



Field experiment for open-loop yaw-based wake steering at a commercial onshore wind farm in Italy

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Abstract. The concept of wake steering in wind farms for power maximization has gained significant popularity over the last decade. Recent field trials described in the literature demonstrate the real potential of wake steering on commercial wind farms, but also show that wake steering does not yet consistently lead to an increase in energy production for all inflow conditions. Moreover, a recent survey among experts shows that validation of the concept remains the largest barrier for adoption currently. In response, this article presents the results of a field experiment investigating wake steering in three-turbine arrays at an onshore wind farm in Italy. This experiment was performed as part of the European CL-Windcon project. The measurements show increases in power production of up to 35% for two-turbine interactions and up to 16% for three-turbine interactions. However, losses in power production are seen for various regions of wind directions too. In addition to the gains achieved through wake steering at downstream turbines, more interesting to note is that a significant share in gains are from the upstream turbines, showing an increased power production of the yawed turbine itself compared to baseline operation for some wind directions. Furthermore, the surrogate model, while capturing the general trends of wake interaction, lacks the details necessary to accurately represent the measurements. This article supports the notion that further research is necessary, notably on the topics of wind farm modeling and experiment design, before wake steering will lead to consistent energy gains in commercial wind farms.

15 1 Introduction

Over the last years, the concept of wake steering in wind farms has gained significant popularity in the literature (Boersma et al., 2017; Kheirabadi and Nagamune, 2019). Fundamentally, wake steering leverages the principle that intentional yaw misalignment of a wind turbine displaces its downstream wake. Thus, by choosing the right yaw misalignment, the wake formed by an upstream turbine can be directed away from a downstream turbine at the cost of a small reduction in its own power



20 production. Consequently, this concept enables a net increase in the power production of downstream turbines and, at large,
wind farms. In high-fidelity simulations, wake steering strategies are shown to increase the wind-farm-wide power production
by 15% for wake-loss-heavy situations (e.g., Gebraad et al., 2016). Moreover, wind tunnel experiments indicate increases in
the wind farm's power production of up to 4 – 12% for two-turbine arrays (Adaramola and Krogstad, 2011; Schottler et al.,
2016; Bartl et al., 2018), up to 15 – 33% for three-turbine arrays (Campagnolo et al., 2016a, b; Park et al., 2016), and up to 17%
25 for a five-turbine array (Bastankhah and Fernando, 2019). However, these experiments neglect realistic wind variability and
measurement uncertainty. A field experiment of wake steering in a scaled wind farm by Wagenaar et al. (2012) is inconclusive
compared to baseline operation. In response, there has been a surge in the interest towards the development of reliable wake
steering solutions that address issues of wind variability and measurement uncertainty (e.g., Rott et al., 2018; Simley et al.,
2019; Kanev, 2020; Doekemeijer et al., 2020).

30 A small number of articles focus on the validation of wake steering at full-scale turbines and commercial wind farms.
Fleming et al. (2017a) instrumented a GE 1.5MW turbine with a lidar and operated the turbine at various yaw misalignments
to study the wake deflection downstream. Then, Fleming et al. (2017b) demonstrated wake steering at an offshore commercial
wind farm with relatively large turbine spacing of 7 to 14 times the rotor diameter ($7-14D$). These field trials involved yawing
an upstream wind turbine and investigating the change in power production at the downstream turbine. When looking at two
35 turbine pairs spaced $7D$ and $8D$ apart respectively, a gain was seen in the power production of the second turbine for most
wind directions, at the cost of a much smaller loss on the upstream machine. This led to an increase in the combined power
production of up to 10% for various wind directions. No significant improvements were seen for third turbine pair spaced at
 $14D$. However, the uncertainty bounds remain fairly large and the results also suggest that the net energy yield reduces due
to wake steering for a smaller number of cases. Thereafter, Fleming et al. (2019, 2020) evaluated wake steering at a closely
40 spaced ($3-5D$) onshore wind farm surrounded by complex terrain, again considering two-turbine interactions. Measurements
show that the net energy yield can increase by up to 7% and reduce by up to the same amount for the $3D$ -spaced turbine
pair, depending on the wind direction. Similarly, the change in the net energy yield for the $5D$ -spaced turbine pair is between
 $+3\%$ and -2.5% . It must be noted that the situations that lead to an increase in power production outnumber those that show
a decrease in power production. Furthermore, Howland et al. (2019) assessed the concept of wake steering on an onshore
45 6-turbine wind farm with $3.5D$ turbine spacing. While significant gains in power production of up to 47% for low wind speeds
and up to 13% for higher wind speeds are reported for particular situations, the authors also state that the net energy gain of
the wind farm over annual operation is negligible compared to baseline operation.

Considering the current literature on wake-steering field experiments, it is apparent that wake steering has real potential
to increase the net energy production in wind farms, yet does not consistently lead to an increase in power production for
50 all inflow conditions. Moreover, only Howland et al. (2019) address multiple-turbine interaction, rather than the two-turbine
interactions addressed in Fleming et al. (2017b, 2019, 2020). Clearly, additional research and validation is necessary for the
industry-wide adoption of wake steering control algorithms for commercial wind farms. This is in agreement with a recent
survey among experts in academia and industry working on wind farm control (van Wingerden et al., 2020), which shows that
the lack of validation is currently the primary barrier preventing implementation of wind farm control.



55 In this regard, this article presents the results of a field campaign for wake steering at an onshore wind farm with complex terrain in Italy, as part of the European CL-Windcon project (European Commission, 2020). The goal of this experiment is to assess the potential of the current wake steering strategies for such complicated, commercial wind farms. The contributions of this work are:

- 60 – As one of the few in the literature, demonstrating the potential of a state-of-the-art wind farm control algorithm for wake steering at an commercial onshore wind farm with complex terrain.
- Investigating wake interactions in non-aligned (i.e., not in a straight line) three-turbine arrays, in which yaw misalignments are applied to the first two turbines. The yaw misalignments are computed offline, based on the optimization of a simplified mathematical model of the wind farm. Wake steering for non-aligned turbine arrays has not been treated in the existing field experiments.
- 65 – The assigned yaw misalignment covers both negative and positive angles, depending on the wind direction. In the existing literature, turbines were only misaligned in one direction.
- Addressing multiple turbine types. Namely, the second turbine, WTG E5, has a different hub height and rotor diameter than the other turbines. This has not yet been assessed in the existing field experiments.

The article is structured as follows. Section 2 outlines the wind farm and the experiment. Section 3 shows the turbine control
70 setpoints, calculated using state-of-the-art wind farm control solutions. Section 4 describes the data post-processing. Section 5 presents the results of the field experiment. Finally, the article is concluded in Section 6.

2 Methodology

This section outlines the details of the experiment. In Section 2.1, the wind farm layout, terrain, and turbine properties are depicted. Then, Section 2.2 addresses the wake steering experiment itself and discusses several challenges faced compared to
75 previous field tests. Finally, Section 2.3 describes what data is collected during the experiment.

2.1 The wind farm

The wake-steering field campaign has been executed on a subset of turbines in a commercial, onshore wind farm near Sedini on the island of Sardinia, Italy. The field experiment is part of the European CL-Windcon project. The wind farm, owned and operated by ENEL Green Power (EGP), is typically operated for commercial purposes, not for testing. EGP is a global
80 leader in the green energy sector with a managed capacity of around 46 GW across a generation mix that includes wind, solar, geothermal and hydropower, and is at the forefront of integrating innovative technologies into renewable power plants. The wind farm contains a total of 43 GE wind turbines, of which 36 turbines are of the type GE 1.5s, and 7 turbines of the type GE 1.5sle. Properties of the two turbine types found in this farm are listed in Table 1. The relevant subset of the wind farm layout

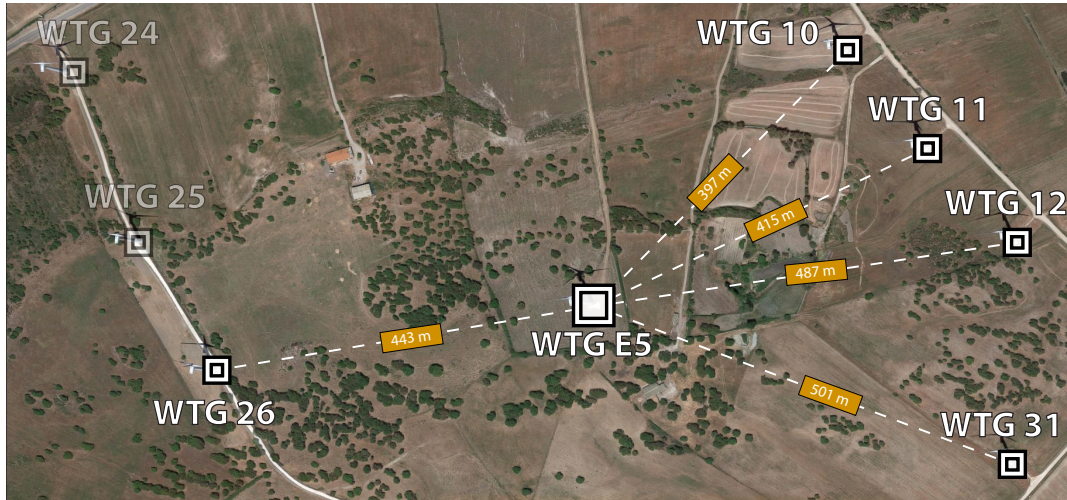


Figure 1. Positions of the wind turbines used in the wake steering campaign. Turbines WTG 26 and E5 are operated at a yaw misalignment to steer the wakes away from downstream turbines WTG E5, 10, 11, 12, and 31. WTG 25 is used for normalization. WTG E5 is a GE 1.5sle turbine, and all others are GE 1.5s turbines. Imagery ©2020 Google, Imagery ©2020 CNES / Airbus, Maxar Technologies, Map data ©2020.

Table 1. General properties of the GE 1.5s and GE 1.5sle wind turbines

Variable	GE 1.5s	GE 1.5sle
Rated power (MW)	1.5	1.5
Cut-in wind speed (m/s)	4.0	3.5
Rated wind speed (m/s)	13.0	12.0
Rotor diameter (m)	70.5	77.0
Hub height (m)	65	80

is shown in Figure 1. In the wake steering campaign, WTG E5 is of the type GE 1.5sle, and all other turbines are of the type
 85 GE 1.5s.

The Sedini wind farm is located in a relatively flat area with an average elevation of 360 m to 400 m above sea level, surrounded by hills of 400 – 450 m above sea level. The site vegetation consists of scrub and clear areas. The predominant wind directions are from the west and south-west. The mean wind speed is 4 – 6 m/s, depending on the season. The site has a median ambient turbulence intensity of 15 – 25% with a mean shear exponent of 0.05 to 0.25 for day and night, respectively
 90 (Kern et al., 2017). Figure 2 shows the estimated wind direction, wind speed, and turbulence intensity of the data collected by the upstream turbines.

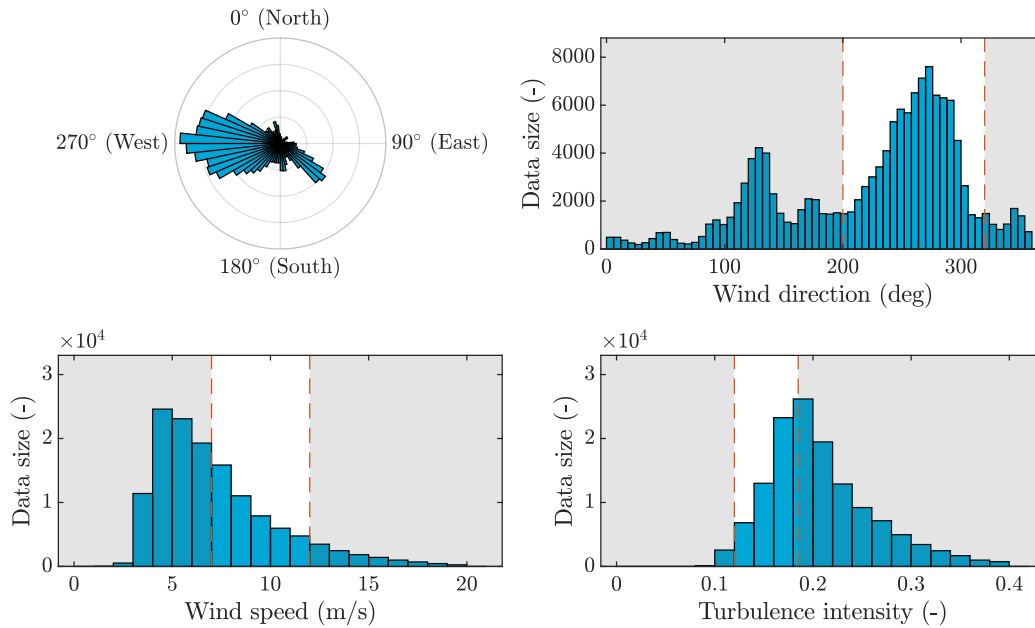


Figure 2. All measured data from 19 August 2019 until 3 February 2020, binned by wind direction, wind speed, and turbulence intensity. Wind comes predominantly from the west, which is within the scope of the wake steering experiment. Furthermore, wind speeds are relatively low and turbulence intensities are high. The gray area covers data that is discarded in analysis of the wake steering experiments.

2.2 Experiment design

For the wake steering experiments, eight turbines are used: WTG 10, 11, 12, 24, 25, 26, 31, and E5, as shown in Figure 1. The situations of interest are when WTG 26 sheds a wake on WTG E5 and one or both turbines shed wakes on turbines WTG 10, 11 or 12. Additionally, for north-west wind directions, the situation where turbine WTG E5 sheds a wake on WTG 31 is of interest. For all situations, WTG 25 is used as a reference turbine, and WTG 24 and WTG 25 are used to estimate the inflow ambient conditions for WTG 26 and WTG E5. While this layout lends itself well to wake steering, this field campaign faces several challenges, namely:

- Part of the experiment is in late summer, with higher turbulence levels and lower wind speeds compared to winter. Moreover, onshore wind farms typically experience a higher turbulence intensity than offshore farms. Higher turbulence levels generally yield lower benefits for yaw-based wake steering (Appendix A).
- There are variations in the terrain, turbine hub heights, and turbine rotor diameters throughout the wind farm. Due to its high level of complexity, surrogate models address these effects to a very limited degree and lack validation with higher-fidelity and experimental data.
- The downstream turbines are closely spaced, implying that gains due to wake steering are hardly noticeable when considering the complete downstream array. For example, if the wake of WTG E5 is redirected away from WTG 10, then



Table 2. Wind turbines of interest, scheduled according to the wind direction. To maximize the benefits of wake steering, only three turbines are considered at a time, depending on the ambient wind direction.

Wind direction	Turbines of interest
$< 235^\circ$	WTG 26, WTG E5, and WTG 10
$235^\circ - 253^\circ$	WTG 26, WTG E5, and WTG 11
$253^\circ - 276^\circ$	WTG 26, WTG E5, and WTG 12
$\geq 276^\circ$	WTG 26, WTG E5, and WTG 31

the combined net gain of WTG 26, E5, 10, 11, 12 and 31 would be relatively small. In addition, wake steering should be very precise, as the wake must be redirected in between WTG 10, 11, 12, and 31 to lead to a net energy increase. For example, if the wake is deflected away from WTG 11, it may be moved on top of WTG 10 or 12, thereby effectively leading to zero net gain.

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- The ambient conditions are to be estimated using existing turbine sensors, rather than external measurement equipment such as a lidar system. This is likely to be less accurate but more realistic for the future commercialization of wake steering.

These challenges, in addition to common challenges such as irregular turbine behavior and measurement uncertainty, have led to the decision to consider only one of the downstream turbines (WTGs 10, 11, 12, 31) at a time, scheduled according to the ambient wind direction, as listed in Table 2. Thus, the remaining downstream turbines are ignored in the analysis. This means that the wake can be steered away from the considered turbines and onto the ignored turbines. This is exemplified in Figure 3, depicting what wake interactions are considered per wind direction.

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2.3 Data acquisition

The benefit of wake steering strongly depends on the ambient conditions. Therefore, it is important to accurately characterize these inflow conditions. In this field campaign, data is acquired from a number of sources. A met mast with a height of 63.5 m is installed 0.5 km north of WTG 25. The met mast provides information about the wind speed, wind direction, vertical shear, temperature, and humidity in the wind farm. However, ambient conditions vary significantly throughout the farm, not in the least due to this being an onshore wind farm. For this reason, a mobile, ground-based vertical lidar system of the type Leosphere WindCube v2 is installed to measure the inflow at WTG 26 for the first several months of the wake steering field campaign. This lidar system measures the wind speed at a 0.1 m/s accuracy and the wind direction with a 2° accuracy at 12 programmable heights up to 200 m, with a sampling rate of 1 Hz. This lidar system cannot communicate with the control algorithm in real time and thus was only used in postprocessing to validate the ambient wind speed estimated in front of WTG 26 using WTG 24 and WTG 25. The validation is shown in Figure 4, displaying a good fit.

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In addition to the lidar system, WTG 26 and WTG E5 are instrumented with an additional, accurate nacelle anemometer. Also, WTG 12, 26, and E5 are each instrumented with an additional, accurate nacelle position sensor. Note that these sensors

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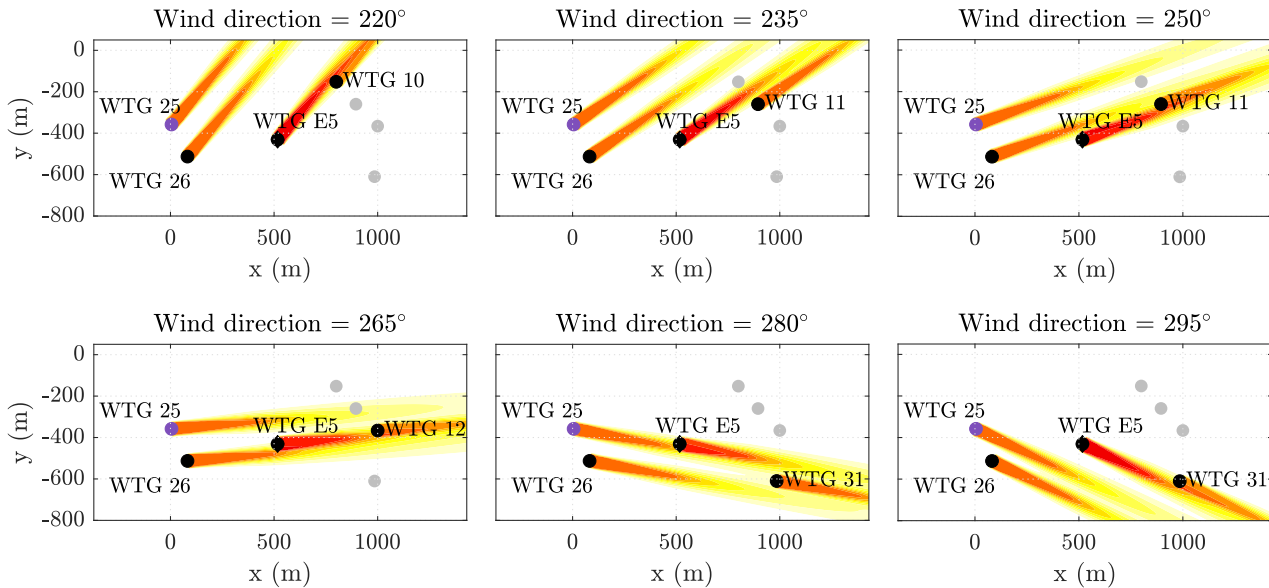


Figure 3. Predicted flow fields for various wind directions in baseline operation. To maximize the benefits of wake steering, only three turbines are considered at a time, depending on the ambient wind direction. The considered turbines are WTG 26, WTG E5, and one of the downstream turbines (operated without yaw misalignment). The schedule of which turbines are considered is listed in Table 2.

were only available during the first months of the field experiment, used for calibration and monitoring. The GE wind turbines provide standardized SCADA data such as the generator power, the wind speed measured by the anemometer, the wind direction measured by the wind vane, and the yaw orientation measured with the yaw sensor. An algorithm internal to the GE turbine provides estimates of the 1-minute-averaged wind speed, 1-minute-averaged wind direction, and 10-minute-averaged turbulence intensity.

3 Controller synthesis

As the research field in wind farm control is quickly evolving, an increasing amount of focus is put on closed-loop wind farm control solutions (Doekemeijer et al., 2019). However, implementing and testing such a closed-loop wind farm control algorithm is not feasible for the designated field campaign and instead an open-loop solution is opted for. Closed-loop solutions require additional communication infrastructure compared to open-loop solutions. Also, the actual turbine behavior becomes less predictable as the complexity of the controller increases significantly.

The controller consists of two components. Firstly, the ambient conditions are estimated, as the optimal turbine yaw setpoints vary with the inflow conditions, of which the wind direction is the most important variable. How the ambient conditions are estimated is described in Section 3.1. Secondly, the optimal turbine yaw setpoints for WTG 26 and WTG E5 are assigned to the turbines from a look-up table. The synthesis of this look-up table is outlined in Section 3.2.

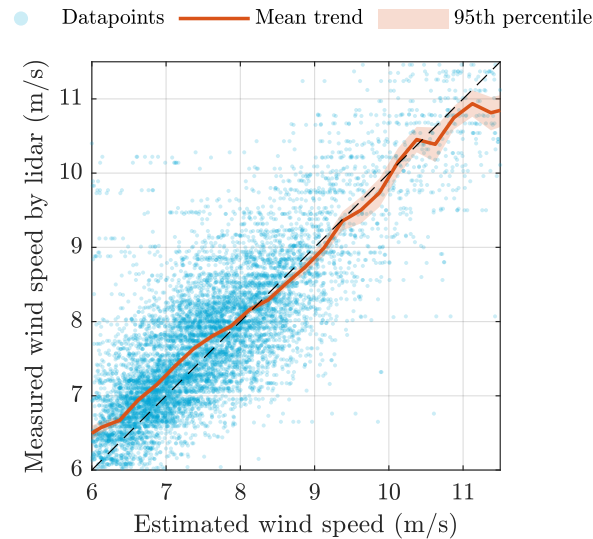


Figure 4. For the field campaign, the freestream wind speed at WTG 26 is estimated using upstream turbines WTG 25 and WTG 24. This approach is validated by comparing the estimates to measurements of the Leosphere WindCube v2 lidar, installed in front of WTG 26 throughout the first several months of the field campaign. The figure shows that the estimates largely match the measurements and uncertainty bounds are narrow.

3.1 Estimation of the ambient conditions

As outlined in Section 2.3, the ground-based lidar cannot be used in real-time for the wind farm control solution. Moreover, the met mast is located too far away to give a reliable estimate of the ambient conditions. Therefore, turbine SCADA data is used to derive an averaged freestream wind speed, wind direction, and turbulence intensity for WTG 26 and WTG E5. For this purpose, the individual estimates from turbines WTG 24 and WTG 25 are averaged, which operate in freestream flow for the wind direction range considered for the wake steering experiments.

3.2 Optimization of the turbine control setpoints

The turbine yaw angles are optimized using the FLOW Redirection and Induction in Steady-state (FLORIS) surrogate model, developed by NREL and the Delft University of Technology (Gebraad et al., 2016; Doekemeijer and Storm, 2019). FLORIS is a surrogate wind farm model that combines several submodels from the literature, such as the single-wake model from Bastankhah and Porté-Agel (2016), the turbine-induced turbulence model by Crespo and Hernández (1996), and the wake superposition model by Katic et al. (1987). The surrogate model predicts the steady three-dimensional flow field and turbines' operating conditions of a wind farm under a predefined inflow at a low computational cost in the order of 10 ms to 1 s. Figure 5 shows a flowchart of the inputs and outputs of FLORIS.

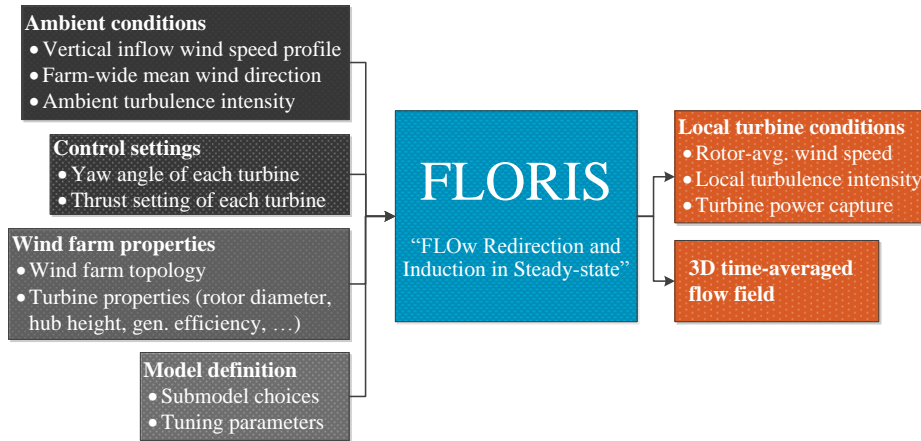


Figure 5. Flowchart of the FLORIS model. This model has four classes of inputs: the ambient conditions, a set of model parameters, the turbine control settings, and the wind farm properties (e.g., layout). FLORIS maps these inputs in a static fashion to a set of turbine outputs being the power capture and the three-dimensional flow field.

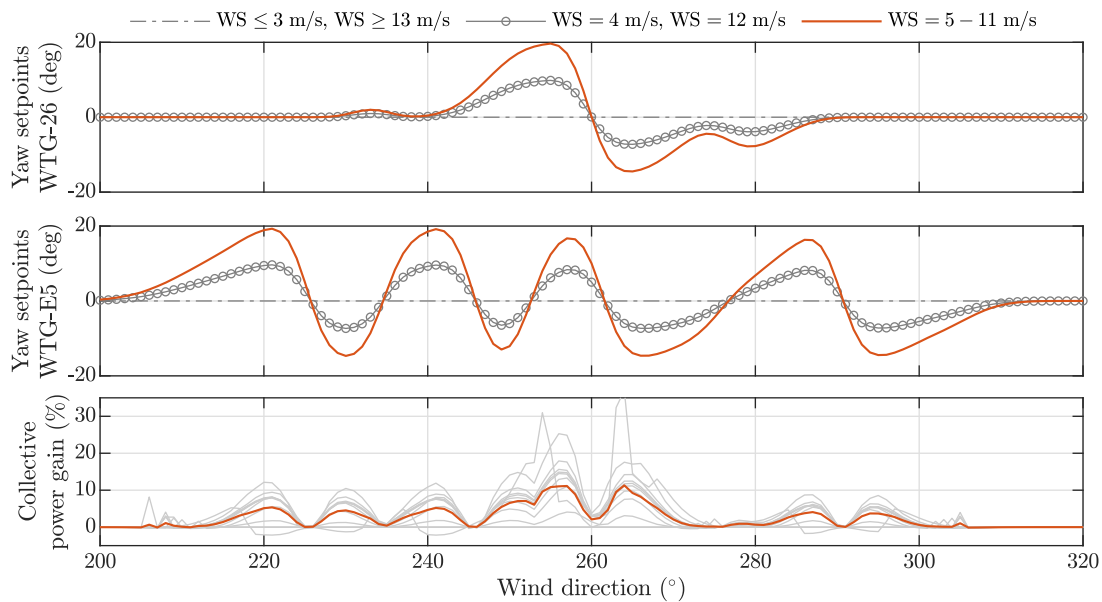


Figure 6. The turbine yaw setpoints for WTG 26 and WTG E5 for a freestream turbulence intensity of 7.5%. The yaw angles hold constant values for wind speeds of 5 m/s to 11 m/s. At lower respectively higher wind speeds, the setpoints are interpolated to $\gamma = 0^\circ$ at 3 m/s and 13 m/s. The collective power gain of WTG 26, WTG E5, and the downstream turbine (WTG 10, 11, 12, or 31) averaged over all wind speeds is shown as the orange line in the bottom plot. The gray lines therein represent the predicted gains for one wind speed.



The yaw angles of WTG 26 and E5 were optimized in FLORIS for a range of wind directions (200° to 320° in steps of 2°), wind speeds (3 m/s to 15 m/s in steps of 1 m/s), and turbulence intensities (7.5%, 13.5%, and 18%). This took approximately 10^2 CPU hours. The yaw angles are fixed between wind speeds of 5 m/s and 11 m/s in postprocessing to reduce yaw actuation at a negligible loss in the expected gains (Kanev, 2020). From wind speeds 5 m/s and 11 m/s, the angles are interpolated
165 linearly to $\gamma = 0^\circ$ at 3 m/s and 13 m/s, respectively. This is to avoid undesirable behavior near cut-in and rated operation.

Furthermore, to reduce sensitivity of the optimized yaw setpoints to the wind direction, a Gaussian smoothing kernel was applied to the table of optimized setpoints with a standard deviation of 1.5° . The resulting look-up table for a turbulence intensity of 7.5% is shown in Figure 6. This figure also shows the predicted gains in power capture for the specified subset of turbines according to FLORIS in idealized conditions. It is seen that gains of 5% to 15% are expected near the wind directions
170 255° and 265° at a turbulence intensity of 7.5%. Furthermore, smaller gains in the order of 5% can be expected for wind directions 220° , 230° , and 240° at a turbulence intensity of 7.5%. The look-up tables for higher turbulence intensities are included in Appendix A and indicate a strong decrease in expected gains for higher turbulence intensities.

FLORIS makes compromising assumptions about the wind farm terrain and wake behavior. Thus, these predictions hold a high uncertainty. As a first step to check its robustness, the optimized yaw angles from FLORIS are simulated in FarmFlow, the
175 in-house wind farm model of TNO (Kanev et al., 2018). FarmFlow is of the same fidelity of FLORIS, but has a different set of underlying equations and therefore provides different predictions. While FarmFlow predicts lower gains, which is a common trend for FarmFlow compared to FLORIS, it also predicts little to no losses compared to baseline operation for most table entries, thereby solidifying confidence in the synthesized table of setpoints. Furthermore, after implementation in the real wind farm, the presented control module is toggled on/off every 35 minutes to allow a comparison of wake steering with baseline
180 operation.

4 Data processing

Sections 2 and 3 outlined the steps taken prior to the experiment. This section now addresses how the data is processed after the experiment. One-minute-averages of SCADA data are collected from August 19th, 2019 onward. Analysis was performed on data up until February 3rd, 2020. The data is postprocessed to eliminate any faulty or irrelevant entries as follows:

- 185 1. All data with SCADA-based wind direction estimates outside of the region of interest (200° to 320°) is discarded.
2. All data with SCADA-based ambient wind speed estimates lower than 7 m/s and higher than 12 m/s is discarded, because of high noise levels and/or the optimized yaw angle setpoints being very small in these regions (Figure 6).
- 190 3. All data with SCADA-based turbulence intensity estimates lower than 12% and higher than 18.5% are discarded. The upper bound is because a high turbulence intensity reduces wake effects and thereby the expected gains. Moreover, a narrow turbulence intensity range is desired with as many datapoints as possible for a fair and statistically sound analysis, explaining the lower bound. The turbulence intensity range is on the higher side due to the nature of the experiment. The specified bounds allow for a sufficient number of measurements such that a sound statistical analysis can be performed.

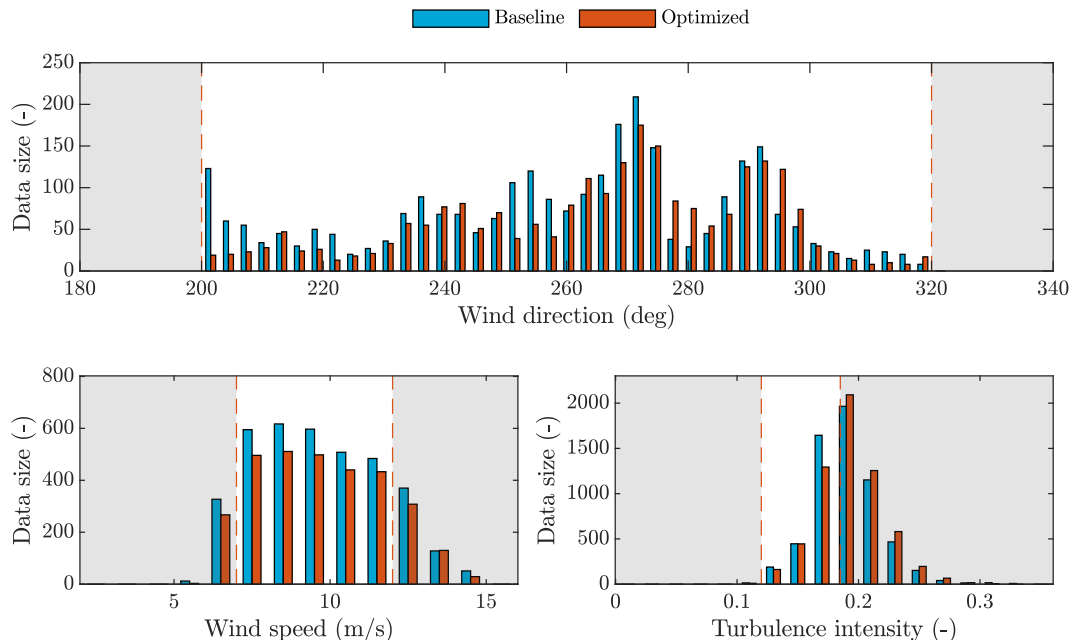


Figure 7. Filtered measurement data from 19 August 2019 until 3 February 2020, binned as a function of wind direction, wind speed, and turbulence intensity. The turbulence intensity is high, with practically no measurements with a turbulence intensity of less than 10%.

4. All data where the turbines of interest produce less than 200 kW of power are discarded, to reduce the relative variance in power and eliminate any situations in which turbines exhibit cut-in and cut-out behaviour.
 - 195 5. Data within 5 minutes after a toggle change (baseline vs. optimized operation) is discarded.
 6. Power measurements are time filtered using a (non-causal) moving average with a centered time horizon of 5 minutes.
 7. The datasets are separated according to their operational mode: baseline and optimized. The datasets are then balanced such that for each wind direction and wind speed (in steps of 1 m/s), the number of measurements for baseline operation and optimized operation are equal. This reduces bias in the analysis for unbalanced bins.
- 200 With the filtered data, the energy ratio method from Fleming et al. (2019) is then used to calculate the gains due to wake steering. Important to note is that WTG 10 and WTG 11 are curtailed to a maximum of 500 kW for long periods of time during the measurement campaign. To prevent the elimination of this dataset, a part of the analysis is performed using the wind speed estimates of the local wind turbine controllers, rather than the generated power signals. Note that the analysis for WTG 10 and WTG 11 is exclusively done with measurements during curtailed operation, while the analysis for the other turbines relies on
- 205 measurements during normal operation – curtailed and non-curtailed measurements are not mixed within bins.

Figure 7 shows the histograms of the postprocessed dataset, divided into *baseline* and *optimized* data. The relatively high turbulence intensity shown in this figure corresponds to gains in power production in the order of 2% to 6% according to FLORIS.

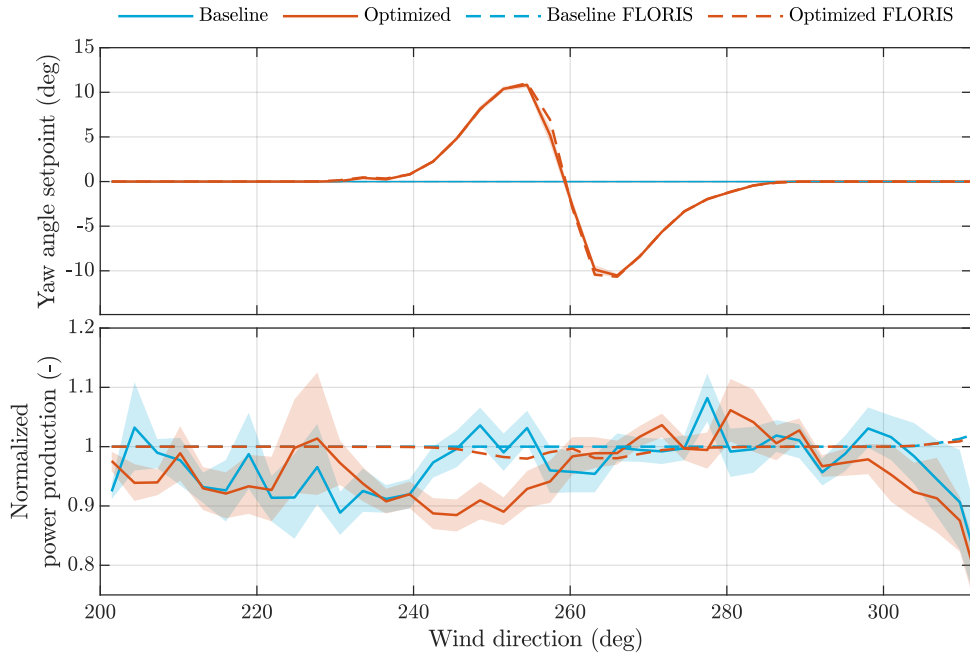


Figure 8. Yaw misalignments and corresponding power production for WTG 26, normalized with respect to WTG 25. The shaded areas show the 95% confidence bounds. The dashed lines represent the predictions for the measured inflow conditions by FLORIS.

5 Results & discussion

210 This section analyzes the measurement data and quantifies the change in performance due to wake steering compared to baseline operation. Note that all local wind speed estimates and power production signals are normalized with respect to the measurements from WTG 25, to reduce the sensitivity of variables to the ambient wind speed. Furthermore, 95% confidence intervals are calculated through bootstrapping (Efron and Tibshirani, 1993) for the results presented in this section.

215 Figure 8 portrays the yaw misalignment setpoints and the power production of WTG 26. The dashed lines represent the predictions from FLORIS, and the solid lines represent the measurement. Since WTG 26 is not misaligned for wind directions lower than 230 degrees and higher than 290 degrees, the normalized power production should equal to 1.0, as reflected in the FLORIS predictions. Around wind directions of 255° and 265°, yaw misalignments are assigned to the turbine, expected to lead to a loss in its power production. Looking at the measurements, the yaw setpoints are successfully assigned for all wind directions. However, the predicted loss in power production due to yaw misalignment is not reflected in the measurements.
220 Rather, it appears that positive yaw misalignment angles lead to a significant decrease of about 10% in the power production (wind directions of 240 – 250°), while negative yaw misalignment angles even lead to a slight increase in the power production compared to baseline operation (wind directions of 255 – 295°). This indicates asymmetry and a high sensitivity in the power curve for yaw misalignment, which are both not accounted for in FLORIS. These observations were confirmed with measurement data from a different GE 1.5s turbine, briefly addressed in Appendix B. Moreover, unknown factors lead to a sys-

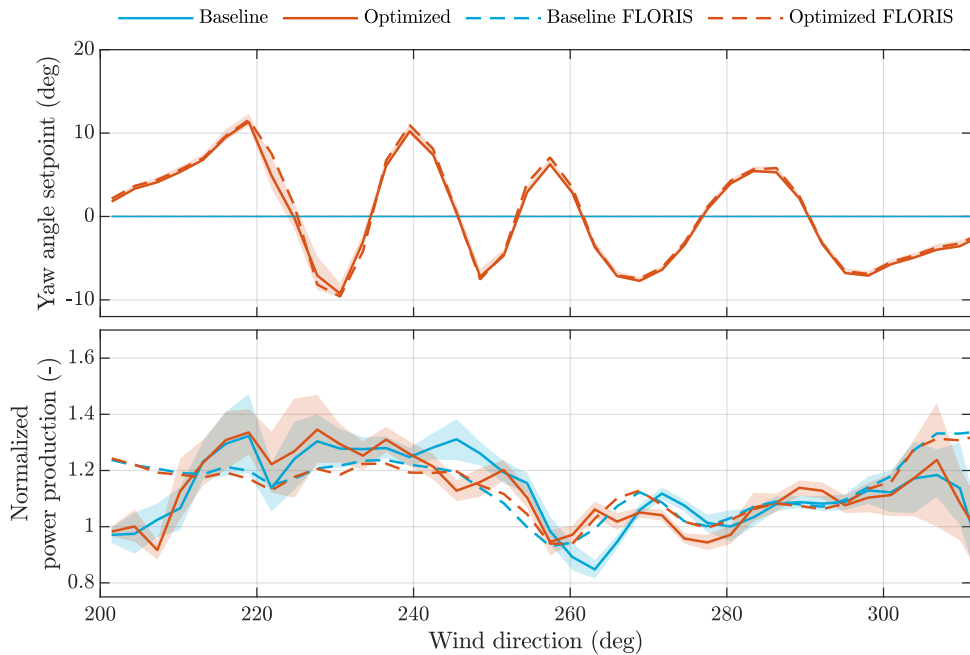


Figure 9. Yaw misalignments and power production for WTG E5, normalized with respect to WTG 25. The shaded areas show the 95% confidence bounds. The dashed lines represent the predictions for the measured inflow conditions by FLORIS.

225 tematically lower power production in the region $200 - 225^\circ$ compared to WTG 25. Also, even though both datasets operate at zero yaw misalignment in the region $295 - 320^\circ$, the *optimized* dataset shows a consistent loss compared to *baseline* operation for unidentified reasons. Hypothesized reasons for these discrepancies include terrain effects and differences in inflow conditions and turbine behavior between WTG 26 and WTG 25 to which the signals are normalized.

Figure 9 depicts the yaw misalignment setpoints and the power production of WTG E5. This turbine contains considerably
230 more yaw variation between wind directions due to the close spacing and the scheduling of the considered downstream turbine (Table 2 and Figure 6). This figure shows that the yaw setpoints are applied successfully with little error. Further, note that the normalized power production for unwaked conditions is about 1.3 instead of 1.0 due to the larger rotor size and the higher tower of WTG E5. Wakes of WTG 25 and WTG 26 cause losses in power production in both *baseline* and *optimized* operation for various wind directions. These effects are both reflected in the measurements and seen in the FLORIS predictions. Notably,
235 clear dips in the power production for both *baseline* and *optimized* operation are seen at 260° and 278° caused by wake losses. FLORIS predicts these losses, but lacks the accuracy to represent the finer trends in the measurements. Moreover, changes in the power production due to a yaw misalignment on WTG E5 appear inconsistent (e.g., large loss at 245° , no losses for 210° to 240°).

Figure 10 displays the cubed wind speed estimate of the downstream turbine of interest. The reason that this variable is
240 displayed instead of the power production is due to the fact that WTG 10 and WTG 11 are curtailed for long periods of time,

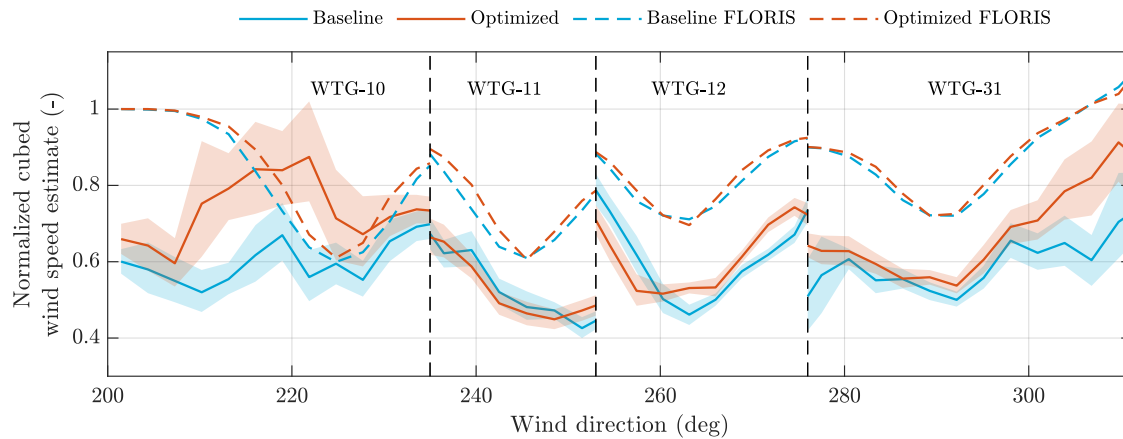


Figure 10. The cubed wind speed estimates of the downstream WTG of interest, serving as a surrogate for the power production under turbine derating. The results are normalized with respect to WTG 25. The trends are captured well, though FLORIS underestimates the wake losses. Moreover, the optimized dataset appears to outperform the baseline dataset, showing a benefit due to wake steering.

rendering the power measurements unusable. FLORIS predictions show a clear trend in power production losses due to wake interactions of upstream turbines, notably at 225° , 245° , 265° and 290° . Since none of the downstream turbines are yawed, FLORIS predicts that optimized operation should never lead to any losses compared to baseline operation. When looking at the measurements, these predictions are largely reflected. However, FLORIS overestimates the wake recovery, and the power losses due to wake interactions are larger than predicted. This is not in the least due to the lack of an accurate terrain model. Because of the underestimated wake effects in FLORIS, wake steering should have a higher potential than predicted, and the optimal yaw angles depicted in Section 3 may be underestimated. Moreover, the figure shows a very large increase in power production for the region $205 - 235^\circ$ between optimized and baseline operation. This is due to WTG E5 steering away its wake from WTG 10. These two turbines are positioned closest together in the wind farm, and wake losses are therefore predicted to be the highest (Figure 1). Furthermore, gains in power production are seen in the region $260 - 320^\circ$. This somewhat agrees with where FLORIS predicts gains to be. However, the measurements also show losses near 255° . This is possibly due to the strong gradients in the yaw misalignment setpoints and thereby the sensitivity to noisy inflow conditions. Also, FLORIS predicts zero wake losses for a wind direction of 200° for both the baseline and optimized dataset, yet the measurements show a much lower wind speed. This is hypothesized to be due to topology effects and turbine interaction that was underestimated or not accounted for in FLORIS.

Finally, the change in performance for the combined three turbines is displayed in Figure 11. FLORIS predicts a relatively small but consistent gain across different wind directions of about 3%. This is largely due to high turbulence levels and the underestimated wake losses in FLORIS (Figure 10). This in turn leads to the underestimation of the benefits of wake steering. When looking at the measurements, a very large gain of up to 26% is seen at 222° . Interesting to note is that this 26% gain is the situation where WTG E5 steers its wake away from WTG 10 (Figure 3), and WTG 26 has no influence on this interaction.

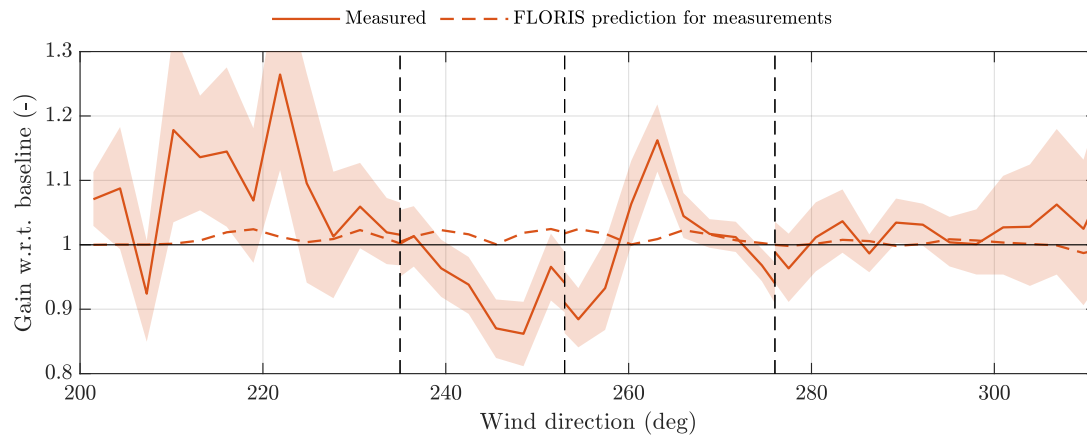


Figure 11. The estimated net gain of the three turbines for wake steering compared to baseline operation.

If we only consider turbines WTG E5 and WTG 10, the combined gain in power production of turbines WTG E5 and WTG 10 is 35%. Though, it must be noted that the uncertainty bands are large for this bin. Generally, notable gains in power production are measured in the region $260 - 273^\circ$ with a gain of 16% at 263° , concerned with three-turbine interaction. Interesting to note is that all three turbines experience an increase in power production for this wind direction, be it due to a yaw misalignment or due to a steered wake. Among these three turbines, the largest gain comes from WTG E5 with a 29% increase in power by itself. Furthermore, Figure 11 also shows notable losses, especially in the region near 250° , due to large losses at WTG 26 originating from yaw misalignment and no gains downstream. Losses are also seen near the transition regions (black dashed vertical lines), possibly due to strong gradients in the yaw angles at these wind directions.

In addition to the mismatch between FLORIS and the actual yaw-power curve of WTG 26 and WTG E5, the lack of terrain effects in FLORIS are expected to have a significant impact on the results. This may be one of the key reasons for the overestimation of wake recovery in the FLORIS model, which in turn leads to an underestimation of the benefits of wake steering. Moreover, unmodeled effects such as secondary steering (Martínez-Tossas et al., 2018) may be a source of error. These unmodeled effects can have a positive effect on the success of wake steering. This leads to an underestimation of the potential benefits of wake steering and consequently to suboptimal yaw misalignment setpoints. Historical operational data may also be used to reduce the model-plant mismatch (Schreiber et al., 2019).

6 Conclusions

This article presented a field experiment for wake steering at a commercial onshore wind farm in Italy. Three-turbine interaction was considered, with the first two turbines operating under yaw misalignments to maximize the collective power production. The yaw setpoints were calculated according to an open-loop steady-state and model-based wind farm control solution. The field experiment shows significant gains, especially for two-turbine interaction, with an increase in combined power production



of up to 35% for one particular two-turbine situation. Moreover, gains in power production for the three-turbine array up to 16% were measured for particular wind directions. However, the measurements also show notable losses for a region of wind directions, largely due to losses at the yaw-misaligned upstream turbines and due to insufficient or incorrect wake steering downstream.

285 Several important observations were made from the measurement data. Measurements shows that upstream turbines may benefit from nonzero yaw misalignment, already leading to an effective increase in power production at these turbine without considering the phenomenon of wake steering downstream. Such effects have a large influence on the results presented in this article. Moreover, the potential of wake steering was confirmed for a large range of conditions. These two factors effectively suggest that the power production in wind farms could be increased for “free”, thus allowing wake steering without losing
290 or even increasing the energy yield upstream. Also, while the surrogate model leveraged in this work is able to predict the dominant trends of wake interaction, large discrepancies are seen between its predictions and the field measurements. Notably, FLORIS assumes a symmetrical yaw-power curve of WTG 26 and WTG E5, assuming peak power production at zero yaw misalignment. In addition, FLORIS lacks important terrain effects and appears to overestimate wake recovery. Consequently, FLORIS underestimates the benefits of wake steering and the assigned yaw angles in this experiment are suboptimal.

295 At large, important lessons learned from this experiment are:

- An accurate characterization of the physical wind turbines in the surrogate model is essential. This article demonstrated the strong need for an accurate yaw-power curve of each turbine to maximize the benefits of wake steering and operation under yaw misalignment.
- To clearly distinguish the benefits of wake steering from baseline operation, a reliable baseline controller must be established and implemented. This may require more accurate wind direction and yaw sensors that ensure that upstream
300 turbines accurately track the wind direction and maximize their power production.
- In this experiment, which turbine was considered to be the “downstream turbine of interest” was decided according to the wind direction to maximize the potential benefits of wake steering. Unfortunately, this is expected to be the reason for poor performance near the transition regions. Such scheduling requires more research before implementation, and
305 rather should be avoided whenever possible.
- The surrogate model is hypothesized to lack, i.a., essential temporal dynamics and complex terrain effects, leading to suboptimal yaw setpoints and controller performance. Moreover, the turbulence model in FLORIS should be improved and ideally calibrated to field data before usage.
- Field campaigns should run for at least one year to minimize the impact of measurement uncertainty. Moreover, exper-
310 iments ran throughout the year will provide a realistic idea of the efficacy of the tested concept and its impact on the annual energy production.



Finally, loads are neglected in this work, but play a vital role in adoption of the concept (e.g., Damiani et al., 2018). In conclusion, this article supports the notion that further research is necessary, notably on the topic of wind farm modeling, before wake steering will lead to consistent energy gains in commercial wind farms.

315 *Code availability.* FLORIS is developed by the Delft University of Technology and the National Renewable Energy Laboratory. A research-oriented MATLAB implementation is developed by Delft University of Technology, available at its Github repository (Doekemeijer and Storm, 2019). Note that a numerically efficient Python implementation of FLORIS is developed by the National Renewable Energy Laboratory, available at its Github repository (NREL, 2019). The work presented in this article uses the MATLAB implementation.

Appendix A: Additional look-up table figures

320 The turbine yaw setpoints were optimized for a large range of inflow conditions as described in Section 3.2. Figure 6 previously showed the optimal yaw setpoints for a low turbulence intensity of 7.5%. This appendix shows the optimal yaw setpoints for turbulence intensities of 13.5% and 18.5%.

The optimal turbine yaw setpoints for a turbulence intensity of 13.5% are shown in Figure A1. Compared to the situation with a turbulence intensity of 7.5%, the forecasted performance gains notably reduce. A higher ambient turbulence leads to
325 more wake recovery, and thus the benefits of wake steering become less apparent. The optimal turbine yaw setpoints for a turbulence intensity of 18.5% are shown in Figure A2. Compared to the situations with turbulence intensities of 7.5% and 13.5%, the gains are very small. In practice, these gains are expected to drown in statistical uncertainty.

Appendix B: Yaw-power relationship for a GE 1.5s turbine

The experimental results from Section 5 indicate that negative yaw misalignment in WTG 26 leads to very small losses and
330 sometimes even to a power gain compared to aligned operation. This behavior is verified by studying experimental data from a different GE 1.5s turbine inside the Sedini wind farm that is not included in the wake steering experiments: WTG 30. SCADA data of this turbine is used to plot the normalized power production of the turbine against its yaw misalignment angle, shown in Figure B1. This figure shows that there is practically no decrease in power production when misaligning the turbine in the negative direction by less than 10°. This is in agreement with the behavior seen in WTG 26 and explains the large gains around
335 the 260 – 280° region in the field experiments shown in Figure 11: wake steering effectively comes “for free” here.

Competing interests. The authors declare that they have had no competing interests in executing and publishing this work.

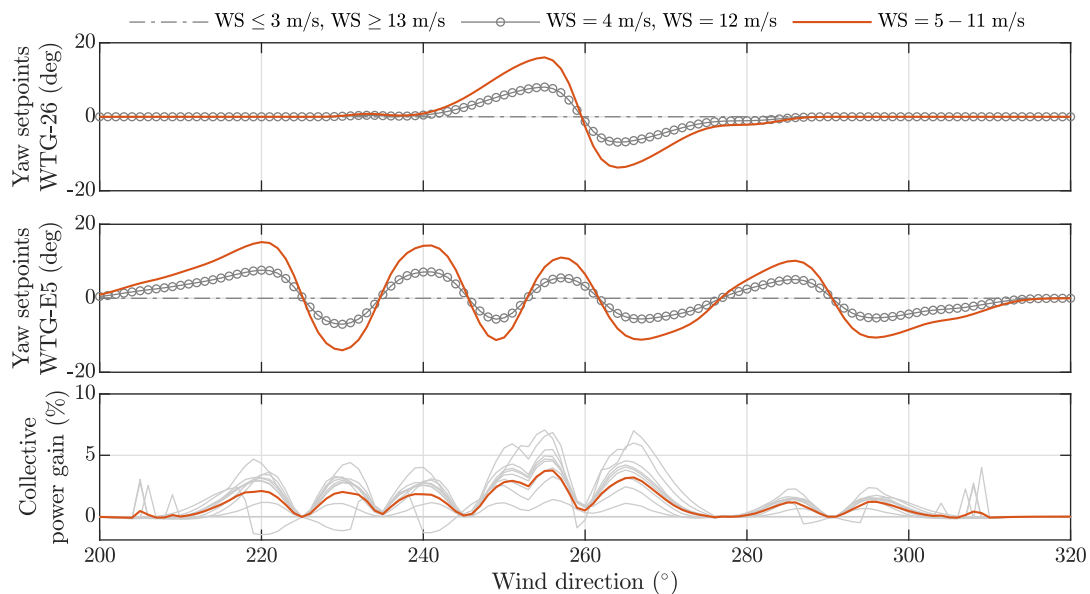


Figure A1. The optimal turbine yaw angle setpoints for WTG 26 and E5 for a freestream turbulence intensity of 13.5%. The collective power gain of WTG 26, WTG E5 and the downstream machine (WTG 10, 11, 12, or 31) is shown in the bottom plot.

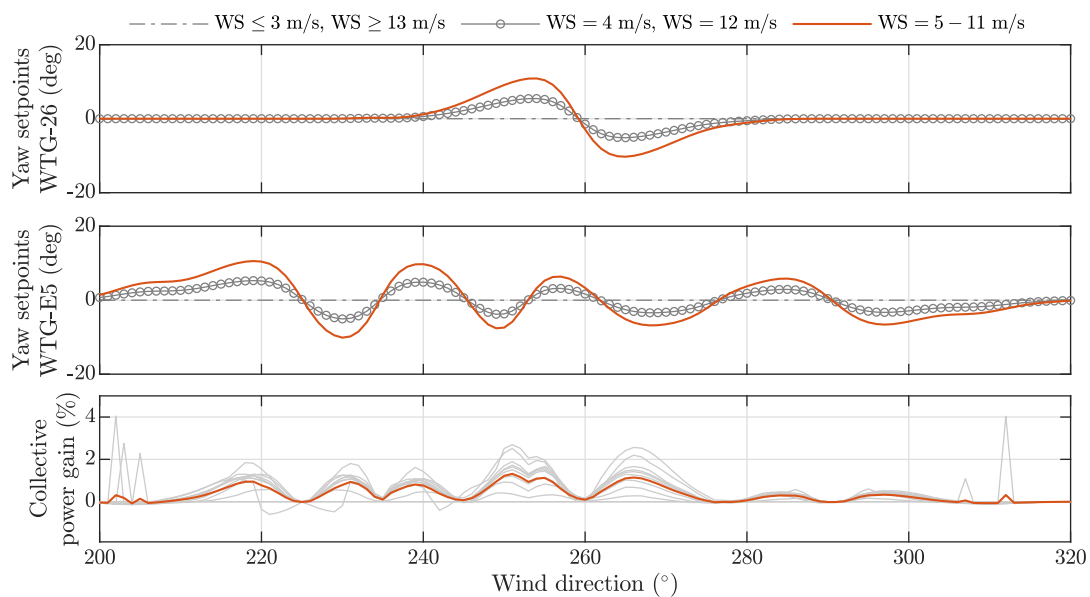


Figure A2. The optimal turbine yaw angle setpoints for WTG 26 and E5 for a freestream turbulence intensity of 18.5%. The collective power gain of WTG 26, WTG E5 and the downstream machine (WTG 10, 11, 12, or 31) is shown in the bottom plot.

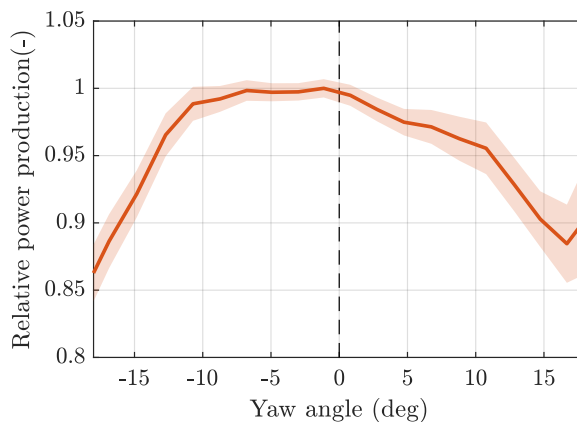



Figure B1. Relationship between the normalized power production and the yaw misalignment angle for an arbitrary GE 1.5s wind turbine in the Sedini wind farm. The data was collected for the range of 6 m/s to 12 m/s wind speeds. The asymmetry is clearly seen. Moreover, negative yaw misalignment shows a much smaller loss or even a very slight gain in power production compared to positive yaw misalignment.

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