RC2 comments,

Introduction

- Could you also please comment on whether a control system with pre-monitoring would be sufficiently fast to react to EOGs?
- Ans: A LIDAR beam can measure the approaching flow field of the size of the rotor diameter at 100-150 m upstream. Based on the average wind velocities it gives about 8 to 15 seconds to wind turbine to react with collective pitch or individual pitch control. But still LIDAR technology has limitations and it needs more developments, but it is very promising [Bossanyi, E. A., Kumar, A. and Hugues-Salas, O.: Wind turbine control applications of turbine-mounted LIDAR, J. Phys. Conf. Ser., 555, 012011, doi:10.1088/1742-6596/555/1/012011, 2014.]

Gust length and time scaling

- please comment on why you are choosing 4 loops of a blade tip vortex?
- Ans: the gust time in the IEC is between 10.5 or 15 seconds. The rated rotor speed of the commercial turbines based on their size in nominal operational condition is between about 12 to 20 RPM. Considering 16 RPM rotor speed and 13 s gust time in average, the rotor does 3-4 complete rotation during the gust time. This is the simplified idea behind our scaling consistent with the dynamic processes involved related to the progression downstream of trailed vorticity in the wake.
- could you give a citation where this gust has been simplified? Also, it should be added that the important part is the amplitude by which the wind increases (here from 3.5 m/s to 9.5 m/s in 1.25 s (2.4 s IEC)) the drop should therefore not simply be ignored.
- Ans: We acknowledge your comment, I have changed the words that have been used in this section, by mentioning that this is just the closest time duration that we can get with the current setup. The main goal was just to capture the amplitude from mean to the peak gust. The IEC standard gust includes an initial dip to match field experiments in which gusts are preceded by a lull; the present of the lull when the turbine is otherwise operating at mean conditions is likely benign, with the important gust impacts being realized from the extent of the wind speed excursion above the mean wind speed.

Results

- if I interpret the figures correctly, you have turbulence intensities of up to 50% (fig. 11 c, 1.5m height: V _ 5m/s, _ 2:5m/s. You argue that this is due to vortex forming. Could you please comment on possible consequences during your experiment?
- Ans: as you know velocity shear is the main element of turbulence production in the TKE transport equation. With this high shear strong vortexes form and increase the momentum mixing between different layers. This high amount of turbulence intensity definitely affects our results from the cobra probes. According to the manufacturer up to 30% of turbulence intensity the accuracy of measurements is about +-0.5 m/s in velocity range of 2- 40 m/s, however at higher turbulence the range of accuracy is unknown but certainly less accurate.
- Fig12, is this a phase average? Did you verify the reproducibility of the gust? it appears that one cobra probe does measure a significantly lower velocity (more than 0.5 m/s you give as probe

accuracy) than the other sensors: There is a bump in fig. 12 d-g. Since both vertical and horizontal measurements have been performed, this appears to be a problem with the probe rather than an alteration in the flow field (probe F for hor. measurements/ D for vert. measurements – but since F and D are symmetrically ordered around the center, it might actually be the same probe with different labelling?). Did you make sure the cobra probes are calibrated the same way or test the measured velocity variation between the probes?

- Ans: Yes, all the velocity figures are phased averaged with 0.2 s window. And you are right it is the same probe D recording consistently lower velocities than others. All of the probes have been calibrated identically. We noted this in the text.
- "Based on Figure 13d for the EOG generated with changing fan powers, the velocity at the upper height in the test section is not achieving a totally uniform flow condition (time series from probe H)." Did you check how the raw data looks? Considering the rather broad moving average window of 0.2s / 400 data points, the "hole" at t = 35s might stem from some not collected data points which may for cobra probes occur if the flow leaves the measurement area (too high/low velocity/ too large flow angle).
- Ans: In other experiments we saw the same inconsistency of the flow from the top row of the fans when we create uniform gusts. The velocity ranges and directions are in the cone shape measurement area of the probes.
- while the ration V=V_{max} may be similar to the IEC EOG, you do not achieve the amplitude. Also, a comment on the rise and fall time as compared to the IEC EOG would be interesting.
- Ans: You are right, but we tried to develop the closest possible gust to the IEC. With the complex system of the fans, the velocity drops is not possible to replicate. But we tried to at least reproduce the same time duration for all EOG and EWSs events (they all have 5 seconds for rising and falling periods). We tried to change the words that we used in the text as well to reflect this.

Conclusion

• "By ignoring the sudden velocity drops in the theoretical gust profile, the generated gusts would become identical to the standard." I disagree because your amplitude is lower while the rise and fall times are higher.

• Ans: You are correct; as per last comment, we changed our language in the conclusion.

RC1 Comments,

Abstract

- suggesting restructuring the abstract
- Ans: We did, thank you.

introduction

- ("rather than trying to replicate the much more complex current standard".) This is not really a justification can the authors please comment?
- Ans: the current standard specifies a statistical approach to gusts; this project was a first step in wind tunnel experimentation to create a simplified gust/ shear based on a previously established industry standard. So, we chose IEC 3rd edition to do so, which has deterministic formula for these events. We have revised the text to clarify the context of the study, using a deterministic gust, relative to a stochastic handling of gust/extreme wind events which is more suitable for numerical simulations purposes but would be extremely difficult to achieve experimentally.

Numerical analysis

- (other parameter was left as default values) were these appropriate? Can the authors please comment?
- Ans: other parameters for automated mesh function in StarCCM are surface curvature, surface growth rate and mesh density. Usually the default for surface curvature is 6 degree which means it put a node on the surface at each 6 change of degree. The growth rate is 20% so then we do not have a big jump in size in proximity elements. The mesh density is usually being used for bluff bodies when you need to refine the wake of an object.

Experimental setup

- Not Enough details are included in the setup on the probes, justification of sampling frequency and time, a/d conversion, the measurement stations, etc.
- Ans: The sampling frequency was set to the highest (2k Hz) so we could have flexibility for our moving average filtering later. All of signals from the cobra probes were connected to a specific deck/ interface box and then a regular a/d conversion card then a windows laptop. The process is straight forward and accessible on their website. The text has been clarified in this respect <u>https://www.turbulentflow.com.au/Downloads/Cat_CobraProbe.pdf</u>.
- (fig 7) How were these value chosen? Can the authors please comment?
- Ans: the values are based on a 2.2 m wind turbine with 1.9 m hub height that also is visible in this figure. The goal was to just have acceptable resolution of the flow field in the rotor swept area with lowest number of the cobra probes.

scaling

- I suggest considering collating figures 9 and 1.
- Ans: At first, we thought the same but then we determined it improves the readability of the paper by displaying two separate figures. Because our scaling method needs rather long explanation, we didn't want to confuse readers as they progress through the paper.

Results

- (largest error exist in horizontal shear) Can the authors speculate on why this is the case? Have any attempt been made to match the level of agreement seen in the vertical shear case?
- Ans: the CFD model is tuned based on previously tested ABL flows. Also, in CFD all the fans were considered identical with the same amount of efficiency by ignoring the flow recirculation. The values in these steady shears as well as unsteady cases in physical experiments were taken directly from CFD predictions so we have nonconformity with what we expected/ IEC. As we mentioned in the conclusion because of the complex nature of the fans and the geometry of WindEEE the developed CFD just should be used in preliminary stage but then field adjustment will be needed.

- (fig 13) this figure contains too many subfigures, which are not discussed in detail. I suggest condensing this information and perhaps report one plot as an example. The rest of the plots could be added as supplementary material (or similar).
- Ans: Thank you for your suggestion, we have added more discussion to the figures. Putting figures right along each other maybe at first glance looks confusing but it going to improve their readability once the reader understands the integrated and relative structure of the layout between subplots.

Numerical and Experimental Simulation of Extreme Operational Conditions for Horizontal Axis Wind Turbines Based on the IEC Standard

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Abstract. In this study, the possibility of simulating some transient and deterministic extreme operational conditions for horizontal axis wind turbines based on the IEC 61400-1 standard <u>using 60 individually controlled fans</u> in the Wind Engineering, Energy and Environment (WindEEE) Dome at Western University was investigated. There are 60 fans (a matrix of 4 by 15 with 0.8m diameter each) on one of the walls of this hexagonal wind tunnel for creating straight flows which the power set points for each fan can be specified individually. In addition, these fans have adjustable Inlet Guiding Vanes (IGV) that can be controlled uniformly across all of the fans. Using these capabilities, experimentsExperiments were carried out for the Extreme Operational Gust (EOG), positive and negative Extreme Vertical Shear (EVS), and Extreme Horizontal Shear (EHS) cases, tailored for a 2.2

- 20 <u>m HAWT scaled 2.2 m horizontal axis wind turbine. This study started by developingFor this purpose, firstly a numerical model for the test chamber, then using it was developed and used to tuneobtain the fan setupsfans' configurations for simulating each extreme condition with proper scaling. Physical experiments then carried out using those settings, then a comparison made between_prior to the flow field time history and the prescribed conditions from the standard.physical experiments. The comparisons show promising results, this can be a contribution to future scholars investigating the interactionresult shows the capability of the HAWT with</u>
- these developed numerical model to predict the fans' setup and the facility to generate IEC extreme conditions in physical experiments the range of interest.

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1. Introduction

Wind energy is one of the primary sources of low carbon energyrenewable energies for mitigating the global increasing energy demand. However, one of the basic factors for this market to thrive is <u>a</u> continued lowering of the levelized cost of electricity (LCOE), which is enhanced by ensuring the life <u>time</u> of the wind energy systems is reliably long (Ueckerdt et al., 2013). Having a long life cycle for these energy systems dramatically increases the probability of them encountering various extreme weather and wind conditions (Patlakas et al., 2017). Having a long life cycle for these energy systems dramatically increases the probability of them encountering various extreme weather and wind conditions. Therefore, the design of wind

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energy systems must consider extreme environmental conditions with statistically accurate return periods. The International Electrotechnical Commission (IEC) has some deterministic design codes for commercial Horizontal Axis Wind Turbines (HAWT) in operating conditions, specifically in IEC 61400 part one (1999). In the second edition of standard, two return periods for extreme conditions during turbine operation were included: 1 and 50 years. The third edition of the standard

- 40 removed the 50 years return period (2005). The most recent fourth edition of the standard (2019) has continued the standard's trend in prescribed analysis toward use of statistical analysis and extrapolation of load cases, however the use of the second edition of the standard is justified in this work presented here owing to the incremental development of a gust loading experimental capability, rather than trying to replicate the much more complex current standard. The work is a logical progression from steady state wind tunnel rotor testing that has been the norm to date in experimental campaigns the third
- 45 edition of the IEC 61400 part one (IEC, 2005) which includes two return periods for extreme conditions during turbine operation, 1 and 50-years. These extreme models are relatively simple and are not able to capture the true coherent turbulent wind characteristics (Cheng and Bierbooms, 2001; Hansen and Larsen, 2007; Wächter et al., 2012). This is especially true in complex terrain where the gust time evolution profiles are highly asymmetric and non-Gaussian (Hu et al., 2018). It has also motivated the most recent edition of the IEC standard (IEC, 2019) to utilize statistical methods for characterizing extreme gust
- 50 event performance and extrapolation of load cases. This has been enabled by computational resources to analyse wind energy systems in dynamic wind environments. However, the third edition of the IEC standards was used in the work presented here as an initial step towards gust experimentation and represents an incremental development of a gust loading experimental capability. Progressing to a stochastic experimental approach, in order to replicate the much more complex current standard aimed at numerical simulation efforts, is left for future work and will be very challenging.
- 55 One of the extreme cases in the standard is the extreme operational gust (EOG). A gust is defined as a sudden increase in velocity over its mean value, which is a transient feature of a turbulent wind field (Tony Burton, Nick Jenkins, David Sharpe, 2011)(Burton et al., 2011). These turbulent features in the Atmospheric Boundary Layer (ABL) depend on topography, surface roughness, obstacles-up-stream of the turbineobstacles, thermal stability (Suomi et al., 2013) and mesoscale climactic systems such as thunderstorms and downbursts (Chowdhury et al., 2018). In theory for different application there are various simplified
- 60 models of gust based on a peak factor and the whole rising and falling time in the wind speed. The peak factor is the ratio of the peak velocity (maximum or minimum) and the average wind speed. Wind gusts can happen over various length and time scales in nature. The most damaging gusts for any type of structures are the ones that have the same length scale or as the structure that can envelope the whole structure (Hu et al., 2018).(Hu et al., 2018). Smaller gusts, relative to the wind turbine size, causes fatigue loads on blades and can include the 'gust slicing effect'. These small gusts also can cause instabilities in
- 65 the power output of wind turbine generators. For a small electricity network, these instabilities in power generation can cause serious problems for managing power transmission and distribution. The worst case is when a peak gust wind speed is higher than the wind turbine cut out speed, cause fatigue loads on blades and can induce dynamic stall and the gust slicing effect (i.e. a blade slices through the gust repeatedly as it rotates). The wind gusts also can cause intermittencies in the power output of wind turbine generators. For a small electricity network, these fluctuations in power generation can cause serious problems

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- 70 (e.g. unstable grid voltage and frequency) for managing power transmission and distribution (Anvari et al., 2016; Estanqueiro, 2007). The worst case in both terms of the grid stability and the loading on the turbine is when the gust peak speed is higher than the wind turbine cut-out speed (i.e. a specific speed that turbine comes to complete parked position for safety reasons, usually about 25 m/s), which if prolonged enough can cause the control system to abruptly stop the wind turbine (Hansen, 2015). From an aerodynamic point of view, gusts can result in undesired acceleration of the rotor and
- 75 drivetrain. The most reasonable solution would beis usually an adjustable generator load or adjusting blade pitch angles after detection of the gust for modern wind turbines (Pace et al., 2015; Lackner and Van Kuik, 2010). Developing LIDAR technology can make a substantial contribution in controlling the wind turbine by measuring the wind field upstream, thereby giving enough time for the control system to react properly (Schlipf et al., 2013; Bossanyi et al., 2014)(Bossanyi et al., 2014; Schlipf et al., 2013).
- 80 In addition to uniform gusts, the standard specifies deterministic Extreme Vertical and Horizontal Shears (EVS, EHS). These shearsExtreme Wind Shears (EWS) can induce asymmetric loads on the rotor which are in turn transferred into the whole structure. The vertical shears can induce tilting or out-of-plane moments on the rotor and nacelle (Micallef and Sant, 2018).(Micallef and Sant, 2018). In a positive vertical shear, the blade moving at higher heights could experience stall while the one moving at lower height will experience a reduction in overall angle of attack relative to design condition (and vice
- 85 versa for negative vertical shear) (Sezer-Uzol and Uzol, 2013). If <u>the shear is</u> extreme enough, the blades may experience a phenomenon known as dynamic stall (Hansen, 2015(Hansen, 2015; Gharali and Johnson, 2015). All these together will result in instability and reduction in power generation, as well as highly dynamic fatigue loads on the system (Jeong et al., 2014; Shen et al., 2011)All these together will result in high fluctuations in power generation, as well as highly dynamic fatigue loads on the structure (Jeong et al., 2014; Shen et al., 2011). The effects of horizontal shear are similar to vertical shear in terms of
- 90 power performance and blade fatigue loads. However, EHS also induces yaw moments on the whole structure. These transient shears can happen for similar reasons as uniform gusts, but mostly happen within wind farms, where the <u>downstream</u> wind turbines <u>sometimes operated inare partially exposed to</u> the wakes of other operating-<u>up stream wind</u> turbines (Thomsen and <u>Sørensen, 1999)</u>, (González-Longatt et al., 2012)(González-Longatt et al., 2012; Thomsen and Sørensen, 1999).
- This standard also defines a classification for commercial wind turbines based on a reference wind speed and turbulence 95 intensity, in a way that covers most on-shore applications, (IEC, 2005). The Turbulence Intensity (TI) is defined as the ratio of the standard deviation of wind speed fluctuations to the mean 10 min averagedaverage wind speed, both calculated in 10min intervals. TI levels of 16%, 14% and 12% correspond to A, B and C the reference turbulence classes, (*Iref*). For velocity references, 3 classes have been defined (I, II, III) corresponding to 50, 42.5, 37.5 m/s wind speeds, with one further class for special conditions (e.g. off shoreoffshore and tropical storms) which should be specified by the designer. The design stream-

100 <u>wise</u> turbulence standard deviation for the stream wise direction in the standard (σ_u) is defined by a normal turbulence model:

$$\sigma_u = I_{ref} (0.75V_{hub} + b)(0.75U_{hub} + b); \ b \tag{(1)}$$

$$= 5.6 \frac{m}{s},$$

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The $V_{hub}U_{hub}$ is the average wind velocity at the at hub-height. The EOG with and b is a constant. Considering t as the instantaneous time and t = 0 at the beginning of the gust, the uniform EOG as function of time is defined as:

$$\begin{split} \mathcal{V}(t) &= \begin{cases} \frac{\overline{\mathcal{V}} - 0.37\beta \left(\frac{\sigma_{\overline{u}}}{1 + 0.1 \left(\frac{D}{A_{\overline{u}}}\right)}\right) \sin \frac{3\pi t}{T} \left(1 - \cos \frac{2\pi t}{T}\right); when \quad 0 \le t \le T, \\ \overline{\mathcal{V}}; \quad when \ t > T \ or \ t < 0, \end{cases} \tag{(2)} \\ &= \begin{cases} \frac{\overline{\mathcal{V}}_{hub}}{1 + 0.1 \left(\frac{D}{A_{u}}\right)}\right) \sin \frac{3\pi t}{T} \left(1 - \cos \frac{2\pi t}{T}\right); when \quad 0 \le t \le T, \\ \overline{\mathcal{V}}_{hub}; \quad when \ t > T \ or \ t < 0, \end{cases} \end{cases}$$

The factor β takes the value of 4.8 or 6.4 for gusts with recurrence periods of 1 or 50-years respectively. The duration of the gust T is specified as 10.5 s for 1-year and 14 s for 50-years return periods. The *D* is the diameter of the rotor, and Λ_u is the longitudinal turbulence scale parameter which is a function of the hub height: $\frac{(Z_{hub})}{(Z_{hub})}$

$$A_{u} = \begin{cases} 0.7Z & for \ Z \le 60m_{r} \\ 42m & for \ Z > 60m_{r} \\ 42m & for \ Z_{hub} \le 60m_{r} \\ 42m & for \ Z_{hub} > 60m_{r} \end{cases}$$
(13)

The EVS and EHS have similar equations which can be added to or subtracted from the main uniform or ABL inflow. The EVS <u>as function of height (Z) and time</u> can be calculated using the Eq. (-4)(4):

$$\begin{aligned} & \frac{\mathcal{V}_{EVS}(Z,t)}{2} \\ &= \begin{cases} \left(\frac{Z-Z_{hub}}{D}\right) \left(2.5 + 0.2 \,\beta \sigma_u \left(\frac{D}{\Lambda_u}\right)^{0.25}\right) \left(1 - \cos\left(\frac{2\pi t}{T}\right)\right); when \ 0 \le t \le T, \\ 0 \ ; when \ t > T \ or \ t < 0, \end{cases} \end{aligned}$$

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For a commercial B-III class HAWT with 92 m diameter rotor and 80 m hub height, at <u>10m10 m</u>/s average velocity, the prescribed EOG and EVS for 1-year return period are presented at Figure 1. Figure 1. The time window in this figure starts and ends with the extreme event, which is 10.5s for 1-year return period. <u>Generally speaking</u>, the The peak factor of the EOG decreases with increasing size of the turbine or decreasing hub height, and vice versa for the EVS based on these equations.

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These extreme models are relatively simple and are not able to capture the true coherent turbulent wind characteristics (Cheng and Bierbooms, 2001). This is the reason for recent editions of the IEC standard to utilize statistical methods for characterizing extreme gust event performance. This has been enabled by computational resources to analysis wind energy systems in dynamic wind environments. Experimental investigations are typically limited to steady state conditions (Snel et al., 2007; Sørensen et al., 2002). Only a limited number of studies have looked at transitory or turbulent wind conditions (Peinke et al.). Developing a method to experimentally test extreme conditions on rotors is a valuable contribution to researchers in this field, and as such is the main motivation and focus of this study examining deterministic transitory gust profile generation.

125 This Along with more common steady state experiments (Snel et al., 2007; Sørensen et al., 2002), developing transitory flow field experiments have attracted the interests of researchers during the past few decades (Lancelot et al., 2017; Ricci et al., 2017) to evaluate the various computational techniques or to directly investigate complex phenomena in different applications. To the authors' knowledge, in the wind energy field some efforts have been made to produce gusts using active

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grids (Petrović et al., 2019; Wester et al., 2018) and a chopper mechanism (Neunaber and Braud, 2020). Developing these
unsteady flow fields basically comes down to the experiment targets and the available wind tunnel facilities. In this study, the generation of the EOG and the EWSs unsteady flow fields with proper scaling (customised for a 2.2 m scaled HAWT) using 60 individually controlled jet fans in the WindEEE dome are considered. This work presents a new numerical model of the WindEEE dome test chamber which can be used to predict fan settings for any custom steady or unsteady 2D flow fields before the physical experiment, and the capability of this facility to physically generate the gusts and shears similar to IEC standard
during experiments. The focus of this paper is just on the time evolution of the simulated extreme conditions' flow fields which is a prologue for future experiments including an actual HAWT model.

The paper is organized in three sections beside the introduction and it is as follows. Section 22 details the development of the numerical model for the WindEEE test chamber which was used to obtain the fan setups to use in physical simulation of the gusts. This section also provides a length and time scaling of the gust which based on that the target gusts for
 experimental campaign are introduced. Section 33 presents the results from velocity measurements at the test section in two parts, firstly the steady shears to double checkassess the accuracy of the developed numerical model to simulate the shear layers and secondly the final transient simulated gusts and their comparison with IEC standard. Section 44 provides the some conclusions.

2. Methodology

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2.1. WindEEE Dome

The physical experiments were conducted in the WindEEE Dome at Western University, Canada. This is a versatile facility withthat can be run at different modes for creating various three dimensional and non-stationary wind systems (Hangan et al., 2017). It has an inner test chamber with a 25 m diameter hexagonal footprint and 3.8 m height. A render of the The dome inner shell of and the flow path in the test chamber for straightclosed-circuit 2D flow mode (e.g. ABL, shear flows is shownand etc) are rendered in Figure 2Figure 2a. The test chamber is in turn surrounded by an outer shell. It has a total 106 fans, including 60 fans installed on one wall and 40 fans over the other five peripheral walls. There are also 6 larger fans in a plenum above the test chamber. A which are mostly used for generating 3D flows like tornados and downbursts. The side view schematic of the WindEEE Domedome is shown at Figure 2Figure 2b. In general, to describe the arrangement of multiple fans allows for sheared, yawed and circulating flows to be created flow recirculation path in the dome. To simulate a straight flowclosed-circuit

155 <u>2D flow mode</u>. In this mode, the louvers at the top and peripheral sides of the test section are closed and the flow goes fromenergizes only by the 60 fans then reaches to the test section (center of the test sectionchamber) and then exits the test chamber through the mesh of the wall at the opposite end, before then recirculating over the top while passing through the heat exchangers, and recirculating over the top, finally back to the 60 fans' inlet. Each fan is 0.8 m in diameter with 30 kW nominal maximum power. In order to reach higher velocities and better flow uniformity characteristics at the center of the test chamber,

160 a two-dimensional contraction can be setup to streamline the flow as shown in Figure 2c.

Field Code Changed



The power set-points of the 60 fans can be adjusted by a spreadsheet file with 60 columns. The numbering of the fans starts with the top left fan, row by row ending with the bottom right fan in Figure 2. The operating software at the facility can read the spread sheet file and switch power set-points as fast as 2 Hz.the software as fast as 2Hz. However, this does not imply

165 the fans themselves can throttle from 0% to 100% power at 2 Hz (due to rotational inertia of the fansfans' rotors and electrical current filtering it takes \sim 3 s for the fans to adjust).

Another <u>eapability atfeature are</u> the <u>inlet wall utilizes</u> fans with adjustable inlet guide vanes which can regulate the amount of mass flow through the fans. These vanes can be adjusted uniformly from 0% open (close) to 100% open (Figure 3). Figure 3). They can also be adjusted dynamically by setting an actuation frequency, duty cycle and an initial position. The actuation frequency specifies the time between two cycles, while the duty cycle specifies the duration of an individual cycle specified as a percentage of the time between two successive cycles. All these features allow the generation of repeatable and customisable dynamic flows.





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Figure 3. The adjustable vanes at the inlets of the 60 fans wall, (a) 100% open vanes, (b) 70% open vanes

2.2. Numerical Flow Analysis Setup and Tuning/Validation

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In order to have a better understanding of the flow field in the test chamber, a numerical model for the test chamber was created using the commercial Star-CCM+ CFD software, which helped to predict the fan power setups for different scenarios prior running the experiments.

For this purpose, four simplified symmetrical domains of the test chamber were generated to save considerable CPU time as listed at Table 1. As this table outlines, the domains V and V-c were used for simulating EOG, EVS and ABL flows; domains H and H-c were used for simulating EHS

180 <u>H and H-c were used for simulating EHS.</u>

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Table 1: The symmetrical domains of the test chamber used for simulating different cases

Picture of the Domain	Application	<u>1</u> Domain ID	



In order to discretize the domains, three mesh setups (M1, M2 and M3) were considered for the polyhedral automated mesh function, built-in Star-CCM+ software. The general details for the generated grids are presented in Table 2. For all the cases, 5 prism layers with a total thickness of 0.05m and with stretching of 30 % at the solid walls with minimum of 4 elements in the gaps were used; other parameters were left as default values. In addition, in domains with contraction walls a custom control refinement on the surface of the contraction wall was used to create elements half of the general base size. The fans
 were modelled as squares with velocity inlet boundary conditions per fan. The outflow grid on the opposite wall was treated as uniform pressure outlet. All other surfaces were treated as no-slip walls. Due to broad range of the Reynolds number across the domain, controlling the wall y+ was challenging. Therefore, for modelling the Reynolds stresses in the RANS equations, two-layer K-epsilon (k-ε) turbulence model was chosen. The initial step of the numerical study

The next step was to calibrate the boundary condition parameters based on the previous experiment data that were available for scaled ESDU ABL profiles both with and without contraction walls (Hangan et al., 2016). The simulated fan powers were then adjusted to reach the desired average velocity profiles at the test section to match the existing experiment al data. For this purpose, simplified symmetrical domains of the test chamber were generated to save considerable CPU time as

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listed at Table 1. In order to discretize the domains, a mesh was generated using the polyhedral automated mesh function,

built in Star-CCM+ software.

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Table 1: The generated domains for simulating different cases with their name tags



The general details for the generated grids are presented in Table 2. For all of the cases, 5 prism layers with a total thickness of 0.05m and with stretching of 30 % at the solid walls with minimum of 4 elements in the gaps were used; other parameter were left as default values. In addition, a custom control refinement for the domains with the contraction walls was used to create elements half of the general base size. The fans were modeled as squares with velocity inlet boundary conditions per fan. The outflow grid on the opposite wall was treated as uniform pressure outlet. All other surfaces were treated as no-slip walls. Due to various values of the Reynolds number across the domain, controlling the wall y+ was challenging.
215 Therefore, for modelling the Reynolds stresses in the RANS equations, two-layer K-epsilon (k-e) turbulence model was ehosen. The M1 setup at domain V and V-c were used for preliminary tuning of the input values at the inlets and the outlet

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boundary condition parameters in order to get the best match with the available data at the test section. The best results corresponded to an inlet turbulence intensity of 8% with length scale of 0.2 m and the outlet boundary set as a pressure outlet with uniform zero-gauge pressure, 1% turbulence intensity and 0.05m length scale. Working at full power, the fans can generate 13 and 31 m/s of uniform wind velocity at the test section without and with contracting walls present at the test section respectively. In the end the <u>simulation</u> results showed that the full fan powers corresponded to a 16.5 m/s inlet <u>boundary</u> velocity. The fan power set-points were then simplified as a linear interpolation between 0 and 16.5 m/s for the velocity inlets.

Table 2: Detail of grid sizes for each domain

Grid name tag	M1	M2	M3
Number of Cells for Domain V (Million)	1.41	2.53	5.52
Number of Cells for Domain V-c (Million)	2.37	3.72	6.75
Number of Cells for Domain H (Million)	N/A	1.93	N/A
Number of Cells for Domain H-c (Million)	N/A	3.00	N/A
Base size (m)	0.1	0.08	0.06

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The mesh independency check was defined by the incrementally refined grids M1 to M3 using the velocity profiles at the test section for the ABL profiles which have different fan power set points for each row (Figure 4). Figure 4). For low speed setup (without contraction) they were at 50, 70, 70 and 50% from bottom row to top (Figure 4Figure 4a); for the setup with contractions, the fans are at 50, 65, 75 and 75% (Figure 4Figure 4b); The velocity profiles from the CFD results was defined by a vertical probe line at the center of the test chamber with 40 elements over the entire height of the chamber.





According to Figure 5Figure 5a &b showing the relative errors between velocities at each height, the largest disconformities between different mesh setups occur close to the wall, which for this research is not the most important region. The more critical region for the present experiments is at the middle heights where the wind turbine rotor will be located. That
 being said, even the M1 setup has an acceptable range of error (~1%) at mid-height. However, the M2 mesh setup was chosen as the best compromise of computation speed and accuracy.



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Figure 5. The relative errors for (a) low speed velocities and (b) high speed velocities, the solid lines are the mean value for the errors over the whole height

The discrepancy between the CFD simulation (M2) and the experimental data also increases close to the wall. This error is rooted in uncertainty of the implemented turbulence model and relative course mesh size close to the wall in the numerical model. Nevertheless, they are in an acceptable range of engineering applications (under 10% of relative error). <u>A picture of</u> discretized domain V-c with the M2 grid is shown at Figure 6.

A picture of discretized domain V-c with the M2 grid is shown at Figure 6.





Figure 6. The M2 grid for the V-c domain

Finally, multiple runs for different uniform fan power set points were conducted to make predictions about the relation between the fan power set points and the velocity at the middle height of the test section (Table 3).

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(%)	Domain V-c, Velocity (m/s)	Domain V, Velocity (m/s)
10	3.1	1.3
20	6.2	2.6
30	9.3	3.9
40	12.4	<u>5.2</u>
50	15.5	6.5
60	18.6	7.8
70	21.7	9.1
80	24.8	10.4
90	27.9	11.7
-100	31	13

Table 3: The relation between fan powers and velocity at middle height of the test section, with and without contraction

2.3. Experimental setup for velocity measurements

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The velocity measurements were obtained with seven cobra probes. Each probe has 4 pressure tabs at the head (0.5mm each) and is able to measure three velocity components with measuring range from 2 to 45 m/s with \pm 0.5 m/s accuracy (Turbulent Flow Instrumentation Pty Ltd). In this study the average wind velocity was 5 m/s, therefore all of the wind measurements have ~ 10% uncertainty(TFI Ltd., 2011). In this study, the average wind velocity was 5 m/s; therefore, all of the wind measurements have ~ 10% accuracy in average.

260 Two different setups for velocity measurements were used: vertical and horizontal arrangements (Figure 7). Figure 7). The seven cables from all the cobra probes were connected to an interface box. The output cable from this box then was connected to a laptop via an analog to digital converter card. The sampling duration was 60 s with sampling frequency of 2000 Hz for each measurement run.



(a)



Figure 7. The arrangement of cobra probes based on the dimension of a 2.2m diameter HAWT for (a) vertical and (b) horizontal measurements at the center of the test section, (c) Setting up the 7 cobra probes in a horizontal arrangement at the test section

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265 The location of the probes was chosen based on the dimension of the available wind turbine in the facility for future work. This turbine has a 2.2 m diameter with adjustable hub height, chosen as 1.9 m (Refan and Hangan, 2012). This entire paper is dedicated just to the development of the flow field. Investigation the effect of these unsteady wind conditions on the turbine is left for future work.

2.4. Gust length and time scaling

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The time duration of the gust (T), as mentioned earlier, is 10.5 s for a 1-year and 14 s for a 50-year return period in the IEC standard. The gust duration corresponds to 3 to 4 complete rotor revolutions periods for full-scale turbines, (which usually have angular speed of 15-18 RPM in 10 m/s average wind speed), which for the scaled wind turbine in the wind tunnel would be on the order of a second at the nominal operating condition. This gust timescaletime scale would be impossible to simulate at WindEEE facility given the physical limitations of the hardware. Therefore, we assume that the time scale of the gust is equal to propagation time of 4 loops of a blade tip vortex downstream in the wake. We can then calculate the propagation length and time of these vortex loops based on the definition of the Tip Speed Ratio (TSR: blade tip speed) and assuming a uniform wake (Eq. (5)). The L'and T' are the length and time for one vortex loop in the wake. we have;

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(7)

$$\Omega = \frac{\lambda \mu \lambda U_{hub}}{R} [rad/s],$$

$$\Omega' = \frac{\lambda \mu}{R} \frac{\lambda U_{hub}}{R} \times \frac{1}{2\pi} [rev/s],$$

$$U = \frac{1}{\Omega^{2}} U_{hub} \times \frac{1}{\Omega'} = \frac{2\pi R}{\lambda} \left[\frac{m}{rev} \right],$$

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Where where Ω is the angular velocity, λ is TSR. *U* is the free stream velocity and *R* is the radius of the rotor. Which can be rewritten as follow:

$$\frac{L'}{D} = \frac{\frac{T^2 U}{D}}{\frac{T'}{D}} \frac{T' U_{hub}}{D} = \frac{\pi}{\lambda},$$

<u>the *L'* and *T'* are the length and time duration for propagation of one vortex loop in the wake. Based on the Eq. (-6)(6) and our assumption, an appropriate gust time and length can be calculated from:</u>

 $\frac{L}{D}\frac{L_s}{D} = \frac{TU}{D}\frac{T_sU_{hub}}{D} = 4\frac{\pi}{\lambda},$

Accordingly, the <u>scaled</u> time <u>scaleduration (T_s) </u> is function of TSR, free stream velocity and the diameter of the rotor. The <u>scaled</u> length <u>scale(L_s)</u> is function of TSR and diameter of the rotor (Figure 8).

If the scaled turbine works at the same TSR and free stream velocity as the full-scale commercial HAWT, the time and length scale would be equal to their geometrical scale (i.e. the ratio of diameters).



Figure 8. Visual representation of the length and the time scale proper for the extreme conditions with assuming a symmetric wake

290 The flow behaviour in the near wake region is directly correlated to the overall performance of a HAWT (Hashemi Tari et al., 2016). Matching the time duration of the extreme condition to the propagation of 4 vortex loops in the wake should be a reasonable comparison to the full scale in terms of variation of power and loads on the wind turbine. The physical experiments showed that the fastest possible gust events with the required peak factor were at time scales of 5 seconds due to the hardware limitation. Therefore, based on our assumption, this requires the 2.2m scaled wind turbine to work in 5m/s free stream velocity

295 with operating TSR of 1.1 then it would take 5 seconds for the four complete loops of the tip vortexes generated by a specific blade to propagate in the wake. Accordingly, in all of the simulations and experiments the hub height velocity was kept at 5 m/s to increase the overall time scales, time scales. In this setup, the ratio of the length and time scale become 5.23 and 2.61 respectively. The Reynolds number calculated from the relative velocity and chord size at 70% span of the blade for full scale turbine at the nominal wind speed and TSR (10 m/s and 8 respectively) is $\sim 7.5 \times 10^6$ and for the scaled turbine at the our lab 300

condition is $\sim 32.5 \times 10^3$ which gives the ratio of ~ 230 . This mismatch can be improved by using higher density fluids or

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being capable of running the experiment at higher wind velocities and TSRs. In this setup, the ratio of the length and time scale become 5.23 and 2.61 respectively.

All of the simulations and experiments were tailored for the available scaled wind turbine. Assuming a similar B-III class
 305 HAWT with hub height of ~10 m for the 2.2 m rotor, the extreme condition profiles look identical to the full-scale ones (the same peak factor but different gust time) at 5 m/s average hub-height velocity considering 1-year return period, as it is shown in Figure 9. Figure 9.

Accordingly, for the EOG the velocity should uniformly rise from 5 to 9.5 and then back to the 5 m/s in 5 seconds with \sim 1.5 m/s drop before and after the main peak relative to the average free stream velocity (Figure 9Figure 9a), however).

- 310 <u>However</u>, in the experiments the gusts have been simplified by ignoringnot including the velocity drops.dips (the red dashed line in Figure 9a). This simplification stretches the actual rising and falling time from ~ 2.5 to 5 s. This is the compromise that was made due to the hardware limitations and for having a consistent total gust time duration with EWSs. The pre-post dips in the standard reflect field data wherein gusts are preceded by lulls; however for the purpose of investigating peak loading during gust events, for a machine nominally operating at the mean wind speed and assumed not responding much during the
- 315 <u>lull period, it is the velocity excursion above average wind speed that is important to capture. Future apparatus design and fan</u> <u>control may enable execution of per-post lulls in future experiments.</u>

For the EVS the uniform velocity field transitions to a highly sheared flow (\sim 7 m/s velocity shear in 2.2 m distance) and then back to a uniform field, again in 5 seconds (Figure 9b). Figure 9b). For the full scale the same amount of velocity difference happens over 92 m with different time scale.



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Figure 9. The target extreme conditions for simulations and experiments based on the scaled wind turbine and capability of the fans, identical to prescribed extreme condition for the full-scale wind turbine just with different time scale, (a) extreme operating gust, <u>the solid blue line is for IEC and the simplified gust that actually</u> was produced in red dashed line, (b) extreme vertical shear

320 3. Results and discussion

3.1. Steady wind shear

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In this section the simulation cases are all steady and just for the peak stage which is the instantaneous point in time that maximum shear occurs, as a preliminary investigation to unsteady experiment runs that <u>are</u> examined in the next sub-section. Using the tuned numerical model setups, the V-c and H-c domains were used to <u>generatesimulate</u> the desired vertical and horizontal sheared flows by manipulating the input velocity for the different rows and columns of the fans. The target was to match as closely as possible the velocity profile to the IEC standard for the scaled HAWT, corresponding to \sim 7 m/s shear while keeping the velocity at the rotor centerline 5 m/s. Figure 10Figure 10 shows the fan setups using CFD for creating the desired shears which could be achieved by using only the 5 fan columns at the middle. For creating negative vertical shear, the setup presented in Figure 10Figure 10a was inverted.



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scaled HAWT identical to full scale condition, the power set-points for each row and columns is included (just the 5 columns at the middle are working)

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Using the fan setups shown in Figure 10 Figure 10 the physical experiment was carried out and velocity measurements made using the Cobra probes. Figure 11 Figure 11 shows the average velocity at each probe including the range of velocity deviation (standard deviation) compared with average velocity profile from the CFD and the prescribed shear by IEC standard. These high amount of velocity deviations fluctuations relative to the mean velocity are due to the strong vortexes that form in 335 these highly sheared and unstable flows that increase the momentum mixing at different heights. From Figure 11 flows that increase the momentum mixing at different heights. The amount of shear that was prescribed (~ 7 m/s velocity difference) is being successfully created in the tunnel for the positive vertical shear case (Figure 11a). However, for the horizontal and negative vertical cases (Figure 11b & c) there are larger shears than desired, resulting in a ~10 m/s velocity difference. From Figure 11a & c it is clear that the lower fans work more efficiently than the upper fans; i.e. with the same value of the power 340 set-point, the lower fans generate higher velocities. The largest errordisconformity exists in the horizontal shear case (Figure 11-Figure 11b). The relative difference between the mean velocities from experimental data with IEC standard (Eq. (8)) is presented at Figure 11 d.

$$Relative Error(i) = \left| \frac{\overline{U}_{\text{Experiment}}(i) - U_{\text{ECC}}(i)}{U_{\text{ECC}}(i)} \right| \times 100,$$
(8)

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The amount of shear that was prescribed (~7 m/s velocityrelative difference) is being successfully created in between the tunnel formean velocities from these three steady experimental data with IEC standard is presented at Figure 11d. Accordingly, the positive vertical shear case. However, average amount of disconformities over all of the probes are 41, 27 and 9 % for the horizontal and, the negative vertical cases there is a larger than desired shear, resulting in an ~ 10 m/s velocity differenceand the vertical steady shears respectively which can be adjusted in future.

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3.2. Unsteady experiments

As mentioned earlier, it is possible to control the fans with a spread sheet file. The switching time between each row in the file of power set points was chosen as 0.8s. For the shear cases just the five columns of fans in the middle were working. 355 (only 20 out of 60 fans were operated). For the nominally uniform inflow condition, inat the beginning and the end of the shear events, they were all set at 39% power. The best results in terms of time scale and peak factor were obtained were captured when 2 rows of the extreme condition power set points setup (the setup at Figure 10) was used in the file. Therefore, the file has many rows of 39% in all the sixty columns and then at certain point just two rows of the extreme condition fan setupsset to 1.6 s in the software. The uniform gusts were generated in two ways. The first was again by changing the power 360 set-points of the all 60 fans together. According to the results from the CFD simulations (Domain V-c), in order to achieve the prescribed EOG, the fan power set-points should uniformly go from 17% to 30% (correspond to 5 and 9.3 m/s wind at test section) and back to the 17% power. For the uniform gust, the best result again was obtained with having 2 rows of extreme condition power set points in the spread sheet files witching fans on 30% for 1.6 s which resulted in $\sim 5 s$ uniform gust with desired peak factor. For example if we put the fan power setups for the extreme condition at the 11th and 12th row in the file, 365 the event start happening \sim 8s after the software start reading the file (considering 0.8s switching time between rows). The second way of generating a uniform gust was using the IGVs while keeping fan power set-points constant at 30%. In this run, the actuation frequency of the IGVs was set at 0.05 Hz with a duty cycle of 8%, initial position of 10% open with cycling to 100% open (see section 2.12.1 for IGV setting definitions). In addition, for each uniform gust case, two runs were conducted in order to measure the velocity field with both vertical and horizontal layouts of the cobra probes (layout in Figure 7) in order 370 to investigate the uniformity of the inflow. All of the velocity time histories were filtered using a moving average. The best results were obtained when the moving average window was with averaging window of 0.2 s based on the criteria described at (Chowdhury et al., 2018).

3D pictures of the filtered turbulent wind fields for the EVS, EHS, negative EVS, EOG cases generated with changing fan powers (vertical & horizontal measurements), and the EOG generated using the IGVs (vertical & horizontal measurements) 375 are presented in Figure 12a, b, c, d & e, f & g respectively. Figure 12a, b, c, d & e, f & g respectively. From Figure 12a & c

when the 20 fans in the middle are operating, it is evident that the fans in the top row do not work as efficiently as the other fans, which could be due to the tight direction change of the flow in the recirculation process from the top. Figure 12d & f show velocities when all 60 fans are operating with the contraction walls to help unify the flow field. Figure 12b, e & g show that all of the flow fields are horizontally uniform. The data from the EOG generation with IGVs (which work in a cyclic

380 manner) in Figure 12f & g shows the background velocity fluctuations are high relative to the EOG generation by manipulating the fans' powers at Figure 12d & e.





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Figure 12, 3D pictures of the complete time history of the phased averaged (with 0.2 s averaging window) turbulent velocity field

In order to have a better comparison of the generated extreme unsteady cases with what the standard prescribes, the velocity time history extracted from each cobra probe (blue solid line)probes B to H with the layout showed in Figure 7Figure 7, as well as what the standard suggests (in orange solid line)specifications are plotted as solid blue and orange lines respectively in Figure 13Figure 13 in the left columns (the cases are in the same order as Figure 12Figure 12). The right column contains the relative instantaneous discrepancy of the velocity to the IEC prescribed velocity, normalized by the average velocity (~5 m/s) as Eq. (9):).

$$\frac{Relative Error(t)}{\overline{U}} = \frac{|\overline{U}_{experiment}(t) - U_{HEC}(t)|}{\overline{U}} \times 100.$$

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Based on data for the shear cases, at the peak stages the amount of desired shear is successfully being generated. However, due to the difference in velocities, there is a time lag between the <u>peakspeaks' locations</u> at the top to bottom heights of the EVS cases, and left to right in the EHS cases (Figure 13a, b and c).

As mentioned earlier, when just the 20 fans in the middle of the wall are working the lower efficiency of the top row of the fans is more noticeable due to the tight angle of flow recirculation at probe H in Figure 13a & c. Probe H in Figure 13d & f shows in better detail that using all 60 fans and the contraction walls helps homogenize the flow field. However, in the gust peak the problem still shows itself as velocity intermittency as probe H in Figure 13d demonstrates. Similar velocity intermittencies have been noticed at the same height in other experiment runs when rapid fan power changes are applied. However, the desired peak factor has been generated.

Field Code Changed

(9)









(e) (e)EOG generated with changing fan powers, horizontal measurements





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(f) (f)EOG generated with IGVs, vertical measurements

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Figure 13. Filtred velocity time history at each probe (with the layout presented in Figure 7) as solid blue line compared with prescribed extreme velocity as a solid orange line (left column), time history of relative instantaneous velocity discrepancy normalized by average velocity (right column) for (a) EVS, (b) EHS, (c) negative EVS, EOG generated with changing fan powers (d) vertical and (e) horizontal measurements, EOG generated with IGVs (f) vertical and (g) horizontal measurements

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Based on Figure 13d for the EOG generated with changing fan powers, the velocity at the upper height in the test section is not achieving a totally uniform flow condition (time series from probe H). However, the desired peak factor has been generated. The most consistent uniform gust was generated using IGVs, in terms of uniformity and peak factors at the test section, at least in the measurements area (Figure 13f & g). Figure 13f & g). The only noticeable discrepancy for the EOG generated with the IGVs is due to the small drop in velocity before and after the main rise of velocity in the prescribed gust case, which created dual peaks in the relative error time history. If we simplifyconsider the standardsimplified gust profile and ignore the velocity drops, (see Figure 9a), the generated gusts with this method would lookhave identical tocharacteristics with the theory.

410 **4.** Conclusion

A numerical and experimental study has been carried out to investigate the possibility of creating extreme conditions for a scaled HAWT based on the IEC 61400-1 standard, in particular the EOG, EVS and EHS cases, using the unique 60 fan setup in the WindEEE dome. These conditions were tailored for a 2.2 m diameter scaled HAWT. The aim was to relate this work to

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full-scale wind turbines. Therefore, a simple length and time scaling based on tip vortex propagation in the wake was presented.
Based on that approach, the duration of each extreme condition was set equal to four tip vortex loops propagating downstream.
Accordingly, the time scale is a function of the free stream velocity, tip speed ratio and diameter of the rotor.

The tuned and validateddeveloped numerical simulations of tunnelmodel of the test chamber for 2D flow mode of operation gave a good understanding of the relation between fan power set pointspoint and the flow field in the relevant part of test chamber. It also gave insight into the interaction of This knowledge was successfully used to predict the free shear layers for non-uniform fan setups prior to runningphysically replicating the experimental campaignsextreme conditions.

The steady experiment runs corresponding to the peak of the shear cases <u>showsshow</u> the fans act non-linearly and they have different individual efficiencies, especially the top and bottom rows despite our simplified assumptions for developing the CFD model. However, excluding the top and bottom rows the errors are in an acceptable range (~ 10% relative error in average) compared with the IEC standard. By knowing these discrepancies, corrections can be applied to the fan inputs and makefor improving the shears as identical as possible toaccuracy with which the standard gust can be better replicated. The unsteady shear flow experiments showed that the flow field is more distorted due to the differences in speed generated at the fans. There is a time lag between the highest and lowest peak location, which also can be corrected in future by giving a phase difference in actuations between the top and bottom rows. But more importantly the desired peak factor overall has been captured.

Generating uniform gusts using the IGVs₇ produced the best results in terms of time scale and peak factors₂ as well as flow field uniformity. By ignoringConsidering the sudden simplified gust profile without the velocity drops-in, the generated gust imitates the theoretical gust profile, the generated gusts would become identical to the standard profile. The results from changing fan power set-points were consistent as well. However, due to flow recirculation and a sharp turn from the top and then behind the fans, the top row of fans does not work as efficientefficiently as the other 3 rows in high transitionduring large transitions in power set-points₂ which resulted on a little non-uniformity at top heights of the test chamber. The contraction walls when all 60 fans were operating helped unify the flow field, yet in high power transitions some intermittencies are

noticeable at the top of the chamber. The same problem that was mentioned for creating shears generating sheared flows. Overall, this study demonstrated a successful simulation of extreme wind conditions, which can now be used for future experimental tests to investigate their effects on different aspects of wind turbine performance with minor modifications. The

- 440 developed numerical model can be similarly used in the future to obtain the primary fan setups prior to experiment for different target scenarios at the test section It is informative to note again that the experiment results are directly from the prediction of the numerical model which had simplified geometry and did not simulate the flow recirculation in the outer shell. The model also treated the fans simply as velocity inlet boundary conditions with the same efficiencies. For future target scenarios the numerical model can be useful to obtain the primary setup, however field adjustments are recommended.
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Authors contributions

KS developed the numerical model and the scaling with direct supervision from CC. KS carried out all the experiments with supervision of HH. KS wrote the main body of the paper with input from all authors.

Competing interests

450 The authors declare that they have no conflict of interest

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