

# Experimental investigation of mini Gurney flaps in combination with vortex generators for improved wind turbine blade performance

Jörg Alber<sup>1</sup>, Marinos Manolesos<sup>2</sup>, Guido Weinzierl-Dlugosch<sup>3</sup>, Johannes Fischer<sup>3</sup>, Alexander Schönmeier<sup>1</sup>, Christian Navid Nayeri<sup>1</sup>, Christian Oliver Paschereit<sup>1</sup>, Joachim Twele<sup>4</sup>, Jens Fortmann<sup>4</sup>,  
5 Pier Francesco Melani<sup>5</sup>, Alessandro Bianchini<sup>5</sup>.

<sup>1</sup> Technische Universität Berlin, Hermann-Föttinger Institut, Müller-Breslau-Str. 8, 10623 Berlin, Germany

<sup>2</sup> College of Engineering, Swansea University, Bay Campus, Fabian Way, Swansea, SA1 8EN, United Kingdom

<sup>3</sup> SMART BLADE GmbH®, Waldemarstr. 39, 10999 Berlin, Germany

<sup>4</sup> Hochschule für Technik und Wirtschaft Berlin, Wilhelminenhofstraße 75A, 12459 Berlin, Germany

10 <sup>5</sup> Università degli Studi di Firenze, Department of Industrial Engineering (DIEF), Via di Santa Marta 3, 50139 Firenze, Italy

Correspondence to: Jörg Alber ([joerg.alber@posteo.de](mailto:joerg.alber@posteo.de))

## Abstract

This wind tunnel study investigates the aerodynamic effects of Mini Gurney flaps (MGFs) and their combination with vortex generators (VGs) on the performance of airfoils and wind turbine rotor blades. VGs are installed on the suction side aiming at  
15 stall delay and increased maximum lift. MGFs are thin angle profiles that are attached at the trailing edge in order to increase lift at pre-stall operation. The implementation of both these passive flow control devices is accompanied by a certain drag penalty. The wind tunnel tests are conducted at the Hermann- Föttinger Institut of the Technische Universität Berlin based on two airfoils that are characteristic for different sections of large rotor blades. Lift and drag are determined using a force balance and a wake rake, respectively, for static angles of attack between  $-5^\circ$  to  $17^\circ$  at a Reynolds number of 1.5 million. The impact  
20 of different MGF heights including 0.25%, 0.5% and 1.0 % and a VG height of 1.1 % of the chord length is tested and evaluated. Furthermore, the clean and the tripped baseline cases are considered. In the latter, leading edge transition is forced with Zig Zag (ZZ) turbulator tape. The preferred configurations are the smallest MGF on the NACA63(3)618 and the medium sized MGF combined with VGs on the DU97W300. Next, the experimental lift and drag polar data is imported into the software QBlade in order to design a generic rotor blade. The blade performance is simulated with and without the add-ons by means  
25 of two case studies. In the first case, the retrofit application on an existing blade mitigates the adverse effects of the ZZ tape. Stall is delayed and the aerodynamic efficiency is partly recovered leading to an improvement of the power curve. In the second case, the new design application allows for the design of a more slender blade while maintaining the rotor power. This alternative blade appears to be more resistant against the adverse effects of forced leading edge transition.

# 1. Introduction

## 30 1.1 General outline

This report is divided into the following sections.

**Introduction.** The concepts, mechanisms and applications of Gurney flaps (GFs), ZZ tape and VGs are introduced. The literature review is focused on very small GF heights, so-called MGFs, and their combination with VGs.

35 **Airfoil simulations.** The simulation software XFOIL (Drela, 1989) is used to determine the appropriate size of each passive flow control (PFC) device in relation to the local boundary layer thickness of the NACA63(3)618 (tip region) and the DU97W300 (root region).

**Experimental set-up.** The wind tunnel test section, the measurement methods and the data reduction process are specified including the force balance for the lift, and the wake rake for the drag measurements at a constant Reynolds number of  $Re = 1.5 \cdot 10^6$ .

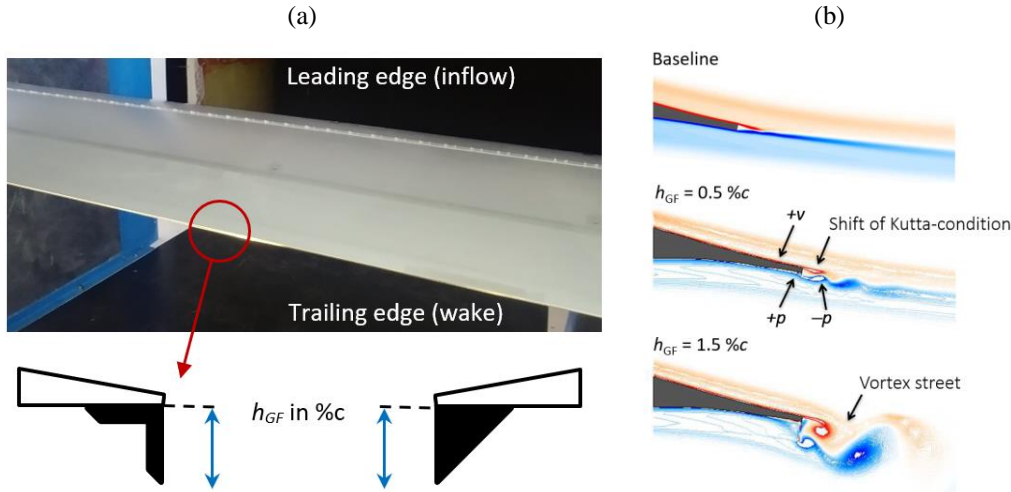
40 **Experimental results.** The lift and drag polars,  $c_l(\alpha)$  and  $c_d(\alpha)$ , are presented. Different combinations of MGFs and VGs are evaluated according to characteristic parameters, i.e. the lift performance, the stall behavior and the aerodynamic efficiency.

**Rotor blade performance.** The experimental data is imported into the software QBlade (Marten, 2020) in order to create a generic rotor blade. The blade performance is simulated by means of two case studies, the retrofit application on an existing, and the new design application on an alternative rotor blade.

## 45 1.2 Gurney flaps

This aerodynamic device is named after the US racecar driver Dan Gurney. In the early 1970s, he applied it to the rear spoilers achieving significant improvements in the downforce and thus the traction of his Formula One vehicles, see Liebeck (1978). Passive GFs are categorized as static miniflaps or miniature trailing edge devices (MiniTEDs), as described by González-Salcedo et al. (2020). They are different to the concept of flexible trailing edge (TE) flaps that are integrated into the very TE section, see Barlas and van Kuik (2010). The first reference to miniflaps dates back to the early 20<sup>th</sup> century and was probably developed by Gruschwitz and Schrenk (1933). Zaparka (1935) registered the first patent on active miniflaps for use on airplane wings. Various patents of passive miniflaps followed, particularly in aviation. Boyd (1984) and later Brink (2002) claimed the rights on different versions of wedge-shaped TE flaps. Henne and Gregg (1989) patented the shape of a diverging trailing edge (DTE) of a transonic airfoil generating similar aerodynamic effects than the GF. Bechert et al. (2001) registered a patent on 55 so-called three dimensional (3D) GFs, i.e. profiles with slits, serrations, holes, as well as tiny vortex generators attached to the miniflap itself to stabilize the unsteady wake field. Wang et al. (2008) published a comprehensive review of GFs for use on rotor blades of helicopters and wind turbines. In contrast to the large amount of patents and publications, there are only few examples of standardized or commercialized GF applications on rotor blades of horizontal axis wind turbines (HAWTs). For instance, Vestas (2019) offers GFs in combination with VGs as aerodynamic upgrades of operating wind turbines predicting 60 the average energy production (AEP) to increase by 1.7%. Another example is the blade design of the 10 MW reference wind

turbine of the Danish Technical University (DTU) with a total rotor radius of  $R = 89.2$  m. The inner blade part alongside the local rotor radius of  $5\%R < r < 40\%R$  was equipped with wedge-shaped GFs including heights of 3.5%, 2.5% and 1.3%, respectively, in relation to the local chord length,  $c$ . Bak et al. (2013) claim significant aerodynamic performance improvements, especially on relatively thick airfoils with a maximum thickness greater than  $30\%c$ .



65 Figure 1. (a) NACA63(3)618 during wind tunnel tests. Vortex generator array and Gurney flap. Definition of Gurney flap height of rectangular and triangular profiles in side view. (b) CFD simulations of the HQ17. Wake structures at  $\alpha = 0^\circ$  and  $Re = 1 \cdot 10^6$  for different Gurney flap heights, reproduced and modified from Schatz et al. (2004a).

Figure 1a displays the typical GF shapes, i.e. the rectangular, or L-shaped, and the triangular, or wedge-shaped, profiles. Typically, they are installed at the TE and normal to the pressure side of wings and rotor blades. In both cases, the effective  
 70 GF height,  $h_{GF}$ , is expressed in  $\%c$ , without taking the original TE thickness into account. For identical  $h_{GF}$ , the aerodynamic effect of both GF profiles is considered to be very similar, as discussed in Appendix B2. It is noted that GFs are also used at a certain distance away from the TE. These mini tabs, see Bach et al. (2014), are out of the scope of this study.

Figure 1b illustrates the principal changes of the flow field for two different GF heights, as first reported by Liebeck (1978).  
 75 Adjacent to the TE modification, a highly efficient vortex system is formed consisting of one vortex upstream and two counter rotating vortices immediately downstream. Bechert et al. (2000) and Schatz et al. (2004b) showed by means of experimental and numerical investigations that the wake structures are quasi two dimensional (2D) at pre stall operation. The recirculation region changes the Kutta condition, so that the rear stagnation point is shifted downstream and deflected downwards, see also Jeffrey et al. (2000) and Cole et al. (2013). The modifications of the flow field lead to the following set of simultaneous effects.

- 80 • Lift performance. The suction peak is higher and coupled with a positive pressure built-up right in front of the GF, as such increasing the pressure difference between the suction and the pressure side. As a results, the effective camber is enhanced, so that the same lift coefficient,  $c_l$ , is already reached at a lower angle of attack (AoA),  $\alpha$ . Furthermore, the adverse pressure gradient on the suction side becomes milder generating a higher maximum lift coefficient,  $c_{l,max}$ .

- Drag behavior. The recirculation or low pressure region in the immediate wake leads to an increased momentum loss and thus higher drag coefficient,  $c_d(\alpha)$ . In addition, the intensity of the wake unsteadiness is stronger, especially if vortex shedding is initiated in the form of an absolute instability, as illustrated for  $h_{GF} = 1.5\%c$  in Figure 1b.

Overall, the impact of GFs is quantifiable as an increase in both lift and drag. Bechert et al. (2000) and later Schatz et al. (2004b) showed that the drag increase is related to both the intensity and the frequency of the wake unsteadiness. As a consequence, the drag penalty was less severe for small GF heights comparing  $h_{GF} = 0.5\%c$  to  $1.5\%c$ , see Figure 1b.

### 1.3 Zig Zag tape

ZZ turbulator tape is implemented to initiate the boundary layer (BL) transition at a fixed chord position, see Figure 3a. Its height,  $h_{ZZ}$ , should be smaller than the local BL thickness in order to trigger transition while avoiding a disproportionate drag increase or even turbulent separation. Next to trip wire or carborundum paper, ZZ tape facilitates the comparability between different measurement methods. Moreover, it is applied to evaluate the sensitivity of airfoils to the adverse effects of leading edge roughness (LER), as discussed by van Rooij and Timmer (2003), Timmer and Schaffarczyk, (2004) and in greater detail by Wilcox et al. (2017). Another example is Oerlemans et al. (2009), who implemented ZZ tape on the rotor blades of a commercial multi MW wind turbine. In fact, LER due to erosion and the accumulation of sediments are major challenges for rotor blade manufacturers and wind turbine operators, see Figure 2b. Over time, LER is practically inevitable. According to Maniaci (2020), it mainly affects the mid to tip region, where the rotor blade is exposed to the highest relative velocities. Depending on the degree of roughness, the AEP decrease of multi MW HAWTs is between of 2 % and 5 %.

### 1.4 Vortex generators

As opposed to GFs, VGs have been commercialized by various wind energy companies for almost two decades. Usually, the suboptimal or declining AEP motivates blade manufacturers and wind park operators to investigate possible causes, such as

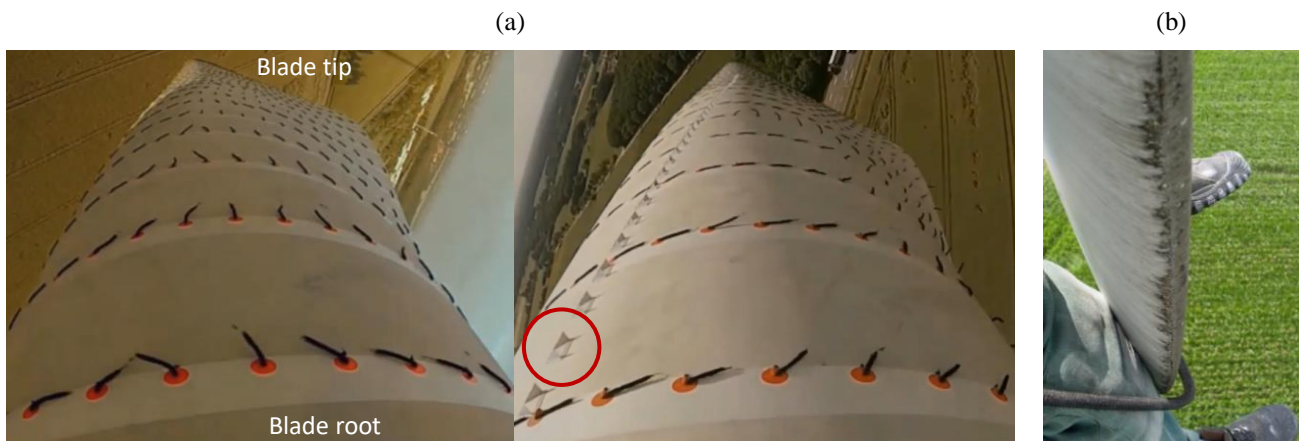


Figure 2. Utility scale wind turbines. (a) Simultaneous flow tuft measurements (baseline versus VG configuration) on the same rotor, with permission from SMART BLADE GmbH. (b) Leading edge erosion at the blade tip, with permission from Seilpartner GmbH.

early separation or LER. One possible solution is the installation of VGs to alleviate the flow separation in the root to mid region of rotor blades. More recent studies have also investigated the opportunities of relatively small VG sizes towards the tip region, see Bak et al. (2018). Typically, VGs are commercialized as retrofit solutions, i.e. add-ons that are installed on the surface of already running rotor blades, as depicted in Figure 2a. In this way, SMART BLADE (2021) predict an AEP growth of approximately 2%. A more detailed review on VGs for use on rotor blades is provided by Bak et al. (2016) and González-Salcedo et al. (2020).

The purpose of VGs is to delay the BL stagnation and thus separation, see Figure 2a. As such, the flow tufts are attached to the blade surface, as compared to the stalling baseline blade. The thin vanes shed a pair of vortices transporting momentum from the more energetic flow into the viscous layers close to the surface. The vortex system spreads out towards the TE, where it is released into the wake. More detailed research on the mechanism of VGs is provided by Manolesos and Voutsinas (2015). Overall, the VG effect is quantifiable as a substantial increase in both maximum lift and the AoA where stall is initiated,  $\alpha(c_{l,max}) = \alpha_{cl,max}$ . At the same time, drag is increased significantly at low and moderate AoA. The impact on the aerodynamic efficiency,  $L/D$  ( $\alpha$ ), depends on the design parameters that are summarized in Figure 3.

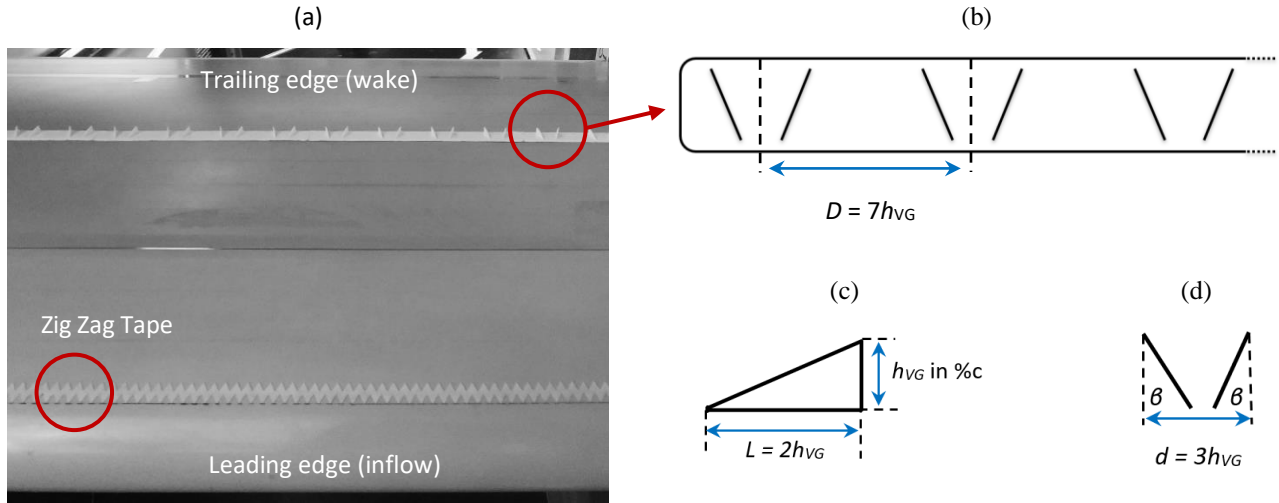


Figure 3. NACA63(3)618 during wind tunnel tests. (a) Top view on suction side with ZZ tape and VG array. (b) VG panel including spacing between VGs, with permission from SMART BLADE GmbH. (c) Side view of single vane. (d) Top view of single VG.

Figure 3a and b depict an array of VG panels, as installed on the suction side of the airfoil model. Following Baldacchino et al. (2018), the design parameters generate a counterrotating, common downflow VG system. The spacing between the center points of two VGs is defined as  $D = 7h_{VG}$ , see Figure 3b. Figure 3c shows that each VG consists of a delta-shaped pair of vanes with a length,  $L = 2h_{VG}$ , and the VG height,  $h_{VG}$ , given in %c. According to Figure 3d, the distance between the two vanes,  $d = 3h_{VG}$ , results in  $\beta \approx \pm 18^\circ$ .

## 1.5 Combining Vortex generators and Gurney flaps

Despite the large body of literature on each device, the simultaneous use of GFs and VGs is less profoundly researched. Storms et al. (1994) investigated one such configuration in the NASA Ames Research Center using a NACA4412 profile. Stall was delayed by around  $5^\circ$  and  $c_{l,max}$  was increased by 36 % improving  $L/D$  at elevated AoA. However, at low and moderate AoA, the combined drag penalty led to decreased aerodynamic efficiency. Fuglsang et al. (2003) conducted experiments in the VELUX wind tunnel of the DTU based on the Riso-B1-24. To the authors' knowledge, this is the only experimental study applying VGs and GFs on a dedicated wind turbine airfoil. Stall was delayed by approximately  $3^\circ$ , coupled with an increase in  $c_{l,max}$  of 34 %. Similar results were achieved installing ZZ tape on the suction side. Following from that, Fuglsang et al. (2004) concluded that, despite the small  $L/D$  decrease, the combination of both devices "(...) could provide an attractive choice for the root part of a wind turbine blade where reduction of solidity is a key issue to reduce blade costs.". In a more recent study, Li-shu et al. (2013) performed wind tunnel tests on a WA251A airfoil at the Northwestern Polytechnical University of Xi'an. Maximum lift was increased by 18.6 % delaying stall by approximately  $2^\circ$ . The authors report "remarkable improvements" implementing both PFC devices simultaneously. For clarity, the design parameters of the mentioned references are summarized in Table 1.

Table 1. Literature references. GF and VG design parameters.

Airfoil	Reference	$Re [\cdot 10^6]$	$h_{GF} [\%c]$	$h_{VG} [\%c]$	$x_{VG} [\%c]$	$D [h_{VG}]$
NACA4412	Storms et al. (1994)	2.0	1.25	0.5	12	6.0
Risø-B1-24	Fuglsang et al. (2003)	1.6	1.0	1.0	20	4.2
WA251A	Li-shu et al. (2013)	3.0	0.9, 1.25	0.5	21	unspecified

The literature review shows that GFs, ZZ tape and VGs are well-studied PFC devices. However, to the authors' knowledge, there are no scientific reports investigating MGF heights smaller than 0.5%c and their combination with VGs for use on dedicated wind turbine airfoils. This study aims at closing these research gaps.

## 2. Airfoil simulations

In preparation for the wind tunnel tests, the simulation software XFOIL is used to determine the appropriate size of each PFC device in relation to the local boundary layer thickness of the corresponding airfoil.

### 2.1 Airfoils

Figure 4a displays the two airfoils that are tested during the wind tunnel experiments, the NACA63(3)618 and the DU97W300. They are applied at different sections of large rotor blades, see Figure 4b. The main specifications are summarized in Table 2.

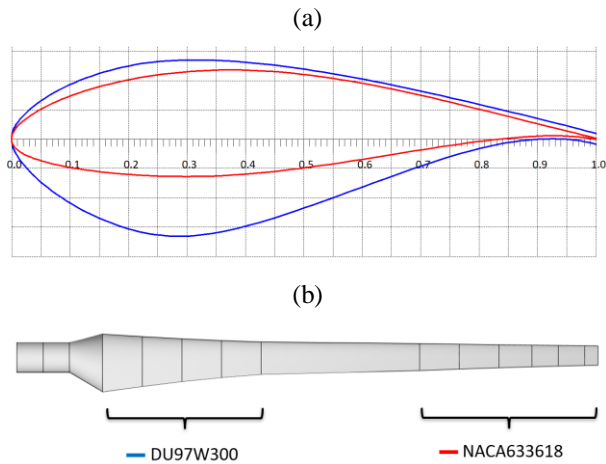


Figure 4. NACA63(3)618 and DU97W300. (a) Airfoil coordinates normalized by the chord length. (b) Airfoil position on a generic rotor blade.

Table 2. Maximum thickness, maximum camber and trailing edge thickness. Chord position in brackets. All values in %c.

Airfoil	$h_{th,max}(x)$	$h_{camber,max}(x)$	$h_{TE}$
NACA63(3)618	18.0 (34.0)	3.0 (53.7)	0.17
DU97W300	30.0 (29.3)	2.1 (80.5)	1.75

The NACA63(3)618 is part of the six-digit wing sections developed by the National Advisory Committee for Aeronautics (NACA) for use on high speed aircrafts, see Abbott and von Doenhoff (1959). The NACA 63 and 64 families are still popular for the design of large rotor blades, see Timmer (2009). The DU97W300 was designed by the Delft University (DU) as a dedicated wind turbine airfoil, see Timmer and van Rooij (2003).

## 2.2 XFOIL simulations

The 2D airfoil performance is simulated with the panel code XFOIL. The freely available and widely recognized software is based on a viscid-inviscid interaction scheme, which was validated, for instance by Timmer and Schaffarczyk (2004). Apart from the airfoil coordinates, including the finite TE thickness, the software requires the chord-based Reynolds number and the AoA range as input parameters, here  $Re = 1.5 \cdot 10^6$  and  $-5^\circ < \alpha < 20^\circ$ . The location of the free BL transition is modeled by means of the  $e^N$  method. The amplification factor, or N criterion, describes the level of both the surface roughness and the inflow turbulence intensity. The default value,  $N = 9$ , refers to clean conditions, i.e. assuming a completely smooth surface and laminar inflow conditions that are found in low turbulence wind tunnels. In this study,  $N = 5$  is chosen to account for the measured turbulence intensity of the current wind tunnel facility. In the so-called tripped case, the transition location is fixed at a static chordwise position,  $x_{ZZ}$ , on both the suction and the pressure side.

The appropriate height of each PFC device is determined in relation to the local BL thickness,  $\delta$ , which is defined as the normal distance between the solid surface and the first streamline reaching 99% of the axial free flow velocity. XFOIL calculates the BL displacement thickness,  $\delta^*$ , describing the distance by which the free flowing streamlines are displaced from the solid surface due to the existence of the BL. According to Schlichting and Gersten (2000), the laminar BL thickness on a flat plate at zero incidence is approximately three times the BL displacement thickness,

$$\delta \approx 3\delta^*. \quad (1)$$

Eq. (1) is also valid for thin airfoil shapes. According to Baldacchino et al. (2018), the turbulent BL thickness is related to  $\delta^*$  and the momentum thickness  $\theta$ ,

$$\delta \approx \theta \left( 3.15 + \frac{1.72}{\left(\frac{\delta^*}{\theta}\right)^{-1}} \right) + \delta^*. \quad (2)$$

### 2.3 Zig Zag tape

The baseline configurations include both the free and the forced BL transition. In the tripped case, ZZ tape is applied alongside the complete airfoil span on both the suction side (SuS) at  $x_{ZZ} = 5\%c$  and at the pressure side (PS) at  $x_{ZZ} = 10\%c$ , as illustrated in Figure 3a. The ZZ tape height is selected in relation to the laminar BL thickness, see Eq. (1), the corresponding chord positions,  $x_{ZZ}$ , and the design AoA,  $\alpha_{opt} = \alpha(L/D_{max})$ ,

$$h_{ZZ} \leq \delta(\alpha_{opt}, x_{ZZ}) \quad (3)$$

Table 3. XFOIL simulations of the boundary layer thickness according to Eq. (1).

	$\alpha_{opt} [^\circ]$	$\delta_{SuS} [mm]$	$\delta_{PS} [mm]$
<b>NACA63(3)618</b>	5	0.51	0.55
<b>DU97W300</b>	9	0.54	0.42

Based on Table 3 and Eq. (3), the NACA63(3)618 is equipped with  $h_{ZZ} = 0.4$  mm and the DU97W300 with  $h_{ZZ} = 0.3$  mm. As such, the ZZ tape penetrates the upper layers of the BL without increasing drag excessively. The width of the turbulator tape is 12 mm and the angle between its serrations is  $60^\circ$ . These characteristics are in close agreement with comparable wind tunnel tests at the DU and the DTU, see Timmer and van Rooij (2003) and Fuglsang et al. (2004).

### 2.4 Mini Gurney flaps

Kentfield (1996), Giguère et al. (1997) and Bechert et al. (2000) postulated that the GF needed to be covered by the local BL in order to avoid an excessive drag, in relation to the lift increase. Following from that, Alber et al. (2017) evaluated wind tunnel data of 9 different DU and NACA airfoils at  $1 \cdot 10^6 < Re < 2 \cdot 10^6$ . It was concluded that  $L/D(\alpha)$  could only be maintained or improved using very small GFs in the range of  $0.2\%c \leq h_{GF} \leq 0.5\%c$ , i.e. GFs that were submerged deeply into the local BL. However, the BL thickness depends on the interaction of multiple factors, such as the Reynolds number, the AoA and the



transition location. Hence, the definition of a MGF hereby refers to a height of between one and two times the local BL displacement thickness at the design AoA,

$$\delta^*(\alpha_{opt}) \leq h_{MGF} \leq 2\delta^*(\alpha_{opt}). \quad (4)$$

Within the range given by Eq. (4), the MGF effect on the airfoil performance is assumed to be beneficial throughout the pre-stall region, as further investigated by means of the wind tunnel measurements, see Sect. 4. Moreover, combining Eq. (2)

and Eq. (4), an appropriate MGF height may also be estimated as approximately one quarter of the turbulent BL thickness,

$$h_{MGF} \approx 0.25\delta(\alpha_{opt}). \quad (5)$$

Table 4. XFOIL simulations of the boundary layer displacement thickness and the resulting MGF heights according to Eq. (4).

	clean			ZZ	
	$\alpha_{opt} [^\circ]$	$\delta^* [\%c]$	$h_{MGF} [\%c]$	$\delta^* [\%c]$	$h_{MGF} [\%c]$
<b>NACA63(3)618</b>	5	0.17	0.17...0.34	0.28	0.28...0.56
<b>DU97W300</b>	9	0.25	0.25...0.50	0.35	0.35...0.70

Table 4 shows that  $h_{MGF}$  is case dependent on the airfoil itself and on whether transition is free or fixed. In the latter case,  $\delta^*$  is increased significantly due to the early expansion of the turbulent BL. For the purpose of the current wind tunnel tests, the following flap heights are installed: 0.25%c, 0.5 %c and 1 %c, as such covering both the clean and the tripped cases. Even though it is not considered a MGF as per Eq. (4),  $h_{GF} = 1\%c$  is included as a common literature reference. Unless specified otherwise, the GFs consist of rectangular, i.e. equilateral angle profiles made of brass.

## 2.5 Vortex generators

The chord position of the VG array,  $x_{VG}$ , is located upstream of the mean separation line to delay stall,  $x(\alpha_{cl,max})$ , and downstream of the BL transition location to limit drag at pre-stall operation,  $x(\alpha_{opt})$ . The VG height is determined in relation to the turbulent BL thickness at maximum lift,

$$h_{VG} = f[\delta(x_{VG}, \alpha_{cl,max})]. \quad (6)$$

It is noted that XFOIL simulations are of low order, especially for AoA close to stall. Nonetheless, the estimation of the BL thickness is considered to be sufficiently accurate for the purpose of the current VG design.

Table 5 shows that  $\delta(x_{VG})$  is similar in both the clean and the tripped cases at maximum lift, i.e. as stall is initiated. Based on Eq. (6), the VG height of  $h_{VG} = 1.1\%c$  is selected. In case of the DU97W300,  $h_{VG} > \delta(x = 30\%c)$  resembles a standard VG array in the root to mid region of a rotor blade. Regarding the NACA63(3)618, a sub boundary layer VG configuration is investigated with  $h_{VG} < \delta(x = 50\%c)$ , as discussed by Lin (2002) and Bak et al. (2018). In the mid to tip blade region, the objective is to reduce drag and to maintain  $L/D(\alpha)$  on a high level.

Table 5. XFOIL simulations of the boundary layer thickness according to Eq. ( 2 ).

	$\alpha_{cl,max} [^{\circ}]$	$x_{VG} [\%c]$	$\delta_{clean}(x_{VG}) [\%c]$	$\delta_{ZZ}(x_{VG}) [\%c]$
<b>NACA63(3)618</b>	12	50	1.55	1.62
<b>DU97W300</b>	12	30	0.58	0.72

2.6 Summary

Figure 5a displays both the height and the chordwise location of each PFC device that is investigated in this section. According to previous research efforts at the TU Berlin, Figure 5b and c depict the vorticity caused by either VGs or MGFs. The wake interaction of the flow control mechanisms and its effects on the lift and drag performance is presented as part of the wind tunnel tests in Sect.4.

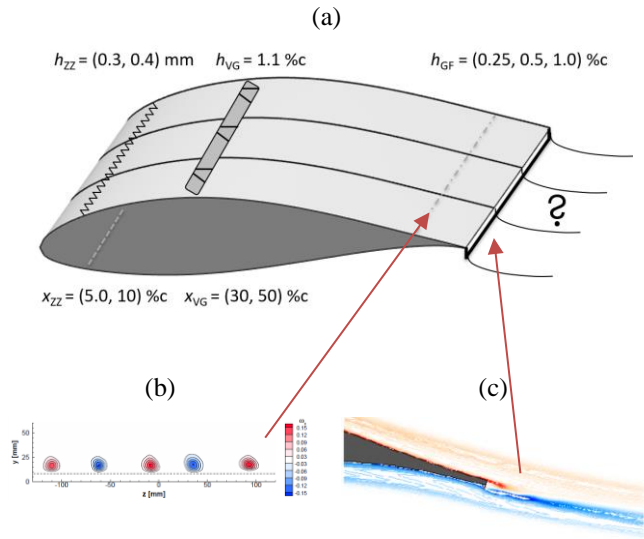


Figure 5. (a) Height and location of passive flow control devices. (b) Particle Image Velocimetry (PIV) measurements of VG vortices on the NACA63(3)618 in spanwise view ( $h_{VG} = 1.7\%c$  at  $x_{PIV} = 80\%c$  and  $Re = 1.3 \cdot 10^6$ ), reproduced from Mueller-Vahl et al. (2012). (c) CFD simulations of a MGF on the HQ17 in side view ( $h_{GF} = 0.5\%c$  at  $\alpha = 4^{\circ}$  and  $Re = 1 \cdot 10^6$ ), reproduced from Schatz et al. (2004a).

230 3. Experimental setup

The wind tunnel test section, the measurement methods and the data reduction process are specified, including the force balance for the lift, and the wake rake for the drag measurements at a Reynolds number of  $Re = 1.5 \cdot 10^6$ .

3.1 Test section

235 The experiments are conducted in the large closed-loop wind tunnel of the HFI at the TU Berlin. The airfoil test section is 2 m in width and 1.44 m in height. It consists of a 2.5 m long removable structure that is attached to the duct outlet, see Figure

6a. The contraction ratio is 6.25 : 1 and the complete length of the test section is 5 m. It was designed, constructed and integrated into the wind tunnel by Meyer (2000).

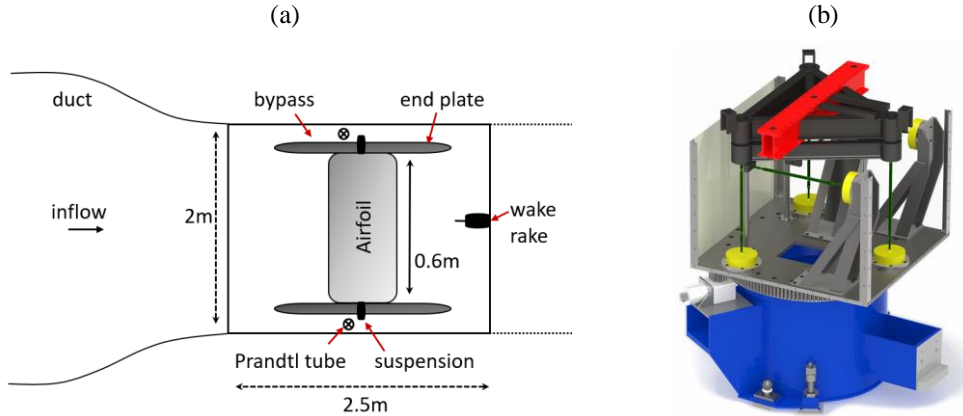


Figure 6. (a) Airfoil test section in top view. (b) Force balance underneath the test section in side view, load cells in yellow, support for attaching the frame of the wing model in red.

The inlet and the outlet of the duct walls are equipped with a ring line of pressure taps. The inflow velocity is determined from the contraction ratio and the static pressure difference. The airfoil model, or wing, is positioned in the centre of the test section, as displayed in Figure 6a. It is enclosed by two 1.5m long end plates that are parallel to the tunnel, as such reducing the influence of the wall BL. The velocities inside the 0.25 m wide bypass channels are measured via two separate Prandtl tubes to obtain the effective inflow velocity. The wing is directly clamped to the platform of the permanently installed force balance underneath the test section, see Figure 6b. Hence, the suspension is decoupled from the end plates and the tunnel walls. The AoA is controlled by means of a stepping motor with an accuracy of  $0.1^\circ$ , which is directly attached to the suspension. The wing models were CNC milled from a solid block of Obomodulan<sup>TM</sup>, as described by Pechlivanoglou (2013). The chord length is 0.6 m and the span is 1.54 m resulting in an aspect ratio of 2.56.

## 3.2 Measurement methods

### 3.2.1 Force balance

The lift forces are directly transferred to the six component force balance, see Figure 6b. The load cells consists of strain gauges generating voltage signals that are proportional to the incoming forces. Each signal is digitalized by a CompactDAQ System of National Instruments with a sampling rate of 5 kHz. The data is recorded using a LabVIEW user interface, including forces, velocities and environmental conditions, i.e. air density and kinematic viscosity, all of which are automatically converted to average results at each AoA. The LabVIEW interface also contains the AoA control in terms of range, steps and measurement duration. According to Meyer et al. (2016), the uncertainty of the uncorrected lift coefficients,  $c_{l,raw}(\alpha)$ , is 0.2%. As such, the lift results show good agreement with literature data, as shown in Appendix B1. However, since its implementation in the wind tunnel, the set-up has been characterized by elevated drag results. The reasons are the small gaps between the wing

and the end plates leading to suction effects. Moreover, a turbulent BL is formed on the end plates triggering separation on the  
 260 outer parts of the airfoil model. Both effects are 3D and therefore detected in the form of increased total drag values, see Meyer  
 et al. (2016). For the purpose of this study, a wake rake is designed, constructed and implemented into the test section aiming  
 at 2D drag measurements.

### 3.2.2 Wake rake

The wake rake method is widely recognized for determining 2D drag coefficients at pre-stall conditions, see Fuglsang et al.  
 265 (2004).

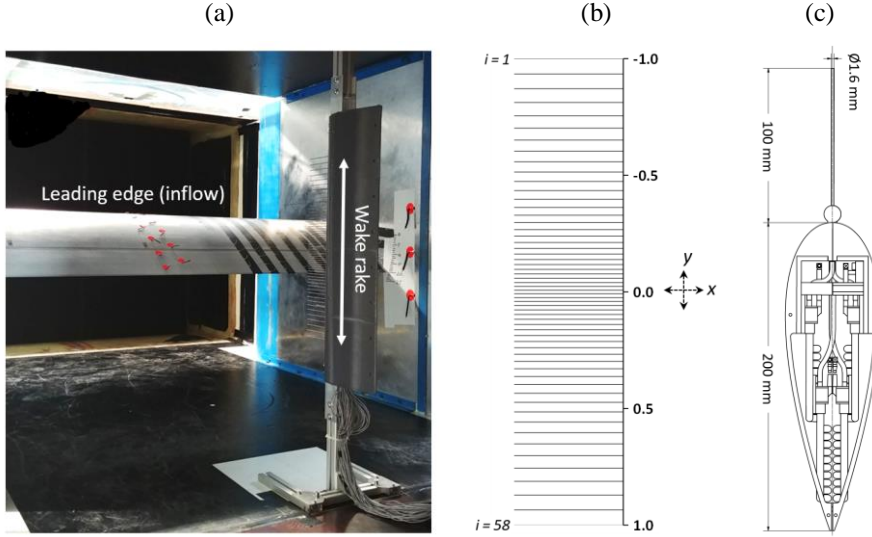


Figure 7. (a) Test section during wind tunnel measurements including airfoil model, one of the end plates and the wake rake. (b) Normalized vertical rake tube positions,  $y$ , and numbering,  $i$ , in side view. (c) Cross section of wake rake casing and pressure tube in top view.

Figure 7a displays the wake rake, which is positioned at a distance of one chord length, i.e. 0.6 m, downstream of the airfoil trailing edge. According to Barlow et al. (1999), a distance of at least 70% $c$  is necessary for the flow to return to the static pressure level inside the wind tunnel. Figure 7b illustrates that the rake consists of a straight vertical line of 58 pitot tubes, each measuring the total pressure,  $p_{\text{total}}$ . The normalized vertical positions are defined as  $y = 0.0$  for the center,  $y_{i=1} = -1.0 = -250$  mm for the uppermost tube and  $y_{i=58} = 1.0 = 250$  mm for the lowest tube. The total rake span is 0.5 m. The spacing between the tubes is smallest towards the center with  $\Delta y_{\text{min}} = 4$  mm, and widest towards the top and the bottom with  $\Delta y_{\text{max}} = 16.5$  mm. The casing consists of a symmetrical NACA0030 profile, see Figure 7c. The distance between the LE and the orifice of each  
 275 pitot tube is 100 mm, where the impact of the casing on the flow is considered negligible. The static pressure,  $p_{\text{static}}$ , is determined by means of the static pressure lines of two Prandtl tubes that are installed inside the downstream plane of the wake rake. The differential pressure at each rake tube,  $\Delta p(y_i)$ , is measured with single pressure sensors that are installed inside the casing. They are connected with flexible silicon tubes, each shorter than 200 mm in order to avoid dynamic feedback effects. The accuracy of each sensor is given with 0.1% of the full scale range of 1000 Pa under nominal conditions. The voltage signal

280 is digitalized by a separate CompactDAQ system at a sampling rate of 10 kHz and recorded by a Labview user interface. After installing each airfoil model, the vertical center of the rake,  $y = 0.0$ , is aligned with the maximum pressure loss at the corresponding design AoA. In this way, the static rake span covers the complete AoA range,  $-5^\circ < \alpha < 17^\circ$ , avoiding the installation of a vertical traversing system.

The uncorrected total drag coefficient is determined at each static AoA over an interval of 5s by determining the momentum  
285 loss in the wake. According to Barlow et al. (1999),

$$c_{d,raw}(\alpha) = 2 \int \left( \sqrt{\frac{\Delta p_i}{\Delta p_{ref}}} - \frac{\Delta p_i}{\Delta p_{ref}} \right) \frac{dy}{c}, \quad (7)$$

where  $\Delta p_i$  is the mean differential pressure value in Pa at each rake tube,

$$\Delta p_i = \Delta p(y_i) = \Delta p_{total}(y_i) - \Delta p_{static}, \quad (8)$$

and  $\Delta p_{ref}$  is the reference pressure in Pa of the free flow, as taken from the two uppermost and the two lowest rake tubes,

$$\Delta p_{ref} = 0.25 \cdot (\Delta p_1 + \Delta p_2 + \Delta p_{57} + \Delta p_{58}). \quad (9)$$

The pressure coefficient,  $c_{pi}$ , is defined as,

$$c_{pi} = \frac{\Delta p_i}{\Delta p_{ref}}, \quad (10)$$

Based on Eq. (7), the uncorrected drag contribution of each rake tube,  $c_{di}$ , becomes,

$$c_{di} = \sqrt{c_{pi}} - c_{pi}. \quad (11)$$

290 The uncorrected total drag coefficient is then numerically integrated over the spacing between the rake tubes using the trapezoid rule,

$$c_{d,raw}(\alpha) = \frac{1}{c} \sum_{i=1}^{58} (c_{di} + c_{di+1}) \cdot (y_{i+1} - y_i), \quad (12)$$

where  $c$  is the airfoil chord length and  $y_i$  the normalized position of each rake tube, as illustrated in Figure 7b.

The measured lift and drag polars,  $c_{l,raw}(\alpha)$  and  $c_{d,raw}(\alpha)$ , are affected by the wind tunnel walls. The reasons are, first of all, that the solid blockage effect leads to the constriction of the curved streamlines around the airfoil model. Secondly, the wake  
295 blockage effect causes the constriction of the curved streamlines in the wake. For the results to be comparable to equivalent open flow conditions, it is necessary to apply wind tunnel corrections, as detailed in Appendix A. In the remainder of this report, the polar data refers to the corrected lift and drag coefficients,  $c_l(\alpha)$  and  $c_d(\alpha)$ .

### 3.3 Test matrix

The inflow velocity,  $u = 40$  m/s, corresponds to a Reynolds number of approximately  $1.5 \cdot 10^6$ . The free stream turbulence  
300 intensity of the empty wind tunnel is estimated by means of a Prandtl tube and is less than 0.3 %. The AoA ranges from  $-5^\circ < \alpha < 17^\circ$  in steps of  $1^\circ$ . At each static AoA, there is a buffer of 4 s for the flow to settle, after which data is recorded for another 5 s. Hence, the total number of samples is  $n = 5 \cdot 10^4$  for each rake sensor and  $n = 2.5 \cdot 10^4$  for the load cells of the force balance. Before each test run, all sensors are subjected to a zero-offset measurement at standstill in order to reduce experimental errors. The sequence of measurements starts with the clean baseline followed by the three GF configurations, GF025, GF05 and GF1,

305 which refer to a GF height of 0.25 %c, 0.5%c and 1%c, respectively. Next, GF1 is removed and the VG array is installed, followed by the combined configurations, VG + GF025, VG + GF05 and VG + GF1. In the next round, ZZ tape is attached and the test matrix is repeated. Each complete cycle, clean and tripped, is measured within less than 24 hours for the environmental conditions to remain as constant as possible.

## 4. Experimental results

310 The presentation of the wind tunnel measurements is focused on the NACA63(3)618 with GFs and the DU97W300 including VGs plus GFs. All results refer to the clean and the tripped cases. They are presented in the form of both the polar curves and the wake pressure fields.

### 4.1 NACA63(3)618: Gurney flaps

#### 4.1.1 Polar curves

315 Figure 8 shows the clean and the tripped polar curves of the NACA63(3)618. For clarity, characteristic lift and  $L/D$  values are summarized in Table 6. In the baseline cases, the drag results are valid until stall at  $\alpha_{cl,max} = 10.5^\circ$  and the lift curves are measured until the post-stall AoA of  $18.5^\circ$ , see Figure 8a and c. As expected, ZZ tape with  $h_{ZZ} = 0.4$  mm manifests itself in a lift decrease, coupled with a significant drag increase. The design point declines from  $\alpha_{opt, clean} = 6.4^\circ$  to  $\alpha_{opt, ZZ} = 5.4^\circ$  and the corresponding aerodynamic efficiency drops from  $L/D_{max, clean} = 109$  to  $L/D_{max, ZZ} = 60$ , see Figure 8b and d. The clean and the  
320 tripped GF configurations are characterized by an increase in both lift and drag throughout the complete pre-stall region. Furthermore, the shape of the polar curves and the stall behaviour is maintained. In the clean cases,  $L/D_{max}$  is only marginally improved by GF025 and GF05. Nonetheless, the significant lift increase is expected to be beneficial in terms of the rotor blade performance, as long as  $L/D(\alpha)$  is maintained. As such, GF025 provides the preferred results, while GF1 leads to an overall  $L/D(\alpha)$  decrease. In the tripped cases, the aerodynamic efficiency is improved independently of the GF height. The reason is  
325 the significant expansion of the BL due to forced LE transition, so that larger GFs appear to be more beneficial.

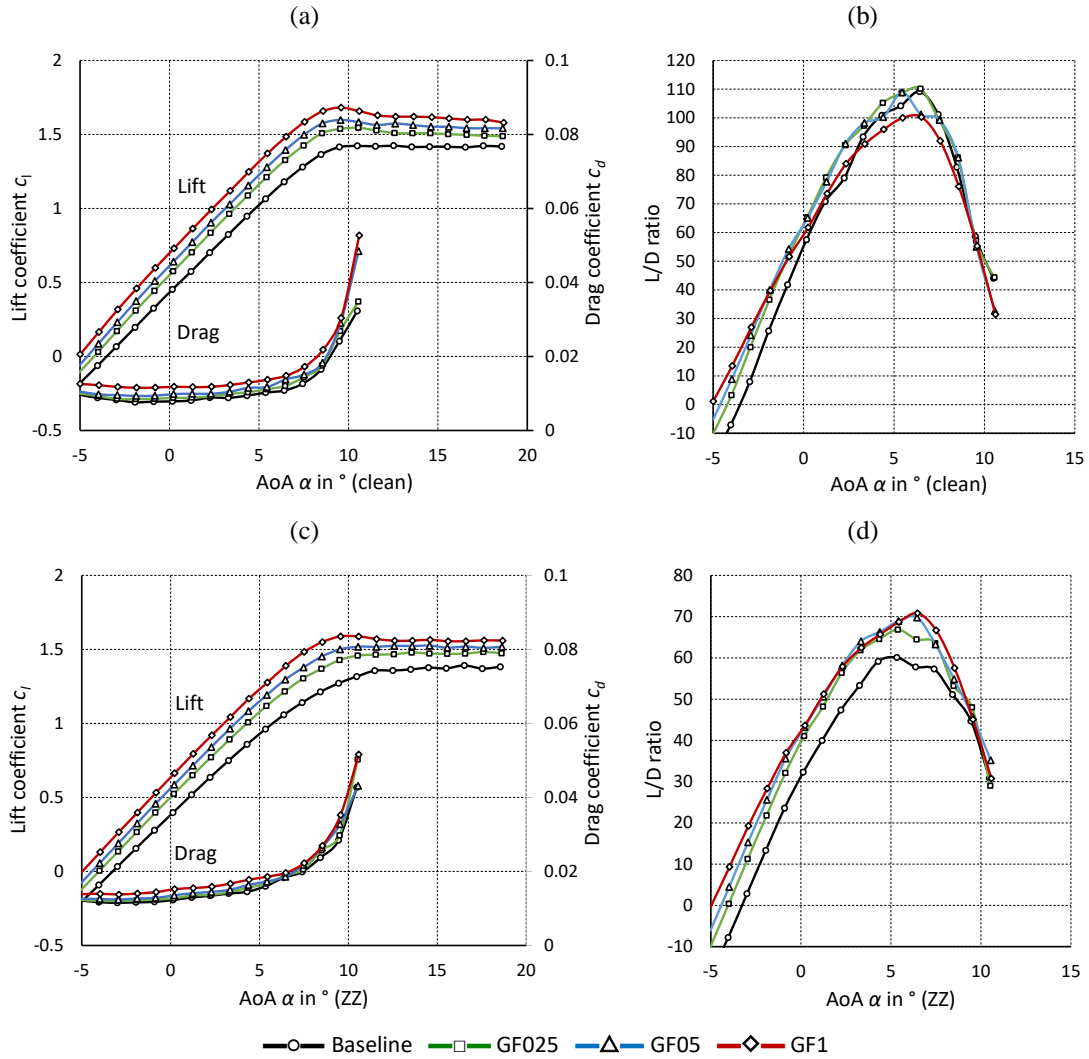


Figure 8. NACA63(3)618. Gurney flaps. (a) Lift and drag coefficients (clean). (b) L/D ratio (clean). (c) Lift and drag coefficients (ZZ). (d) L/D ratio (ZZ).

Table 6. NACA63(3)618. Gurney flaps. Characteristic values.

	Clean		ZZ	
	$c_{l,\max}$ ( $10.5^\circ$ )	$L/D_{\max}$ ( $6.4^\circ$ )	$c_{l,\max}$ ( $10.5^\circ$ )	$L/D_{\max}$ ( $5.4^\circ$ )
<b>Baseline</b>	1.42	109	1.32	60
<b>GF025</b>	1.54	110	1.46	67
<b>GF05</b>	1.58	101	1.52	69
<b>GF1</b>	1.66	100	1.59	69

### 4.1.2 Wake pressure field

In order to deepen the understanding of the aerodynamic mechanisms, the wake rake data is further evaluated. Figure 9 displays the momentum loss in the wake of the clean and the tripped GF configurations. At  $\alpha_{\text{opt}} = 6.4^\circ$ , the pressure coefficients,  $c_{pi}$ , correspond to attached flow. The pressure deficit and thus drag is increased in relation to the GF height. Moreover, the vertical position of the wake dent is characteristic for the downwash angle, which is proportional to lift. Hence, the minima of the  $c_{pi}$  curves descend towards the wind tunnel floor as the GF heights increase. In the tripped case, the pressure deficit and thus drag is more pronounced and the downwash angle is smoother due to lower lift values.

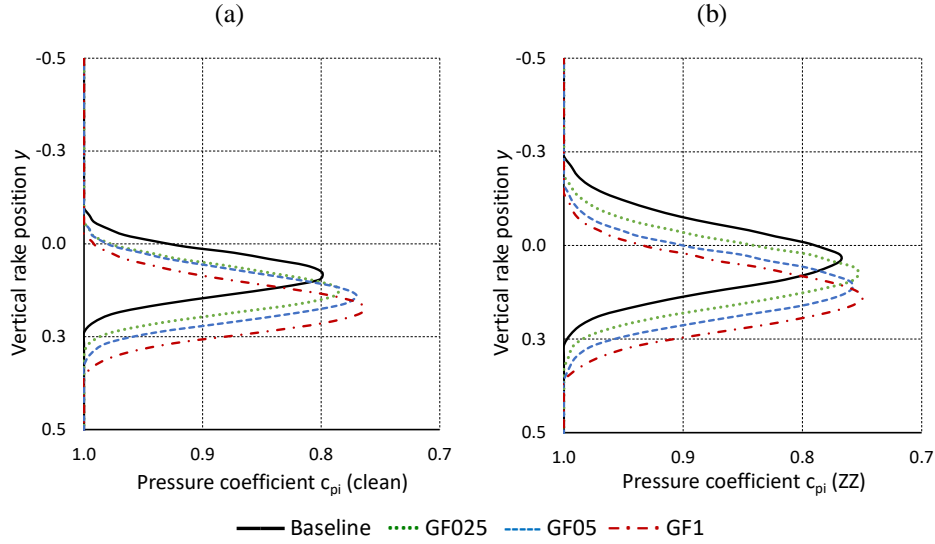


Figure 9. NACA63(3)618 at  $\alpha = 6.4^\circ$ . Gurney flaps. Pressure coefficients over vertical wake rake positions. (a) Clean case. (b) Tripped case.

Next, the fluctuations of the pressure measurements indicate the intensity of the wake unsteadiness, which is an important contributor to the total drag value. These fluctuations are determined via the standard deviation of the differential pressure data in Pa at each rake tube and each AoA,

$$\sigma_{pi}(\alpha) = \sqrt{\frac{1}{n-1} \sum_1^n |\Delta p_i(t) - \Delta p_i|^2}, \quad (13)$$

where  $n = 5 \cdot 10^4$  is the number of samples of each pressure sensor,  $\Delta p_i(t)$  the time resolved differential pressure values in Pa and  $\Delta p_i$  the average differential pressure in Pa at each AoA, see Eq. (8).

Figure 10a shows that, in the clean case, the intensity of the wake unsteadiness is dependent on the GF height. Despite the offset due to the steeper downwash angle, the minima of the  $c_{pi}$  curves are similar between the clean baseline and the MGF configurations, as predicted by Bechert et al. (2000) and Schatz et al. (2004b), see Sect. 1.2. In the tripped cases, Figure 10b illustrates that  $\sigma_{pi}$  is more pronounced due to the thicker and more turbulent BL, whereas the relative  $\sigma_{pi}(\alpha)$  contribution of the GFs appears to be minor.



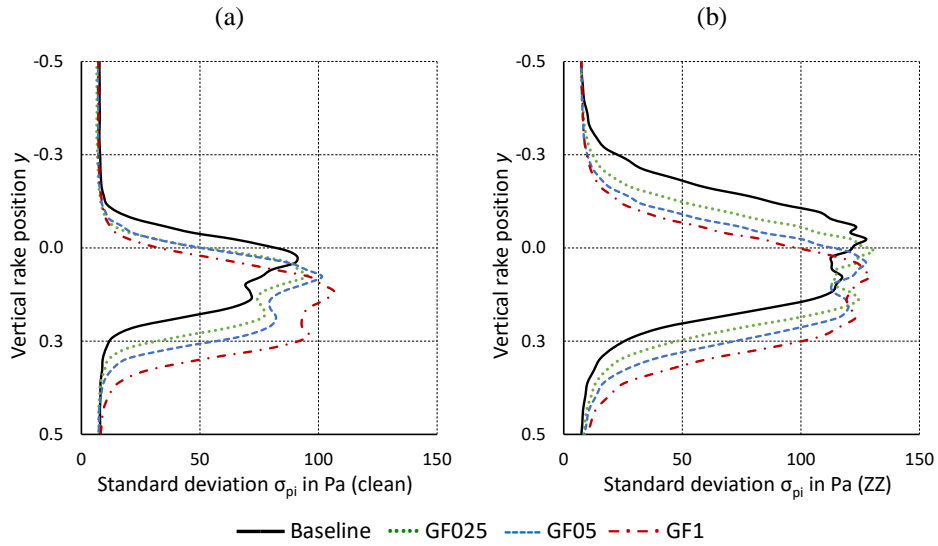


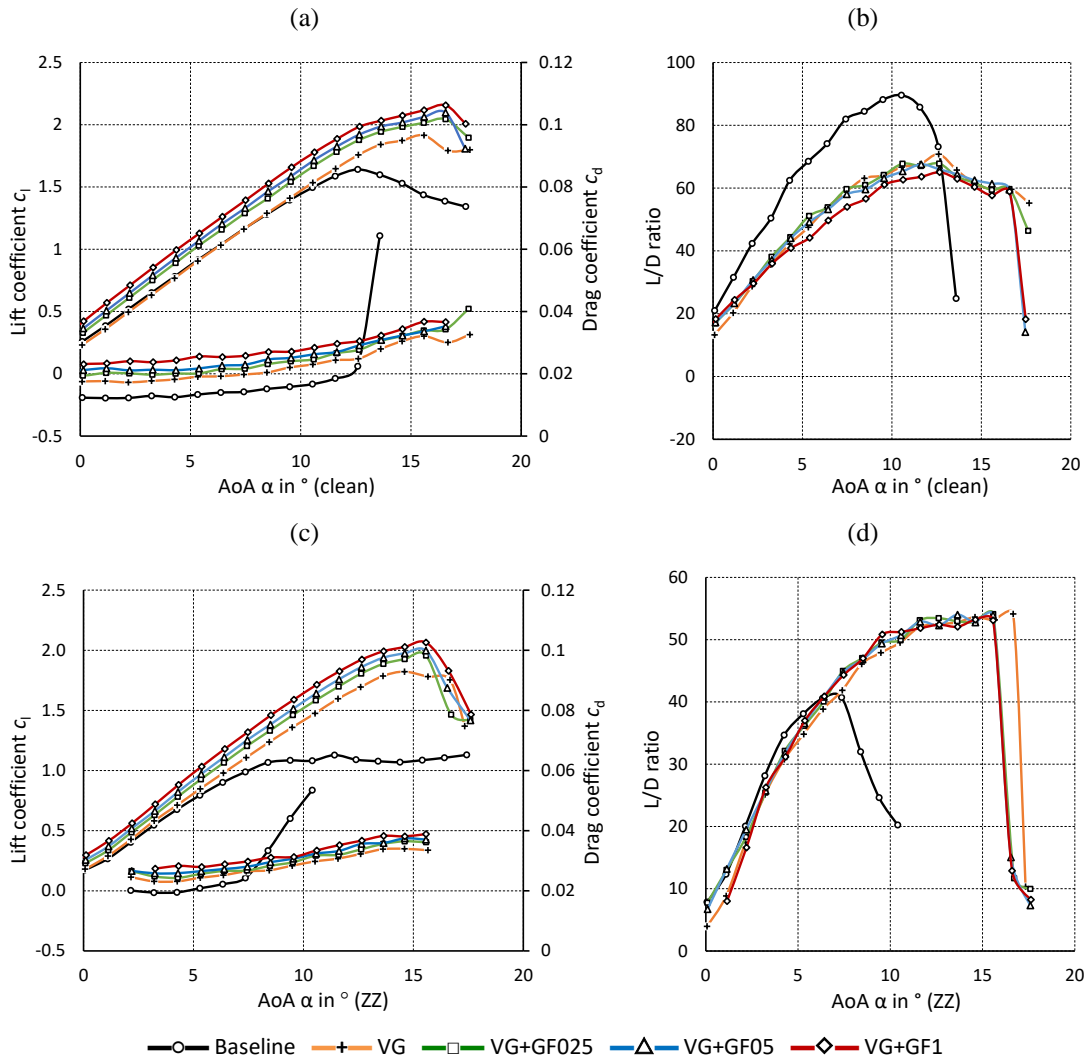
Figure 10. NACA63(3)618 at  $\alpha = 6.4^\circ$ . Gurney flaps. Standard deviation of raw pressure data over vertical wake rake positions. (a) Clean case. (b) Tripped case.

For completeness, additional NACA63(3)618 results are presented in Appendix B. The polar curves that refer to the combination of VGs plus GFs are included in Appendix B1. Appendix B2 presents the investigation of the different GF shapes, i.e. the rectangular versus the rectangular profiles.

## 4.2 DU97W300: Vortex Generators plus Gurney flaps

### 4.2.1 Polar curves

Figure 11 displays the clean and the tripped polar curves of the DU97W300. In the baseline cases, the design AoA is decreased from  $\alpha_{\text{opt, clean}} = 9.5^\circ$  to  $\alpha_{\text{opt, ZZ}} = 7.4^\circ$  and the stall angle is declined from  $\alpha_{\text{cl, max, clean}} = 12.6^\circ$  to  $\alpha_{\text{cl, max, ZZ}} = 10.4^\circ$ , see Figure 11a and c. Hence, using ZZ tape with  $h_{\text{ZZ}} = 0.3$  mm, separation is initiated early, in fact only  $1^\circ$  below  $\alpha_{\text{opt, clean}}$ . As a result, the aerodynamic efficiency drops from  $L/D_{\text{max, clean}} = 88$  to  $L/D_{\text{max, ZZ}} = 41$ , see Figure 11b and d. For clarity, characteristic lift and  $L/D$  values are summarized in Table 7. Looking at the VG (only) cases, stall is delayed by approximately  $3^\circ$  coupled with a substantial increase in maximum lift. However, the VGs lead to a more abrupt stall behaviour and thus adverse load excursions, as reported by Mueller-Vahl et al. (2012). Despite the improved drag behavior at elevated AoA, the drag penalty causes  $L/D_{\text{clean}}$  to decrease at low and moderate AoA. Under tripped conditions, the aerodynamic efficiency is only slightly decreased in the lower AoA range. Furthermore,  $L/D_{\text{max, ZZ}}$  is significantly increased, as it is shifted by almost  $5^\circ$  recovering a large area of otherwise separated flow between  $7.4^\circ < \alpha < 15.6^\circ$ , as illustrated in Figure 11d. In the combined cases, the VG is superposed by the GF effect leading to both stall delay and the pre-stall lift increase. Compared to the VG (only) configurations,  $L/D(a)$  is therefore maintained in the clean, and slightly improved in the tripped cases.



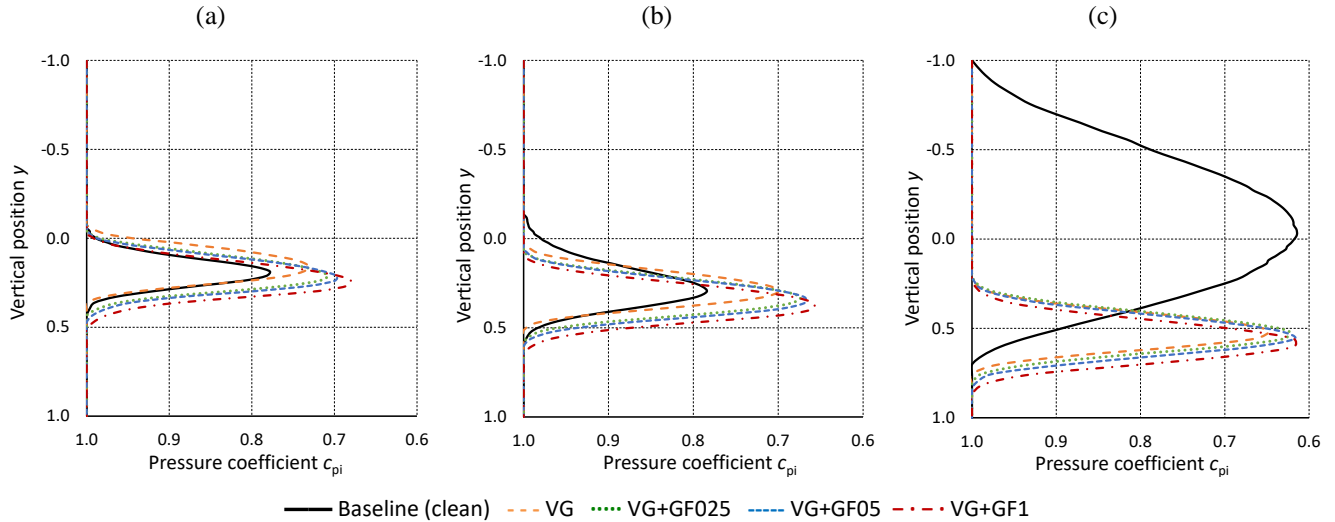
370 Figure 11. DU97W300. Vortex generators and Gurney flaps. (a) Lift and drag coefficients (clean). (b) L/D ratio (clean). (c) Lift and drag coefficients (ZZ). (d) L/D ratio (ZZ).

Table 7. DU97W300. Vortex generators plus Gurney flaps.

	Clean		ZZ	
	$c_{l,max}(\alpha)$	$L/D_{max}(\alpha)$	$c_{l,max}(\alpha)$	$L/D_{max}(\alpha)$
<b>Baseline</b>	1.64 (12.6°)	88 (9.5°)	1.13 (11.4°)	41 (7.4°)
<b>VG</b>	1.91 (15.6°)	71 (12.6°)	1.82 (14.6°)	52 (12.6°)
<b>VG+GF025</b>	2.04 (16.6°)	68 (12.6°)	1.96 (15.6°)	53 (12.6°)
<b>VG+GF05</b>	2.10 (16.6°)	66 (12.6°)	2.00 (15.6°)	52 (12.6°)
<b>VG+GF1</b>	2.16 (16.6°)	65 (12.6°)	2.06 (15.6°)	52 (12.6°)

## 4.2.2 Wake pressure field

375 In order to deepen the understanding of the aerodynamic mechanisms, the wake rake data is further evaluated. Figure 12a displays the pressure loss in the wake of the clean VG + GF configurations. At  $\alpha_{\text{opt, clean}} = 9.5^\circ$ , the pressure coefficients,  $c_{pi}$ , correspond to attached flow. At  $\alpha = 12.6^\circ$ , the wake deficit of the baseline curve extends towards the upper side of the rake indicating the formation of the TE separation bubble on the suction side and thus the initiation of stall, see Figure 12b. The curves of the VG + GF configurations, on the other hand, show that the flow remains attached.



380 Figure 12. DU97W300. Pressure coefficients over vertical wake rake positions in the clean case. Vortex generators and Gurney flaps. (a)  $\alpha_{\text{opt}} = 9.5^\circ$ . (b)  $\alpha_{\text{cl,max}} = 12.6^\circ$ . (c)  $\alpha = 16.5^\circ$ .

At  $\alpha = 16.5^\circ$ , the baseline airfoil is clearly stalling, see Figure 12c. At this point, the wake consists of separated flow, i.e. 3D structures that cannot be determined by means of the wake rake. As opposed to that, the VG configurations delay the formation of stall cells, so that the flow remains attached, as described by Manolesos and Voutsinas (2015). Finally, at  $\alpha = 17.5^\circ$ , which is not displayed here, the flow separates abruptly leading to a steep decline of the lift curves. These load excursions are perceptible in the form of strong mechanical vibrations of the setup as well as a deep roaring sound inside the wind tunnel. The wake deficit remains similar in shape and amount comparing the VG (only) to the combined configurations, again pointing towards a favorable wake interaction between VGs and MGFs.

390 For completeness, additional DU97W300 results are presented in Appendix C. The validation of the experimental setup is compared to wind tunnel measurements of the DU in Appendix C1. The polar curves that refer to the GF (only) configurations are included as Appendix C2.

## 5. Rotor blade performance

The preferred configurations of VGs and GFs are selected on the basis of the wind tunnel measurements. The experimental polar data is imported into the software QBlade (Marten, 2020) in order to create a generic rotor blade. The blade performance is simulated by means of two case studies, the retrofit application on an existing, and the new design application on an alternative rotor blade design.

### 5.1 Blade configurations

Table 8 summarizes the qualitative effect of the preferred MGF (only) and MGF + VG configurations including  $h_{MGF} = 0.25$  %c and  $h_{MGF} = 0.5$  %c. It is noted that  $h_{GF} = 1.0$  %c is not considered relevant for this section due to the elevated drag penalty and thus  $L/D$  decrease.

Table 8. Performance evaluation of MGFs and VGs based on the wind tunnel tests of the NACA63(3)618 and the DU97W300. ↑ for increase, ≈ for similar and ↓ for decrease.

	Clean			ZZ		
MGFs (only)	$c_l(\alpha) \uparrow$	$\alpha_{cl,max} \approx$	$L/D_{max} \approx$	$c_l(\alpha) \uparrow$	$\alpha_{cl,max} \approx$	$L/D_{max} \uparrow$
VG + MGF	$c_l(\alpha) \uparrow$	$\alpha_{cl,max} \uparrow$	$L/D_{max} \downarrow$	$c_l(\alpha) \uparrow$	$\alpha_{cl,max} \uparrow$	$L/D_{max} \uparrow$

First of all, the effect of both PFC devices is case dependent, as illustrated in Table 8. Apart from that, it is difficult to measure and to foresee the degree of LER, as described by Papi et al. (2021). Hence, the principal objective of this study is to improve the airfoil performance based on forced LE transition without jeopardizing the aerodynamic efficiency of the clean airfoil. Looking at the MGF (only) configurations, lift is increased at the design point and the stall behavior is consistent. The decambering effect of the ZZ tape is partly recovered, as such improving the aerodynamic efficiency, while  $L/D_{max, clean}$  is maintained. Next, VG + MGF lead to significant improvements regarding both the lift increase and the stall delay. In the clean case,  $L/D_{max, clean}$  is decreased due to the combined drag penalty. In the tripped case, however, the combined configurations achieve a triple improvement in terms of lift increase, stall delay and aerodynamic efficiency.

In summary, GF025 is selected over GF05 because it is the more conservative option, especially in the clean case of the NACA63(3)618. Regarding the DU97W300, VG + GF05 is the preferred option due to both the significant stall delay and the pre-stall lift increase. It is noted that either of the MGF configurations, GF025 and GF05, lead to similar results indicating a certain tolerance in choosing the optimum MGF height in accordance with Eq. ( 4 ).

## 5.2 Blade design

The experimental lift and drag polars are imported into the open source software QBlade. Figure 13 illustrates the rotor blade of the NREL 5 MW reference wind turbine, as specified by Jonkman et al. (2009). The total rotor radius is  $R = 63$  m, the average wind speed at hub height is  $u = 8$  m/s. The design tip speed ratio (TSR),  $\lambda(R) = \lambda_{opt} = 8$  is defined as

$$\lambda(r) = \frac{2\pi f r}{u}, \quad (14)$$

where  $f$  is the rotational frequency in Hz.

The NREL blade is used as a template for the so-called generic rotor blade design, which is scaled down to  $R = 20$  m,  $u = 7$  m/s and  $\lambda_{opt} = 7$ . The resulting Reynolds numbers are closer to those of the wind tunnel tests, i.e. in the range of  $1.5 \cdot 10^6$  to  $2 \cdot 10^6$  rather than  $3 \cdot 10^6$  to  $9 \cdot 10^6$ .

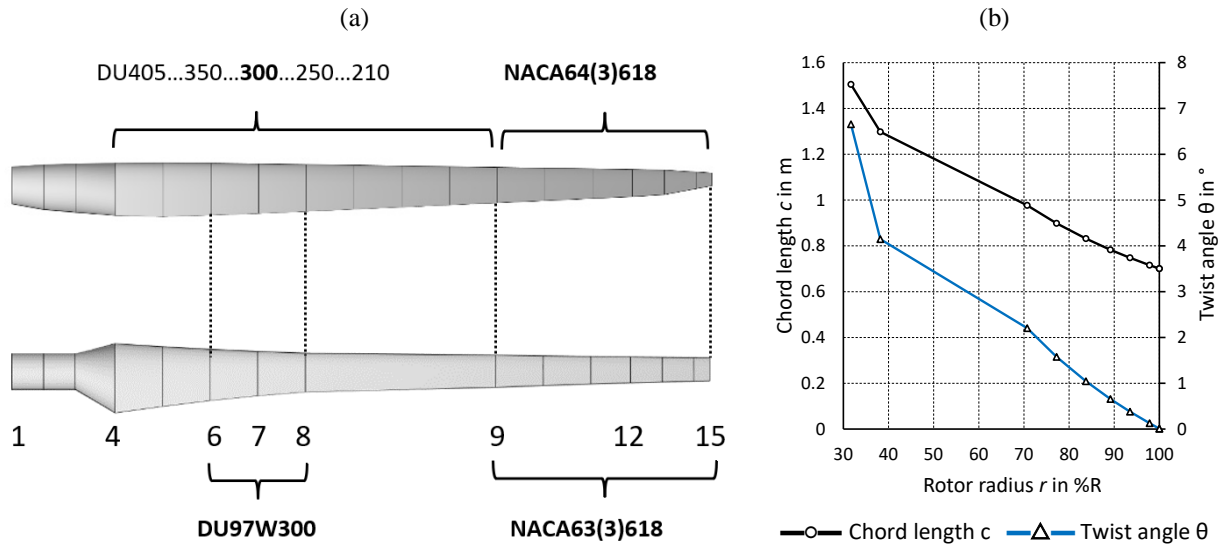


Figure 13. (a) Design of the generic rotor blade based on the NREL 5 MW reference wind turbine. (b) Geometry of the generic blade over the rotor radius.

Figure 13a shows that the DU97W300 is placed from blade position 6 to 8 and the NACA63(3)618 between position 9 and 15. The mid span region, see position 8 to 9, is simply interpolated for the purpose of this numerical part. Figure 13b shows the chord length  $c(r)$  and the twist angle  $\theta(r)$  at each blade position, which are determined by means of the blade optimization procedure of Schmitz (1956), as described by Gasch and Tvele (2012),

$$c(r) = \frac{16\pi r}{B \cdot c_l(\alpha_{opt})} \sin^2 \left[ \frac{1}{3} \tan^{-1} \left( \frac{R}{\lambda_{opt} \cdot r} \right) \right], \quad (15)$$

$$\theta(r) = \varphi - \alpha_{opt} = \frac{2}{3} \tan^{-1} \left( \frac{R}{\lambda_{opt} \cdot r} \right) - \alpha_{opt}, \quad (16)$$

where  $B$  is the number of blades and  $\varphi$  the inflow angle in °.

The Schmitz design leads to elevated chord lengths and twist angles in the root region due to the decreasing rotational speed and thus  $\lambda(r)$  towards the blade root. For practical and logistical reasons,  $c(r < 30\%R)$  is usually designed separately in order to limit the volume and the weight of large rotor blades. Hence, the numerical results of the generic blade are only feasible between position 6 at  $r = 31.7\%R$  and the tip. Besides, no specific tip design is implemented. Next, two case studies are defined and presented based on Eq. (15) and (16). The first one is the retrofit application, i.e. the PFC devices are installed on an existing rotor blade, for instance during regular maintenance activities. The original blade design is based on the smooth surface, i.e. the clean airfoil polars. Over time, LER occurs and the data files of the clean are replaced by the tripped baseline. As a consequence, the aerodynamic efficiency and therefore the AEP is decreased. During the third step, the polar data of both VGs and MGFs is imported in order to recover some of the power output, as illustrated in Figure 14.

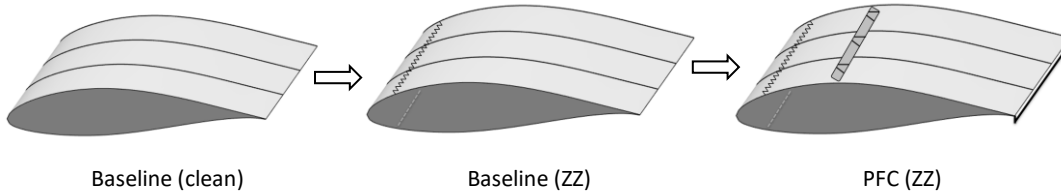


Figure 14. Retrofit application of passive flow control devices on a generic rotor blade section.

The second case study is the new design application. The PFC devices are installed as part of the blade manufacturing process on the ground. The performance of the clean and the tripped baseline blade, as previously depicted in Figure 14, is compared to an alternative configuration that includes the PFC devices as part of the design process itself. Hence, the blade geometry,  $c(r)$  and  $\theta(r)$ , is calculated separately for the alternative blade,  $PFC^*$  (clean) and  $PFC^*$  (ZZ), see Figure 15.

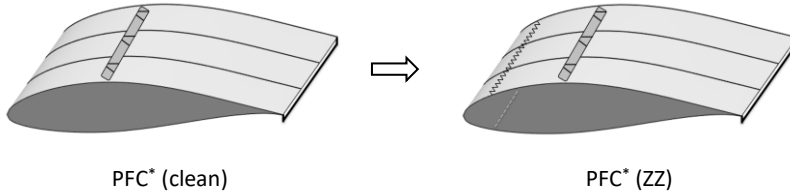


Figure 15. New design application of passive flow control devices on a generic rotor blade section.

### 5.3 Blade simulations

The rotor blade simulations are performed using the steady Blade Element Momentum (BEM) method based on Hansen (2015), which is embedded into QBlade, v99. The BEM simulations entirely depend on the quality of the imported polar data at each blade section. Furthermore, empirical correction algorithms are activated, including root and tip loss calculations, thrust forces of heavily loaded rotors (Glauert correction) and spanwise crossflow effects, as described by Marten et al. (2013). The power curves are determined with respect to the rated power output of  $P_{\max} = 600$  kW at  $u = 12$  m/s. The basic pitch and rpm controller settings are optimized for reaching maximum power output.

### 5.3.1 Retrofit application

The BEM simulations of the retrofit application are presented. Figure 16 shows the AoA along the local rotor radius,  $r$ . The clean baseline coincides with  $\alpha_{\text{opt, clean}} = 9.5^\circ$  of the DU97W300 in the root, and  $\alpha_{\text{opt, clean}} = 6.4^\circ$  of the NACA63(3)618 in the tip region. Replacing the clean by the tripped polar data, the AoA are significantly increased, see Figure 16a. In fact, the root region is already stalling for  $\alpha > 10.5^\circ$ , so that the local  $L/D$  drops dramatically, see Figure 16b. This adverse effect of forced LE transition is partly compensated for by the PFC devices. Hence, the AoA are closer to  $\alpha_{\text{opt, clean}}$  and the  $L/D(r)$  is partly recovered. Figure 16c shows the power coefficients over the complete operational range of the rotor. In the tripped case, the power curve is shifted towards higher TSR leading to  $\lambda(c_{p, \text{max, ZZ}}) = 8$  rather than  $\lambda_{\text{opt}} = 7$ . As a result,  $c_{p, \text{max, clean}}(\lambda_{\text{opt}}) = 0.48$  is decreased by 13 % to  $c_{p, \text{max, ZZ}}(\lambda_{\text{opt}}) = 0.42$ . After the retrofit application, the  $c_p$  curve is closer to the design point with  $c_{p, \text{max, PFC}}(\lambda_{\text{opt}}) = 0.45$ , reducing the relative power loss to 5.7 %. In this generic case study, the power loss due to forced LE transition is approximately halved by the retrofit application of MGFs and VGs.

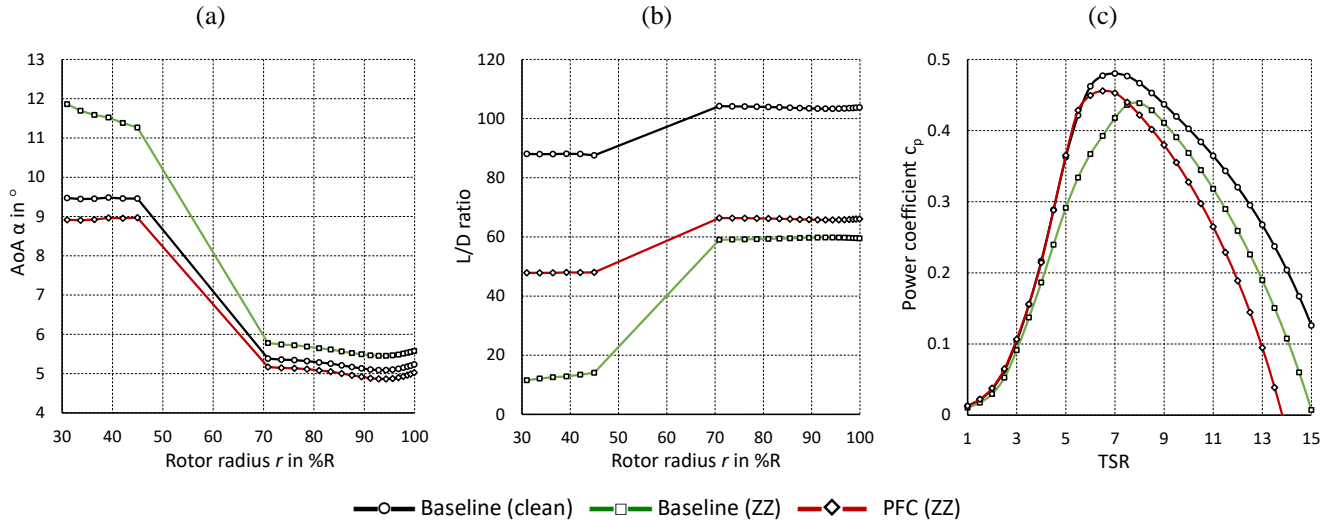


Figure 16. Rotor blade performance simulation of baseline and retrofit application. (a) AoA over rotor radius at  $\lambda_{\text{opt}} = 7$ . (b)  $L/D$  over rotor radius at  $\lambda_{\text{opt}} = 7$ . (c) Power coefficients over TSR.

### 5.3.2 New design application

The BEM results of the new design application are presented. According to Eq. ( 15 ), the lift increase caused by the MGFs, see Figure 17a, leads to a significant chord length reduction. Comparing the baseline cases, the optimum chord length is reduced by 23.4 % in the root and by 12 % in the tip region, as illustrated in Figure 17b. Regardless of the structural-dynamic considerations, this approach might contribute to the development of more slender blades and thus saving material costs, as previously suggested by Fuglsang et al. (2004), see Sect. 1.5. Moreover, periodic gravitational load alternations as well as fatigue loads are potentially mitigated by reducing the blade weight.

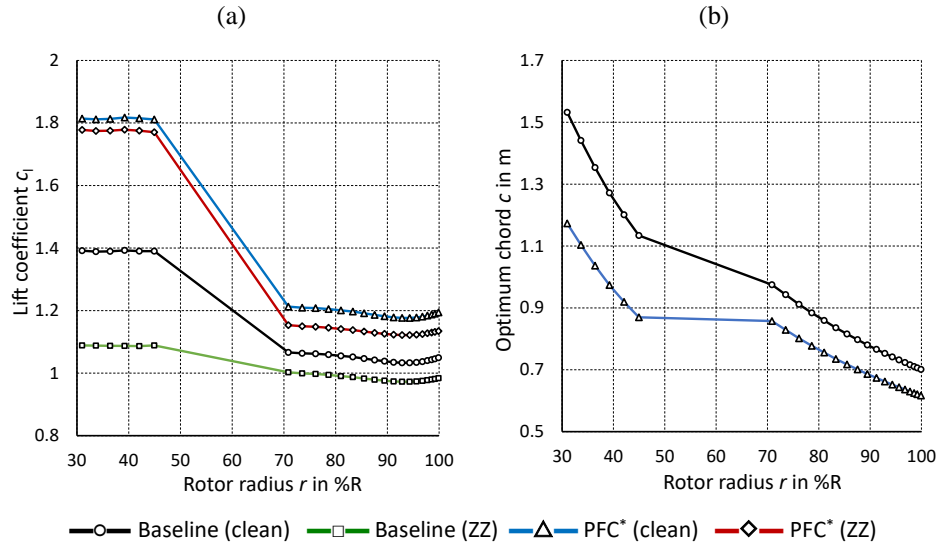


Figure 17. Blade geometry of baseline and new design application at  $\lambda_{\text{opt}} = 7$ . (a) Lift coefficients over rotor radius (b) Optimum chord length over rotor radius.

Next, Figure 18 shows the BEM simulation of the new design application. It is noted that the clean and tripped baseline curves, Baseline (clean) and Baseline (ZZ), are identical to previous Figure 16. The alternative blade design, PFC\* (clean) and PFC\* (ZZ), leads to similarly high design AoA,  $\alpha_{\text{opt,PFC}} \approx 12^\circ$  towards the root, see Figure 18a. Apart from that, stall is delayed by the VGs until approximately  $16^\circ$ , which is not shown here. In the tip region, the MGF only leads to a marginal increase regarding  $\alpha$  ( $\lambda_{\text{opt}}$ ). Figure 18b illustrates that, in the clean case, the aerodynamic efficiency is decreased towards the root region due to the drag penalty of the PFC devices, whereas the MGF achieves a slight  $L/D$  improvement towards the tip. In contrast to that, the PFC\* (ZZ) improves the aerodynamic efficiency significantly throughout the complete blade length, as compared to Baseline (ZZ). Hence, the PFC\* configuration appears to be less sensitive to forced LE transition. Next, Figure 18c shows the corresponding power curves. In both PFC\* (clean) and PFC\* (ZZ),  $c_{p,\text{max}}$  remains at  $\lambda_{\text{opt}} = 7$ . As a consequence,  $c_{p,\text{max}} = 0.48$  is almost identical compared to Baseline (clean), despite moderate differences at elevated TSR for  $\lambda > \lambda_{\text{opt}}$ . In the tripped cases,  $c_{p,\text{max}}(\lambda_{\text{opt}}) = 0.45$  is reduced by only 4.6 % relating PFC (ZZ) to PFC (clean) rather than by 13 % with regards to the baseline cases. Again, the power loss due to forced LE transition is at least halved and the rotor blades are significantly more slender due to the new design application of MGFs and VGs.



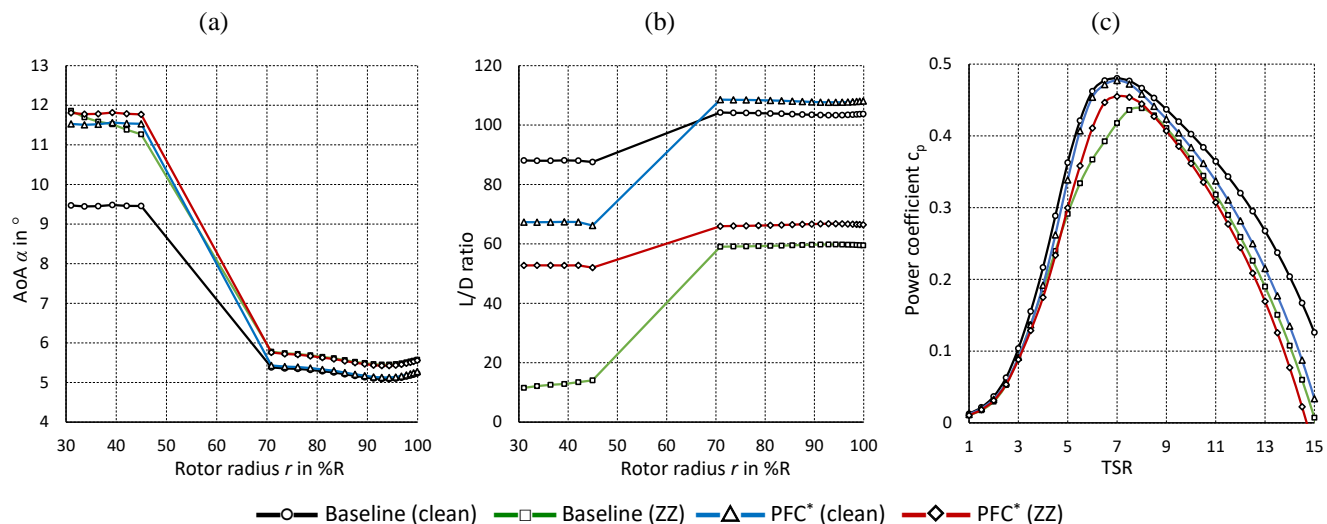


Figure 18. Rotor blade performance simulation of baseline and new design application. (a) AoA over rotor radius at  $\lambda_{\text{opt}} = 7$ . (b) L/D over rotor radius at  $\lambda_{\text{opt}} = 7$ . (c) Power coefficients over TSR.

## 6 Conclusions

This study investigates the use of mini Gurney flaps and their combination with vortex generators for improved rotor blade performance of wind turbines. GFs and VGs are well-studied PFC devices. However, to the authors' knowledge, there is no research available on MGF heights smaller than  $0.5\%c$  and their combination with VGs on dedicated wind turbine airfoils. This report contributes in closing these research gaps.

For that, wind tunnel tests are conducted using the NACA63(3)618 and the DU97W300. Lift and drag are measured by means of a force balance and a wake rake, respectively. The baseline results are successfully validated against literature data. The impact of MGFs and VGs on the polar curves depends on whether transition is free or fixed on the airfoils. The configurations with just the MGFs increase the lift performance under pre-stall conditions. Furthermore, the aerodynamic efficiency is maintained in the clean, and improved in the tripped case. Looking at the combined configurations, the VG effect is superposed by the MGF effect, leading to both stall delay and the pre-stall lift increase. In the clean case, the aerodynamic efficiency is decreased due to the combined drag penalty, whereas in the tripped case, it is significantly improved. Furthermore, VGs coupled with MGFs are preferred over the VG (only) configuration due to the additional pre-stall lift increase.

The experimental polar data is imported into the software QBlade in order to design and to simulate a generic rotor blade. The NACA63(3)618 is equipped with the smallest MGF height of  $0.25\%c$  in the tip region. The medium size MGF of  $0.5\%c$  and the VG height of  $1.1\%c$  are both attached to the DU97W300 in the root region. The BEM simulations are based on two case studies, the retrofit application on an existing, and the new design application on an alternative blade configuration. The retrofit

application alleviates the adverse effects of forced leading edge transition. Separation is delayed in the root region and the  
515 aerodynamic efficiency and thus power output is recovered towards the tip region. The new design application leads to a more  
slender blade while maintaining the rotor power. Again, the alternative blade appears to be more resistant against leading edge  
roughness effects.

Further research on MGFs and their interaction with VGs is recommended, especially considering leading edge roughness  
520 effects and erosion. Next steps involve the design of sub boundary layer VGs in conjunction with MGFs to further reduce the  
drag penalty. Moreover, a complete aeroelastic simulation is required, especially regarding open field tests of MGFs in  
combination with VGs on large wind turbine rotor blades.

## Appendix A: Wind tunnel corrections

Following from the experimental setup, see Sect. 3.2, the calculation of the wind tunnel wall effects on the uncorrected lift and  
525 drag polars,  $c_{l,raw}(\alpha)$  and  $c_{d,raw}(\alpha)$ , is summarized. According to Barlow et al. (1999), the wind tunnel blockage,  $\varepsilon$ , is the sum  
of the solid and the wake blockage factors,

$$\varepsilon = \varepsilon_{solid} + \varepsilon_{wake} = \Lambda\mu + \frac{c}{4h_{wt}}c_{d,raw}, \quad (17)$$

where  $\Lambda$  refers to the so-called body shape factor, which is a function of the maximum airfoil thickness and  $h_{wt}$  is the height  
of the wind tunnel. For clarity,  $\mu = \frac{\pi^2}{48} \left( \frac{c}{h_{wt}} \right)^2$  is introduced as an auxiliary constant.

Based on Eq. ( 17 ), the solid and the wake blockage correction is applied on the following parameters at each static AoA,

$$c_d = c_{d,raw}(1 - 3\varepsilon_{solid} - 2\varepsilon_{wake}), \quad (18)$$

$$c_l = c_{l,raw}(1 - \mu - 2\varepsilon), \quad (19)$$

$$Re = Re_{raw}(1 + \varepsilon), \quad (20)$$

$$\alpha = \alpha_{raw} + \frac{57.3\mu}{2\pi}(c_{l,raw} + 4c_{m,raw}), \quad (21)$$

$$c_m = c_{m,raw}(1 - 2\varepsilon) + 0.25\mu c_l, \quad (22)$$

530 where  $c_m$  refers to the moment coefficient at  $0.25c$ .

Eq. ( 18 ) to ( 22 ) are embedded into the data post-processing script.

## Appendix B: NACA63(3)618

### B1. Vortex generators plus Gurney flaps

Figure 19 shows the polar curves of the VG + GF configurations based on the NACA63(3)618. As presented in Sect. 4.2.1,  
535 the VG is superposed by the GF effect, leading to both stall delay and pre-stall lift increase. Compared to the corresponding

VG (only) configurations,  $L/D$  (a) is maintained in the clean, and slightly improved in the tripped cases. For clarity, characteristic lift and  $L/D$  values are summarized in Table 9.

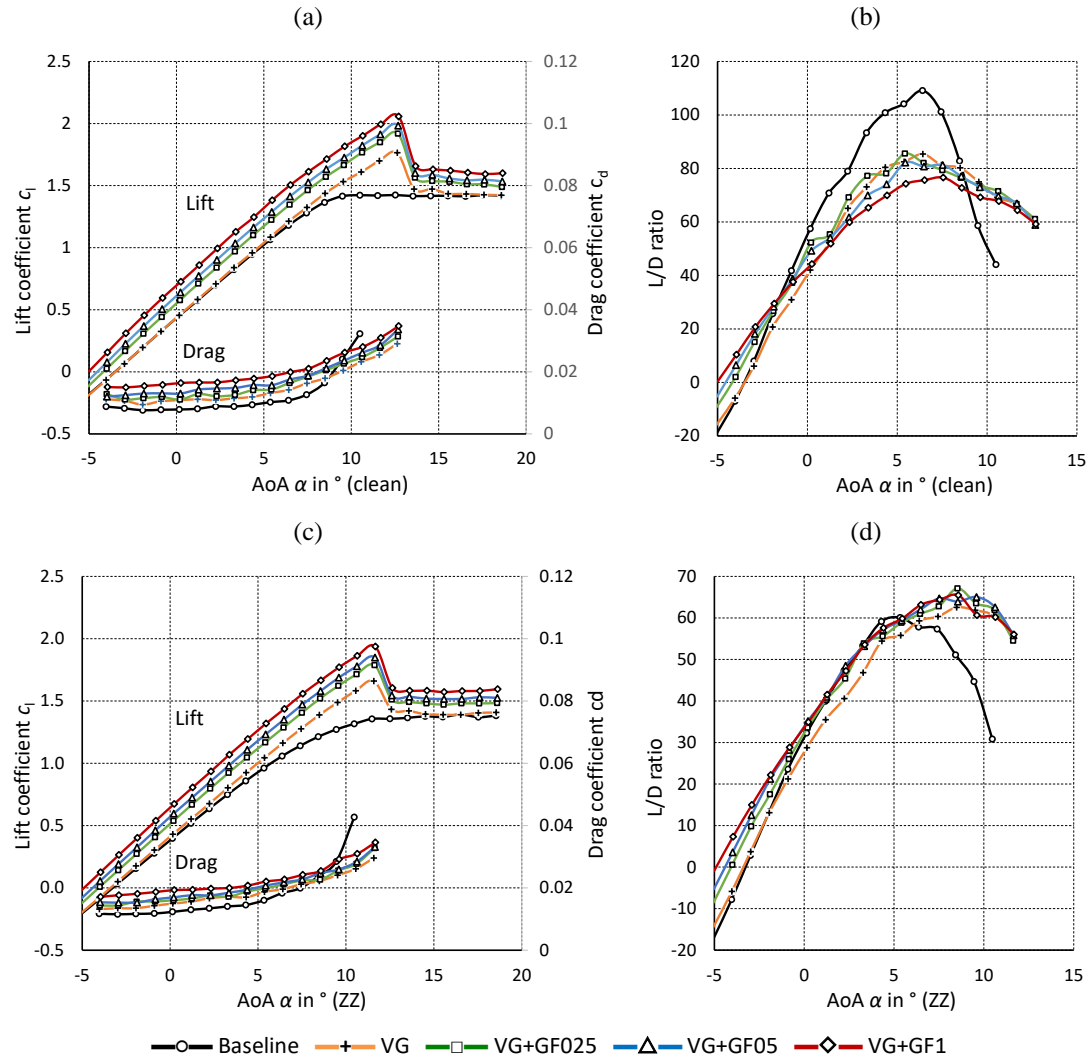


Figure 19. NACA63(3)618. Vortex generators and Gurney flaps. (a) Lift and drag coefficients (clean). (b) L/D ratio (clean). (c) Lift and drag coefficients (ZZ). (d) L/D ratio (ZZ).

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Table 9. NACA63(3)618. Vortex generators plus Gurney flaps. Characteristic values.

	Clean		ZZ	
	$c_{l,max}(\alpha)$	$L/D_{max}(\alpha)$	$c_{l,max}(\alpha)$	$L/D_{max}(\alpha)$
Baseline	1.42 (10.5°)	109 (6.4°)	1.32 (10.5°)	60 (5.4°)
VG	1.76 (12.7°)	85 (6.4°)	1.66 (11.6°)	63 (8.5°)
VG+GF025	1.92 (12.7°)	82 (6.4°)	1.79 (11.6°)	67 (8.5°)

<b>VG+GF05</b>	1.98 (12.7°)	81 (6.4°)	1.85 (11.6°)	64 (8.5°)
<b>VG+GF1</b>	2.06 (12.7°)	76 (6.4°)	1.94 (11.6°)	65 (8.5°)

## B2. Rectangular versus triangular Gurney flaps

Timmer and van Rooij (2003) as well as Fuglsang et al. (2003) reported that rectangular and triangular GFs of identical height generate very similar aerodynamic effects, apart from minor differences in drag. In order to verify this observation, the NACA63(3)618 is equipped with angle sections made of brass versus isosceles triangles made of thermoplastic material, see Figure 1a. In Figure 20, the lift over the drag coefficients are compared in both the clean and the tripped cases looking at each GF configuration separately. It is noted that the smallest triangular size,  $h_{MGF,\Delta} = 0.33 \%c$  is larger than the corresponding rectangular profile,  $h_{MGF,L} = 0.25 \%c$ . In all cases, the triangular or wedge shaped profiles show a slight decrease in both lift and drag, see Figure 20b and c. Apart from that, the effect on the airfoil polars is very similar between both GF profiles.

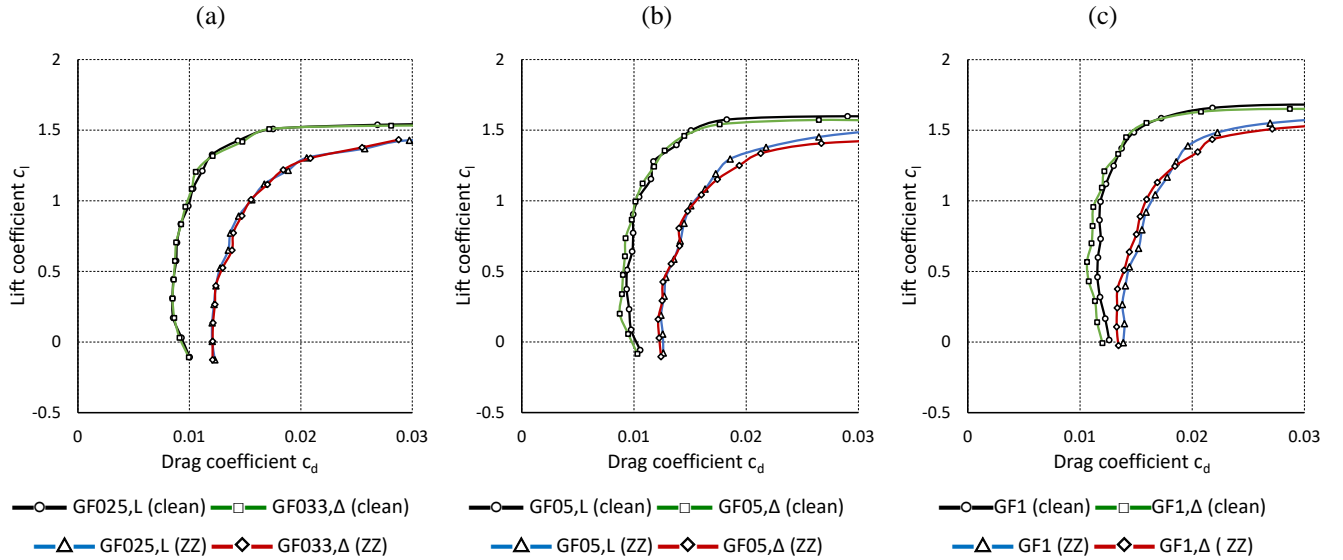


Figure 20. NACA63(3)618. Rectangular (L) versus triangular ( $\Delta$ ) Gurney flap profiles. Lift over drag curves in clean and tripped cases (a)  $h_{MGF,L} = 0.25 \%c$  and  $h_{MGF,\Delta} = 0.33 \%c$ . (b)  $h_{MGF} = 0.5 \%c$ . (c)  $h_{GF} = 1 \%c$ .

## Appendix C: DU97W300

### C1. Data validation

The baseline and the VG measurements of the DU97W300 are compared to reference data in order to validate the experimental setup. Baldacchino et al. (2018) performed similar experiments in the low turbulence wind tunnel of the DU. The airfoil chord length was  $c = 0.65$  m (here 0.6 m) and  $Re = 2 \cdot 10^6$  (here:  $Re = 1.5 \cdot 10^6$ ) with a free stream turbulence intensity of below 0.1% (here: 0.3 %). Lift was determined from surface pressure measurements and drag by means of a wake rake, which was positioned at a distance of 60 %c (here 100%c) away from the airfoil TE. Forced LE transition was triggered by means of ZZ

tape with  $h_{ZZ} = 0.17$  mm at  $x_{ZZ} = 5.0$  %c on the suction side, as opposed to the more aggressive tripping of the current setup  
 560 with  $h_{ZZ} = 0.3$  mm on both the suction and the pressure side. The VG configurations included  $h_{VG} = 0.77$  %c and  $D = 7h_{VG}$  at  
 $x_{VG} = 30$ %c, as compared to the current setup with  $h_{VG} = 1.1$  %c. Figure 21 shows the direct comparison between the polar  
 data. For clarity, characteristic lift and  $L/D$  values are summarized in Table 10.

The lift curves of both the baseline and the VG configurations are in good agreement. However, the stall behaviour is smoother  
 565 looking at the current measurements. In the clean case, drag is elevated compared to the reference data leading to slightly  
 decreased  $L/D$  ( $\alpha$ ) curves. The main reasons are the differences in the Reynolds number and the inflow turbulence intensity.  
 Furthermore, due to the larger VG height, drag is slightly higher in the pre-stall region, as compared to the DU measurements.  
 In the tripped case, the more pronounced differences in both  $c_d$  ( $\alpha$ ) and thus  $L/D$  ( $\alpha$ ) are due to the more aggressive tripping of  
 the current setup. Overall, the results are in very good agreement with the reference data, as highlighted in Table 10.

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Table 10. DU97W300. Characteristic values. Reference data is adopted from Baldacchino et. al (2018)

	Clean		ZZ	
	$c_{l,max}(\alpha)$	$L/D_{max}(\alpha)$	$c_{l,max}(\alpha)$	$L/D_{max}(\alpha)$
<b>Baseline</b>	1.64 (12.6°)	88 (9.5°)	1.13 (11.4°)	41 (7.4°)
<b>Baseline (reference)</b>	1.53 (12.4°)	90 (9.3°)	1.11 (9.2°)	50 (6.2°)
<b>VG</b>	1.91 (15.6°)	71 (12.6°)	1.82 (14.6°)	52 (12.6°)
<b>VG (reference)</b>	1.94 (15.5°)	73 (11.3°)	1.86 (15.4°)	59 (13.4°)

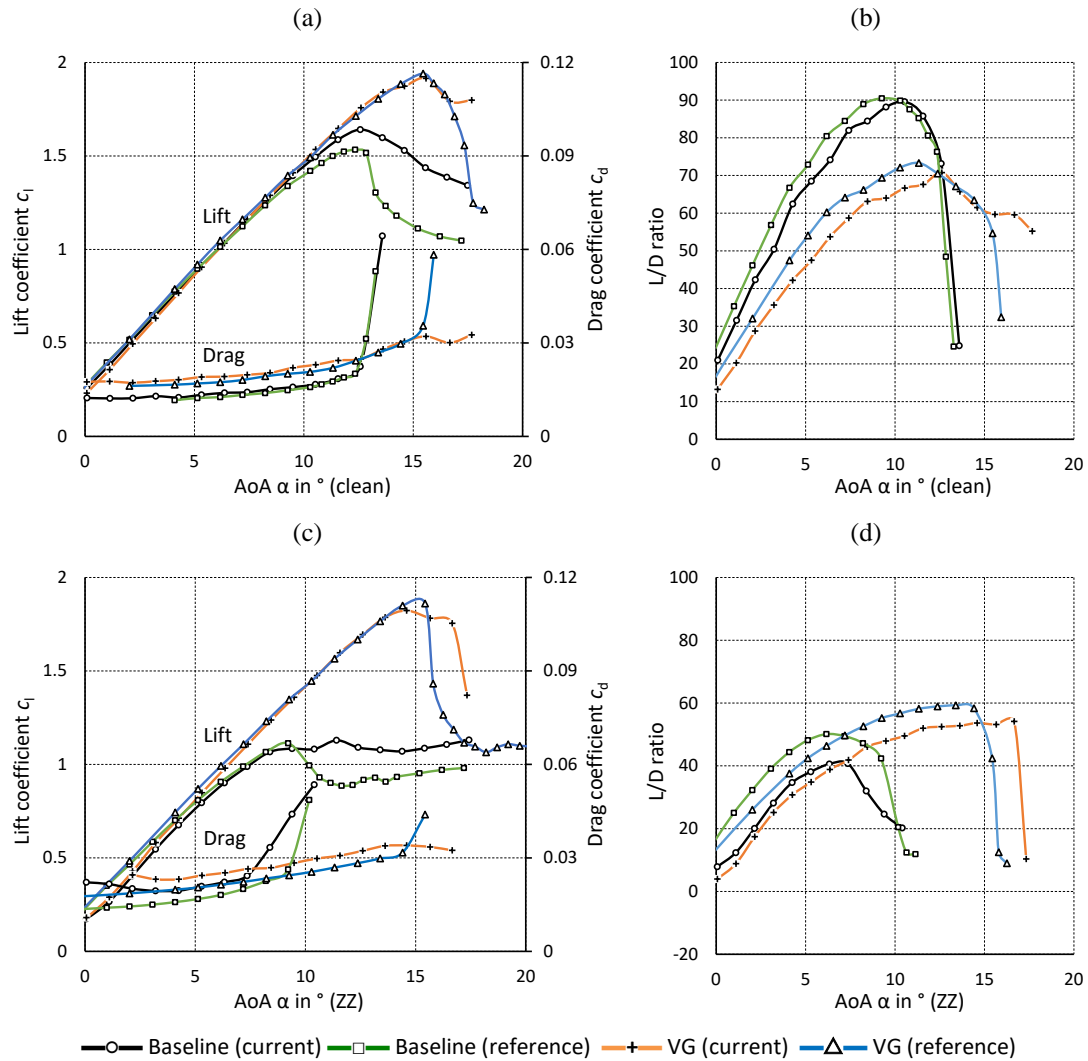


Figure 21. DU97W300. Clean and tripped cases. Baseline and VG configurations at  $Re = 1.5 \cdot 10^6$  compared to reference data from Baldacchino et al. (2018) at  $Re = 2 \cdot 10^6$ . (a) Lift and drag coefficients. (b) Lift to drag ratio.

## C2. Gurney flaps

Figure 22 shows the polar curves of the GF configurations based on the DU97W300. As presented in Sect. 4.1.1, the increase in both lift and drag depends on the GF height and the shape of the polar curves is basically maintained. Furthermore, the beneficial GF effect on the aerodynamic efficiency is more pronounced in the tripped case. Figure 22b shows that, in the clean case,  $L/D(\alpha)$  is maintained applying either of the MGFs, whereas it is decreased using the GF1. According to Figure 22d, the performance deterioration due to forced LE transition is alleviated by all GFs, with GF05 achieving the preferred results in terms of  $L/D_{ZZ}(\alpha)$ . For clarity, characteristic lift and L/D values are summarized in Table 11.

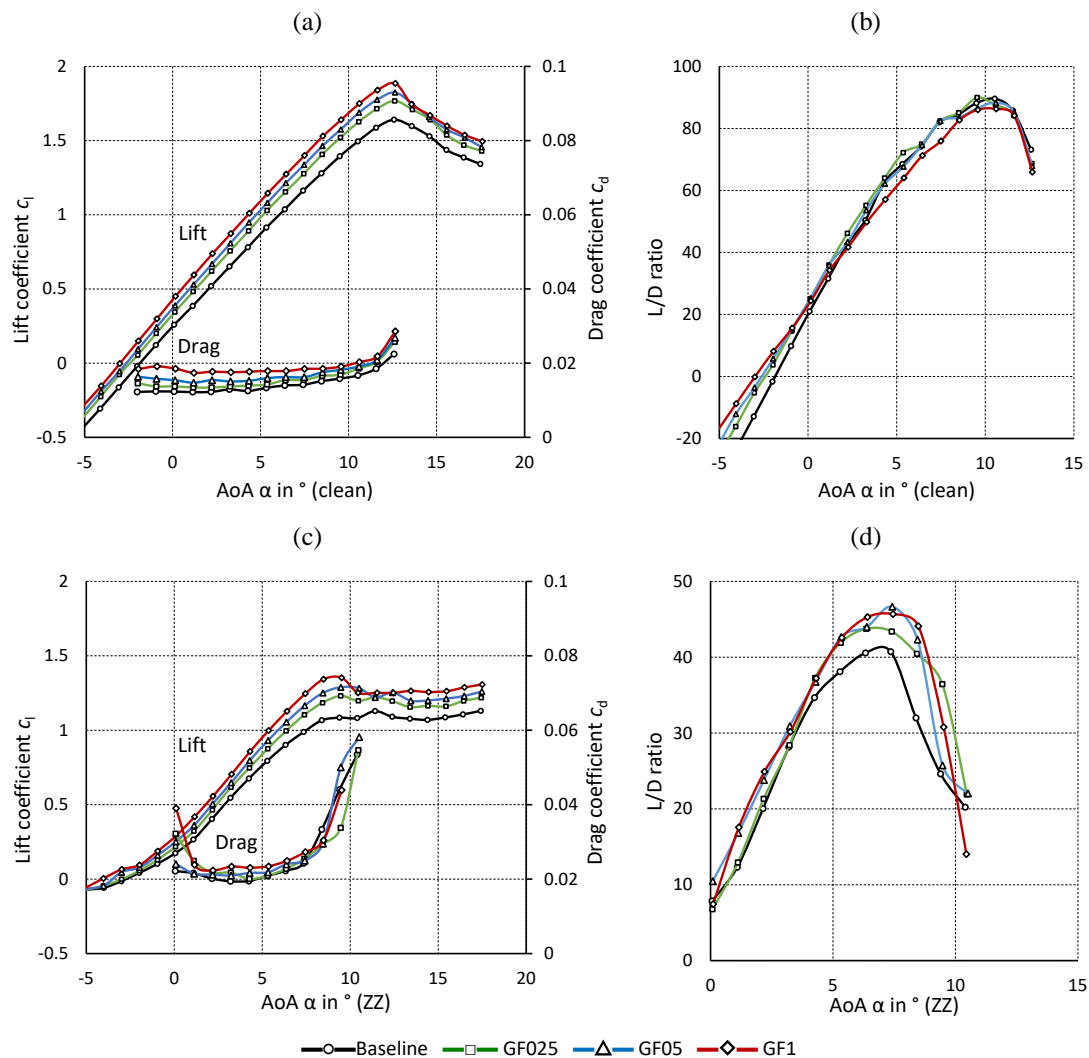


Figure 22. DU97W300. Gurney flaps. (a) Lift and drag coefficients (clean). (b) L/D ratio (clean). (c) Lift and drag coefficients (ZZ). (d) L/D ratio (ZZ).

Table 11. DU97W300. Gurney flaps. Characteristic values.

	Clean		ZZ	
	$c_{l,max} (12.6^\circ)$	$L/D_{max} (9.5^\circ)$	$c_{l,max} (10.4^\circ)$	$L/D_{max} (7.4^\circ)$
Baseline	1.64	88	1.08	41
GF025	1.77	90	1.20	43
GF05	1.82	87	1.28	47
GF1	1.89	86	1.25	46

## **Data availability.**

Measurement data and results can be provided by contacting the corresponding author.

## **Author contribution**

590 Johannes Fischer designed and fabricated the wake rake and the vortex generators. Jörg Alber validated the wake rake measurements and designed the Gurney flaps. Jörg Alber, Marinos Manolesos, Guido Weinzierl-Dlugosch, Johannes Fischer and Alexander Schönmeier prepared and conducted the wind tunnel experiments. Jörg Alber performed the airfoil and rotor blade simulations. Jörg Alber and Alexander Schönmeier processed the experimental and numerical data. Jörg Alber wrote the manuscript and managed the review process, with the support of all co-authors.

## **595 Competing interests**

The authors declare that they have no conflict of interest.

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