

Dynamic inflow model for a Floating Horizontal Axis Wind Turbine in surge motion

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Abstract. Floating Offshore Wind Turbines may experience large surge motions, which can cause blade-vortex interaction if they are similar to or faster than the local wind speed. Previous research hypothesized that this blade-vortex interaction phenomenon represented a turbulent wake state or even a vortex ring state, rendering the Actuator Disc Momentum Theory and the Blade Element Momentum Theory invalid. This hypothesis is challenged, and we show that the Actuator Disc Momentum Theory is valid and accurate in predicting the induction at the actuator in surge, even for large and fast motions. To accomplish this, we develop a dynamic inflow model that simulates the vorticity-velocity system and the effect of motion. The model's predictions are compared to other authors' results, a semi-free wake vortex-ring model, other dynamic inflow models, and CFD simulations of an actuator disc in surge. The results show that surge motion and rotor-wake interaction do not result in a turbulent wake or vortex ring state, and that the application of Actuator Disc Momentum Theory and Blade Element Momentum Theory is valid and accurate when applied correctly in an inertial reference frame. In all cases, the results show excellent agreement with the higher fidelity simulations. The proposed dynamic inflow model includes a modified Glauert's correction for highly loaded streamtubes and is accurate and simple enough to be easily implemented in most Blade Element Momentum models.

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15 1 Introduction

1.1 Motivation for the research

Floating offshore wind turbines (FOWTs) are supported by floating foundations, resulting in greater motion than wind turbines supported by bottom-mounted foundations. (de Vaal et al., 2014). This increased freedom of motion can result in several unsteady aerodynamic phenomena at the airfoil, blade, rotor, and wake scales, as studied by Sebastian and Lackner (2012), Sebastian and Lackner (2013), Sivalingam et al. (2018), Kyle et al. (2020), Wen et al. (2017), Lee and Lee (2019), de Vaal et al. (2014), Mancini et al. (2020), Micallef and Sant (2015), Tran and Kim (2016), Chen et al. (2021), Shen et al. (2018), Lee and Lee (2019), Farrugia et al. (2016), Cormier et al. (2018), Dong et al. (2019), Dong and Viré (2021) and others.

The complexity of the aerodynamics resulted in many interpretations of the phenomena. Several authors proposed that the flow could change from windmill to propeller state due to motion and changes in loading. Furthermore, several authors proposed that if the surge velocity is large enough, the combination of wind speed and surge velocity would be less than twice the induction velocity, resulting in a turbulent wake state or even a vortex ring state (see Sørensen et al. (1998) for the definition of turbulent wake state and vortex ring state). Actuator Disc Momentum Theory, according to many authors, would no longer be valid under these conditions. Due to the fact that Blade Element Momentum Theory (BEM, see Glauert (1935)) is based on Actuator Disc Momentum Theory, the occurrence of turbulent wake state and vortex ring state would significantly limit the use of BEM for FOWTs. Given that BEM is the most commonly used tool for simulating the aerodynamics of horizontal axis wind turbines (Madsen et al., 2020), this could have a significant impact on our design methods.

However, the prediction of turbulent wake state and vortex ring state for the actuator disc (wind turbine) in periodic surge motion appears to be in most cases the result of an invalid interpretation of the Actuator Disc Theory. As stated by Sørensen and Myken (1992), since the concept of the actuator disc was first formulated by Froude it has been closely related to the one-dimensional momentum theory and much confusion about its applicability in describing complex flow fields still exists. This is particularly true for the case of an actuator in cyclic motion, as is the case of FOWTs.

The name of the theory is in itself misleading, because the Actuator Disc Momentum theory is in fact the theory of the mass and momentum balance of the streamtube that includes the actuator. The actuator disc is a physical model that enables a discontinuity of the pressure field into the governing flow equations as the reaction to an external force field. The added information that the pressure discontinuity occurs at the actuator allows us to estimate the velocity at the actuator by evaluating stagnation pressure along the streamtube. Therefore, Actuator Disc Theory refers to the state of the streamtube defined in an inertial reference frame that contains the actuator which is static in the same inertial reference frame. Propeller state, windmill state, turbulent wake state, vortex ring state and propeller brake state do not refer to the state of the actuator but to the state of the streamtube (Sørensen et al., 1998). In an unsteady flow, an actuator might have an instantaneous loading as a propeller, while the streamtube remains in windmill state. Two examples of such inertial reference frames are the one attached to the steady streamtube which includes the actuator disc associated with a stationary wind turbine (or propeller) in an incoming unperturbed wind speed U_∞ of any value, or the one attached to the steady streamtube that contains an actuator disc in a constant motion (not accelerated) in an incoming unperturbed wind speed U_∞ of any value.

When the actuator is moving in an inertial reference frame with a steady velocity, the streamtube and actuator are in the same inertial reference frame, and the reference unperturbed velocity of the wind used in the actuator disc model $U_{\infty ref}$ is the sum of the velocity of the wind in the inertial reference frame U_∞ with the moving velocity of the actuator in the inertial reference frame v_{act} , as

$$U_{\infty ref} = U_\infty - v_{act} \quad (1)$$

In the condition that v_{act} is constant (time invariant) ~~this condition~~, the actuator disc momentum theory applies, and the thrust coefficient C_T is defined as

$$C_T = \frac{T}{\frac{1}{2}\rho U_{\infty_{ref}}^2 A} = 4a(1-a) \quad (2)$$

where T is the thrust applied by the actuator, A is the area of the actuator and a is defined as the induction factor, such that the velocity perceived by the actuator U_{act} (at the location of the actuator) is given by

$$U_{act} = (1-a)U_{\infty_{ref}} \quad (3)$$

60 Strictly speaking, the Actuator Disc Theory cannot be applied to a non-inertial reference frame (e.g. the actuator disc in [an arbitrary or a periodic surge motion](#)) as this violates the steady assumption. The transition to the accelerated reference frame of the actuator requires the addition of apparent forces in the momentum equation, which are not accounted in the Actuator Disc Momentum theory. Therefore, for FOWTs experiencing accelerated motions, Equation 1 to 3 are invalid for predicting the induction at the oscillating actuator using 1D momentum theory.

65 Another common misconception is that a perceived negative velocity at the actuator (e.g. the actuator moving downwind faster than the wind during the oscillatory surge motion) represents a vortex ring state. However, the vortex ring state is a property of the streamtube, evaluated in the inertial reference frame of the streamtube. If there is no flow reversal in the streamtube, there is no vortex ring state. For an interpretation of vortex ring state see the works of Sørensen et al. (1998) and Sørensen and Myken (1992). Equally, although the load on the actuator can range from negative (propeller) to highly loaded,
70 that does not mean that the streamtube will vary from propeller state to turbulent wake state. If the oscillation of the loading is very fast, the flow does not have enough time to accelerate and the streamtube will remain in windmill state.

Although the actuator disc model is one-dimensional and assumes steady, incompressible and inviscid flow, when used in engineering applications in unsteady flow, the steady assumption is relaxed and the model can be corrected by dynamic inflow models. If a dynamic inflow model could solve the streamtube induction and the induction at the location of the actuator, BEM
75 could then be used for the simulation of FOWTs. The motivation of this work is to achieve this goal.

1.2 Aim of the research and rationale for model derivation, ~~research questions and hypothesis~~

The aim of the research is to:

1. Derive and apply a dynamic inflow model as a correction for the effect of surge on the estimation of the induction at the actuator disc.
- 80 2. Validate the approach by comparison with the results of higher fidelity models, namely potential flow vortex ring simulations and CFD simulations.
3. Demonstrate that, for the cases investigated here (including cases with large surge velocities and loading), turbulent wake state and vortex-ring state do not occur as a consequence of the surge motion, and therefore BEM is still valid.

The model is derived using the following rationale. The surging actuator disc generates an unsteady flow, which violates the actuator disc model's assumption of steady flow. It is difficult to solve the unsteady momentum equation in an inertial or non-inertial reference frame using pressure-velocity solutions. However, whether the reference frame is accelerated or inertial, a lagrangian formulation of wake generation and convection and the resulting vorticity-velocity system solution of the induction field are invariant. A dynamic inflow model inspired by the lagrangian vorticity distribution should accurately predict the induction at the actuator, as demonstrated by Yu et al. (2019a) and Yu (2018). The wake and induction solutions are linear superpositions of a newly released wake (new wake) and a previously released wake (old wake), with respect to the reduced time scale of the flow. The dynamic inflow models by Øye (1986), Larsen and Madsen (2013), Yu (2018) and Madsen et al. (2020) implicitly model this superposition and convection of the vorticity system, while explicitly defining the wake length and wake convection speed across time scales; these models should serve as a foundation for developing the proposed model. The actuator's displacement dynamics can be interpreted as changing the vorticity system's relative convection speed, as it is invariant with respect to the reference frame. The quasi-steady solution for a fully developed wake with the strength of newly shed wake elements can be determined using a modified 1D steady actuator disc model that simulates wake generation and convection caused by the force field. This 1D actuator disc model with dynamic inflow should be comparable to solutions from higher fidelity models, such as prescribed and (semi-) free-wake vortex-ring models, or CFD simulations.

In Section 1.3 we define the surge motion and thrust functions. Section 1.4 presents a summary of study cases found in literature, organised in distributions of the range of parameters that define the surge motion and thrust function.

1.3 Description of the motion of the actuator and loading on the actuator

The simulations and analysis in this work use the following assumptions. The actuator surface is a circle of diameter D (radius $R = D/2$), and is always normal to the unperturbed free-stream U_∞ . The latter is uniform, steady, and aligned with the x -direction. The actuator moves in the x -direction according to Equation 4, where x_{act} is the location of the actuator in the x -axis, $A_{x_{act}}$ is the amplitude of the motion and $\omega\Omega$ is the frequency of the motion, defined in relation to a reduced frequency k as stated in Equation 5. The loading over the actuator is uniform and normal to the surface, and the thrust coefficient C_T is defined by Equation 6 taking U_∞ as reference for the dynamic pressure, where C_{T_0} is the average thrust coefficient, ΔC_T is the amplitude of the variation of C_T , ϕ is an additional phase difference between motion and loading, and t represents time. The sinusoidal loading approximates the load oscillations observed by other authors, as described in Section 1.4. The load change is a first-order result of the sinusoidal change in the non-entry boundary condition on the blades/actuator surface caused by the sinusoidal motion (this is further expanded in Section 1.4).

$$x_{act} = A_{x_{act}} \sin\left(\frac{kU_\infty}{D}t\right) \quad (4)$$

$$k = \frac{\omega D}{U_\infty} \quad (5)$$

$$C_T = \frac{T}{\frac{1}{2}\rho U_\infty^2 A} = C_{T_0} - \Delta C_T \cos\left(\frac{kU_\infty}{D}t + \phi\right) \quad (6)$$

115 1.4 Survey of study cases in previous experimental and numerical research

Figure 1 presents a survey of the experimental and numerical study cases in the work of de Vaal et al. (2014), Kyle et al. (2020), Mancini et al. (2020), Micallef and Sant (2015), Tran and Kim (2016), Chen et al. (2021), Sivalingam et al. (2018), Shen et al. (2018), Lee and Lee (2019), Farrugia et al. (2016), Wen et al. (2017), Cormier et al. (2018) and Dong et al. (2019). The results are organised in $\frac{A_{xact}}{D}$ vs. k with isocurves of v_{max} in Figure 1a, ΔC_T vs $v_{max} = \frac{\omega A_{xact}}{U_\infty}$ in Figure 1b and ΔC_T vs. C_{T_0} in Figure 1c . Orange symbols represent Eulerian Navier-Stokes simulations (commonly referred to as CFD), green symbols represent Lagrangian vortex models, and blue symbols represent experiments (some also including simulations). Figure 1b is inspired by the work of Mancini et al. (2020). The survey shows that amplitudes of the motion are below $0.13D$ and reduced frequency $k < 15$. More importantly, the maximum surge velocity is $v_{max} < 1.15$. The relation of ΔC_T to C_{T_0} shows that only in three cases the thrust reaches negative values. The almost linear relation of ΔC_T to v_{max} confirms the earlier observations by Mancini et al. (2020). An hypothesis is that the linear relation is explainable by the linear effect between the surge velocity and the circulation on the blades, due to the change of the non-entry boundary condition on the blade surface. **This hypothesis is expressed by the Equation 7, in which we consider the two-dimensional thrust coefficient at a given blade section. a' azimuthal induction is omitted. The aerodynamics of the blade section are approximated using a potential flow flat plate formulation. The change in section thrust $\Delta C_{T_{blade\ section}}$ is then a function of the change in circulation $\Delta\Gamma$ and the rotor's local azimuthal velocity $\lambda_r U_\infty$ at radial position r (we disregard added mass effects). The change in circulation is a function of the chord c of the section and the non-entry boundary condition, which is defined as the internal product of the section's normal \vec{n} and the change in axial velocity $\Delta\vec{v}_{axial}$, the thrust variation equation is expressed as a function of the local variation of axial velocity, which is dominated by the surge motion.**

$$\Delta C_{T_{blade\ section}} = \frac{\lambda_r \Delta\Gamma}{r\pi U_\infty} = \frac{\lambda_r \vec{n} \cdot (\Delta\vec{v}_{axial}) c\pi}{r\pi U_\infty} \approx \frac{c}{r} \lambda_r \frac{\Delta v_{axial}}{U_\infty} \quad (7)$$

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In this work we will evaluate the proposed Actuator Disc Momentum theory with dynamic inflow correction in a motion and load space wider than (and encompassing) the one in Figure 1. The next section presents the Methods used in the research. It is followed by the Results and Discussion and finally the Conclusions.

2 Methods and approach

140 The results presented and discussed in the Section Results and Discussion have five sources: the Navier-Stokes simulations of an actuator disc in surge by de Vaal et al. (2014); simulations by a semi-free wake vortex-ring model of an actuator disc in

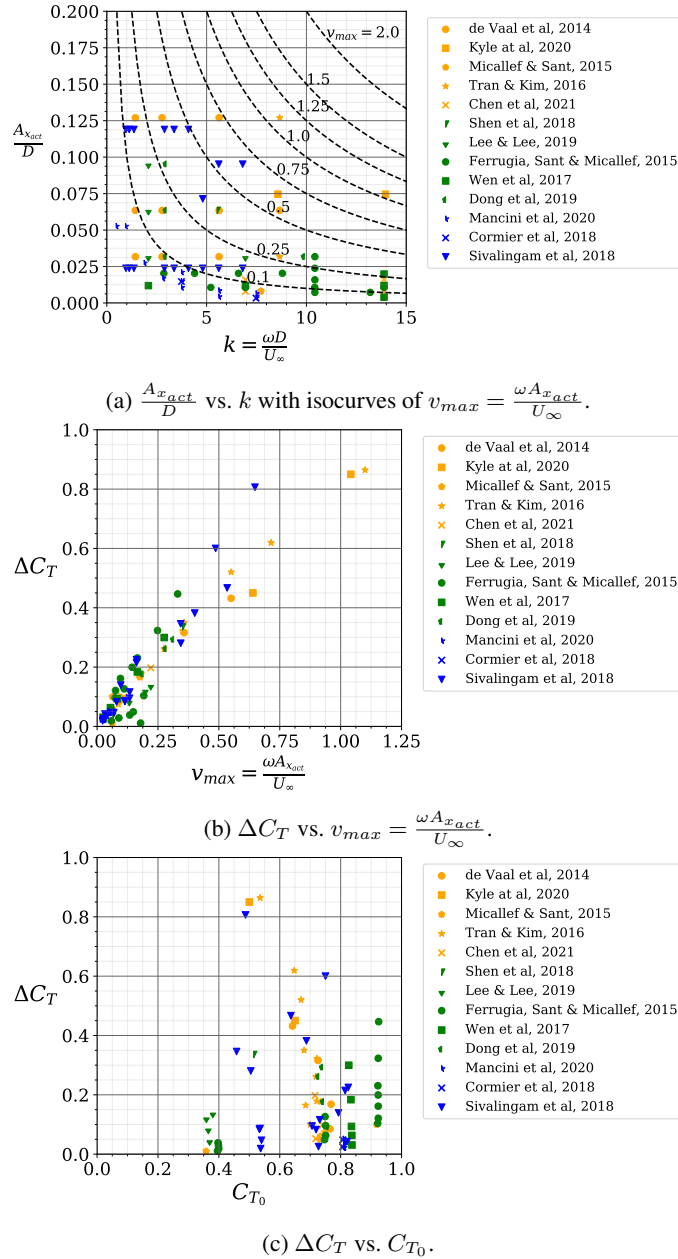


Figure 1. Survey of the experimental and numerical study cases in the work of de Vaal et al. (2014), Kyle et al. (2020), Mancini et al. (2020), Micallef and Sant (2015), Tran and Kim (2016), Chen et al. (2021), Sivalingam et al. (2018), Shen et al. (2018), Lee and Lee (2019), Ferrugia et al. (2016), Wen et al. (2017), Cormier et al. (2018) and Dong et al. (2019). The study cases are organised according to the key operational indicators: $\frac{A_{x_{act}}}{D}$ vs. k with isocurves of v_{max} (sub-figure a), ΔC_T vs $v_{max} = \frac{\omega A_{x_{act}}}{U_{\infty}}$ (sub-figure b) and ΔC_T vs. C_{T0} (sub-figure c). Orange symbols represent Eulerian Navier-Stokes simulations (commonly referred to as CFD), green symbols represent Lagrangian vortex models, and blue symbols represent experiments (some also including simulations).

surge motion developed in this work; [dynamic inflow models derived by other authors](#); [CFD simulations of an actuator disc with imposed thrust](#); and a 1D Actuator Disc Momentum model corrected for the unsteady surge motion and loading by using a dynamic inflow model derived in this work. The cases are defined by the surge motion and unsteady load on the actuator.

145 The results and discussion compare the estimated induction at the actuator disc. The higher fidelity results (Sections 3.1, 3.2 and 3.4) are used as benchmark for the results of the proposed dynamic inflow model. [The impact of actuator motion is also demonstrated by comparing the proposed dynamic inflow model to other dynamic inflow models \(Section 3.3\).](#)

2.1 Semi-free wake vortex ring model

The semi-free wake vortex ring model is a conventional model inspired by the approaches in the works of Yu et al. (2016), Yu (2018), van Kuik (2018) and van Kuik (2020). The "semi-free wake" description is due to the fact that the wake expands and convects with self induction up to five diameters downstream of the actuator. After that location, the expansion is frozen and the wake convects with a velocity based on U_∞ and the velocity at the center of the wake.

2.2 CFD actuator disc model

[OpenFOAM \(OpenFOAM\)](#) was used to create the CFD actuator disc model. To reduce computational cost, a 3D computational domain with the shape of a parallelepiped is created and the hypothesis of axisymmetric flow is used. The velocity and pressure boundary conditions are imposed at the inlet and the outlet, respectively. The symmetry boundary conditions are imposed on one side and the bottom of the domain, and slip-wall boundary conditions are imposed on the other side and the top of the domain. A domain independency study is used to determine the dimensions of the domain. The mesh is dense around the actuator disc and becomes coarser as it moves away from it. A mesh independency study is used to determine the size of the cells surrounding the actuator disc. With a turbulence intensity of 0.1%, the RANS $k - \epsilon$ turbulence model is used.

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160 $5e+06$ is the Reynolds number. It is demonstrated that the chosen turbulence intensity and Reynolds number have no significant effect on the outcome (Sala, to be published in December 2021).

The loading is applied using the Equation 6 and is uniformly distributed over the actuator disc, whose position varies over time using the Equation 4. The disc average axial induction factors obtained with steady CFD simulations are compared to those predicted by momentum theory with Glauert correction for thrust coefficients ranging from $CT = 0.2$ to $CT = 1.2$ to validate the model. The results are depicted in Figure 2. The results agree well with momentum theory at low thrust coefficients. At low thrust coefficients, the results agree well with momentum theory. The difference is 2.1% at $CT = 0.8$, and it grows larger as the thrust coefficient increases.

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2.3 Dynamic inflow models by other authors

170 In this paper, we compare the results of induction using the proposed dynamic inflow model and five previously published dynamic inflow models. The five models are Pitt and Peters (1981) as described by Yu (2018), by Øye (1986) as described by Yu (2018), the model by Larsen and Madsen (2013), Yu (2018) (also described by Yu et al. (2019b)) and Madsen et al.

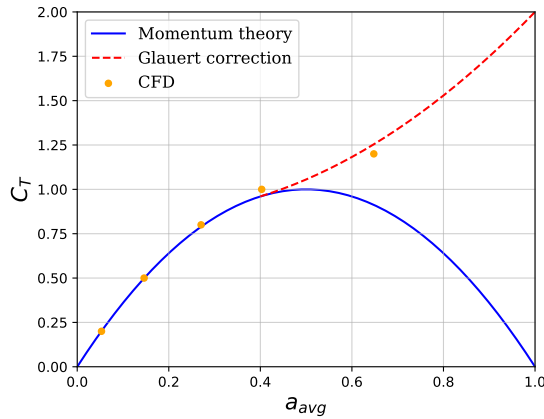


Figure 2. Disc average induction factor a_{avg} against C_T calculated with CFD actuator disc model and momentum theory with Glauert correction.

(2020). The results of the models are labeled *Pitt-Peters*, *Øye*, *Larsen-Madsen*, *Yu* and *Madsen* in the figures of Section 3.3. The new dynamic inflow model presented in this work is labelled as *Ferreira*. The reader is also directed to the *ECN model* (see Schepers (2012)), which expands on the model developed by Pitt and Peters (1981).

2.4 Formulation of the new dynamic inflow model including actuator motion ~~Algorithm for the dynamic inflow model~~

Section 1 presented the rationale for the formulation of the new dynamic inflow model including actuator motion. The aim is to simulate the dynamics of the vorticity-velocity solution of induction at the actuator. The approach of a convolution of quasi-steady solutions was proven effective by Øye (1986), Larsen and Madsen (2013), Yu et al. (2019a) and Yu (2018), and Madsen et al. (2020). This was often approached as a convolution of quasi-steady solutions of the 1D actuator disc theory or unsteady langragian solutions of step changes in the momentum balance. These models were then calibrated to the time and length scales of the impulse responses (e.g. Yu (2018)). From the different formulations, the one of superposition of exponential decay of solutions (as e.g. presented by Larsen and Madsen (2013)) lends itself best to our objective of an explicit description of the invariant solution. For reference, the work of Madsen et al. (2020) presents an updated version of the [Madsen/Larsen-Madsen](#) ~~Madsen (Larsen-Madsen)~~ dynamic inflow model (Larsen and Madsen (2013)), following up on the work by Pirrung and Madsen (2018). The Madsen dynamic inflow model is conceptualized as a curve fit of the solution of an unsteady actuator disc in a step function that uses two time scales to better approximate the radial dependency of the unsteady induction, implicitly as a near wake and far wake time scales. This is also the interpretation proposed in the work of De Tavernier and Ferreira (2020) when reviewing the implementation for Vertical Axis Wind Turbines (see also Larsen and Madsen (2013)), discussing the time scales as near wake and far wake. The model presented by Pirrung and Madsen (2018) predicts several corrections for loading and radial effects and is calibrated against higher fidelity simulations. [The two time constant filter approach was previously proposed by Øye \(1986\), and represents a departure from the approach by Pitt and Peters \(1981\) of the solution of the pressure-](#)

velocity towards the solution of vorticity-velocity problem. This solution of the vorticity-velocity problem was discussed by Øye (1986), Larsen and Madsen (2013) and Madsen et al. (2020) as a dynamic filter of near and far wake solutions.

195 In this work we take inspiration of the two time scales approach for representing the contribution of the wake generated previously and the newly shed wake, and to distinguish between the induction at streamtube scale from the induction at the actuator. The solution of the vorticity-velocity system does not require the time integration of the flow acceleration, but it is calculated directly from the vorticity system at each time step. The wake solution and the induction solution are the linear superposition of a newly released wake (new wake) and a previously released wake (old wake), in relation to the reduced time
200 scale of the flow. The convection of the two wake systems must be determined. We therefore define two reference values of induction, namely the streamtube induction velocity u_{str} and the induction velocity at the location of the actuator u_{act} . We use these velocities to determine the convection of the vorticity system in the streamtube and in relation to the actuator.

The first variable of the dynamic inflow model is the step of the algorithm is to define an unperturbed reference velocity on
of the inertial reference frame that contains the streamtube and the actuator. In the case of the actuator in an oscillating surge,
205 the reference velocity can be defined as in Equation 8

$$U_{\infty_{ref}} = U_{\infty} \quad (8)$$

The second variable of the model is the step of the algorithm is to define a streamtube wake-convection reference velocity, as defined in Equation 9. U_{str} is determined by averaging the two induction terms u_{str} and u_{act} ; the equal weighing of the two induction terms reflects the balance between the proximity of the short newly shed wake to the region where the velocity
210 is evaluated (actuator) and the distance to the longer previously shed vorticity system. Although different averaging weights can lead to more fine tuned solutions, this relation appears to be sufficiently accurate, where we average the two induction velocities.

$$U_{str} = U_{\infty_{ref}} - \frac{u_{str} + u_{act}}{2} \quad (9)$$

We can calculate an equivalent quasi-steady solution of the induction velocity of a vorticity system generated by a thrust
215 C_T and wake convected in streamtube with reference velocity U_{str} (Equation 10) to be later used as a forcing function of a steady solution of the newly shed wake. It is important to note that this forcing function differs from the one commonly used in dynamic inflow models (usually the steady induction for a given thrust coefficient as defined in Equation 2). Equation 10 approaches the 1D steady actuator disc thrust equation, taking U_{str} as the mass flow rate that experiences a momentum change of u_{qs} (per unit fluid density). If the system converges to a steady flow, Equation 10 converges to Equation 2.

$$220 \quad u_{qs} = \frac{C_T U_{\infty}^2}{4} \frac{1}{U_{str}} \quad (10)$$

We can choose to apply a form of Glauert's correction for the case of heavily loaded streamtubes and instantaneous $C_T > 0$, inspired in the formulation presented by Burton et al. (2011). The heavily loaded streamtube criterion is defined as

$$U_{str} > U_{\infty ref} \left(1 - \frac{\sqrt{C_{T_1}}}{2} \right) \quad (11)$$

with $C_{T_1} = 1.816$.

225 If the criterion in Equation 11 applies, the value of u_{qs} can be determined by Equation 12, curve fitted from Glauert's correction as described by Burton et al. (2011).

$$u_{qs} = -1.883 - 1.540 \sqrt{\frac{C_T U_\infty^2}{4} \frac{1}{U_{str}}} + 4.086 \sqrt[4]{\frac{C_T U_\infty^2}{4} \frac{1}{U_{str}}} \quad (12)$$

230 Due to the fact that wake convection varies along the streamtube, we now define length scales for actuator/near wake L_{act} and streamtube/far wake scale L_{str} in Equations 13 and 14. The choice of one and five diameters are suitable for near and far wake scales ; at one diameter the wake has achieved over 90% of its expansion and increase in induction, and the vorticity in the first five diameters accounts for over 99% of the solution of induction at the actuator. The choice for integer values of length scales is somewhat arbitrary; in the development of other dynamic inflow models, authors have fine tuned these scales to improve matching with the solution of impulse flow. In this model, slightly changing these scales to other similar values will not significantly affect the results of the model. The length scales are defined as half of the near and far wake scales for application in the exponential functions of the time integration and filter functions.

$$L_{act} = \frac{1}{2} 1D \quad (13)$$

$$L_{str} = \frac{1}{2} 5D \quad (14)$$

240 We now define time scales of convection of the wake for actuator/near wake scale and streamtube/far wake scale. For the streamtube scale we define one time scale τ_{str} given by Equation 15, used for the convection of the old vorticity system and the convection of the generation of the new vorticity system.

$$\tau_{str} = \frac{L_{str}}{U_{\infty ref} - \frac{u_{str}}{2}} \quad (15)$$

For the actuator/near wake scale we need to define two time scales: one for the convection of the old vorticity system (Equation 16) and another for the convection of the generation of the new vorticity system (Equation 17). The velocity of the actuator is defined as the time derivative of position of the actuator $v_{act} = \frac{dx_{act}}{dt}$

$$245 \tau_{act_1} = \frac{L_{act}}{U_\infty - \frac{u_{act}}{2} - v_{act}} \quad (16)$$

$$\tau_{act2} = \frac{L_{act}}{U_{\infty ref} - \frac{u_{act}}{2}} \quad (17)$$

Following the approach by Larsen and Madsen (2013), we can now calculate the new solutions of the streamtube induction velocity u_{str} and the induction velocity at the location of the actuator u_{act} by the implicit integration in time of the effect of the filtered forcing function u_{qs} . The approach is similar to that of Øye (1986) which, however, has an explicit integration in time of the filtered forcing function.

$$u_{act(t+\Delta t)} = u_{act(t)} e^{-\frac{\Delta t}{\tau_{act1}}} + u_{qs} \left(1 - e^{-\frac{\Delta t}{\tau_{act2}}}\right) \quad (18)$$

$$u_{str(t+\Delta t)} = u_{str(t)} e^{-\frac{\Delta t}{\tau_{str}}} + u_{qs} \left(1 - e^{-\frac{\Delta t}{\tau_{str}}}\right) \quad (19)$$

When $U_{\infty ref} = U_{\infty}$, Equation 18 can also be written as Equation 20.

$$u_{act(t+\Delta t)} = u_{act(t)} e^{-\frac{\Delta t}{\tau_{act2}}} e^{\Delta t \frac{v_{act}}{L_{act}}} + u_{qs} \left(1 - e^{-\frac{\Delta t}{\tau_{act2}}}\right) \quad (20)$$

Equation 20 shows the effect of the actuator motion (v_{act} is defined in the same reference frame as U_{∞}). As the actuator moves away from the previously shed wake, the effective induction decreases. As the actuator moves into the wake, the effective induction increases.

The model algorithm can be generalised to the case of actuator motions that have a non-zero average displacement, e.g. an actuator travelling in forward motion with periodic oscillations. In this case, the most suitable inertial reference frame needs to be updated and so does $U_{\infty ref}$. The varying reference wind speed can be determined by a third filtering can be applied in the form of Equation 21

$$U_{\infty ref(t+\Delta t)} = U_{\infty ref(t)} e^{-\Delta t \frac{U_{\infty ref(t)}}{L_{str}}} + (U_{\infty} - v_{act}) \left(1 - e^{-\Delta t \frac{U_{\infty ref(t)}}{L_{str}}}\right) \quad (21)$$

An example of the implementation of the model as an algorithm in Python is shown in *Appendix A: Implementation of the model as an algorithm in Python*.

In the Results section, the induction at the actuator is represented by its non-dimensioned form a , defined by Equation 22.

$$a = \frac{u_{act}}{U_{\infty ref}} \quad (22)$$

3 Results and Discussion

3.1 Comparison of results of the dynamic inflow model with those of de Vaal et al. (2014).

This section compares the results of the dynamic inflow model with the results of the semi-free wake model and the results published in de Vaal et al. (2014), page 117, for a moving actuator disc modelled in the commercial software FLUENT using a finite volume discretization of the incompressible Navier-Stokes equations. The study case is an actuator disc in a sinusoidal surge motion and varying thrust. The four sub-cases have the same motion amplitude but four different motion frequencies. Figure 3 describes the four sub-cases and presents the thrust curve and the resulting values of induction coefficient over the rotation. The location of the actuator x_{act} is also plotted.

There are two important differences between the simulations in this work and the ones in de Vaal et al. (2014). The simulations with the dynamic inflow model and with the semi-free wake model use an unsteady uniform loading over the actuator, and the inductions plotted in Figure 3 correspond to the induction at the actuator at different radial positions. de Vaal et al. (2014) applied a rotor model (NREL 5MW) in their model, leading to a non-uniform loading. Additionally, the induction plotted in Figure 3 is the area weighted induction at the blade, including Prandtl's tip correction for finite blade effects. The non-uniform loading considered by de Vaal et al. (2014) and the inclusion of Prandtl's tip correction leads to a higher value of induction in relation to the average induction over the annulus. By studying the solution for the steady load case presented in the work of de Vaal et al. (2014) (Figure 4, page 112), it is possible to estimate the average induction using their approach to be between $a = 0.274$ and $a = 0.285$ (depending on tip correction model), while an actuator disc with uniform load and the same thrust coefficient ($C_T = 0.76$) will result in an induction of $a = 0.256$. This results in a $\Delta a \approx 0.023$ between the two methodologies. a and C_T are, as in the remaining of this work, defined in relation to the unperturbed wind speed $U_{\infty ref} = U_{\infty}$.

To support the interpretation of the results in Figure 3, Table 1 presents for each sub-case (labelled by the reduced frequency k) the average thrust coefficient $\overline{C_T}$, the amplitude of the variation of thrust coefficient ΔC_T , the time average of the area-weighted and Prandtl-tip-corrected average induction $\overline{a_{deVaal}}$, the time average of the area-weighted average induction obtained with the semi-free wake vortex ring model $\overline{a_{sfwm}}$, the time average of the induction at the center of the actuator predicted by the dynamic inflow model $\overline{a_{dynamic\ inflow}}$, the time average of the induction calculated using steady Actuator Disc Theory $\overline{a_{(C_T) steady}}$ and the steady induction of the time average of thrust coefficient $a_{(C_T) steady}$ (the last two predicted using steady 1D actuator disc theory).

The results in Figure 3 and Table 1 show that:

1. Comparing the results of de Vaal et al. (2014) and the vortex ring model, despite the difference of what is modelled (non-uniform loading vs. uniform loading) and the difference of the nature of the two values of induction (impact of Prandtl's tip correction), it results that $\overline{a_{deVaal}} - \overline{a_{sfwm}} < 0.02$.
2. Although the dynamic inflow model is one-dimensional, the difference to the semi-free wake vortex ring model prediction is, in all cases, less than $\Delta a < 0.01$ for the region $r/R \leq 0.8$.

Table 1. Table of averages of the results of Figure 3.

k	$\overline{C_T}$	ΔC_T	$\overline{a_{deVaal}}$	$\overline{a_{sfwm}}$	$\overline{a_{dynamic\ inflow}}$	$\overline{a_{(C_T)steady}}$	$a_{(\overline{C_T})steady}$
1.43	.77	.09	.286	.268	.262	.264	.261
2.77	.77	.17	.282	.267	.261	.267	.259
5.62	.75	.31	.272	.258	.255	.272	.25
8.66	.69	.43	.258	.239	.236	.254	.222

300 3. With increasing reduced frequency, there is an increased phase shift between the curve of the motion/thrust and the resulting induction. The dynamic inflow model is able to capture the phase shift, matching what is observed in the results of de Vaal et al. (2014) and of the vortex ring model.

4. The results confirm that with increasing reduced frequency the average induction will differ from $\overline{a_{(C_T)steady}}$ towards $a_{(\overline{C_T})steady}$ despite the higher amplitude ΔC_T , a consequence of the inertia of the streamtube.

305 The results of the semi-free wake vortex-ring model show a larger oscillation of induction closer to the actuator edge. This is not a finite-blade tip effect, nor the radial variation of induction previously found in a steady actuator disc with uniform loading (van Kuik, 2018). It is actually an effect of blade (actuator) vortex interaction due to the motion of the actuator and unsteady loading.

310 The results listed above allow us to conclude that for this case study: 1) the semi-free wake vortex ring model provides results in excellent agreement with those of the higher fidelity model used by de Vaal et al. (2014); 2) the predictions of the dynamic inflow model are in excellent agreement with the results of the semi-free wake vortex ring model; 3) accounting for the Δa due to the differences between non-uniform loading vs. uniform loading, the predictions of the dynamic inflow model are in excellent agreement with the results by de Vaal et al. (2014).

In the next section we will compare the predictions of the dynamic inflow model with the results of the semi-free wake vortex ring model for a more diverse and more challenging set of cases.

315 3.2 Comparison of results of the dynamic inflow model with those of the semi-free wake vortex ring model

In this section we present and discuss the comparison of the results of induction by the semi-free wake vortex ring model and the proposed dynamic inflow model ~~at the center of the actuator $r/R=0$~~ for a sinusoidal surge motion with $x_{act} = A_{x_{act}} \sin(kU_\infty/Dt)$ (also plotted) and with $C_T = C_{T_0} - \Delta C_T \cos(kU_\infty/Dt)$, where the loading is uniformly distributed over the actuators.

320 Figure 4 presents the cases for $x_{act} = 0.1D \sin(kU_\infty/Dt)$ and $C_T = 0.5 - 0.5 \cos(kU_\infty/Dt)$ for six values of reduced frequency $k = [1.0, 3.0, 5.0, 10.0, 15.0, 20.0]$. The results show an excellent agreement between the semi-free wake vortex ring model and the proposed dynamic inflow model. The agreement improves with increasing reduced frequency. The model is also able to capture the progressive phase shift of the induction with increased reduced frequency, as the effect of the motion starts to dominate over the effect of varying thrust. Despite the large amplitude of loading and motion, the highest difference

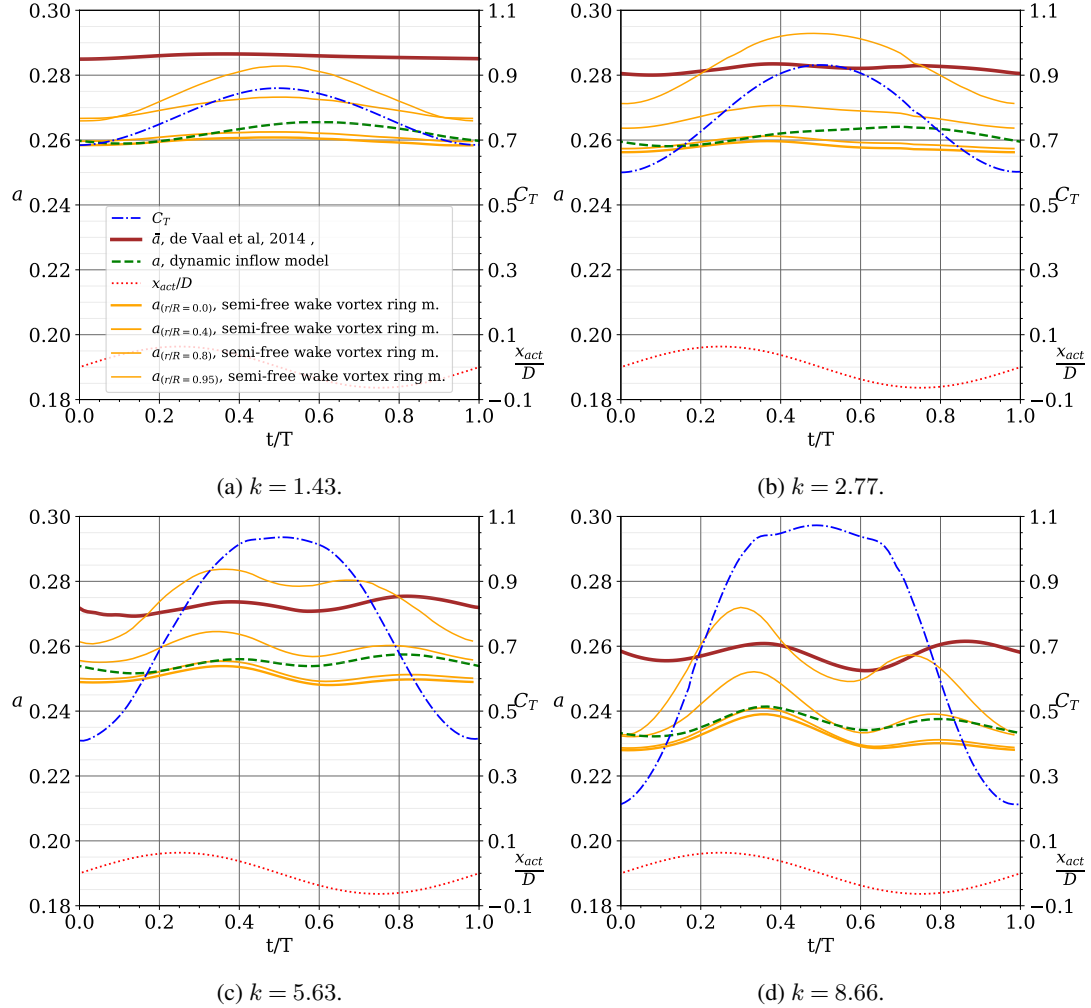


Figure 3. Comparison of the results of induction by de Vaal et al. (2014) (\bar{a} average induction factor over the actuator), the semi-free wake vortex ring model (a at different radial positions r/R) and the new proposed dynamic inflow model (a at center of the actuator $r/R=0$). The four case studies are defined by a surge motion of the actuator a sinusoidal motion with $x_{act} = A_{x_{act}} \sin(kU_\infty/Dt)$ with $A_{x_{act}} = 0.063D$, and $k = 1.43, 2.77, 5.63$ and 8.66 . The resulting thrust coefficient C_T is also plotted. The results are plotted over one period, along the non-dimensional time t/T . All values are non-dimensional with relation to U_∞ .

325 occurs in the case of lowest frequency, with the difference at some points of the cycle being $\Delta a = 0.02$. In this low frequency, the streamtube is significantly accelerated due to the slowly changing load, and the dynamic inflow model must capture this acceleration.

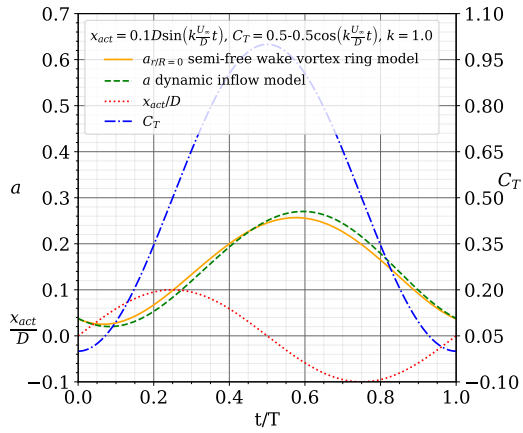
Figure 5 allows us to distinguish the effect of motion from the effect of varying thrust. Figures 5a and 5b allow to compare the effect of increasing the reduced frequency of the motion while the thrust remains constant. Due to motion, the induction is higher when the actuator is in the downwind region (the actuator moves faster than the wake and immerses in its own wake), and lowers as the actuator moves upwind (lower density of vorticity in the near wake). The increasing frequency of motion increases the amplitude of the induction and shifts its phase. Although it shifts towards the phase of the position of the motion, it is actually shifting towards a $\pi/2$ shift in relation to the velocity of the motion. Figures 5c and 5d show the cases of a static actuator where the load is phase shifted by π between the two figures. The inductions are naturally also phase shifted by π . Although trivial, these two cases are important to understand Figures 5e and 5f. Figure 5e corresponds to the typical case experienced by a surging wind turbine, where the loading is highest when the actuator moves upwind and lowest when the actuator moves downwind. The effects of motion on the near wake density and the effects of thrust are out of phase and mostly cancel each other. Figure 5f shows a case that is mostly infeasible in a floating wind turbine (and probably undesirable as it could be unstable), where the thrust and motion are in phase and accumulate. This theoretical case allows us to push the dynamic flow model to one of the more challenging cases as it results in a larger amplitude of induction. However, even in this case, the dynamic inflow model is in good agreement with the results of the semi free wake model.

Figure 6 shows the comparison of the two models for six cases where the amplitude of thrust is proportional to the maximum surge velocity $\Delta C_T = \frac{k A_{x_{act}}}{D}$. The values of amplitude of the motion is the same for all cases $A_{x_{act}} = 0.1D$. The six value of reduced frequency are $k = [1.0, 3.0, 5.0, 10.0, 15.0, 20.0]$ implying $\Delta C_T = [0.1, 0.3, 0.5, 1.0, 1.5, 2.0]$, while the average thrust coefficient is $C_{T_0} = 0.8$. The results show that the increased speed of motion mostly cancels the effect of the varying thrust, and the induction remains almost constant. The two models are in excellent agreement in the prediction of the induction (the difference is below 0.02 in all cases). The increased frequency leads to higher changes of loading, but the variation is so fast that the streamtube does not change the velocity significantly.

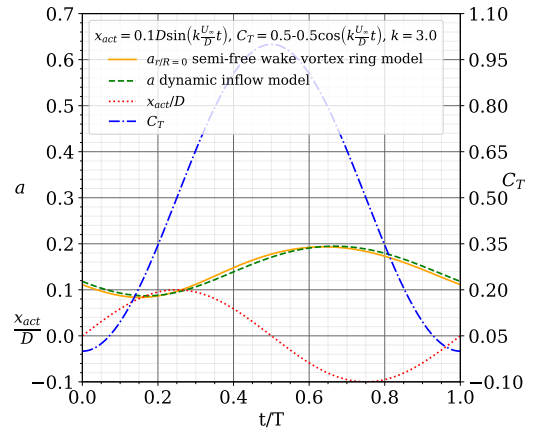
3.3 Comparison of results of the dynamic inflow model with those of other dynamic inflow models

350 In this section, we compare the results of induction using the semi-free wake vortex ring model to those of the proposed dynamic inflow model and five previously published dynamic inflow models. In the results of Figure 7, the induction is evaluated in the inertial reference frame. For the *Pitt-Peters*, *Øye*, *Larsen-Madsen*, *Yu* and *Madsen* models, the motion of the actuator cannot be taken into account. Only the *Ferreira* model accounts for the motion of the actuator. The cases in Figure 7 cover several combinations of motion and thrust. The *Pitt-Peters*, *Øye*, *Larsen-Madsen*, and *Madsen* models were modified to account for Glauert's correction for heavily loaded actuator in their quasi-steady forcing function term.

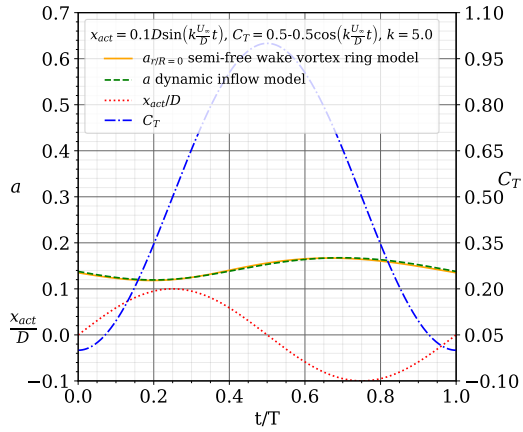
The findings corroborate previous discussions. For non-moving actuators (Figures 7a and 7c), the various dynamic flow models agree reasonably well, with the more advanced/complex models (*Yu*, *Madsen*, and *Ferreira*) agreeing better with the semi-free wake vortex ring model results. The agreement between models decreases as the average C_T and reduced frequency



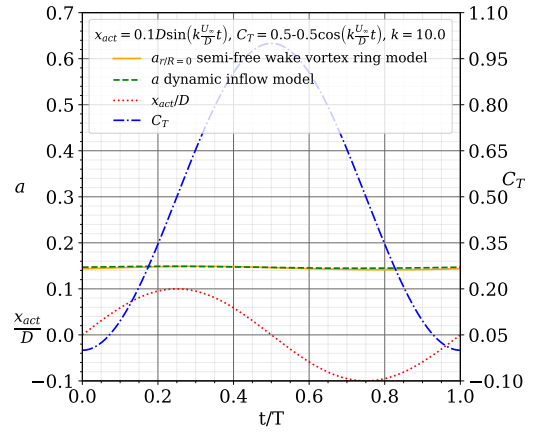
(a) $A_{x_{act}} = .1D, k = 1, C_{T_0} = .5, \Delta C_T = .5, v_{max} = 0.1$.



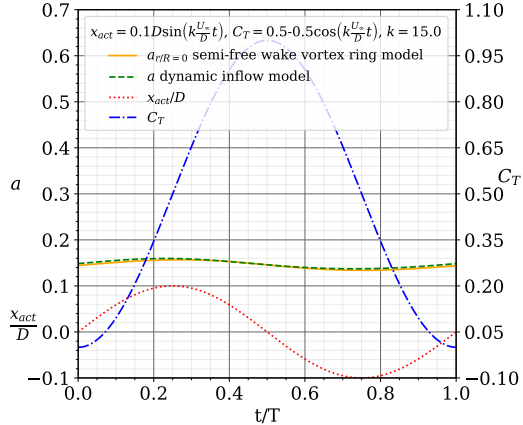
(b) $A_{x_{act}} = .1D, k = 3, C_{T_0} = .5, \Delta C_T = .5, v_{max} = 0.3$.



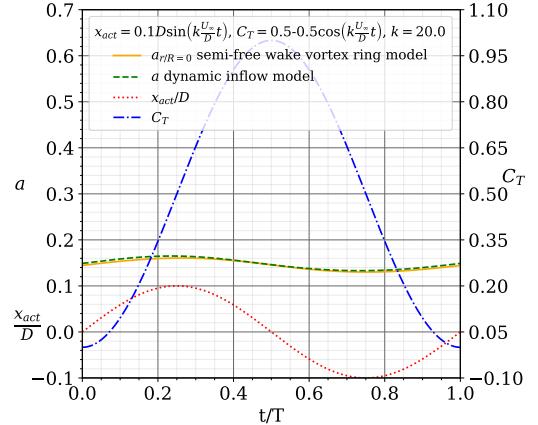
(c) $A_{x_{act}} = .1D, k = 5, C_{T_0} = .5, \Delta C_T = .5, v_{max} = 0.5$.



(d) $A_{x_{act}} = .1D, k = 10, C_{T_0} = .5, \Delta C_T = .5, v_{max} = 1.0$.

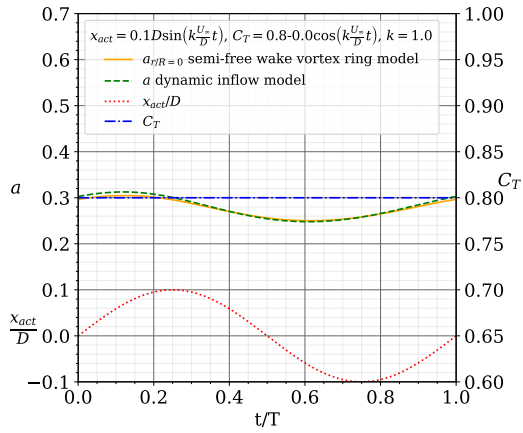


(e) $A_{x_{act}} = .1D, k = 15, C_{T_0} = .5, \Delta C_T = .5, v_{max} = 1.5$.

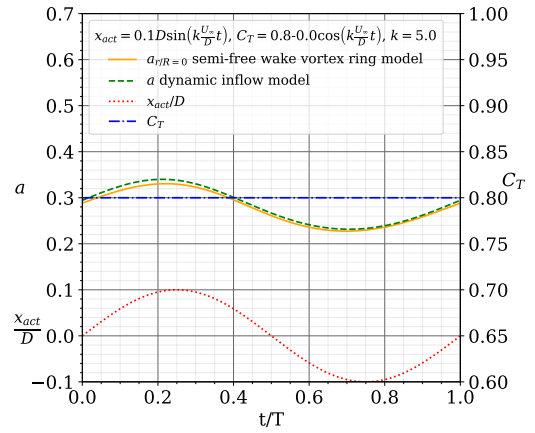


(f) $A_{x_{act}} = .1D, k = 20, C_{T_0} = .5, \Delta C_T = .5, v_{max} = 2.0$.

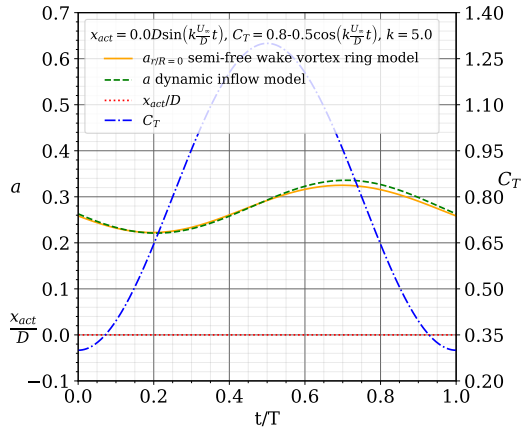
Figure 4. Comparison of the results of induction by the semi-free wake vortex ring model and the proposed dynamic inflow model at center of the actuator $r/R = 0$ for a sinusoidal surge motion with $x_{act} = A_{x_{act}} \sin(kU_{\infty}/Dt)$ (also plotted) and with $C_T = C_{T_0} - \Delta C_T \cos(kU_{\infty}/Dt)$ (also plotted). The results are plotted over one period, along the non-dimensional time t/T . Cases with different reduced frequency.



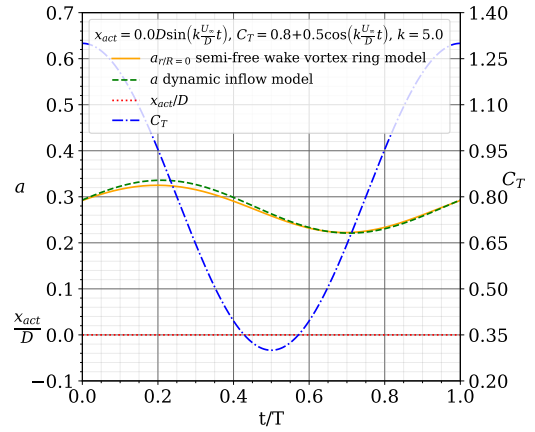
(a) $A_{x_{act}} = .1D, k = 1, C_{T_0} = .8, \Delta C_T = .0, v_{max} = 0.1.$



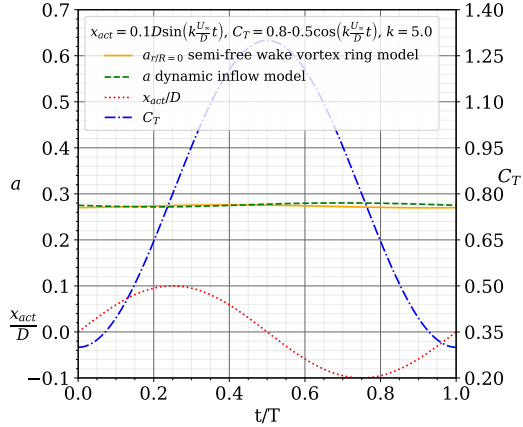
(b) $A_{x_{act}} = .1D, k = 5, C_{T_0} = .8, \Delta C_T = .0, v_{max} = 0.5.$



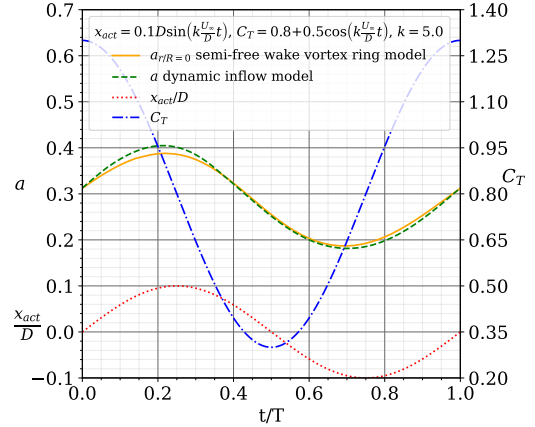
(c) $A_{x_{act}} = 0.0D, k = 5, C_{T_0} = .8, \Delta C_T = .5, v_{max} = 0.0.$



(d) $A_{x_{act}} = 0.0D, k = 5, C_{T_0} = .8, \Delta C_T = -.5, v_{max} = 0.0.$

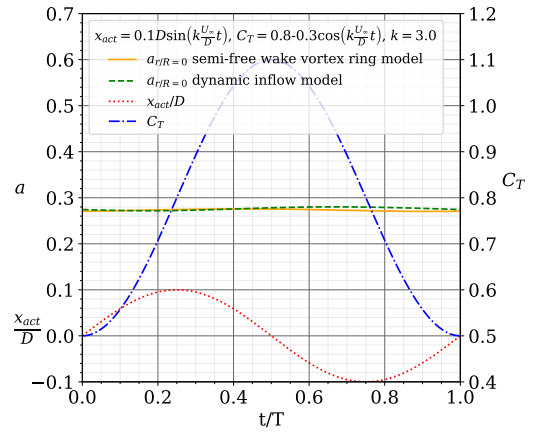
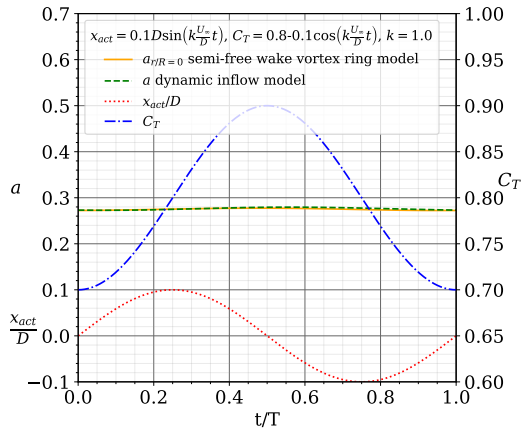


(e) $A_{x_{act}} = .1D, k = 5, C_{T_0} = .8, \Delta C_T = .5, v_{max} = 0.5.$



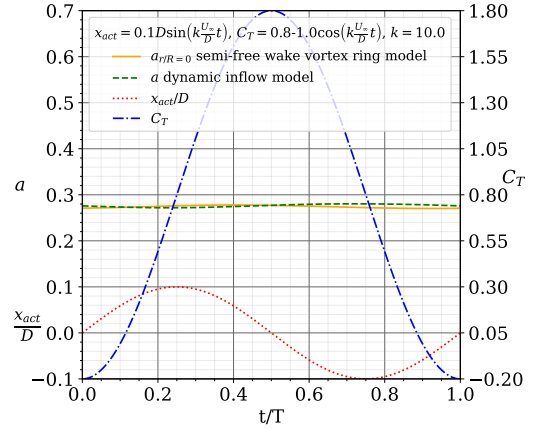
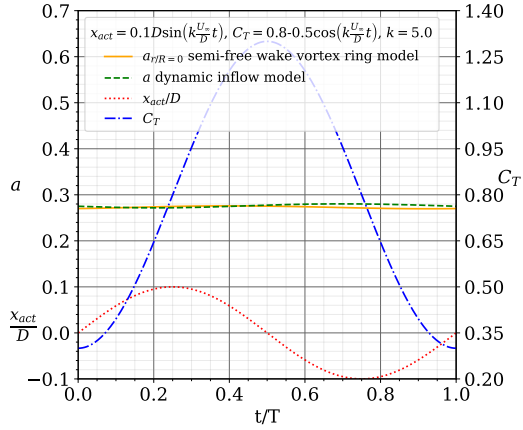
(f) $A_{x_{act}} = .1D, k = 5, C_{T_0} = .8, \Delta C_T = -.5, v_{max} = 0.5.$

Figure 5. Comparison of the results of induction by the semi-free wake vortex ring model and the proposed dynamic inflow model at center of the actuator $r/R = 0$ for a sinusoidal surge motion with $x_{act} = A_{x_{act}} \sin(kU_{\infty}/Dt)$ (also plotted) and with $C_T = C_{T_0} - \Delta C_T \cos(kU_{\infty}/Dt)$ (also plotted). The results are plotted over one period, along the non-dimensional time t/T . The results detail the separate effects of motion and load.



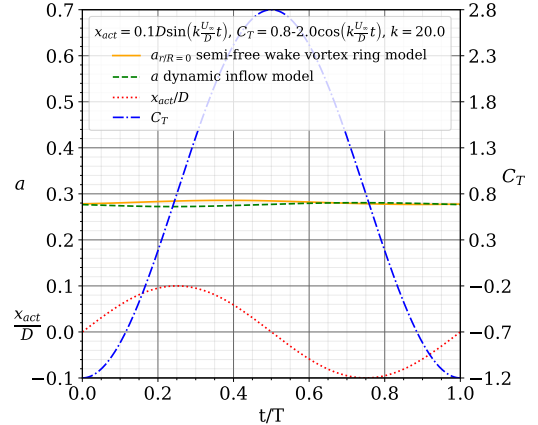
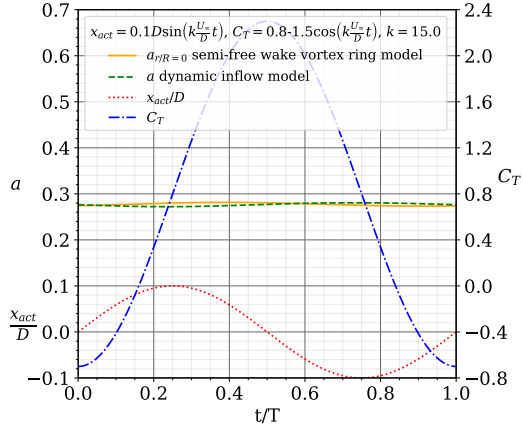
(a) $A_{x_{act}} = .1D$, $k = 1$, $C_{T_0} = .8$, $\Delta C_T = \frac{kA_{x_{act}}}{D}$, $v_{max} = 0.1$.

(b) $A_{x_{act}} = .1D$, $k = 3$, $C_{T_0} = .8$, $\Delta C_T = \frac{kA_{x_{act}}}{D}$, $v_{max} = 0.3$.



(c) $A_{x_{act}} = .1D$, $k = 5$, $C_{T_0} = .8$, $\Delta C_T = \frac{kA_{x_{act}}}{D}$, $v_{max} = 0.5$.

(d) $A_{x_{act}} = .1D$, $k = 10$, $C_{T_0} = .8$, $\Delta C_T = \frac{kA_{x_{act}}}{D}$, $v_{max} = 1.0$.



(e) $A_{x_{act}} = .1D$, $k = 15$, $C_{T_0} = .8$, $\Delta C_T = \frac{kA_{x_{act}}}{D}$, $v_{max} = 1.5$.

(f) $A_{x_{act}} = .1D$, $k = 20$, $C_{T_0} = .8$, $\Delta C_T = \frac{kA_{x_{act}}}{D}$, $v_{max} = 2.0$.

Figure 6. Comparison of the results of induction by the semi-free wake vortex ring model and the proposed dynamic inflow model at center of the actuator $r/R = 0$ for a sinusoidal surge motion with $x_{act} = A_{x_{act}} \sin(kU_\infty/Dt)$ (also plotted) and with $C_T = C_{T_0} - \Delta C_T \cos(kU_\infty/Dt)$ (also plotted). The results are plotted over one period, along the non-dimensional time t/T . These cases are defined by $\Delta C_T = \frac{kA_{x_{act}}}{D}$.

k increase between Figures 7a and 7c. Due to the fact that the *Pitt-Peters*, *Øye*, *Larsen-Madsen*, *Yu* and *Madsen* models do not account for actuator motion, their results differ from those of the semi-free wake vortex ring model for the cases shown in Figures 7b, 7d, 7e, and 7f. Because the *Madsen* time scale functions are only applicable to a limited range of induction, the model cannot provide a solution for the case depicted in Figure 7f.

3.4 Comparison of results of the dynamic inflow model with CFD simulations

In this section, we compare the induction results obtained using the suggested dynamic inflow model (labeled *Ferreira*), the semi-free wake vortex ring model, and the actuator disc simulations in *OpenFOAM* (labeled *CFD*).

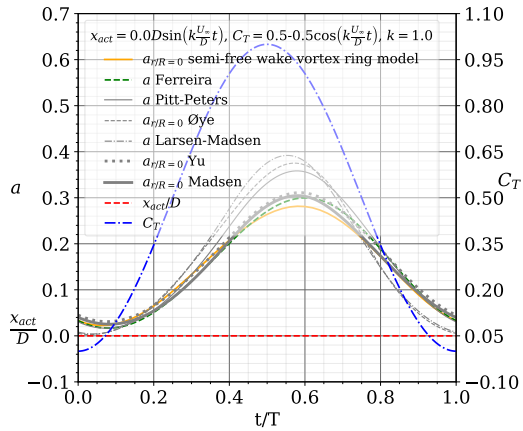
Figure 8 compares the results of induction by CFD (black) and the semi-free wake vortex ring model (orange) at five radial positions $r/R = [0.0; 0.4; 0.6; 0.6; 0.9]$ (different line styles applied to the color black or orange, as defined in the legend), as well as the proposed 1D dynamic inflow model *Ferreira* (green) for various motion and thrust combinations.

The results indicate a high degree of agreement. For average $C_T = 0.5$ (Figures 8a and 8b), the CFD and semi-free wake vortex models produce induction differences of less than 0.01 at various radial places. Even for the case with motion (Figure 8b), the findings demonstrate a minor radial variation in induction. The dynamic inflow model agrees well with the higher-fidelity models. For typical $C_T = 0.8$ examples (Figures 8c to 8f), the CFD model and the semi-free wake vortex model agree very well in terms of the radial variation of the induction. Both models' findings demonstrate how the direction of induction's radial variation (increasing or decreasing radially) varies with the combination of loading and motion. Both models agree in the prediction of the phase and magnitude of this fluctuation. The absolute difference between the two models is their predicted time-averaged induction, with the semi-free wake model agreeing with the steady state solution and the CFD simulation being around 0.015–0.02 less than the steady state solution. The one-dimensional dynamic inflow model agrees well with the higher-fidelity simulations. The near-wake effect justifies the radial variation. Its implementation in the model is deferred until more work is completed.

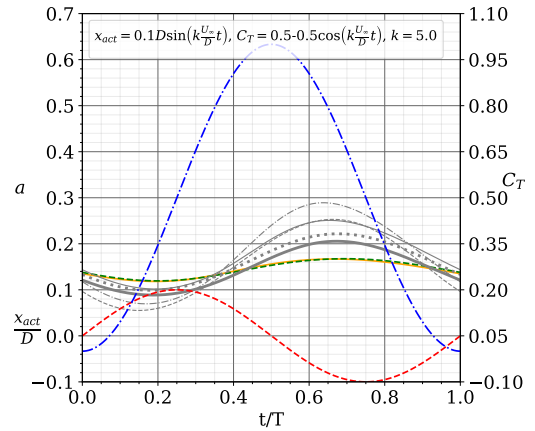
4 Conclusions

We devised, built, and validated a new dynamic inflow model capable of simulating the induction at an actuator disc during surge motion, thereby extending BEM's capability to simulate Floating Offshore Wind Turbines in large and fast surge motions. The new dynamic inflow model was tested against previous CFD simulations, new CFD simulations given in this work, and simulations using a semi-free wake vortex ring model. Additionally, these higher-fidelity models demonstrated the effect of motion and loading on induction's radial variation. To validate the model thoroughly, we examined situations with significant amplitudes of motion and load (e.g., twice the motion velocity and wind speed, and $\Delta C_T = 2.0$), as well as phase-coupling between motion and load. In all scenarios tested, the results of the novel dynamic inflow model are in excellent agreement with those of the higher fidelity models. Additionally, the new dynamic inflow model was compared to several well-known and established dynamic inflow models.

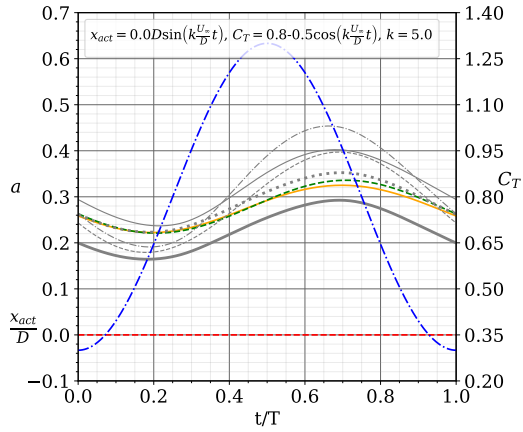
The results demonstrated that the actuator's motion does not imply a turbulent wake or vortex ring state, even when the motion



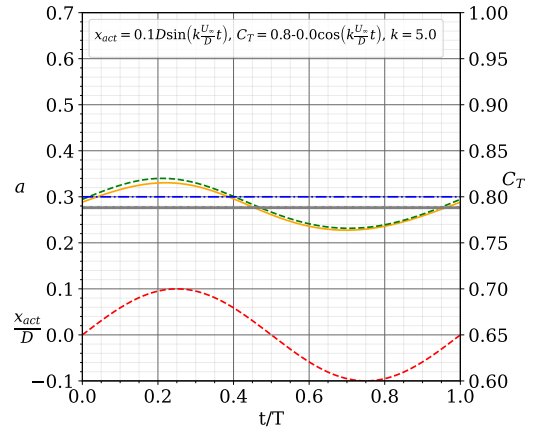
(a) $A_{x_{act}} = .0D$, $k = 1$, $C_{T_0} = .5$, $\Delta C_T = .5$, $v_{max} = .0$.



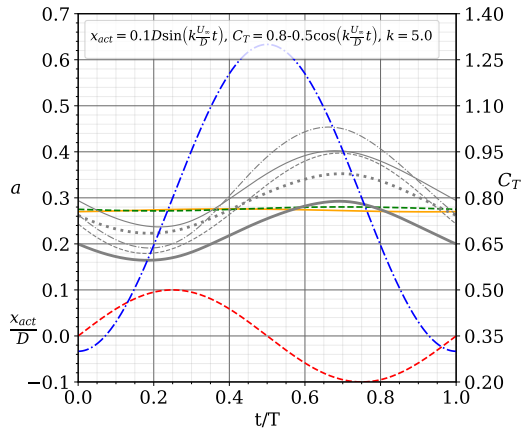
(b) $A_{x_{act}} = .1D$, $k = 5$, $C_{T_0} = .5$, $\Delta C_T = .5$, $v_{max} = 0.5$.



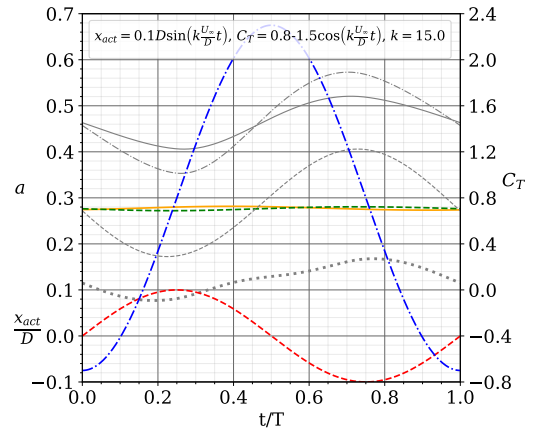
(c) $A_{x_{act}} = .0D$, $k = 5$, $C_{T_0} = .8$, $\Delta C_T = .5$, $v_{max} = .0$.



(d) $A_{x_{act}} = .1D$, $k = 5$, $C_{T_0} = .8$, $\Delta C_T = .0$, $v_{max} = .5$.

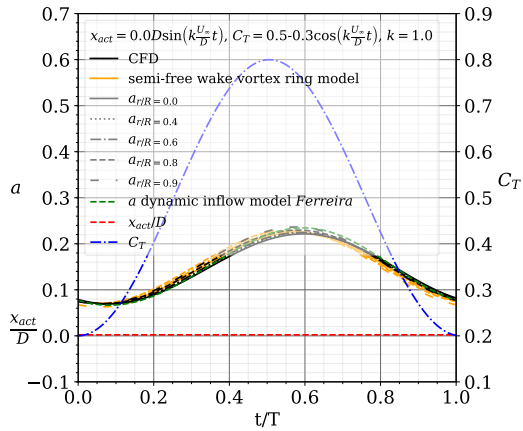


(e) $A_{x_{act}} = .1D$, $k = 5$, $C_{T_0} = .8$, $\Delta C_T = .5$, $v_{max} = 0.5$.

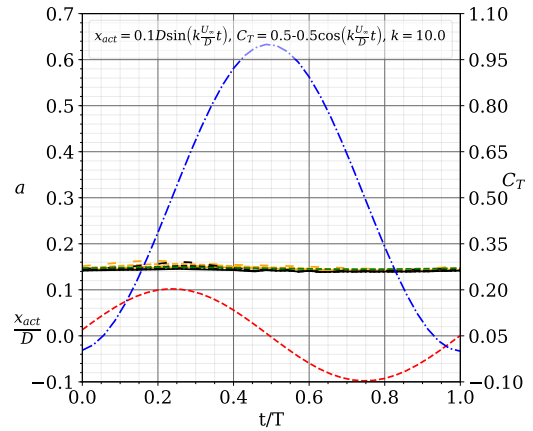


(f) $A_{x_{act}} = .1D$, $k = 15$, $C_{T_0} = .8$, $\Delta C_T = 1.5$, $v_{max} = 1.5$.

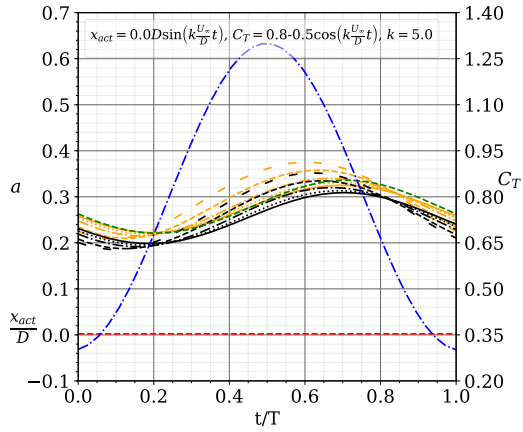
Figure 7. Comparison of the results of induction by the semi-free wake vortex ring model, the proposed dynamic inflow model *Ferreira*, and the *Pitt-Peters*, *Øye*, *Larsen-Madsen*, *Yu* and *Madsen* models for a sinusoidal surge motion with $x_{act} = A_{x_{act}} \sin(kU_{\infty}/Dt)$ (also plotted) and with $C_T = C_{T_0} - \Delta C_T \cos(kU_{\infty}/Dt)$ (also plotted). The results are plotted over one period, along the non-dimensional time t/T .



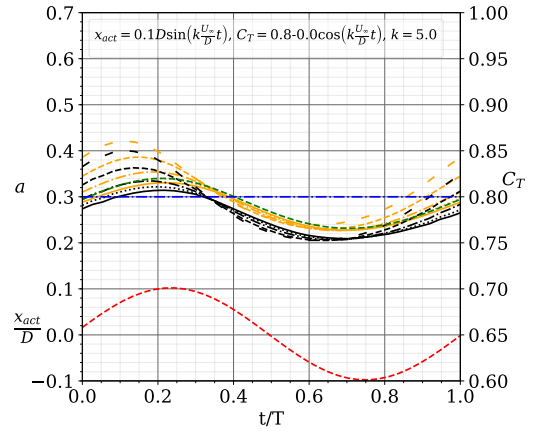
(a) $A_{x_{act}} = .0D$, $k = 1$, $C_{T0} = .5$, $\Delta C_T = .3$, $v_{max} = .0$.



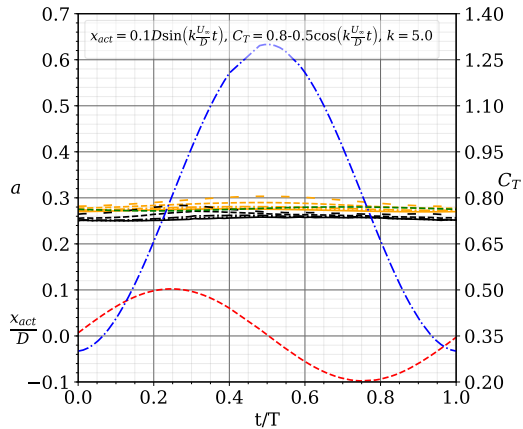
(b) $A_{x_{act}} = .1D$, $k = 10$, $C_{T0} = .5$, $\Delta C_T = .5$, $v_{max} = 1.0$.



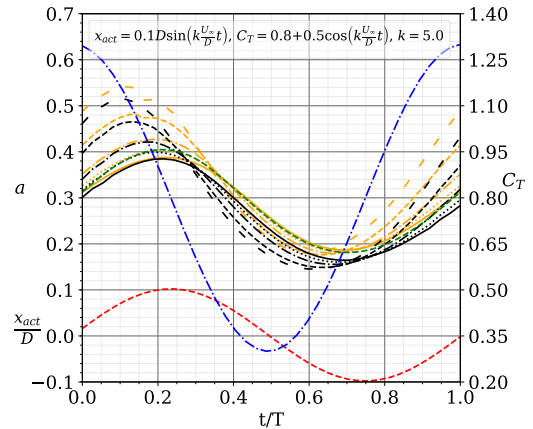
(c) $A_{x_{act}} = .0D$, $k = 5$, $C_{T0} = .8$, $\Delta C_T = .5$, $v_{max} = .0$.



(d) $A_{x_{act}} = .1D$, $k = 5$, $C_{T0} = .8$, $\Delta C_T = .0$, $v_{max} = .5$.



(e) $A_{x_{act}} = .1D$, $k = 5$, $C_{T0} = .8$, $\Delta C_T = .5$, $v_{max} = 0.5$.



(f) $A_{x_{act}} = .1D$, $k = 5$, $C_{T0} = .8$, $\Delta C_T = -.5$, $v_{max} = 0.5$.

Figure 8. Comparison of the results of induction by CFD and the semi-free wake vortex ring model at radial positions $r/R = [0.0; 0.4; 0.6; 0.6; 0.9]$ and the proposed dynamic inflow model *Ferreira* for a sinusoidal surge motion with $x_{act} = A_{x_{act}} \sin(kU_{\infty}/Dt)$ and with $C_T = C_{T0} - \Delta C_T \cos(kU_{\infty}/Dt)$. The results are plotted over one period, along the non-dimensional time t/T .

is significantly faster than the unperturbed wind speed. Previous pronouncements of this effect were based on an inaccurate interpretation of the actuator's accelerated reference frame.

395 Additionally, the results confirmed that, while increasing frequency of motion can result in increased loading and velocity amplitudes, the streamtube's inertia results in essentially constant induction. The effect of motion tends to cancel out the variation in thrust (assuming a ΔC_T proportional to the surge velocity), and the variance in induction at the actuator decreases with greater frequency.

400 The model formulates wake generation and convection in lagrangian terms, and the resulting vorticity-velocity system solution of the induction field is frame-invariant. This allows the accelerating actuator's induction to be predicted. The model is based on the well-established techniques developed by Øye (1986), Larsen and Madsen (2013), Madsen et al. (2020), and Yu (2018) and Yu (2018).

The straightforward approach is simply implementable in BEM models. The existing implementation already addresses the scenario of heavily loaded streamtubes; yet, even for static actuators, this region remains challenging. For future work, the model's simplicity and analytical formulation make it well-suited for optimizing and controlling FOWTs. The prediction of induction at the tip region is postponed till further research is completed.

405 ~~In this work we proposed the test and verification of several hypotheses (described in the introduction) that would allow us to derive and demonstrate that actuator disc momentum theory extended with a dynamic inflow model can predict the induction on an actuator in surge motion. The results presented and discussed demonstrated the validity of the hypotheses and the accuracy of the model against the results by de Vaal et al. (2014) and higher fidelity simulations with a semi-free wake model using vortex rings. The accuracy of the model was demonstrated for a large range of combinations of loading and motions, even beyond what would usually be expected in a floating wind turbine. In some cases, the travelling velocity of the actuator was twenty times that of the unperturbed wind speed and the amplitude of thrust variation was more than twice the average loading. The results also allowed us to confirm that although the increased frequency of motion can lead to higher amplitude of loading and velocity, the inertia of the streamtube results in an almost constant induction. The effect of motion tends to counter the variation of thrust (assuming a ΔC_T proportional to the surge velocity), and the induction at the actuator has a smaller variation with increased frequency. As hypothesized, there is no occurrence of vortex ring state or even turbulent wake condition. Although this had been raised by previous works, it was actually the result of an incorrect application of actuator disc momentum theory to the accelerated reference frame of the actuator (inspired by the conventional application when the actuator is an inertial reference frame e.g. a flying propeller). The proposed dynamic inflow model is inspired by the work of Madsen, and in its current formulation, is simpler than the formulation presented by Madsen et al. (2020). The simple algorithm can easily be implemented in BEM models. The proposed dynamic inflow model showed a very good prediction up to $r/R = 0.8$. However, it can be further developed to account for the outer 20% of the radius, including blade vortex interaction. The current implementation already addresses the case of heavier loaded streamtubes; this region remains challenging, even for static actuators. For future work, the simplicity of the model and its analytical formulation makes it suitable for optimisation and control of FOWTs. The prediction of the induction at the tip region still needs to be improved.~~

410
415
420

```

import numpy as np

430
def dynamic_inflow_model_Ferreira_moving_actuator(CT, Uinf, Uref, R, dt, u_act, u_str,
                                                    v_actuator=0, glauert=False, dynamic=False):
    # calculates the induction velocity at the center of
    # an actuator disc in surge motion
435    # developed by:      Carlos Ferreira,
    #                               Delft University of Technology, October 7th 2020
    # inputs:
    #     CT - thrust coefficient of the actuator
    #     Uinf - unperturbed wind speed
440    #     Uref - reference unperturbed wind speed in the inertial reference
    #            that contains the streamtube
    #     R - radius of the actuator
    #     dt - delta time
    #     u_str - streamtube induction velocity (changed in function)
445    #     u_act - induction velocity at actuator (changed in function)
    #     v_actuator - velocity of the actuator, default value=0
    # outputs:
    #     u_str - streamtube induction velocity (changed in function)
    #     u_act - induction velocity at actuator (changed in function)

450

    # define length scales for actuator/near wake scale
    # and streamtube/far wake scale
455    len_act = 1.*R
    len_str = 5.*R

```

```

if dynamic:
460     # update the reference unperturbed wind speed taking into account
        # the motion of the actuator
        expf=np.exp(-dt*Uref/len_str)
        Uref = Uref*expf+(Uinf-v_actuator)*(1-expf)
else :
465     # define the reference velocity as the same as the unperturbed wind speed
        Uref = Uinf

#calculate reference streamtube velocity
Ustr=Uref-(u_act+u_str)/2
470

# calculate value of forcing function for a
Uqs=np.array (CT/4*Uinf**2/Ustr)

# apply adapted Glauert correction if required
475 Induction_Glauert= 1-np.sqrt(1.816)/2;

if glauert:
    IndGlauert= np.logical_and(Ustr<(Uref*(1-Induction_Glauert)),Uqs>0)
    # IndGlauert -> index of cases that the reference streamtube velocity
480 # is lower than the criteria by Glauert and Uqs positive
    Uqs[IndGlauert]=-1.88254912-1.54029217*Uqs[IndGlauert]**(1/2) +\
        4.08622347*Uqs[IndGlauert]**(1/4) # from curve fit of Glauert's
        # correction for heavy loaded flow

485

# define time scales of convection of the wake for actuator/near wake scale
# and streamtube/far wake scale. We define them as the inverse of the time
# scale, to avoid divide by zero due to the velocity of the actuator

490 # time of relative convection of old near actuator solution
inv_tau_act_1 = (Uinf-0.5*u_act-v_actuator)/len_act
# time of convection of the new generated wake in relation to the actuator
inv_tau_act_2 = (Uref-0.5*u_act)/len_act

```



```

# time of convection of the old and new wake at stramtube/far wake scale
495  inv_tau_str = (Uref-0.5*u_str)/len_str

# calculate new values of the induction velocity at actuator
# and streamtube induction velocity
u_act = u_act*np.exp(-dt*inv_tau_act_1)+Uqs*(1-np.exp(-dt*inv_tau_act_2))
500  u_str = u_str*np.exp(-dt*inv_tau_str)+Uqs*(1-np.exp(-dt*inv_tau_str))

return u_act , u_str , Uref

```

Author contributions. CF imagined, proposed, and developed the ideas, reviewed previous work, proposed the hypothesis and methods, derived and programmed all models, created the simulations, the results and conclusions, and wrote the majority of the text. WY is an expert in dynamic inflow models who provided models for verification and checked consistency of the concept, discussed the idea, and reviewed previous work. AS developed the CFD simulations in OpenFOAM and provided key elements of the literature review, in particular the review of case studies by other authors. AV is a leader on FOWTs, discussed the ideas, and reviewed previous work. All authors contributed to the review process, both scientific and editorial.

Competing interests. No competing interests are present.

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The authors would like to thank the referees' valuable comments. As a result to answering the reviewers comments, significant changes were done, namely:

1. A more detailed explanation of the equations of the model was added.
2. A new section was added (Section 3.3), where the proposed dynamic inflow model is compared with several other
585 dynamic inflow models, namely the one by Pitt and Peters (1981) as described by Yu (2018), by Øye (1986) as described
by Yu (2018), the model by Larsen and Madsen (2013), the model by Yu (2018) (also described by Yu et al. (2019b))
and the model presented in the work of Madsen et al. (2020).
3. A new section was added (Section 3.4), where the proposed dynamic inflow model is compared with with CFD results
of the Actuator Disc in surge motion simulated in OpenFOAM, including radial distribution of the induction. The results
590 are also compared with those of the semi-free wake vortex ring model.

Several editorial changes were also done. The answers to each specific comment by the referees are found in the next pages, including a list of changes. The changed text is often printed in blue, except for figures and tables. Many editorial changes are not identified by marking the text blue, as not to overload the text.

We believe all comments have been addressed and the new additional content further proves the relevance of the model and
595 of the work. We hope the referees agree.

Cordially, Prof. Carlos Ferreira

Anonymous Referee # 1

Referee review of "Dynamic inflow model for a Floating Horizontal Axis Wind Turbine in surge motion" by Ferreira et al.

Referee's comment *The paper deals with a timely and interesting set of questions, related to the state of actuator disk/momentum theory for the case of oscillatory disk motions. Clearly this area is of interest for wind turbines placed on off-shore platforms that will oscillate back and forth and will change the inflow velocity being seen by the system. The overall conclusions, which this referee finds reasonable and interesting, is that if properly formulated, standard actuator disk approach still works, as long as the correctly chosen U -infinity(t) is used. The introduction and motivation are well described and the survey of prior work (in particular Fig 1) is very good. The introduction also gives the impression that a more fundamentals oriented rational method will be proposed to deal with non-inertial to inertial reference frames etc etc. that has caused confusions in the past. So that all seemed very promising.*

Answer: Thank you for this positive starting comment. The objective of the work is to derive a model based on correct physics.

Referee's comment *However, once the "meat" of the contribution starts being described, the material is suddenly presented as an "algorithm" to be implemented in python, etc. and there seems to be no connection whatsoever with any actual physics or principles being invoked. That is to say, where did Eqs. 20 and associated Eqs. for u_{act} , u_{str} etc, Eqs. 17 & 18 come from? There seems to be no connection with any actual physics or principles being invoked.*

Answer: Thank you for this helpful comment. The expression "algorithm" has been replaced by model. More importantly, text has been added/modified to explain the derivation of the equations.

Referee's comment *More specifically, in line 195 authors claim to be computing "new solutions for the streamwise induction velocity at actuator". What equation is being solved exactly and how is the solution obtained? Up to this point in the paper there is not a single dynamical evolution equation being presented. One would expect some equation of the form $du/dt = \dots$ and then the solution is Eqs 17,18 etc. Instead, what the authors seem to be doing is simply a-priori assuming that a time filtering will have benefits of some sort to be used as inflow for the model implementation to come later, but it does not look like Eqs. 17 and 18 are "solutions" to anything in particular. Only in point 6 of the introductory sentences there is a reference to a time-filtering method (Larsen-Madsen model). In that paper the time-filtering was motivated simply by saying something along the lines of "engineering model for response functions" including inertia of structures etc. How is that approach really justified in light of the very fundamental sounding comments made in the introduction of the paper? This paper should provide a clear discussion of these aspects.*

Answer: Several points are mentioned in this comment. We will aim to address all. The model aims to present an equivalent solution of the vorticity-velocity problem, in the perspective vorticity is shed at each time step and previously shed vorticity is convected away from the actuator at each time step. This can be approximated by a convolution of the current solution and a new steady state solution. This approach is on the basis of dynamic inflow models such as the one by Øye (1986), Larsen and Madsen (2013), Yu (2018) and Madsen et al. (2020). These models often referred to a filtering approach of the near and far wake, which is a reasonable description; we opted for the same description, but the language is not totally

correct. The text has been modified to avoid the word "filter" and instead present the evolution from one vorticity system to a new vorticity system.

Regarding the point of the need of an explicit $du/dt = \dots$ formulation, here one politely disagrees with the referee. The dynamic inflow model by Pitt and Peters (1981) (and also the ECN model) has an explicit time integration of du/dt because it models a linearized form of the unsteady momentum equation. The model of Øye (1986), although it presents a $du/dt = \dots$ formulation, is in fact solving the same convolution problem as the models by Larsen and Madsen (2013), Yu (2018) and Madsen et al. (2020), just with a different numerical integration procedure. The formulation of solutions that decay with time through an exponential of time (as these last cited models and the model proposed in this work) provides an implicit form of time integration and, a clearer interpretation of the phenomena. However, the equation presented in this work can be converted to a $du/dt = \dots$ formulation as Øye (1986). We have added/modified the text to make this clearer.

Referee's comment *Presentation of results (Figs. 3-5) show one cycle of resulting induction factor for various conditions and good results compared with the semi-free wake vortex ring model are shown. Was the inflow velocity time-filtering approach simply proposed by noting empirically from such plots that time-filtering the input would yield desired results? And parameters obtained by fitting the observed behaviors? That may be a fine approach for very applied settings, but unless better justified by analysis of governing equations, it does not seem to rise to the level of a scientific contribution since it does not seem convincing that it can be generalized in any way to other conditions.*

Answer: Choice of the formulation of the model was not based on what works. Once it was defined that the model needs to evaluate the solution in the inertial reference frame and accounts for the motion of the actuator, it was necessary to have a formulation that was invariant with the reference frame, and that is the vorticity-velocity formulation. The model needs to account for the change of the vorticity system, as new wake is shed and old wake is convected, and the relative position of the actuator in relation of the vorticity system. The text was modified to better explain this.

Referee's comment *In view of the above comments, it is recommended that the authors aim to justify and derive the "time-filtering" approach somehow, if that is possible. If not possible, publication in WES is perhaps not fully justified and also, then the characterization of prior work (references to past "confusions") should be reworded to avoid raising the readers' hopes that the present paper will clarify these things.*

Answer: The changes to the text should clarify the physics behind the derivation of the model.

Some additional comments for minor revisions, if useful:

Referee's comment *Abstract, first sentence: the statement "...surge motions .. when faster than the local wind speed, cause rotor-wake interaction." Do the authors mean to imply that only if surge motion is larger than, say, 8 m/s (local wind speed), there will be rotor-wake interactions? One would expect "interactions" even at much lower surge motion speeds.. Needs more precise wording. It seems when authors say "interactions" they have something very specific in mind but at this stage of the paper readers will have more general interpretations of "interactions" in mind.*

Answer: The text is modified to specify *blade-vortex interaction*. The abstract is also revised.

Referee's comment *Line 24: do the authors mean to say "a turbulent wake with the wake in front of the turbine?" since the normal state of turbine wakes is a turbulent wake state in the first place.*

Answer: The precise sentence written above was not part of the text. For clarification, "turbulent wake state" describes the streamtube loading condition and wake-breakdown/flow reversal downwind of the rotor. That is not the normal state of a wind turbine wake (which is turbulent, but not in "turbulent wake state").

670 **Referee's comment** *Sentences are often unclear referring to undefined properties that are perhaps coming later? Text needs careful proof-reading for such things. For instance, line 171, there is talk about "to be used later as a forcing function for the filter functions". At this stage of the paper, it is unclear what filtering functions this refers to. Again, wordings need to be critically reviewed throughout.*

Answer: The text, and in particular the section mentioned, has been changed and reviewed.

675 **Referee's comment** *I found the set of 9 "hypothesis" (lines 85-110) a bit tedious to go through, some read like the conclusions of which one is not yet convinced without reading the rest of the paper, others read like additional assumptions, etc. They really read like sentences in a research proposal and seem suboptimal at this place in the paper. I would recommend restructuring/shorten/or even delete 85-110.*

Answer: The text was modified.

Emmanuel Branlard (Referee)

680 **Referee's comment** *In this paper the authors present a dynamic inflow model suitable for FOWT, and verify the results against high and mid fidelity simulations. This is a nicely written paper, with interesting methods and conclusions. I have some general comments that I hope can improve the revision of the paper.*

Answer: Thank you for the kind comment. Your comments have been very useful towards improving the work. Thank you.

685 **Referee's comment**

- I believe the paper would benefit from adding more justifications for each of the important equations of the model. You'll find several specific comments in the pdf regarding this. *My general comments are the following:*

- *I believe the paper would benefit from adding more justifications for each of the important equations of the model. You'll find several specific comments in the pdf regarding this.*

690 **Answer:** *The text was modified to address this, including the comments in the pdf, which are listed below.*

Referee's comment - *Some results for various radial positions would probably be needed to support the conclusion that the model compares well with the ring model for up to $r/R=0.8$.*

Answer: *Section 3.4 was added, where the model is compared with CFD simulations and semi-free wake vortex model simulations, including results at different radial positions. These results are used to support the discussion and conclusion,*
695 *which are modified.*

Referee's comment

- *Comparison with similar models: How does the model compare with the model of Oye, and Hawc2? All models use two time constants. Oye's model has the advantage of being continuous. - I would suggest adding a discussion section to address the following points:*

700 - *Comparison with similar models: How does the model compare with the model of Oye, and Hawc2? All models use two time constants. Oye's model has the advantage of being continuous.*

Answer: *Section 3.3 was added, where the proposed dynamic inflow model is compared with several other dynamic inflow models, namely the one by Pitt and Peters (1981) as described by Yu (2018), by Øye (1986) as described by Yu (2018), the model by Larsen and Madsen (2013), the model by Yu (2018) (also described by Yu et al. (2019b)) and the model presented*
705 *in the work of Madsen et al. (2020).*

Referee's comment - *What are the limitations of the current model towards the tip? How could these be lifted?*

Answer: *Once again, we refer to the new Section 3.4.*

Referee's comment - *Vortex ring state: The paper mentions that vortex ring states do not occur as commonly thought, but I think this might need further justifications. The paper demonstrates that at high frequencies, the variation of inductions are limited, but variations are expected for lower frequencies. The cases studied in this paper were reasonably far from "high thrust" conditions. I think it would be worth investigating the variation of amplitudes of "a", for various "k" and "CT", and try to reach the vortex ring state. There has to be a point where the vortex ring state will be reached. (Obviously, this will likely go*
710

beyond the region of validity of the model and the vortex-ring-based models, so it will have to be treated with care – I do not expect the vortex-ring based model to accurately capture the vortex-ring state which will be highly turbulent and diffusive.).

715 The question that could be answered and would be really interesting would be whether the vortex ring state model occurs "sooner" (for some low frequencies maybe) than one would expect from the steady conditions (zero frequency), or "later", or simply "at the same time". I think such an investigation will really add to the paper (again, keeping the limitations of both models in mind). At least a small moderation on the fact that the vortex ring state was not really "tested" would be great (I understand that the study still makes a point that it was not reached for "moderately loaded" rotors).

720 **Answer:** Previous authors claimed that high thrust coefficients occurred because the perceived velocity in the reference frame of turbine becomes very low or negative, and that this represented a vortex ring state . That interpretation is incorrect. However, regardless of the motion, the streamtube can enter vortex ring state if a large loading is applied for a long enough time. So, the work does not mean that vortex ring state cannot occur, only that the interpretation of the velocity perceived in the reference frame of the wind turbine does not represent vortex ring state. The text is modified to further clarify

725 this.

Referee's comment

Congratulation for your work, I'll be looking forward to review a revised version of this paper.

Emmanuel I enclose some specific comments (along the lines of my general comments) in the pdf enclosed.

Congratulation for your work, I'll be looking forward to review a revised version of this paper.

730 Emmanuel

Answer: Thank you very much for the additional annotations and the overall appreciation. The answers to the comments in the pdf can be found below.

Annotations by second reviewer

Referee's comment suggest stressing again here that v_{act} is constant (time invariant). (note on p.2)

735 **Answer:** Thank you for the very good suggestion. The text has been added explaining Equation 2 is only valid when v_{act} is constant.

Referee's comment suggest: arbitrary or periodic (note on p.3)

Answer: Thank you for the very good suggestion. The text was modified.

Referee's comment I would suggest using small omega to avoid confusion with Omega typically used for rotor speed. The context is yet clear in this paper. (note on p.5)

740

Answer: Ω was replaced to ω

Referee's comment How realistic is it to assume a uniform and sinusoidal CT distribution? I'm guessing you have found this to be true using higher fidelity/vortex method. Could you discuss/mention this a bit here? (note on p.5)

Answer: Thank you for this observation. It also connects with the next observation. The following text was added: The sinusoidal loading approximates the load oscillations observed by other authors, as described in Section... . The load change is a first-order result of the sinusoidal change in the non-entry boundary condition on the blades/actuator surface caused by the sinusoidal motion (this is further expanded in Section...).

745

- Referee's comment** You can maybe add here the formula that supports this sentence (I'm a formula person..) (note on p.5)
- Answer:** Thank you for the suggestion. Equation7 was added and the text was extended to explain it.
- 750 **Referee's comment** It took me a bit of time to understand this figure. Could it maybe be made clearer in the text that this figure simply shows what are the "operating conditions" tested in the literature. (note on p.6)
- Answer:** The caption of the figure was changed to indicate this.
- Referee's comment** I believe the model of Oye (found also in the book of Martin Hansen) also uses two time scales, and predates these references. (note on p.7)
- 755 **Answer:** The reviewer is absolutely correct. A reference to the earlier work by Øye has been added. The Øye model is also used in Section 3.3.
- Referee's comment** Is this model not also inspired by the one from de Vaal? (note on p.7)
- Answer:** The simulations by de Vaal were in Fluent. Or is the reviewer suggesting another reference? The text is not changed.
- 760 **Referee's comment** Potentially use v_{act} in this formula (note on p.8)
- Answer:** The formula is correct according to the derivation. It is not a typo. The formula was not changed.
- Referee's comment** Can you mention how this formula was obtained as a quasi-steady solution? (note on p.8)
- Answer:** The text has been modified to explain the formula more clearly. The formula is an adaptation of the 1D actuator disc thrust equation, where the term of mass flow rate is changed to the weighted term.
- 765 **Referee's comment** Could you justify the use of this formula? For an actuator disk moving against the wind, I would think the convection velocity would be $U_{inf} - u_{act} - u_{str}/2$, no? Maybe this could be mentioned/discussed in the text. (note on p.8)
- Coming back up here, I noticed that you have both the notion of u_{act} and v_{act} . It was not clear to me that there was a distinction between the two. What is meant by the induction velocity of the actuator disk? (Similarly, the other terms in this equation might need to be clearly introduced and defined to avoid confusion). (note on p.8)
- 770 **Answer:** The text has been expanded to include a more detailed explanation. u_{act} is the induction at the location of the actuator in the reference frame of the reference wind speed. the velocity of motion of the actuator is defined as v_{act} .
- Referee's comment** It was not clear to me that this was not already the case. Could you stress above (or using subsections) that the first developments are for a constant v_{act} ? (note on p.9)
- Answer:** The derivation of the model was for the case of a oscillatory motion (average displacement is zero). The
- 775 additional equation allows to consider a reference frame of unperturbed wind speed and an actuator motion which as a non-zero average displacement (e.g. forward motion plus oscillatory motion). The text was modified to make this clearer.
- Referee's comment**
- I believe Oye uses u_{int} for instance. (note on p.9) It seems that u_{act} is actually an intermediate induced velocity. Can you give a physical meaning to this velocity? I would suggest another notation, because u_{act} has been confusing me above, it can
- 780 easily be confused with v_{act} .
- I believe Oye uses u_{int} for instance. (note on p.9)

Answer: u_{act} is not an intermediate velocity, it is the induction at the actuator. the definition of u_{act} was edited to be made clearer.

Referee's comment Could these equations be written in continuous form? (like Oye) (note on p.9)

785 **Answer:** Yes. But this formulation has an higher order of numerical integration.

Referee's comment It might be worth (somewhere in the text) to mention how this formulation differs from Oye's formulation, and Hawc2 formulation. My first impression is that they are very similar, modulo some scaling and definitions of time constants. (note on p.9)

Answer: The comment is correct. A text referencing this was added.

790 **Referee's comment** More justifications would be needed here the choices do not appear straightforward to me. Could you discuss/justify them? Could you mention why were the induced velocity are not used in the time constants for instance? (note on p.9)

Answer: Text was added to justify the lengths scales as relations to the scales of wake expansion and vorticity-velocity solution system. The second question of the reviewer is not clear, as the induction velocity is used to determine the time scales.

795 **Referee's comment** Potentially mention in parenthesis the sign of vact. (note on p.9) **Answer:** Added to the text.

Referee's comment Could you precise in the text which reference velocity is used to define a and CT ? (note on p.10)

Answer: All values are defined in relation to U_{∞} . Text was added to this effect.

Referee's comment Could the results of the dynamic inflow model be plotted at different radial position too? (note on p.10)

800 **Answer:** The formulation of the dynamic inflow model is 1D. The radial variation, which is modelled in other dynamic inflow models, has not been translated to this new model. That topic is left for future research.

Referee's comment Is there a reason for this choice? How does the model perform at other radial stations? Stronger induction effects might be found at larger radial position (closer to the wake). Could you show a small study for different radial position? (note on p.11)

Answer: As in the previous comment, the dynamic inflow model is 1D. In the text, the reference to "center of the actuator" was removed.

805 **Referee's comment** It might be worth stressing in the figure which velocity is used to define a and CT . (note on p.12)

Answer: Text was added to the effect.

Referee's comment It might be worth discussing what's "wrong" with the model towards the tip. (note on p.17) **Answer:** This was addressed in a previous comment. The text has been modified to address this.

810 **Referee's comment** I don't think this was presented in the paper, or I might have missed it. Presenting some results for this would be great. (note on p.17) **Answer:** This was presented in Section 3.1. However, the text was modified for clarity.