrease in error variance is seen in Fig. 4c and d, which show the change in uncertainty  $\eta$  with height. For a Gaussian variable,  $\eta$  can be defined as

$$\eta = 100 \times \frac{\sigma_\varepsilon}{\bar{U}} \quad (6)$$



**Figure 4.** Comparison of network performance with dimensional (a, c, e) and non-dimensional (b, d, f) input features for the 160m extrapolation at Perdígão. Top row shows a comparison of true and extrapolated wind speed (at extrapolation height) with the best-fit line, the middle row the change in uncertainty with wind speed, and the bottom row the change in extrapolation error with wind speed. The black lines indicate a spline interpolation of the data. Colors indicate the percent of data at each binned wind speed for the testing dataset.

where  $\sigma_\epsilon$  is the standard deviation of the root mean square error and  $\bar{U}$  the mean wind speed. For non-dimensional testing, predicted wind speeds are first transformed back into the dimensional space (i.e.  $U_n \rightarrow U$ ) prior to error calculation in order to find true wind speed extrapolation uncertainty. The total uncertainty, a measure of error variability, is reported in the top row of Fig. 4, but the change in  $\eta$  with height can be seen in the figure's middle row. At low wind speeds ( $< 4ms^{-1}$ ) with a large sample size the dimensional network actually outperforms the non-dimensional network. As wind speeds increase, both the dimensional and non-dimensional networks' uncertainties decrease at a similar rate until the sample size begins to decrease at roughly  $10ms^{-1}$ . At high wind speeds, the dimensional network's uncertainty begins to increase, eventually rising to almost 2% at extrapolated wind speeds  $> 15ms^{-1}$ . The non-dimensional network's uncertainty, meanwhile, continues to decrease as wind speed increases, eventually reaching values as low as 0.5%. This is once again due to the fact that the non-dimensional network is better accounting for the wind shear that is crucial for extrapolation. High wind speeds no longer appear to the network as outliers, allowing the network to better extrapolate much higher wind speeds than otherwise possible. Non-dimensionalization therefore decreases output variability in sparse dimensional space, producing less volatile outputs and a more robust network.

Lastly, the change in  $MAPE$  with wind speed can be seen in Fig. 4e and f. As with uncertainty, the dimensional network's  $MAPE$  increases dramatically with wind speed due to sample sparsity. Non-dimensionalization once again nearly eliminates this effect, as the  $MAPE$  consistently decreases for extrapolated wind speeds  $< 16ms^{-1}$ . Whereas the uncertainty denotes error variability,  $MAPE$  denotes overall prediction error. As is clear in Fig. 4a, the dimensional network has an obvious bias at high wind speeds, systematically under-predicting extrapolation wind speed. This is apparent in Fig. 4e, as  $MAPE$  increases to more than 10% at higher wind speeds. The non-dimensional network does not have this problem, again due to the fact that the network is oblivious to the dimensional wind speed, minimizing the prediction's dependence upon total wind speed. We therefore conclude that non-dimensionalization decreases both total error and error variability in regions with a sparsity of samples by eliminating the dependence on wind speed.

CASPER is most sensitive to the choice of input features. This may be due to two factors. First, the site may have flow dynamics for which our current list of inputs cannot account (such as the Catalina Eddy near the Californian Bight (Parish et al., 2013), and marine offshore internal boundary layers (Garratt, 1990) observed near that site). Additionally, it is likely that the amount of CASPER data available is not adequate for the network to accurately parse more complex hidden patterns. Less data could lead the network to overemphasize noisy perturbations as opposed to larger meteorological trends. It is telling that even with the small amount of data available the ANN is sometimes more than 50% more accurate than the power law extrapolation technique.

Although the best extrapolation accuracy occurs at WFIP2, the largest improvement over the power law is at CASPER and Perdigo. This may be due to the fact that the power law extrapolation performed well at WFIP2 to begin with, suggesting that WFIP2 may have the simplest flow pattern of the three sites. The amount of data available did not seem to improve network performance but likely stabilized the network against noise.

We determine that of the features analyzed, the non-dimensional input features,  $U_n$ ,  $U_p$ , and  $TI$ , most reliably help the efficacy of the ANN. Extracting the non-dimensional wind speed gives the network a better idea of the general trend it needs

to spot and adds more uniformity to the input samples.  $TI$  specifies the amount of turbulence and hence momentum diffusive capacity within the system (i.e. velocity gradients), a property that none of the other input features are able to directly convey. Lastly, providing the ANN with the previous period’s wind speed drastically improves accuracy. This is the only feature that contains information about the flow’s history. All three of these features are important because they give the network new  
5 insightful information about evolving aspects (dynamics) of the flow.

Some of the other input features ( $\phi$ ,  $W$ ,  $Dir$ ) are less impactful for extrapolation, with minor effects that are site and height dependent. Adding irrelevant inputs increases the system’s noise and, unless an abundance of data is available, can cause the ANN to model coincidental or conflicting patterns. Other features ( $dudz$ ,  $U$ ) provide redundant information. These features typically fail to improve network accuracy, can slow the training process, and are best left out. Lastly,  $U_\alpha$  can act as a positive  
10 or negative influence on the network because  $\alpha$  is dependent on other parameters such as  $U_n$ ,  $U_p$ , and  $TI$ . If the power law model is reasonably accurate or has a clear repetitive bias,  $U_\alpha$  could be a useful input feature that provides the ANN with a dependable indication of wind shear. Otherwise, it adds misleading noise to the input feature set by thwarting the steering that  $U_n$ ,  $U_p$ , and  $TI$  would provide toward an accurate extrapolation.

It is obvious that just the right amount of scaled meteorological information is necessary to achieve optimal extrapolation accuracy. It is also useful to simplify the modeled system whenever possible, provided that the simplification does not  
15 remove necessary information. An example is the difference in extrapolation accuracy between  $U$  and  $U_n$ . Before the non-dimensionalization, the ANN has to find a baseline wind speed and predict the vertical wind shear. With non-dimensionalization, the baseline wind speed is a constant and the network is able to exploit possible self-similarity properties of the velocity profile. Whatever information lost during non-dimensionalization is more than compensated by the improved model robustness and  
20 removal of some measurement inaccuracies, allowing for better generalization over regions in the input domain that would have a scarce amount of data (i.e. extrapolated wind speed  $> 14ms^{-1}$ ).

This is only a first step in investigating how mindful feature extraction and selection can improve ANN accuracy for meteorological predictions in wind engineering. Further improvement may be possible through the addition of other meteorological elements, particularly atmospheric stability (although we expect when inputs consist of different height levels and with specification of turbulence level, the effects of stratification is indirectly taken into account). Future studies are needed to investigate  
25 the efficacy of using non-dimensional meteorological variables to improve wind speed forecasting. Recurrent neural networks should also be utilized to test how alternative combinations of meteorological features, combined with extensive knowledge of the system’s history, can improve wind speed forecasting.

## 6 Conclusions

30 Model uncertainty is a vexing problem in the wind energy industry that has vast economic implications. It has been shown that standard wind energy vertical extrapolation methods are outdated and can no longer serve their purpose of efficiently predicting and extrapolating meteorological properties accurately under various conditions (Sfyri et al., 2018; Stiperski et al., 2019). This problem can be mitigated by employing machine learning tools that have made great strides in the past few decades. Newer and

faster techniques seem to spring up every few months along with a continual increase in data processing power. ANNs have the capability to **delve into** turbulent, nonlinear systems and may therefore be used as a tool to assist models, although blindly using ANNs without a dynamic underpinning is vacuous. Domain knowledge, **especially on governing dynamical variables**, can greatly assist these systems in finding underlying trends that govern atmospheric phenomena.

- 5 This study investigated how feature extraction and selection can increase ANN wind speed **vertical** extrapolation accuracy. Various meteorological features were combined to test their effectiveness as ANN inputs. It was found that, **on the average, ANN vertical extrapolation error decreases by 15% when using  $U_n$  as a singular input feature rather than  $U$** . Two other **extracted non-dimensional** features,  **$TI$**  and  **$U_p$** , also led to increased extrapolation accuracy. The accuracy obtained by the ANN was up to **65% and 53% better than that obtained by a log law and power law vertical extrapolations, respectively**.
- 10 **Vertical** extrapolation error was minimized to as low as 1.06% over 20m, but too many network inputs actually caused a reduction in network accuracy. The 160m extrapolation at Perdigão was analyzed in depth to determine the effects of feature **non-dimensionalization**. In addition to an improved correlation with measured wind speeds, **non-dimensionalization** led to a decrease in both total extrapolation error and variability, particularly at high wind speeds. The **non-dimensional** input features created a robust network that improved predictions even in rare and underrepresented cases. This shows that with sufficient data
- 15 and proper feature extraction and selection, ANNs are able to improve upon **the current industry standard vertical extrapolation accuracy**.

- Future studies are planned to investigate feature extraction and selection for wind speed predictions over a variety of timescales using a recurrent neural network. Identification of robust non-dimensional variables is expected to give ANNs a better perspective of atmospheric conditions. We hope that machine learning tools, combined with proper feature selection and
- 20 extraction, will reduce atmospheric model uncertainty to a fraction of what it is today.

*Code and data availability.* Data from the Perdigão campaign may be found at <https://perdigao.fe.up.pt/>, the WFIP2 campaign at <https://a2e.energy.gov/projects/wfip2>, and the CASPER campaign at <https://www.researchworkspace.com/campaign/2685070/casper-west>. **Input and target variables are altered for each individual test**; example codes used for this study maybe found at <https://github.com/dvassall/>.

## Appendix A: MAPE Magnitude Invariance

- 25 Our goal is to ensure that the loss function's magnitude is invariant regardless of output scaling, allowing a fair comparison between dimensional and non-dimensional networks. For a simple feed-forward neural network with  $j$  output nodes, our output error can be defined as  $E = \frac{1}{n} \sum_c \sum_j e_{cj}$  where  $c$  is the number of samples in a batch and  $e_{cj}$  is the error (given by a user-defined loss function) seen by each output node for each sample in the batch. If we are using a mean absolute percentage error loss function, meaning that we may define our error metric as

$$30 \quad e_{cj} = 100 \frac{|y_{cj} - \hat{y}_{cj}|}{y_{cj}} \quad (A1)$$

where  $y_{cj}$  is the true target output,  $\hat{y}_{cj}$  is the predicted target output, and the vertical lines denote the absolute value. For convenience consider a single sample in a single batch (this same analysis can be expanded to multiple samples over multiple batches because of the linear nature of the summation). We will refer to the true and predicted values as  $y$  and  $\hat{y}$ , respectively. We can now define the true and predicted (dimensional) outputs ( $y_d$  and  $\hat{y}_d$ , respectively) as well as the true and predicted non-dimensional outputs ( $y_n = y_d/a$  and  $\hat{y}_n = \hat{y}_d/a$ , respectively, where  $a$  is a non-dimensionalization variable unique to each individual case). We can find the dimensional error  $e_d$  to be

$$e_d = 100 \frac{|y_d - \hat{y}_d|}{y_d} \quad (\text{A2})$$

Likewise, the non-dimensional error  $e_n$  can be written as

$$e_n = 100 \frac{|y_d/a - \hat{y}_d/a|}{y_d/a} = 100 \frac{|y_d - \hat{y}_d|}{y_d} \quad (\text{A3})$$

- 10 proving that the error's magnitude is invariant under non-dimensionalization. This is not true for loss metrics such as mean squared error or mean absolute error, where

$$e_d = (y_d - \hat{y}_d)^2, \quad e_n = \frac{(y_d - \hat{y}_d)^2}{a^2} \quad (\text{A4})$$

and

$$e_d = |y_d - \hat{y}_d|, \quad e_n = \frac{|y_d - \hat{y}_d|}{a} \quad (\text{A5})$$

- 15 respectively. By using the *MAPE* loss function, we are ensuring that the network learns at similar rates when using both dimensional and non-dimensional variables.

## Appendix B: Definitions of Variables

Features are listed in the order that they appear in Section 2.2. **Non-dimensional features are in bold.** All input variables except *Hr* are taken from four elevations below extrapolation height.

$U_\alpha$	Extrapolated wind speed based on Eq. 2 ( $ms^{-1}$ )
$U_L$	Extrapolated wind speed based on Eq. 3 ( $ms^{-1}$ )
$U$	<b>Streamwise</b> wind speed ( $ms^{-1}$ )
$Dir$	Wind direction ( $-1 \rightarrow 1$ )
$Hr$	Hour of the day (cosine curve; $-1 \rightarrow 1$ )
$TI$	Turbulence intensity; $TI = \sigma_U / U$ ( $\sigma_U$ is the standard deviation of streamwise wind speed; both $\sigma_U$ and $U$ taken at a single elevation)
$U_n$	<b>Non-dimensional streamwise wind speed</b> ( $U$ at all heights divided by $U$ 20m below the extrapolation height)
$U_p$	<b>Non-dimensional streamwise wind speed</b> from the previous time period (non-dimensionalized the same way as $U_n$ )
$dudz$	Vertical wind shear ( $dudz = \partial U / \partial z$ ; $s^{-1}$ )
$\phi$	Terrain elevation angle from direction of incoming wind speed ( $^\circ$ )
$W$	Vertical wind speed ( $ms^{-1}$ )

*Author contributions.* Daniel Vassallo prepared the manuscript with the help of all co-authors. Data processing was performed by Daniel Vassallo, with technical assistance from Raghavendra Krishnamurthy. All authors worked equally in the review process.

*Competing interests.* The authors declare that they have no conflict of interest.

*Acknowledgements.* This work was funded by the National Science Grant number AGS-1565535, Wayne and Diana Murdy Endowment at University of Notre Dame and Dean's Graduate Fellowship for Daniel Vassallo. Data collection in CASPER was funded by the US Office of Naval Research Grant N00014-17-1-3195 and WFIP2 data collection was funded by the US Department of Energy Grant DOE-WFIFP2-SUB-001. **The Pacific Northwest National Laboratory is operated for the DOE by Battelle Memorial Institute under Contract DE-AC05-76RLO1830. We express appreciation to Prof. Julie Lundquist and her graduate students at the University of Colorado Boulder for collecting the Wasco lidar data with financial support from the Department of Energy. The CASPER-West data were collected by Mr. Ryan Yamaguchi as a part of the Naval Postgraduate School contribution to CASPER directed by Professor Qing Wang. The Perdigo data are from a lidar deployed by the Institute of Science and Innovation in Mechanical and Industrial Engineering (INEGI), the operation of which was overseen by Dr. Jose Carlos Matos.**

## References

- Abadi, M., Agarwal, A., Barham, P., Brevdo, E., Chen, Z., Citro, C., Corrado, G. S., Davis, A., Dean, J., Devin, M., et al.: Tensorflow: Large-scale machine learning on heterogeneous distributed systems, arXiv preprint arXiv:1603.04467, 2016.
- Aggarwal, C. C.: Neural networks and deep learning, Springer, 2018.
- 5 Akish, E., Bianco, L., Djalalova, I. V., Wilczak, J. M., Olson, J. B., Freedman, J., Finley, C., and Cline, J.: Measuring the impact of additional instrumentation on the skill of numerical weather prediction models at forecasting wind ramp events during the first Wind Forecast Improvement Project (WFIP), Wind Energy, 2019.
- Baklanov, A. A., Grisogono, B., Bornstein, R., Mahrt, L., Zilitinkevich, S. S., Taylor, P., Larsen, S. E., Rotach, M. W., and Fernando, H.: The nature, theory, and modeling of atmospheric planetary boundary layers, Bulletin of the American Meteorological Society, 92, 123–128, 2011.
- 10 Barenblatt, G. I. and Isaakovich, B. G.: Scaling, self-similarity, and intermediate asymptotics: dimensional analysis and intermediate asymptotics, vol. 14, Cambridge University Press, 1996.
- Berg, L. K., Liu, Y., Yang, B., Qian, Y., Olson, J., Pekour, M., Ma, P.-L., and Hou, Z.: Sensitivity of Turbine-Height Wind Speeds to Parameters in the Planetary Boundary-Layer Parametrization Used in the Weather Research and Forecasting Model: Extension to Wintertime Conditions, Boundary-layer meteorology, 170, 507–518, 2019.
- 15 Bianco, L., Djalalova, I. V., Wilczak, J. M., Olson, J. B., Kenyon, J. S., Choukulkar, A., Berg, L. K., Fernando, H. J., Gritmit, E. P., Krishnamurthy, R., et al.: Impact of model improvements on 80 m wind speeds during the second Wind Forecast Improvement Project (WFIP2), Geoscientific Model Development (Online), 12, 2019.
- Bilgili, M., Sahin, B., and Yasar, A.: Application of artificial neural networks for the wind speed prediction of target station using reference stations data, Renewable Energy, 32, 2350–2360, 2007.
- 20 Bodini, N., Lundquist, J. K., Krishnamurthy, R., Pekour, M., Berg, L. K., and Choukulkar, A.: Spatial and temporal variability of turbulence dissipation rate in complex terrain, Atmospheric Chemistry and Physics, 19, 4367–4382, 2019.
- Chen, Y., Zhang, S., Zhang, W., Peng, J., and Cai, Y.: Multifactor spatio-temporal correlation model based on a combination of convolutional neural network and long short-term memory neural network for wind speed forecasting, Energy Conversion and Management, 185, 783–799, 2019.
- 25 Chollet, F. et al.: Keras, <https://github.com/fchollet/keras>, 2015.
- Dupré, A., Drobinski, P., Alonzo, B., Badosa, J., Briard, C., and Plougonven, R.: Sub-hourly forecasting of wind speed and wind energy, Renewable Energy, 145, 2373–2379, 2020.
- Fernando, H., Pardyjak, E., Di Sabatino, S., Chow, F., De Wekker, S., Hoch, S., Hacker, J., Pace, J., Pratt, T., Pu, Z., et al.: The MATERHORN: Unraveling the intricacies of mountain weather, Bulletin of the American Meteorological Society, 96, 1945–1967, 2015.
- 30 Fernando, H., Mann, J., Palma, J., Lundquist, J., Barthelmie, R. J., Belo-Pereira, M., Brown, W., Chow, F., Gerz, T., Hocut, C., et al.: The Perdigao: Peering into microscale details of mountain winds, Bulletin of the American Meteorological Society, 100, 799–819, 2019.
- Fleming, P., King, J., Dykes, K., Simley, E., Roadman, J., Scholbrock, A., Murphy, P., Lundquist, J. K., Moriarty, P., Fleming, K., et al.: Initial results from a field campaign of wake steering applied at a commercial wind farm–Part 1, Wind Energy Science, 4, 273–285, 2019.
- 35 Fleming, P. A., Scholbrock, A., Jehu, A., Davoust, S., Osler, E., Wright, A. D., and Clifton, A.: Field-test results using a nacelle-mounted lidar for improving wind turbine power capture by reducing yaw misalignment, in: Journal of Physics: Conference Series, vol. 524, p. 012002, IOP Publishing, 2014.



- Garratt, J.: The internal boundary layer—a review, *Boundary-Layer Meteorology*, 50, 171–203, 1990.
- Giyani, A., Bierbooms, W., and van Bussel, G.: Lidar uncertainty and beam averaging correction, *Advances in Science and Research*, 12, 85–89, 2015.
- Holmes, J. D.: *Wind loading of structures*, CRC press, 2018.
- 5 Hsieh, W. W. and Tang, B.: Applying neural network models to prediction and data analysis in meteorology and oceanography, *Bulletin of the American Meteorological Society*, 79, 1855–1870, 1998.
- Kim, D., Kim, T., Oh, G., Huh, J., and Ko, K.: A comparison of ground-based LiDAR and met mast wind measurements for wind resource assessment over various terrain conditions, *Journal of Wind Engineering and Industrial Aerodynamics*, 158, 109–121, 2016.
- Kingma, D. P. and Ba, J.: Adam: A method for stochastic optimization, *arXiv preprint arXiv:1412.6980*, 2014.
- 10 Krishnamurthy, R.: Wind farm characterization and control using coherent Doppler lidar, Ph.D. thesis, Arizona State University, 2013.
- Krishnamurthy, R., Calhoun, R., Billings, B., and Doyle, J. D.: Mesoscale model evaluation with coherent Doppler lidar for wind farm assessment, *Remote sensing letters*, 4, 579–588, 2013.
- Kumar, A. A., Bossanyi, E. A., Scholbrock, A. K., Fleming, P., Boquet, M., and Krishnamurthy, R.: Field Testing of LIDAR-Assisted Feedforward Control Algorithms for Improved Speed Control and Fatigue Load Reduction on a 600-kW Wind Turbine, Tech. rep.,  
15 National Renewable Energy Lab.(NREL), Golden, CO (United States), 2015.
- Langreder, W. and Jogararu, M.: *Uncertainty of Vertical Wind Speed Extrapolation*, 2017.
- Li, F., Ren, G., and Lee, J.: Multi-step wind speed prediction based on turbulence intensity and hybrid deep neural networks, *Energy Conversion and Management*, 186, 306–322, 2019.
- Lundquist, J.: Lidar-CU WindCube V1 Profiler, Wasco Airport-Reviewed Data, Tech. rep., Atmosphere to Electrons (A2e) Data Archive and  
20 Portal, Pacific Northwest National Laboratory; PNNL, 2017.
- Lundquist, J., Churchfield, M., Lee, S., and Clifton, A.: Quantifying error of lidar and sodar Doppler beam swinging measurements of wind turbine wakes using computational fluid dynamics, *Atmospheric Measurement Techniques (Online)*, 8, 2015.
- Lundquist, J. K., Wilczak, J. M., Ashton, R., Bianco, L., Brewer, W. A., Choukulkar, A., Clifton, A., Debnath, M., Delgado, R., Friedrich, K., et al.: Assessing state-of-the-art capabilities for probing the atmospheric boundary layer: the XPIA field campaign, *Bulletin of the  
25 American Meteorological Society*, 98, 289–314, 2017.
- Markou, H. and Larsen, T. J.: Control strategies for operation of pitch regulated turbines above cut-out wind speeds, in: *2009 European Wind Energy Conference and Exhibition, EWEC*, 2009.
- McGovern, A., Lagerquist, R., John Gagne, D., Jergensen, G. E., Elmore, K. L., Homeyer, C. R., and Smith, T.: Making the black box more transparent: Understanding the physical implications of machine learning, *Bulletin of the American Meteorological Society*, 100,  
30 2175–2199, 2019.
- Mohandes, M. A. and Rehman, S.: Wind Speed Extrapolation Using Machine Learning Methods and LiDAR Measurements, *IEEE Access*, 6, 77 634–77 642, 2018.
- More, A. and Deo, M.: Forecasting wind with neural networks, *Marine structures*, 16, 35–49, 2003.
- Newman, J. F. and Clifton, A.: Moving Beyond 2% Uncertainty: A New Framework for Quantifying Lidar Uncertainty, Tech. rep., National  
35 Renewable Energy Lab.(NREL), Golden, CO (United States), 2017.
- Newsom, R. K., Brewer, W. A., Wilczak, J. M., Wolfe, D., Oncley, S., and Lundquist, J. K.: Validating precision estimates in horizontal wind measurements from a Doppler lidar, *Atmospheric Measurement Techniques*, 10, 2017.

- Olson, J. B., Kenyon, J. S., Djalalova, I., Bianco, L., Turner, D. D., Pichugina, Y., Choukulkar, A., Toy, M. D., Brown, J. M., Angevine, W. M., et al.: Improving wind energy forecasting through numerical weather prediction model development, *Bulletin of the American Meteorological Society*, 100, 2201–2220, 2019.
- Parish, T. R., Rahn, D. A., and Leon, D.: Airborne observations of a Catalina eddy, *Monthly Weather Review*, 141, 3300–3313, 2013.
- 5 Pichugina, Y., Banta, R., Bonin, T., Brewer, W., Choukulkar, A., McCarty, B., Baidar, S., Draxl, C., Fernando, H., Kenyon, J., et al.: Spatial Variability of Winds and HRRR-NCEP Model Error Statistics at Three Doppler-Lidar Sites in the Wind-Energy Generation Region of the Columbia River Basin, *Journal of Applied Meteorology and Climatology*, 2019.
- Schlipf, D., Schlipf, D. J., and Kühn, M.: Nonlinear model predictive control of wind turbines using LIDAR, *Wind energy*, 16, 1107–1129, 2013.
- 10 Sfyri, E., Rotach, M. W., Stiperski, I., Bosveld, F. C., Lehner, M., and Obleitner, F.: Scalar-Flux Similarity in the Layer Near the Surface Over Mountainous Terrain, *Boundary-layer meteorology*, 169, 11–46, 2018.
- Shu, Z., Li, Q., He, Y., and Chan, P.: Observations of offshore wind characteristics by Doppler-LiDAR for wind energy applications, *Applied Energy*, 169, 150–163, 2016.
- Stiperski, I., Calaf, M., and Rotach, M. W.: Scaling, Anisotropy, and Complexity in Near-Surface Atmospheric Turbulence, *Journal of Geophysical Research: Atmospheres*, 124, 1428–1448, 2019.
- 15 Wang, Q., Alappattu, D. P., Billingsley, S., Blomquist, B., Burkholder, R. J., Christman, A. J., Creegan, E. D., De Paolo, T., Eleuterio, D. P., Fernando, H. J. S., et al.: CASPER: Coupled Air–Sea Processes and Electromagnetic Ducting Research, *Bulletin of the American Meteorological Society*, 99, 1449–1471, 2018.
- Wilczak, J. M., Stoelinga, M., Berg, L. K., Sharp, J., Draxl, C., McCaffrey, K., Banta, R. M., Bianco, L., Djalalova, I., Lundquist, J. K., et al.: The Second Wind Forecast Improvement Project (WFIP2): Observational Field Campaign, *Bulletin of the American Meteorological Society*, 2019.
- 20 Yang, B., Qian, Y., Berg, L. K., Ma, P.-L., Wharton, S., Bulaevskaya, V., Yan, H., Hou, Z., and Shaw, W. J.: Sensitivity of turbine-height wind speeds to parameters in planetary boundary-layer and surface-layer schemes in the weather research and forecasting model, *Boundary-layer meteorology*, 162, 117–142, 2017.