

Reply to comments and changes made in the paper

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The authors would like to thank the reviewers for their efforts and valuable comments. They are very much appreciated and incorporated into the revised paper.

In the present document the comments given by the reviewers are addressed consecutively. The following formatting is chosen:

- The reviewer comments are marked in blue and italic.
- The reply by the authors is in black color
- A marked-up manuscript is added below. Changed sections with regard to the comments by the reviewer are marked in orange. Paragraphs with corrections regarding the language are marked in blue. The text passage in the paper related to the reviewer comments are marked by abbreviations and are highlighted in the manuscript below.

Reviewer#2 comments

1. "*This draft presents a significant amount of work, done around relevant topics for wind energy. The paper's organization is ok, though writing is a bit convoluted in places, and should be proofread by someone with a native English proficiency level.*

Despite significant effort behind the submission, unfortunately this reviewer must regrettably opine that the paper in its current form does not merit publication in WES, on several grounds. A primary reason is essentially a lack of documentation of what has been done, as seen by the numerous point-by-point (specific) comments below; a reader would not be able to reproduce the results shown, even if they had the same model and data. Another chief reason is that the paper is qualitative, not providing quantitative results nor grounding its arguments and claims in a quantitative fashion; it further includes various claims without references or (quantitative) support. There is also a general lack of understanding of the atmospheric boundary layer exhibited, including a number of incorrect statements.

The overall (qualitative) conclusions are basically not new nor unexpected, though the visualizations supporting them are quite nice; again some quantitative analysis could help (see specific comments below)."

Thank you for the very detailed comments Mr. Kelly. We have looked at the requests for improvement and have made an effort to respond to all of them. The questions are answered below and the resulting revisions are highlighted in colour in the revised paper. A person with a native English proficiency level has checked our paper, we have endeavoured to show more clearly what exactly was done and we have supported the qualitative results with much more quantitative analyses to increase the scientific usefulness of this work. We have taken all comments seriously and also corrected and supplemented everything requested. The detailed responses and revisions are shown below. The authors would also like to thank you for

the attached file and the helpful hints for improving the language. See **R2: Comment 1**, especially for the more in-depth quantitative analyses.

2. *"l.21-22: To avoid confusion/ensure clarity I suggest you change the term over-speed here to "speed-up", since the latter is often used in wind energy while the form can refer to something else in some contexts (e.g. performance of linearized models in complex terrain)."*

The authors agree with this suggestion for improvement and have adapted the respective passages in the revised paper. Please see **R2: Comment 2**.

3. *"l.22-23: what is the purpose/meaning of the statement "can be mapped well"? Linearized flow models (e.g. WAsP's IBZ) can also give maps of speedup... It appears you are trying to say that mean speed-up and inclination angles can be predicted with some accuracy over inhomogeneous terrain using RANS. But note that RANS also encounters difficulty over sufficiently complex terrain."*

We have explained more precisely in the paper what we want to say: The point is that usually RANS methods can reproduce mean quantities, such as a time-averaged velocity field or the time-averaged inclination angle, decently. Of course, there are also weaknesses of these methods over sufficiently complex terrain, which we have explained better. See **R2: Comment 3**.

4. *"l.23-24: the LB sentence appears out of place, with RANS statements both preceding and after it. I'd suggest moving it."*

Yes that's right, this sentence is somewhat out of context at this point. the LB sentence has therefore been removed completely. Please see **R2: Comment 4**.

5. *"l.24-26: To help the reader, perhaps introduce DES as a hybrid RANS-LES method; LES should also be introduced separately."*

For a better understanding of the numerical methods, we have added explanations of the LES methods and extended the explanations of the hybrid DES methods. See **R2: Comment 5**.

6. *"l.27-28: This is a bit of a mis-statement, being conditionally untrue. RANS can also account for forest and stability effects, both alone or together (e.g. Sogachev et al, 2012; van der Laan, 2020)—but usually with less accuracy than LES or DES."*

Yes, we agree. The sentence sounds as if it is not at all possible to simulate/model forests or thermal stability with RANS methods. We have now clarified the point we were intending to make about the use of hybrid methods and added the reference of Sogachev et al. (2012). We wanted to show that the very turbulent forest wake and also the turbulent flow field in general can be predicted better with DES methods than it is the case for RANS methods. See **R2: Comment 6**.

7. *"l.37: note the TKE-stability relationship predates Desmond et al (2017) by several decades. Please refer to the earlier papers as well...Ned Patton had several articles for forest and stability in regards to TKE; Sogachev had several papers from 2005-2012 on this using RANS, and in terms of measured data (forest or not) Kelly/Larsen/Dimitrov/Natarajan (2014) shows this from directly measured jPDFs."*

Thank you for this very good input! We have taken a closer look at these papers and have added references and explanations of these papers on effects of forests and thermal stratification on the local flow field in the revised paper. Please see **R2: Comment 7**.

8. *"l.40: 'examined' is not the appropriate word here. Also, how did the 5% discrepancy "therefore" lead to it being "accurately captured by measurements and simulations"? Do you mean that the latter are need to (or should) try to account for such "discrepancy"? What is even meant*

by "discrepancy" here—do you mean predictions compared to assuming flat neutral conditions, or which?"

We have reworded this section to avoid misunderstandings and to clarify what the 5% discrepancy refers to and why it is important to consider thermal stratification in simulations. Please see **R2: Comment 8**.

9. *"l.47: do you literally mean "drifts", or "spreads"? This distinction is important."*

That's right. It's a small detail, but one that makes a big difference: we meant "spreads". See **R2: Comment 9**.

"l.56-57: state that it is DDES (since CFD is a non-specific term)."

Done. See **R2: Comment 10**.

"l.84: what is meant by "quickly"? Note physical dimensions, including relation to turbine position (distance to rotor)."

We have corrected these inaccurate statements and provided information about the dimensions and reasons why they were chosen. See **R2: Comment 11**.

"l.94 do you not mean z^+ and not y^+ ? How is y^+ (or z^+) defined? Which boundary layer do you mean—presumably the viscous sublayer? Or do you mean that a log-law will suffice/arise above this?"

Yes, that's right, it should actually be z^+ , which has also been corrected. In the case of aerodynamic flow around structures, one often speaks of y^+ , which, however, does not fit the present coordinate system. Accordingly, z^+ ($z^+ = \frac{zu_*}{\nu}$) is calculated from the wall distance z , the friction velocity u_* and the kinematic viscosity ν . In the marked area **R2: Comment 12**, further explanations of this value have also been added to the paper.

"l.105: by "turbulence", do you mean "turbulence field"? Also, is it 2d, 3d, or 4d?"

Yes exactly "turbulence" is too inaccurate, we are talking about 2-dimensional unsteady turbulence fields. An additional explanation has been added. A more detailed explanation follows later in section 2.5 with the explanation of the inflow setup. See **R2: Comment 13**.

"l.107: Regarding "0-order extrapolation", please include reference (and/or explain), as "zero-gradient" is more commonly used/understood in wind energy CFD..."

The authors agree with this statement. The expression "0-order extrapolation" means that the gradients are set to 0 and therefore we have adopted the reviewer's suggestion for a better understanding. Please see **R2: Comment 14**.

"l.112: by "atmospheric boundary layer", it appears you are meaning the profiles and/or solution of pressure, density, and temperature. Please be clear here, and note that atmospheric boundary layer refers to the lowest part of the atmosphere up to the first temperature inversion (e.g. see textbook by Wyngaard, 2010). "

Thank you for the advice. We have clarified this statement to avoid misunderstandings about the atmospheric boundary layer. We clarified that we are talking about the flux calculation, which is performed in the wall nearest 10 m using the JST method and above that using a 5th order WENO method for better turbulence preservation. See **R2: Comment 15**.

"l.115-116: Is the Menter SST model used for just the RANS part? What subgrid turbulence model is used for the LES part?"

Yes, the Menter SST model is used for the RANS region. By replacing the turbulent length scale L_{RANS} outside the boundary layer with the LES filter width, the turbulence model behaves like

a Smagorinsky sub grid scale model. Thereby it generates eddy viscosity as a function of the strain rate and the filter size. Please see **R2: Comment 16**.

"l.120: What do you mean by "sheared velocity profile", or why include this statement? Zero shear is very unlikely over a sizeable fraction of the atmospheric boundary layer, except the mixed layer within the CBL."

Thank you for this input. This statement is intended to clarify which position or which height served as the reference position for the specification or calculation of the CFL number. Many aerodynamic studies in wind energy are investigated under uniform inflow conditions. Consequently, the CFL number is constant over the height. Our statement is therefore intended to emphasise that the reference value for a velocity profile that increases with height was set at hub height. We have changed this formulation to eliminate misunderstandings like this one See **R2: Comment 17**.

"l.191-193: the description of Mann-model parameters is not correct; please see annotated PDF file. The Mann-model only has/needs 3 parameters, not including turbulence intensity. If you use TI to scale the turbulence per $\alpha\epsilon^{2/3}$ and box size (e.g. for σ per the IEC 61400-1), then this must be stated and elaborated. See Dimitrov et al. and Kelly."

In the paper, we have now clarified how the general procedure in our institute is to generate turbulence with the Mann model that also corresponds to a desired target turbulence intensity:

The Mann model requires input of L , Γ and $\alpha\epsilon^{2/3}$. The model is based on the spectral tensor of the ABL and, using the Rapid Distortion Theory (RDT), maps a model spectrum which is transformed into a field of velocity fluctuations by an inverse Fourier transformation. The procedure of our turbulence generation model then takes the Mann model parameters and a target turbulence intensity Ti , which the generated field should have at reference height (in our case, the hub height). In a calculation step, the defined Ti is converted into a standard deviation σ_u and set in the field. Finally, the tool generates a three-dimensional field of velocity fluctuations, the so-called Mann box. See **R2: Comment 18**.

*"l.209-210: "As the...similar magnitudes for neutral and unstable" is not correct. epsilon is not affected by stability. Also, the magnitudes of mechanically generated turbulence in neutral conditions is comparable to thermally-generated turbulence in convective conditions, only for certain stabilities (1/LObukhov) and heights for a given value of surface-layer momentum-flux (u^*2)."*

We have corrected this incorrect statement and deleted wrong phrases. We have corrected this in the course of the above mentioned corrections to the Mann-model parameters. Therefore, please take a look at **R2: Comment 18**.

"l.228-231 (or all of section 2.6): does your method recover M-O similarity in the atmospheric surface layer? If so, please include reference. If not, this must be mentioned, because then all of the results must be considered only qualitative, and this needs to be stated up-front."

Yes, our model recovers M-O similarity in the surface layer. In the first submission of the paper, the references to this were missing. We have added these to make the theory behind it clearer to the reader and to make later analyses, which for example refer to the probability distribution of different thermal conditions analogous to Kelly and Gyrning (2010), easier to understand. Please see **R2: Comment 19**.

"What is the capping-inversion (depth of the ABL) set to? What is the inversion strength?"

We added information about the depth of the ABL and the inversion strength for the case in the results chapter 3.2.

Since the line number is missing that you are referring to, we assume from the context that the information for the simulations in the observation period from 10.03.2021 is also missing. We have therefore added information about the depth of the ABL. See **R2: Comment 20**.

"l.261-262, 308-309: how did you choose these specific values of LAI? Please include references. l.263 onward: How did you assign the LAI profile, or was it taken to be constant? Or did you get it somehow from the LGL?"

As most of the Swabian Alps, the part of the so called Albtrauf shown here is covered mainly by beech and partly by spruce. It is therefore a mixed forest, but dominated by beech. We have also included a reference to the vegetation types in the Swabian Alps. Through personal visits to the test site, as highlighted in Figure 6, the vegetation could be observed in more detail. In this way, the mean LAI values of the forest were set to 4.5 in summer and to 2 in winter. Measured data of the LGL were only available for the tree heights (see Figure 5) and not for the LAI values. Exact measurements of the foliage density are planned in subsequent projects on the test field. In the following, however, the LAD profiles were not set constant over the height. To model the forest as accurately as possible with the LAI value and the known tree heights, the empirical model of Lahic and Mahilovic was used. With this model it is possible to model LAD profiles when passive (e.g. Lidar drone measurements) or active (destructive) measurements of leaf area density are not available. The equations (2) and (3) and explanations have been added to the paper in order to outline the modelling of the forest and to enable a replication of the simulations shown. See **R2: Comment 21**

"Fig.8: it's nice figure, but it would help to have the velocity color-scale not include any green (say try blue or purple), since the height scale also includes green."

We have changed the colours in the illustration as requested. Please see **R2: Comment 22**

"l.295-296: regarding "The small curvatures of the streamlines and velocity changes are only caused by atmospheric turbulence", you should quantitatively support this."

We have confirmed this statement with quantitative values. See **R2: Comment 23**

"l.321-322: how can the three simulations have "the same inflow conditions and turbulence characteristics", if they have different stratification? Even if you define this in terms of σ_u or turbulence intensity at one particular height, or u_ and $u[z \text{ some height}]$, there are different turbulence length scales involved, and differing variations across z ."*

Our focus is on the aerodynamic analysis of the wind turbine under various conditions in the test field in complex terrain. The aim of results part 1 (section 3) is to consider different topographical and thermal effects separately in order to be able to correctly interpret a real observation period at the test site. In this way, the topographical and thermal influences acting on the wind turbine in the real observation period can be investigated separately (for example the effects on the phase-averaged power analysed in Figure 25).

For the three simulations shown here, we have deliberately used the same unsteady turbulence generated from the Mann model in order to be able to evaluate the influence of the buoyancy acting differently in all three cases (neutral, stable, unstable). We are aware that different thermal conditions also cause different length scales, different anisotropy, different turbulence intensities and also different shear of the velocity profiles. However, we deliberately neglected this for this purpose for the reasons mentioned above. Thus, with individual studies, we were able to look at how individual effects affect the system and its wake. These effects could then be considered in the real observation period combined with a further simulation in the result part 2 (section 4). However, in order to present all this more clearly, we have adapted the respective passages in the revised paper. See **R2: Comment 24**.

"l.325 $TI=8\%$ at what z ?"

That is a good hint. We have added this information to the manuscript. Since the wind turbine is the main focus of these analyses, the reference turbulence intensity was set at hub height ($z = 72$ m) for all performed simulations. See **R2: Comment 25**.

"l.325-326: regarding "assessed at the same time", how can these be compared, if e.g. different spin-up times are needed due to the LES handling most of the domain? Why 4 minutes, and which are averaged versus which are "same time"?"

We have made these statements clearer in the paper in order to avoid confusion regarding the time or time periods. Initially, a Mann turbulence box was created and used for all these simulations. This turbulence was propagated through the field. After the turbulence had passed through the domain once, the analysis period was started. The statement "at the same time" means that instantaneous analyses were evaluated according to the same time step, as in Figure 11, in order to make them comparable with each other. Time-averaged analyses were always carried out over the same time period, such as in Figure 12.

4 minutes are suitable in our analyses because the focus is on the high-fidelity simulations of the fully-resolved wind turbine. Due to our small time steps and our fine resolution of the grid, larger time periods are very expensive. However, because we carry out load and power analyses with time steps of approx. 2° azimuth and also carry out analyses of only a few minutes, this is deemed to be sufficient. See **R2: Comment 26**.

"l.329-330: You cannot say these conditions are "likely to occur in nature" unless you compare to measured statistics. E.g., what is the diagnosed (implied) reciprocal of Obukhov length? If it fits into the high-probability part of the PDF's of $1/LO$ found in e.g. Kelly+Gryning(2010), then you can state (how) "likely"."

Thanks for this remark! As suggested, we performed the analyses analogous to Kelly and Gryning (2010) to make a quantitative statement about the probability distribution of the stratification conditions present here. See **R2: Comment 27**.

"l.327/Fig.10: mean potential temperature should be indicated by Θ (θ), by convention/for clarity."

We have now presented the potential temperature in the paper as θ for consistency and for comparability with the literature. In addition to Figure 10, this also applies to Figure 4. Please see **R2: Comment 28**

"l.359-361: this is not really correct. For a given geostrophic wind (pressure gradient), the larger shear in stable conditions (less transfer of momentum up/down due to buoyant suppression of vertical motions and turbulence) means that velocities near the ground are lower—not the other way around. It appears that above the rotor in Fig.13 your simulated dU/dz and $U(z)$ are both the same in stable and unstable conditions; this is likely an artifact of not having a pressure-driven ABL, and not really physical unless some very special situation arose to cause it (perhaps the escarpment helped). Also, typically the ABL depth in stable conditions is a fraction of what it would be in unstable conditions, which will also affect this. "

Thank you for the remark. Here we have made major changes in the revised manuscript. For a qualitative comparison of the different stabilities, we had performed simulations with the same inflow data as described in section 3.2, being aware that different stability conditions also affect shear, wind speed and also turbulence characteristics. As mentioned before, we performed these simulations in order to evaluate step by step the different inflows before the wind turbine was aerodynamically investigated in a real observation period with all these previously evaluated effects combined in section 4.

However, thanks to the remark we realized that here a quantitative evaluation of the simulations with the same inlet turbulence, shear, ABL depth makes less sense than the qualitative evaluation performed above. Because we only consider the pressure-induced buoyancy and neglect the effects mentioned in the previous sentence the criticism here is valid. Quantitative analyses only make sense if, as in Section 4, all effects, such as the correct shear at the respective stratification as well as the correct turbulence characteristics and also ABL depth are also taken into account. For these reasons, we have removed this figure and the associated statements. See **R2: Comment 29**.

"l.366/fig.13: this is without the turbine present; please label as such."

Due to omission of Fig. 13 in the revised manuscript the labeling is obsolete. For **R2: Comment 30** see **R2: Comment 29**

"l.374: mean flow field? Or temporal standard deviation of just the horizontal velocity component, taken over some time? What is the averaging time, is it e.g. 4min?"

Here, the standard deviation $\sigma = \sqrt{\sigma_u^2 + \sigma_v^2 + \sigma_w^2}$ is analysed, which was evaluated over four minutes. We clarified this. See **R2: Comment 31**.

"l.378: the buoyancy force alone does not "determine the mean flow field", though it can significantly affect the mean velocity profile."

We have shown more clearly that buoyancy has a very strong influence on the flow field in the present case, but the word "determine" was too dominant in this context. We have corrected this statement. See **R2: Comment 32**.

"l.426/fig.17: to simply add the standard deviations is not correct; the vectorial nature gives magnitude equal to $\sqrt{\sigma_u^2 + \sigma_v^2 + \sigma_w^2}$."

Thank you, we have also realized this mistake directly after the paper was submitted. The analysis was actually carried out for $\sigma = \sqrt{\sigma_u^2 + \sigma_v^2 + \sigma_w^2}$, it was just noted incorrectly in the paper. See **R2: Comment 33**.

"l.445-446: What is meant by "adjusted"? How was this done?"

We have included a detailed explanation of the adjustment. See **R2: Comment 34**.

"l.448-450: Why was Gamma set to 3.9? See e.g. Kelly(2018) and also Chougule et al.'s articles for comparison. Also from Kelly(2018), note that $\alpha \epsilon^{2/3}$ can be set by knowing L and σ_u ; this way one does not arbitrarily set $\alpha \epsilon^{2/3}$."

Yes thank you, we noticed this error as well. Fortunately, this is only a typo. The anisotropy was modelled with $\Gamma = 2.9$ analogous to these slightly unstable conditions. We have corrected this. The modelling of the turbulence from the parameters of the Mann model were also adjusted as described in Comment 18. The revised paper was also adapted in this respect. See **R2: Comment 35**.

"l.451: what was the measured veer? What is considered "insignificant"?"

At the heights investigated, the maximum difference from the main wind direction was 5.5° in the averaged time window. Therefore, the wind veer was classified as negligible. See **R2: Comment 36**.

"Numerous English language/usage elements come up, many of which are further/only noted/corrected in the attached annotated PDF of the draft. " all the effort and the suggestions in the attached PDF file. We have improved the revised manuscript and corrected other linguistic deficiencies. These and all other linguistic improvements are marked in blue. See **R2: Language**.

"l.54: URANS has not been defined"

A short explanation for URANS as unsteady Reynolds-Averaged Navier-Stokes was added. Please see **R2: Comment 38** .

"l.60: what is meant by "The fully meshed wind turbine has been integrated in its designated position"? Please be clearer, to help the readers."

This means that the wind turbine was positioned in the numerical domain exactly at the position where the real turbine is currently under construction in the test site. We have clarified this in the revised manuscript. See **R2: Comment 39**

"l.64-66: this is a bit confusing; re-word, see annotated PDF."

We have also changed this in the sections marked in blue as part of the language improvements. See **R2: Language** .

"l.76: "account for" should be "avoid""

Thank you. We corrected this sentence. See **R2: Comment 41**

"l.78: re-order this sentence"

Yes, this sentence sounded a bit weird. We rewrote the sentence. Please see **R2: Comment 42**

"l.82: add reference for "hanging grid nodes", and/or explain what this is."

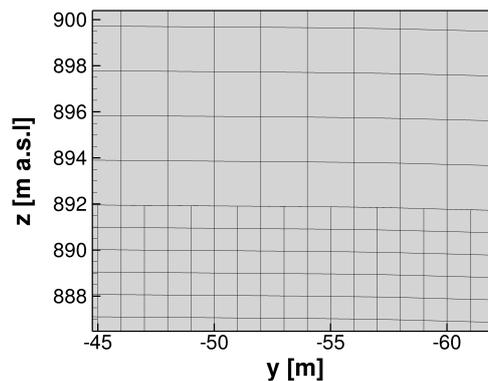


Figure 1: Illustration of Hanging Grid Node transitions in one plane of the grid

Hanging grid nodes mean that blocks of the mesh with different resolutions are adjacent to each other and that a resolution jump occurs at the border between them. Figure 1 shows an example of such a sharp transition from a resolution of 1 m to a resolution of 2 m. The transition is realised with the help of auxiliary cells. These auxiliary cells are also called dummy layers. The values of the adjacent block are transferred into these cells at the beginning of each Runge-Kutta cycle and serve as the calculation basis for the adjacent physical cells. See **R2: Comment 43** .

"l.82-83, 84-85, perhaps elsewhere: use a non-breaking space between each quantity and its unit."

Thanks for the hint. In the revised manuscript we avoided the line break between number and unit in these cases as well as in all other cases. Please see **R2: Comment 44** .

"l.128: "divided by"? Do you mean decomposed into?"

No we meant that we wanted to quantitatively analyse the resolved areas in the numerical domain k_{res} to identify which areas are resolved and which areas are modelled. We did this by dividing the resolved TKE k_{res} by the total TKE k_{tot} . The ratio $\frac{k_{res}}{k_{tot}}$ indicates to which extent turbulence is resolved in certain domains. We concluded that only the wall nearest 0.5 m are

modelled by URANS. We have now described this process more clearly and in more detail. See **R2: Comment 45**.

"l.130: modeled by URANS"

Thank you. This has now been corrected. See **R2: Comment 46**.

"l.212-213: Chougule et al (2016) looked at extending the Mann-model in non-neutral conditions, not just RDT; the latter was considered rather by Hanazaki+Hunt(2004). "

We have corrected this statement and also included the reference from Hanazaki and Hunt as the basis for all these adjustments for the Mann model with non-neutral stratification. Please see **R2: Comment 47**.

"l.247-248: is it possible to include a reference to these datasets/digital models?"

We have added Figure 2 to explain the differences between DEM and DSM models. This Figure shows the differences between the two models. The test site of the DEM model is only characterised by the orography, whereas the DSM model also shows vegetation, houses, etc. We have received the data directly from the LGL. There is no specific reference, so we have added Figure 2 in the revised manuscript to ensure reproducibility of the setup and the results. Due to the (height) differences of these models it is possible to determine dimensions of trees etc. See **R2: Comment 48**.

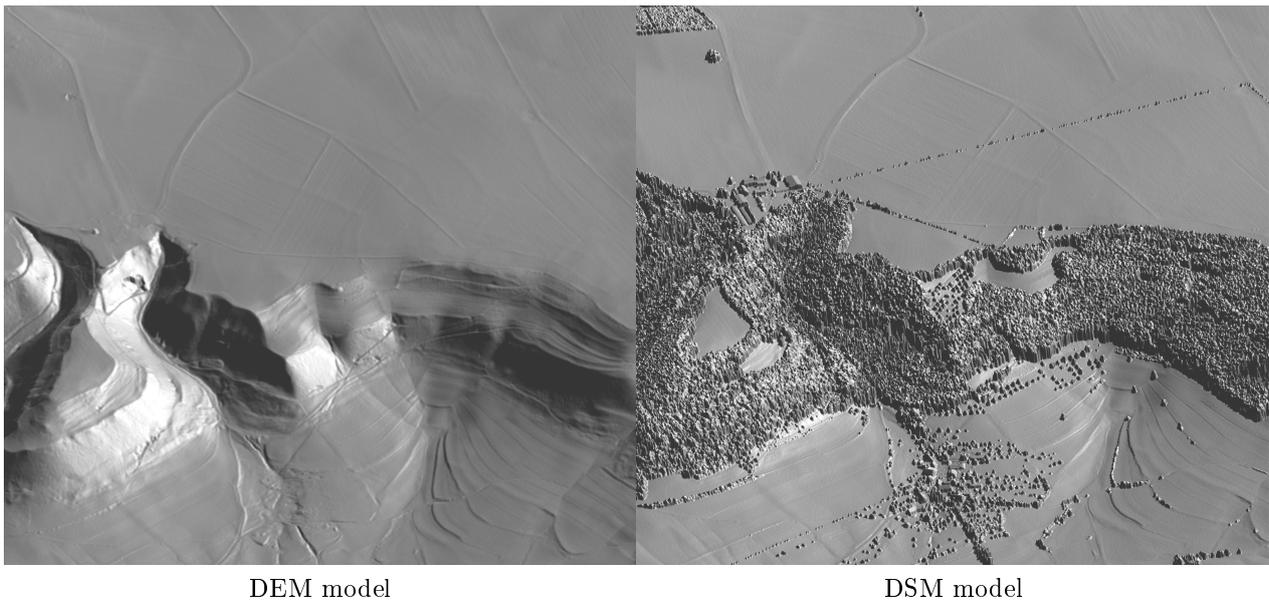


Figure 2: Comparison of the DEM and DSM models of the test site in complex terrain

CFD Studies on Wind Turbine Interactions with the Turbulent Local Flow Field Influenced by Complex Topography and Thermal Stratification

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Abstract. This paper shows the results of CFD studies of turbulent flow fields and their effects on a wind turbine in complex terrain. As part of the WINSENT project a research test site comprising four meteorological masts and two research wind turbines is currently being constructed in the Swabian Alps in Southern Germany. This work is an essential part of the research of the Southern German wind energy research cluster WindForS. The terrain site is characterised by a densely forested escarpment and a flat plateau downstream of the slope. The met masts and wind turbines are built on this plateau.

In the first part, high-resolution CFD simulations are performed to separately investigate the effects of the forested escarpment and of thermal stratification on the flow field and on the wind turbine accordingly. In the second part, all the examined effects are combined for a real **R2: Language** **observed** case in March 2021. There, unstable conditions prevailed and the forest shows low leaf area densities due to the wintertime.

It is shown that atmospheric turbulence, forests, orographies, and thermal stratification must be considered when assessing the impact of wind turbines in complex terrain. All of these effects influence the flow field both at the turbine position as well as in its wake. Turbulent structures of the forest wake cross the rotor plane temporarily and thereby affect the turbine inflow. Moreover, convective conditions and upward flows caused by the orography have an impact on the performance output as inclined flows result in asymmetric torque distributions. The wind turbine wake and the forest wake mix further downstream, resulting in a fast decay of the turbine wake. The paper also describes how the turbulent flow in the wake changes in the presence of thermal stratification.

1 Introduction

In terms of wind turbine site assessment, complex topographies remain a major challenge. Because some research questions on this topic are still unanswered, the importance of these investigations has been recognised for many years. Wegley et al. (1980) evaluated wind fields in complex terrain and demonstrated that orography-related

R2: Comment 2 **speed-up** of the wind is conducive to the beneficial use of wind energy. Using Reynolds-Averaged Navier-Stokes (RANS) methods, **R2: Comment 3** **the time averaged speed-up and the mean inclination can in**

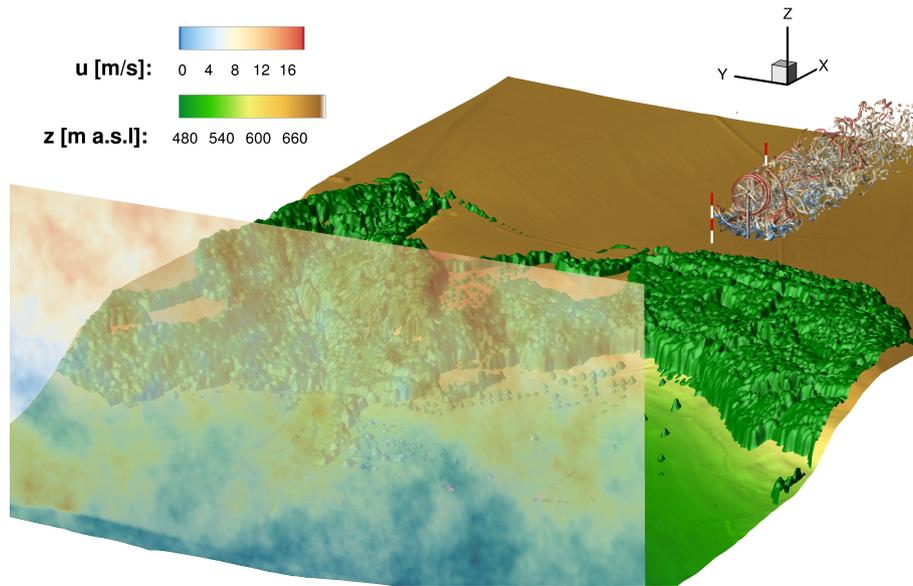


Figure 1. Overview of the numerical flow situations in the test field. In addition to the orography and the turbulent inflow plane, the wind turbine and its interaction with the flow field was also highlighted by λ_2 vortex structures

25 general be predicted decently (Brodeur and Masson, 2008). But RANS methods encounter difficulties over sufficiently complex terrain and also in the case of strongly changing conditions of the local flow field, which cannot be adequately modelled. **R2: Comment 4** ~~Lattice Boltzmann methods are successfully used to simulate wind fields in complex terrain (Schubiger et al., 2020).~~ **R2: Comment 5** With the assistance of filtering, it is possible to numerically resolve large-scale (grid scale) eddies by means of Large Eddy Simulations (LES). Thus, large-scale eddies can be resolved numerically, whereas small-scale (sub grid scale) vortices are still modelled as with RANS

30 methods. However, LES methods also require immensely large grid resolutions in the vicinity of the wall, which is why they are not feasible for many high fidelity applications and the computational power of supercomputers. One solution lies in hybrid RANS/LES processes. Through combinations of RANS and LES methods, it is possible to calculate certain areas of special interest using LES methods, while the other areas are modelled in RANS mode. Hence, with hybrid RANS and Large Eddy Simulation (LES) methods, even small vortices detached from the ground

35 are resolved, increasing the accuracy of the simulations of the turbulent flow field in complex terrain (Bechmann and Sørensen, 2010). **R2: Comment 6, Language** These hybrid RANS/LES methods are usually required for high-fidelity calculations, because topographic effects such as forests and thermal effects such as atmospheric stability have a significant influence on the turbulent flow field. Although it is also possible to consider these effects very well with RANS methods (e.g. Sogachev et al. 2012), hybrid methods or LES methods usually produce

40 significantly better predictions. **R2: Comment 5** The hybrid method of the so called Delayed Detached Eddy

Simulations (DDES) is adequate for detailed wind turbine simulations (Weihsing et al., 2018). Forests in complex topographies were analysed in a large-scale numerical LES study by Belcher et al. (2012a). They noted that forested hills have a more significant impact on the flow situation compared to forest flows in flat terrain. **R2: Language** Further, they explained that thermal stratification also has a strong influence on the resulting flow field. Belcher et al. (2012b) concluded that forested orographies downstream of the escarpment are more prone to flow separation than orographies without forest cover. Ivanell et al. (2018) conducted a numerical study to compare different simulation methods to experimental data in forested complex terrain, and to evaluate their suitability for such conditions. As a result of their study, Desmond et al. (2017) found that thermal stratification also impacts the wake of forests and that turbulent kinetic energy changes fundamentally with thermal stability. **R2: Comment 7** In a very comprehensive study these effects were also confirmed by Kelly et al. (2014), who investigated shear and turbulence intensity under a wide range of topographic and thermal conditions. Dupont and Patton (2012) have also studied in detail the influences of forests and thermal stability on micrometeorology as part of the Canopy Horizontal Array Turbulence Study (CHATS). Inferences were also made about the evolution of turbulence elements interacting with forests under different conditions. Accordingly, it was shown that the turbulent structures differ significantly under different foliage densities and thermal conditions. The importance of considering all these topographic and meteorological phenomena in complex terrain has been confirmed by Finnigan et al. (2020), who have analysed the research and developments in this field over the last decades.

R2: Comment 8 Murphy et al. (2020) showed that the orography- and thermal stability-related shear of the wind profile leads to a discrepancy of up to 5% compared to neutral conditions in power generation. Thus, for a correct prediction of wind turbine power and loads, thermal stability should be accurately captured by measurements and simulations. Radiñz et al. (2021) have identified the influence of local orographic effects and thermal stability on wind turbine wakes. It becomes apparent that these **R2: Language** affect the performance of wind turbines or complete wind farms. Barthelmie et al. (2018) conducted a study to investigate wind turbine wakes in Perdigão in mountainous terrain and discovered that they strongly depend on topography and stability. Thermal stratifications have led to fundamental differences in wake trajectory measurements. **R2: Language** Wakes follow the orography more for stable conditions and less for unstable or neutral conditions. For convective conditions, the wake **R2: Comment 9** spreads upwards. The fact that thermal stability has a significant effect on the wake was also emphasised in the study by Abkar et al. (2016).

70 The WindForS project WINSSENT attempts to overcome the challenges to predict more accurately the effects of complex terrain on wind turbines by establishing a test site on the Swabian Alps in Southern Germany. Four meteorological (met) masts and two research wind turbines are under construction. Thus, a variety of studies are conducted experimentally and numerically. The test field has already been analysed in other studies within the framework of this project. In coarser **R2: Comment 38** unsteady Reynolds-Averaged Navier-Stokes (URANS) **R2: Language**, El Bahlouli et al. (2020) and Berge et al. (2021) compared two selected days to Unmanned Aerial

System (UAS) measurements.

This paper deals with highly resolved **R2: Comment 10** Delayed Detached Eddy simulations (DDES) of the flow field at the test site in complex terrain and consequently on the wind turbine. In this work, new findings are presented that expand on previous studies of Schulz et al. (2016) and will provide more precise insights into the flow
80 situation.

Figure 1 shows the entire wind energy test field. Besides the forested orography, the plot also depicts the turbulent inflow plane. The fully meshed wind turbine has been **R2: Language** incorporated in its designated position. **R2: Comment 39** This means that the wind turbine was positioned in the numerical domain exactly at the position where the real turbine is currently under construction in the test site. Further, λ_2 vortex structures show
85 the strong interactions of the ambient turbulence and the forest wake with the wind turbine wake. The two already existing met masts at the test site are marked. The setup that serves as a basis for these numerical simulations is explained in the following chapter. **R2: Language** The results chapters are divided into two parts, to enhance understanding of the emerging effects. **R2: Language** The first part will explain the general effects on the flow field to simplify the interpretation of the effects that occur. This includes the characteristics of the orography, the
90 local forest, and thermal stratification. The investigations will show which influence the respective parameter reveals on the flow field. Subsequently, the effects will be applied separately to the wind turbine. The second part will then combine all effects based on real conditions of 10 March 2021. Considering all phenomena explained before, the flow field is then simulated and the behaviour on the wind turbine performance and its wake will be analysed. The simulations are validated with experimental data of one meteorological (met) mast.

95 2 Setup

In the following, the numerical setup for the performed simulations in this work will be presented.

2.1 Terrain Site & Mesh

Figure 2 shows the geometric dimensions and spatial resolutions of the background mesh. **R2: Language** Additionally, the fully resolved wind turbine is depicted within the background mesh. The **R2: Language** domain
100 dimensions are 2500 m \times 7000 m \times 2600 m in x , y and z directions. **R2: Comment 41** To avoid any perturbations arising from the interaction between the topography and the lateral periodic boundary conditions, a large extension in the y -direction was introduced. **R2: Comment 42** This procedure serves to avoid numerically induced pressure disturbances that can arise at the lateral boundary conditions if different topologies of the ground are present at these positions. The height of the domain was extracted in the z -direction up to 2500 m, since the atmospheric
105 boundary layer **R2: Language** can extend higher than 1000 m during summer months. To realise these dimensions with also high resolutions in the relevant areas of the test site, the outer cells in y -, as well as in z -direction were coarsened via hanging grid nodes. **R2: Comment 43** Hanging grid node transitions mean that blocks of the

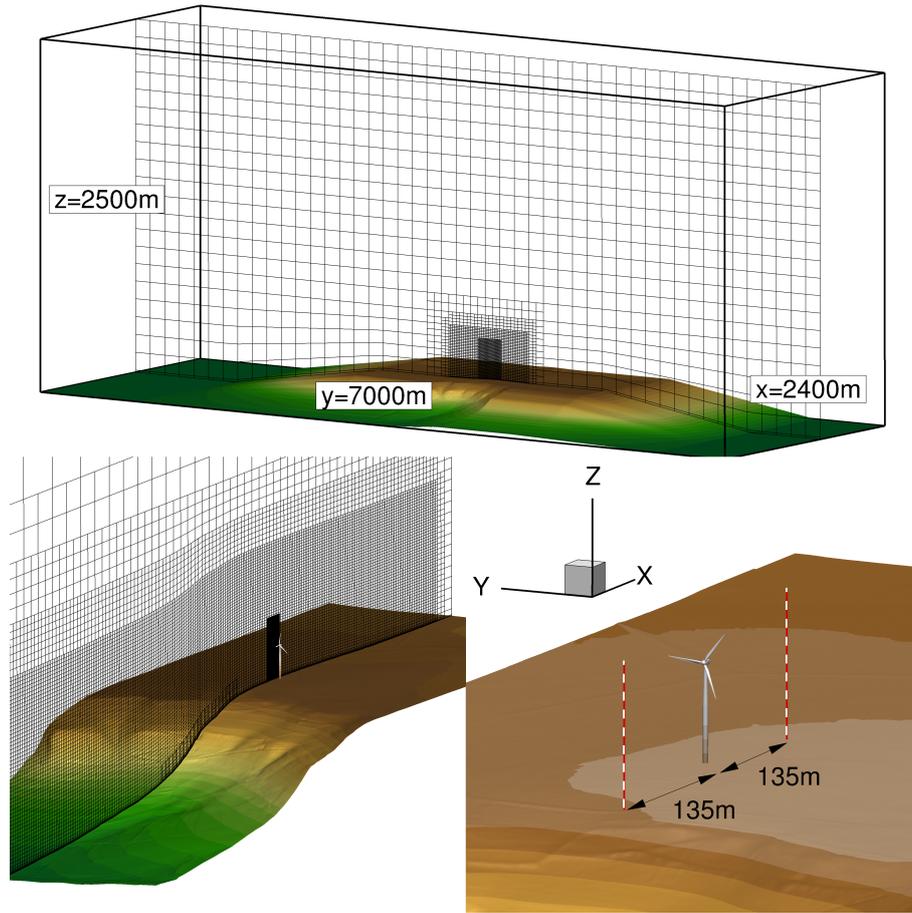


Figure 2. Background mesh and integration of the fully meshed wind turbine into the area; the mesh has been coarsened eightfold for presentation reasons. The upper plot shows the geometric dimensions of the computational domain and the resolution of the hanging grid nodes transverse to the flow direction. The lower left plot shows the resolution of the mesh in the inflow and in the wake of the turbine. A refinement grid around the turbine is also highlighted. The lower right plot shows a surface of the fully resolved turbine and the distances to the two met masts.

mesh with different resolutions are adjacent to each other and that a resolution jump occurs at the border between them. The transition is realised with the help of auxiliary cells. These auxiliary cells are also called dummy layers.

110 The values of the adjacent block are transferred into these cells at the beginning of each Runge-Kutta cycle and serve as the calculation basis for the adjacent physical cells. **R2: Comment 44** The relevant areas at the turbine and the met masts were discretised with a resolution of 1 m. **R2: Comment 11, Comment 44, Language**

115 This area is 200 m ($\approx 4D$) wide in order to simulate the areas of influence of the wind turbines sufficiently with this high resolution. In addition, a wide area of more than 700 m, where the wind turbine is placed in the middle, was meshed with 2 m resolution to still be able to sufficiently resolve the flow physics of large parts of the test site. In the

lateral and vertical directions the structured mesh is then quickly coarsened to 4 m, then 8 m and finally to 16 m to save cells and consequently computational costs. The intermediate areas with 4 m and 8 m resolutions are each only about 25 cells wide and are intended to ensure that the transition to 16 m resolution in the outer areas is not too abrupt. As the lower-left plot shows, the highly resolved (1 m) area extends through the entire domain with constant resolution in **R2: Language** the x -direction to avoid numerical dissipation. The forest mesh is also illustrated within the grid in this section, which can be seen in the bottom area at the escarpment. The application of thermal stability implies additional requirements for the mesh. Unstable stratification requires a very wide and high domain because of the large height of the atmospheric boundary layer and the large length scales, whereas the simulation of stable stratification requires a high resolution to resolve the small eddies without losing much turbulent information through numerical dissipation. **R2: Language** Considering these requirements, without untenably increasing the computational costs, requires a careful choice of the background mesh. The outer region of the mesh is coarsened by hanging grid nodes as described previously. **R2: Comment 12** The viscous sublayer at the bottom surface is fully resolved with $z^+ \approx 1$ ($z^+ = \frac{z u_*}{\nu}$). This value is important to determine the position of the wall nearest grid cell to ensure a good prediction of the wall bounded turbulent flows. Accordingly, z^+ is calculated from the wall distance z , the friction velocity u_* and the kinematic viscosity ν .

To integrate the wind turbine into the field, as shown in the lower left plot, an additional mesh refinement in the turbine's area was implemented with resolutions of 0.25 m.

The coordinate system is an inertial right-hand system that was arranged with $x = 0$ m at the turbine position. For detailed wind turbine evaluations, the origin of the coordinate system was shifted to the hub position (x_{R0}, y_{R0}, z_{R0}) , which simplifies the interpretation of the results for these studies.

2.2 Numerical Setup

The compressible and block-structured finite volume flow solver FLOWer was used for the simulations. FLOWer was originally developed by the **R2: Language** German Aerospace Center, DLR (Kroll et al., 2000). Since then, the code has been extended and improved at the authors' institute for highly resolved simulations of wind turbines. **R2: Comment 13** Regarding the boundary conditions, at the inflow a FLOWer internal boundary condition was chosen that allows the inflow of user-defined turbulence fields or turbulence fields extracted from simulations or measurements. These are transient 2-dimensional turbulence fields which are fed into the computational domain in both of these approaches. Thus, 3-dimensional turbulent fields can be simulated through the propagation of these transient 2d fields. At the ground a friction no-slip wall with the setting of a wall temperature was used. The lateral boundary conditions were chosen to be periodic. **R2: Comment 14** A far-field boundary condition with a zero gradient was set at the top boundary and at the outlet. This allows inflow and outflow across the boundaries and behaves robustly regarding pressure gradients, which is important in turbulent flows and especially for thermal stratification. This boundary condition also suppresses possible reflections of disturbances at the numerical boundaries, which can arise from the standard far-field condition due to introducing default values at the edges.

150 **R2: Comment 15** Regarding the numerical methods, a second-order Jameson-Schmidt-Turkel scheme (Jameson et al., 1981) was used to calculate the fluxes in the lowest 10 m near the ground, because of the high aspect ratios caused by small cells and the orography. The rest of the domain is realised with a fifth-order weighted essentially non-oscillatory (WENO) scheme (Schaeferlein et al., 2013) for a better preservation of turbulence.

R2: Comment 16, Language The turbulence model used in the RANS region is the Shear-Stress-Transport (SST) model from Menter (1994). Bangga et al. (2017) obtained the numerically most stable results for FLOWer with this turbulence model in DDES wind turbine simulations, which fit measured data best, justifying the selection. It is also the most used model in the wind energy and helicopter aerodynamics working groups at the authors' institute. By replacing the turbulent length scale L_{RANS} outside the boundary layer with the LES filter width, the turbulence model behaves like a Smagorinsky sub grid scale model. thereby it generates eddy viscosity as a function of the strain rate and the filter size. An implicit dual-time stepping is applied for time integration with 100 inner iterations for each time step. This setup is valid for all simulations of this study. The Courant-Friedrichs-Lewy (CFL) number in the innermost domain was set to 0.4 for the simulations without **R2: Language** wind turbine. **R2: Comment 17** Since the characteristics of the vertical velocity distribution of the wind in the lower

165 atmosphere can generally be described by sheared velocity profiles, whereby the wind speed increases above the altitude, the hub height was used as a reference position to determine the CFL number in these studies. After

R2: Language incorporating the wind turbine into the domain, the time step was reduced to adapt it to a rotor azimuth movement of 2° , which was needed for detailed aerodynamic evaluations of the turbine. This resulted in a CFL number of 0.2. In order to achieve high accuracy of the results, all studies in this paper were conducted with DDES simulations. Using this method, a shielding function prevents the attached boundary layer from switching into the LES mode and generating grid-induced separation. **R2: Comment 46** The area of the LES region and

170 the region modelled with URANS were examined in a preliminary study. **R2: Comment 45, Language** For this purpose, the resolved areas of turbulence kinetic energy k_{res} were compared quantitatively with the total TKE ($k_{tot}=k_{res}+k_{mod}$) for each cell in the whole domain to identify which areas are modelled by URANS and which are resolved by LES. The ratio k_{res}/k_{tot} shows to what extent turbulence in certain areas is resolved. Thus, this study revealed that cells of the lowest approximately 0.5 m above-the-ground are modelled. The entire rest of the background mesh is simulated by LES. This preliminary study is valid for all simulations shown in this paper.

2.3 Setup for the Comparisons with Measurements

The lower right plot of Fig. 2 shows the surface structures of the fully meshed wind turbine **R2: Language** incorporated into the background mesh with the chimera technique (Benek et al., 1986). For the mesh of the rigid turbine, reference is made to Guma et al. (2018) and Guma et al. (2020). As for the ground of the terrain, the no-slip wall boundary conditions were introduced for the surfaces of the turbine. The two met masts of the test field have also been visualised in this plot to reveal a spatial impression of the test field. The first one served as a validation and comparison basis for this work. The wind turbine is about 135 m downstream of the first and about 135 m

upstream of the second met mast as can be seen in Fig. 1 and 2. The two wind turbines and the other two met masts
 185 are still under construction and can therefore not serve as validation in this work. With the numerical simulations
 of the wind turbine, however, far-reaching insights can already be gained through this study.

Table 1. Relevant equipment of the met masts

Measuring equipment	Height of the sensors [m]
Cup anemometers	10, 45, 59, 72, 89, 100
Ultrasonic anemometers	25, 50, 75, 100
Thermometers	3, 23, 45, 72, 96

Table 1 reveals the met mast equipment used for this work as a validation basis. The met masts serve for com-
 parisons with numerical results and to extract turbulence characteristics to generate synthetic turbulence at the
 inflow. Cup anemometers, ultrasonic anemometers, and thermometers are primarily used for these studies. Both met
 190 masts are equipped equivalently and have been installed downstream of each other in the mean flow direction. The
 met masts and the wind turbine are located directly downstream of each other with negligible lateral offset. The
 orographic conditions on the plateau are very flat with only small height differences between the met masts and the
 wind turbine.

2.4 Forest Setup

As seen in Fig. 1, large parts of the test site, especially the escarpment, are covered by a dense forest. To be able
 195 to model vegetation in the simulation, adaptations in the FLOWer code are required, which were implemented in
 previous works (Letzgus et al., 2018). The implementation is based on the model of Shaw and Schumann (1992)

R2: Language for the implementation of leaf area density profiles vs. tree height.

$$\mathbf{F}_F = \rho \cdot c_d \cdot a(z) \cdot |u| \tilde{u}_i \quad (1)$$

For this purpose, as described by equation (1), a force term was added to the momentum equations to apply forest
 200 modelling. The term is added to the right-hand side of the momentum equations according to equation (4). Besides
 the local velocities of the respective spatial direction, the force term also contains an empirical drag coefficient c_d ,
 which is usually given as 0.15 in the literature, and the height-dependent **R2: Language** leaf area density (LAD)
 denoted by $a(z)$. With an appropriate choice from measured or literature data, this parameter can model the drag

205 that the vegetation exerts on the flow field.

For the implementation of the forest, an additional grid structure is embedded into the background grid using
 the chimera technique in analogy to Letzgus et al. (2018) and Letzgus et al. (2020). In this way, the forest can be
 accurately defined numerically as a porous medium. Additionally, not only homogeneous forests with constant values
 of LAI and $a(z)$ can be modelled, as is typical in the literature, but variable leaf area densities and tree heights

210 can be modelled in each spatial direction. This strongly increases the accuracy of the replication of the forest shape in the simulations. It is implemented by adding a force term to each cell of the forest structure depending on the foliage density and tree height. Variable leaf area densities can thus be realised in any spatial direction.

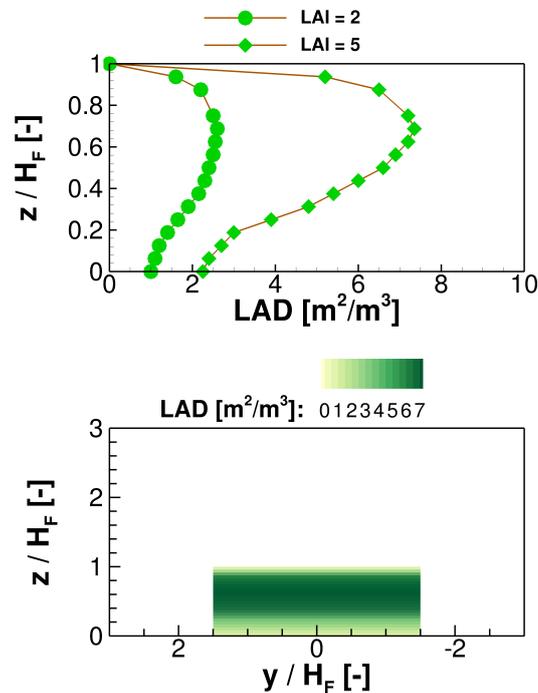


Figure 3. LAD of forests and their modelling in CFD

The leaf area densities of two exemplary vegetations and the implementation of forests into the CFD domain are illustrated in Fig. 3. Since the leaf area densities of deciduous forests and coniferous forests are different, the upper plot shows two curves for different vegetations. The curve with LAI = 2 shows a typical LAD profile of a coniferous forest above the height. The curve indicates small LAD values that change only slightly over the height because of the relatively uniform cover. A completely different profile is shown by the LAI = 5 curve, which represents a deciduous forest in summer. There, extremely large foliage densities are visible near the tree canopy, offering much greater drag to the wind than near the ground. The lower plot in Fig. 3 represents the height-dependent LAD for a simple homogeneous forest with equal tree heights and equal foliage densities in the lateral direction. The shown LAD values are in analogy to the LAI = 5 curve from the upper plot. This represents the simplest generic case with laterally constant LAI values (LAI=5) and tree heights. The vegetation model is applied to the forest mesh structure. Maximum leaf area densities are found in the upper third of the vegetation height near the tree canopy. Accordingly, the maximum drag to the wind field is expected in this area, which is valid for most vegetation types. However, this illustrates only a very simple academic case. For example, if a mixed forest is applied, the flow field

will differ from a coniferous forest or a purely deciduous forest. Therefore, the vegetation species, the season, and the topology are also very important for forest modelling. On top of that, the height of the trees, the foliage densities and the distances between the trees are important for decent modelling. Thus, many factors must be considered when simulating forests. The explained approach tries to parametrise each part of the forest as accurately as possible that the results of the highly resolved simulations achieve the desired quality. **R2: Comment 21** With the known LAI values and the tree heights, the LAD profiles of the forest can be modelled with the empirical model of Lalic and Mihailovic (2004). Here $a(z_m)$ indicates the value of the maximum leaf area density of the respective forest area at the height z_m .

$$a(z) = a(z_m) \left(\frac{H_F - z_m}{H_F - z} \right)^n \exp \left[n \left(\frac{H_F - z_m}{H_F - z} \right) \right] \quad (2)$$

with

$$n = \begin{cases} 6 & \text{für } 0 \leq z \leq z_m \\ 0,5 & \text{für } z_m \leq z \leq H_F \end{cases} \quad (3)$$

Therefore, the model is adapted in a way that measured tree heights and realistic foliage densities are locally considered. Varying of the local foliage density according to the season and the species is captured, too. The detailed dimensions of the forest in the test site are explained in the results section and the LAI values are listed separately for each simulation.

2.5 Inflow Setup

The turbulence inflow data were generated with the Mann model (Mann, 1994, 1998).

R2: Comment 18 The Mann model requires input of the the largest length scales L , the anisotropy factor Γ and the dissipation factor $\alpha\epsilon^{2/3}$. The model is based on the spectral tensor of the ABL and, using the Rapid Distortion Theory (RDT), maps a model spectrum which is transformed into a field of velocity fluctuations by a inverse Fourier transformation using the Mann-model spectrum and the Gaussian random complex variable. The procedure of the applied turbulence generation model then takes the Mann model parameters and a target turbulence intensity Ti , which the generated field should have at reference height (in our case, the hub height). In a calculation step, the defined Ti is converted into a standard deviation σ_u and set in the field. Finally, the tool generates a three-dimensional field of velocity fluctuations, the so-called Mann box. A velocity profile is specified at the inlet of the domain. After a distance of a few length scales downstream, the fluctuations generated by the Mann-box are fed in via force terms (Trolldborg et al., 2014). After the propagation length of 4-5 further length scales the analytical spectrum has adapted to the real flow field with FLOWer (Müller et al., 2020), (Letzgsus et al., 2020).

For the generic case studies of this work, the parameters of the Mann model were selected from experience and literature values. For the subsequent **R2: Language** real observed conditions, experimental data from measurements were used to extract the turbulence parameters. The required turbulent quantities were extracted from the measure-

ment data of the first met mast. The turbulence intensity and the length scales were extracted and, depending on the thermal stability, the **R2: Language** anisotropy Γ was adjusted in analogy to Chougule et al. (2016).

2.6 Thermal Stability Setup

260 The **R2: Language** Mann-model in its original form is only valid for neutral conditions. However, as described by Chougule et al. (2016), adjustments can be made to capture turbulence under atmospheric stratification. For thermal stability, the parameters of the **R2: Language** Mann-model must be adjusted, as different thermal conditions also affect the **R2: Language** Mann-models length scale L , turbulence intensity Ti , dissipation rates $\alpha\epsilon^{2/3}$ and the anisotropy Γ . **R2: Comment 18, Comment 47** The fit of the Mann-model parameters for different strat-

265 ifications is reported by Chougule et al. (2016) in his study of extending the Mann-model for non-neutral conditions including the Rapid Distortion Theory (RDT) for buoyancy influences under stratified conditions. The basis for the extension of the Mann-model parameters was already studied by Hanazaki and Hunt (2004), who investigated the structure of turbulence in unstable stratification. The **R2: Language** anisotropy parameter Γ also varies for different stabilities, since the anisotropy is also much smaller for unstably stratified turbulence than for stably

270 stratified turbulence.

However, thermal stratification not only changes the spatial properties of turbulence. To account for atmospheric stratification, the FLOWer code was extended to allow profiles of potential temperature to be propagated through the field to represent different conditions of stability. Using this approach, a wall temperature is specified, and a potential temperature profile is fed into the flow domain at the inflow plane by adjusting the energy equations.

275 The potential temperature field is propagated through the domain together with the atmospheric turbulence. This means that the field is initialised with a default value of the potential temperature and afterwards the temperature field is propagated through the domain. Therefore, depending on the thermal stability, the respective heat flux is simulated, which results in buoyancy. **R2: Comment 19** The applied simulation model recovers Monin-Obukhov (M-O) similarity in the atmospheric surface layer to predict the horizontal velocity profile of the flow field as reported by Emeis (2013) and Gryning et al. (2007). According to Monin-Obukhov stability theory (Foken, 2006), atmospheric stability can be analysed via the reciprocal of the M-O length, defined as $L^{-1} = -\kappa(g/T_0)H/u_*^3$. Here, the von Karman constant is described by κ , H is the kinematic surface heat flux regarding fluctuations of potential temperature θ and vertical velocity w and T_0 is the mean potential temperature. The reciprocal of the M-O length L^{-1} , which is considered a measure of stability, can also be used to analyse the propability distribution of certain

285 thermal conditions (Kelly and Gryning, 2010). This probability distribution P depends on L^{-1} , $P \propto \exp(-|L^{-1}|^{2/3})$. Positive values of L^{-1} describe stable stratification and negative values unstable stratification.

R2: Comment 28 Figure 4 shows an exemplary contour of the potential temperature in a vertical plane through the 3D field at an arbitrary time. It illustrates the profile of the potential temperature in the presence of unstable stratification in complex terrain with the wind turbine at $x=0$ m. The plot is intended to serve as an example of the

290 newly implemented feature to consider the impact of potential temperature.

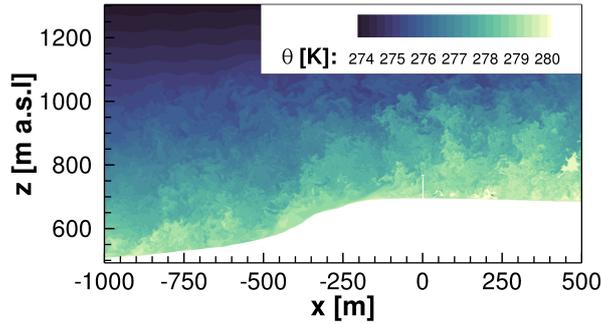


Figure 4. Vertical plane illustrating the development of potential temperature for unstable conditions; wind turbine at $x=0$ m

To implement an approach to consider atmospheric stratification, the momentum equations must include the buoyancy force, which depends on the change in potential temperature over height and acts positively directed upward for unstable stratification and negatively directed downward for stable stratification. For this purpose, the momentum equations are adapted analogously to Churchfield et al. (2014) in equation (4).

295 2.7 Governing Equations

The resulting momentum equations are represented by equation (4). On the right-hand side of the equation, besides the external force term of the forest model F_F , the buoyancy force is implied. This convective force results from density differences of air parcels ρ_k with their surroundings ρ_0 . The reason for these density differences are gradients of the potential temperature.

$$\begin{aligned}
 & \frac{\partial[\langle\rho\rangle\cdot\tilde{u}_i]}{\partial t} + \frac{\partial[\langle\rho\rangle\cdot\tilde{u}_i\tilde{u}_j]}{\partial x_j} + \frac{\partial\langle p\rangle}{\partial x_i} \\
 300 \quad & = -\frac{\partial[\tilde{\tau}_{ij} - \langle\rho\rangle\cdot\widetilde{u_i''u_j''}]}{\partial x_j} - g_3z\frac{\partial}{\partial x_i}\left(\frac{\rho_k}{\rho_0}\right) + F_F
 \end{aligned} \tag{4}$$

3 Results - Part 1 Case Study of General Effects

An analysis of the different effects that have a significant impact on the flow field is presented in the first part of the results. Initially, the focus is on the forested escarpment of the test site. Subsequently, the impact of thermal stratification on the test site is shown, and finally, the wind turbine is **R2: Language** **incorporated** into the domain.

Through this method, several parameters that **R2: Language** **influence** the flow field at the test site can be

examined separately. In the second part of the results, all effects are combined and applied to a real **R2: Language** observed study.

3.1 Effects of the Forested Escarpment on the Flow Field

310 In the following, **R2: Language** we investigate the extent to which the flow field is affected by the forest at the wind energy test site. To simulate forests in a highly resolved approach, forest heights and foliage densities must be analysed to model the trees accurately. For this purpose, Digital Elevation Models (DEM) and Digital Surface Models (DSM) with 1 m resolution provided by the State Office for Geoinformation and Land Development in Stuttgart (LGL) were used to determine the heights of the vegetation on the test site. In contrast to the DEM, the

315 DSM also includes the topographic aspects of buildings and vegetation in addition to the orography of the terrain.

R2: Comment 48 Figure 5 shows the differences between the DEM and DSM models. While the DEM model

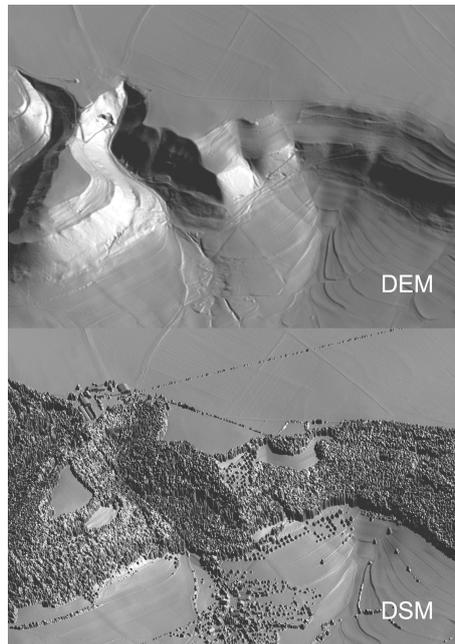


Figure 5. Comparison of the DEM and DSM models of the test site in complex terrain

in the upper image only represents the orography, the DSM model in the lower image can also accurately detect and describe structures such as trees or other obstacles. Thus, the heights of trees and buildings are determined by subtracting the DEM and the DSM model heights. The result is shown in detail in Fig. 6. The Albtrauf near Donzdorf

320 is characterised by a mixed forest consisting mostly of beech and spruce, **R2: Language** which is typical for the most parts of the forests of the Swabian **R2: Language** Alps (ForstBW, 2021). There are almost no clearings on the entire escarpment. In general, trees are 20 to 35 meters tall. Regarding the mixed forest, seasonal variations

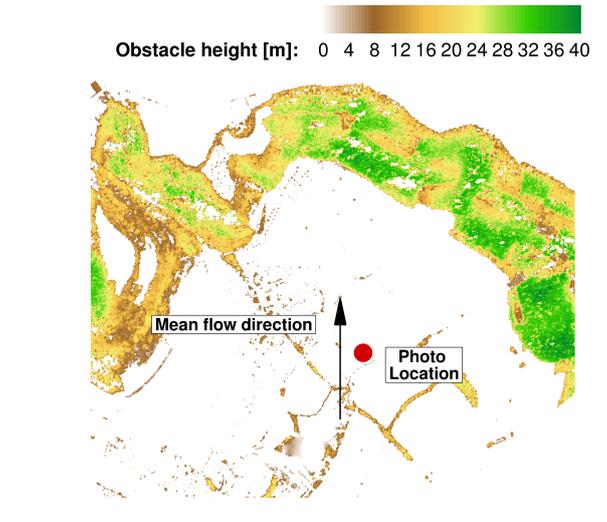


Figure 6. Vegetation and building heights on the test site. The info is used for detailed modelling of the tree heights

in foliage cover occur that affect the LAI and consequently the flow field downstream of the forested escarpment. Figure 7 shows a photo of the forested escarpment taken on 26 March 2021 near the position of the numerical inflow



Figure 7. Photo at the position of the inflow plane with a view in flow direction onto the forested escarpment of the test field. Above the forest, the tops of the met masts are visible

325 plane. The tops of the two met masts are visible in the background on the plateau. For visualisation reasons, the representation of the met masts has been highlighted with red lines. A close look at the photo illustrates how sparsely covered the forest was in the early part of the year. However, the Albtrauf is also characterised by spruce stands, so

the winter LAI is approximately $2 \text{ m}^2 \text{ m}^{-2}$ since the trees are typically close together at the escarpment. In contrast, the mixed forest in the summer months is modelled with a mean LAI of $4.5 \text{ m}^2 \text{ m}^{-2}$. The forest heights were modelled analogously to Fig. 6 in the simulations. The used LAI values are specified separately for each simulation. Emeis (2013) described how the forest cover affects the flow field in flat terrain. A forested escarpment even increases the influence on the local flow field. Thus, the effects of the forested escarpment on the flow field are analysed in detail.

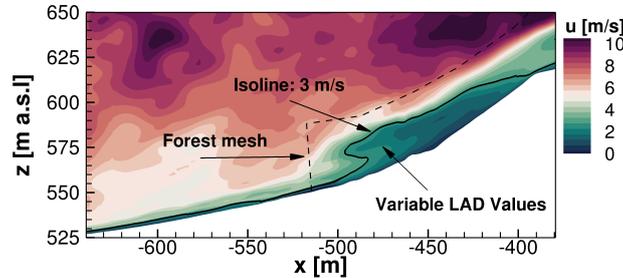


Figure 8. Detailed flow field of the forest in a vertical plane

A detailed illustration of the simulation of the forested escarpment is given by Fig. 8. In a vertical plane that also crosses the wind turbine position further downstream, the flow situation at the beginning of the forested escarpment is visible. The forest was modelled with an LAI value of 4.5 and the flow field shows ambient turbulence with an intensity of 10% with a mean speed of 8 m s^{-1} at hub height in the valley with an initial length scale of 40 m. In the simulation, the corresponding **R2: Language** shear (Hellmann) exponent was chosen to be $\alpha = 0.17$. The influence of the forest is evident. The impact in the flow field is highlighted by an isoline representing a velocity of $u = 3 \text{ m s}^{-1}$. This line indicates how the leaf area density over height was modelled at this position. The forest mesh is sketched with a dashed line to highlight the extent of the forest within the terrain. **R2: Comment 22** Figure 9 depicts the flow field of the complete test site for an arbitrary moment. The simulation results show the same inflow parameters as described before. The forested area is marked by black blocks and different flow regions have been given numbers to analyse the individual flow conditions separately. The respective flow phenomena have been intentionally drawn only in certain regions for clarity, since they occur across the entire width of the domain. Area **1** depicts streamlines coloured analogously to the respective horizontal wind speed. This area shows the lower part of the flow field just above the forest canopy. The colour change from red-white to dark red clearly highlights the typical effect of accelerating flows over hills or ridges. This area also represents the flow region that mainly crosses the rotor plane. Downstream of the escarpment at hub height, the wind reaches a speed of 11 m s^{-1} . This means that the speed increase at the hub height due to the escarpment is 3 m s^{-1} . Area **2** illustrates turbulent structures using the λ_2 vortex criterion. This emphasises the strong mixing of turbulence structures in the wake of the forest. There, considerable low axial velocities and high standard deviations occur. The turbulence is further amplified by the superposition of the turbulent forest wake with the accelerated flow from area **1**. A flow region

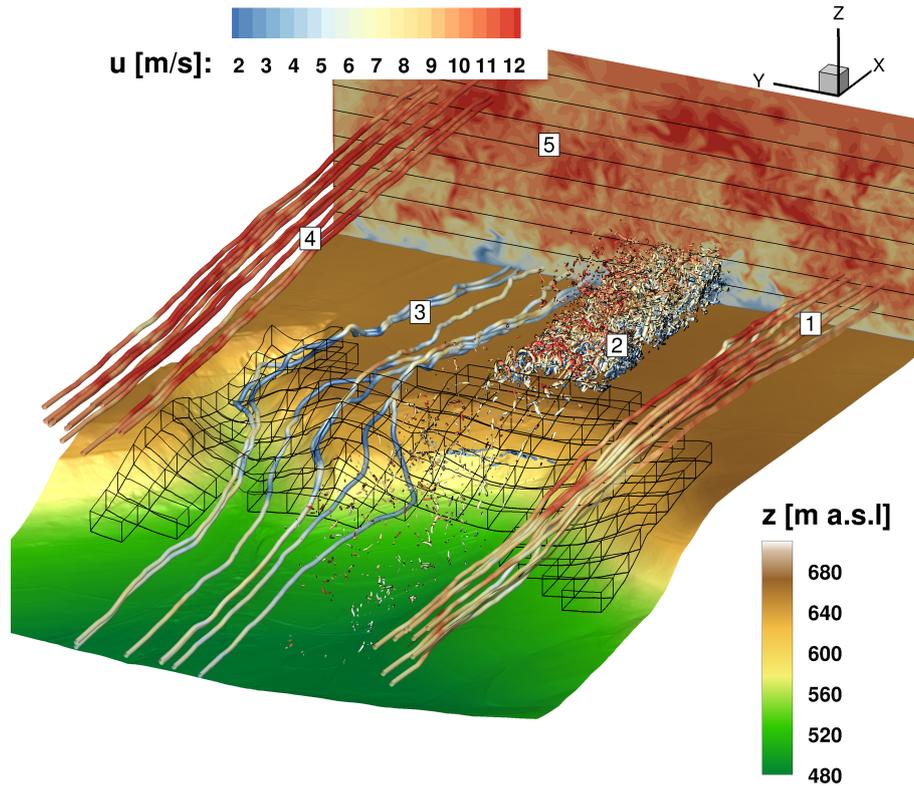


Figure 9. Flow situation in the test field shown in detail with highlighting of five characteristic flow areas

results that comprises high turbulence intensities and large velocity differences. Flow region [3] visualises streamlines
 355 in the near-ground region crossing the forest. The blue colouration of the streamlines illustrates the reduction of
 wind speed due to the forest. Besides, because of the orography and the different leaf area densities above the height
 and width of the heterogeneous modelled forest, these streamlines are strongly curved and exhibit locally large
 changes in direction. The shear layer between the flow regions [1] and [3], which exhibits large velocity differences,
 amplifies the generation of turbulent fluctuations. This is visible for region [2]. The same effect has already been
 360 analysed and explained by Dupont et al. (2008). Flow region [4] shows the upper flow field a few hundred metres
 above-the-ground. There the streamlines are no longer inclined and the influence of the orography is negligibly small.
 Therefore, the flow does not accelerate when streaming over the escarpment. The small curvatures of the streamlines
 and velocity changes are only caused by atmospheric turbulence. **R2: Comment 23** Whereas the acceleration
 of the flow field in area [1] caused differences of $\Delta u = 3 \text{ m s}^{-1}$, the analyses in this area showed that the small
 365 curvatures of the velocity in this upper flow field are in the range of $\Delta u = \pm 0.4 \text{ m s}^{-1}$. This statement can also
 be qualitatively verified by the colour changes of the streamlines. Area [5] depicts a plane on the flat plateau of
 the test field, which is perpendicular to the flow direction and captures the horizontal wind speeds. This provides a

view of the turbulent flow field with all the effects described previously. From Fig. 9 it can therefore be shown that in complex terrain many different orographic and topographic effects influence the flow field and thus the inflow of wind turbines. Especially the wake of a forested escarpment, with trees reaching the plateau on top of a slope, influences the flow field to a great extent.

The above-mentioned influences are based on simulations of summer months with an average LAI of 4.5. A variation of the leaf area densities and a comparison of the influences on the test field will be investigated in the following by using the same inflow conditions.

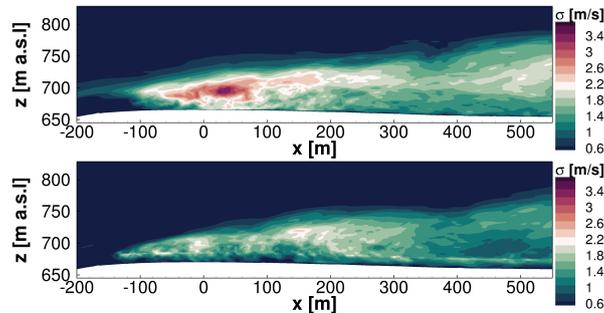


Figure 10. Standard deviation of horizontal velocity in forest wake for different Leaf Area Indices. Upper plot: LAI = 4.5 for foliage cover in summer. Lower plot: LAI = 2 for foliage cover in winter

The standard deviations of the horizontal velocity in the wake of the forest on the flat plateau of the test site with the corresponding LAI values for summer and winter months are shown in Fig. 10. For the evaluation, the respective flow fields were averaged over four minutes. The upper plot demonstrates the standard deviation in summer months with an LAI of 4.5 and the lower plot for winter months with an LAI value of approximately 2. Using these values was valid because of the spruce stands on the escarpment. Lower values than 2 would be typical for pure deciduous forests in the winter months.

At first glance, significant differences of the standard deviation σ become apparent. The higher leaf area densities in the summer months result in stronger amplification of turbulence and consequently to significantly larger standard deviations than in winter months. Especially in the turbine's area at $x=0$ m, the fluctuations are significantly lower for the sparsely covered forest. It is worth mentioning that the propagation of the standard deviation behaves analogously for both forest covers. This means the turbulence is more amplified by the denser forest, but the forest wake expands to similar ranges in height and width (for the same wind conditions) in the summer and winter months. Consequently, the forest effect is measurable at similar heights for different foliage densities. However, the atmospheric boundary layer in the forest wake will recover more quickly further downstream for the sparsely covered forest due to lower turbulence intensities.

390 3.2 Effects of Thermal Stability on the Flow Field

Next, the influence of thermal stratification on the flow field is analysed. **R2: Comment 24** Three simulations with the same inflow conditions and turbulence characteristics are compared. However, the buoyancy varies because of different thermal stratification conditions. As described in Sect. 2.6, the inflow conditions and turbulence characteristics differ significantly for stable and unstable conditions, which was intentionally neglected for the analyses of the influence of the forest wake on the flow field at different stabilities in complex terrain. The following results, therefore, aim exclusively to explain how thermal effects can affect flow fields. **R2: Comment 25** The turbulence intensity for each case was set to 8% at hub height. Since the wind turbine is the focus of these analyses, the reference turbulence intensity was always set at hub height. **R2: Comment 26** The results were assessed at the same time or averaged over a period of four minutes.

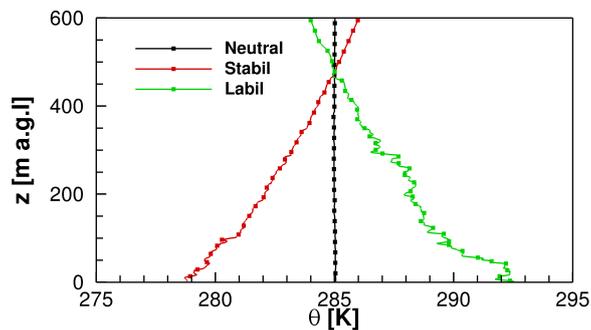


Figure 11. Profiles of the potential temperature of the three atmospheric stratification conditions extracted from their respective flow fields

400 Profiles of potential temperature extracted at position $x = -750$ m are shown in **R2: Comment 28** Fig. 11. These three curves illustrate simulations of neutral, stable, and unstable stratification, respectively. **R2: Comment 20** The depth of the atmospheric boundary layer was set to 1000 m in the simulations and the inversion strength was approximately 3 K. The gradients of the potential temperature reveal that these are quite strong cases of atmospheric stability. **R2: Comment 27** As described in section 2.6, the absolute value of the reciprocal of the Monin Obukhov length L^{-1} is proportional to the probability distribution of the particular thermal condition present. The probability distribution P was determined analogously to the procedure described in Kelly and Gryning (2010). This yielded a probability of occurrence of about $P(L^{-1}|_{z=10m}) \approx 1.8\%$ for the strongly stable conditions present here and a probability of occurrence of about $P(L^{-1}|_{z=10m}) \approx 1.1\%$ for the strongly unstable conditions. The depicted lines belong to particular stratification conditions, which are also illustrated in Fig. 12.

410 In Fig. 12, three snapshots of the simulation results are illustrated simultaneously in different stability conditions. Based on the gradient of potential temperature from Fig. 11, the buoyancy is calculated. All three cases are investigated using the same inflow conditions. This is not the case in nature, because thermal stability also affects the

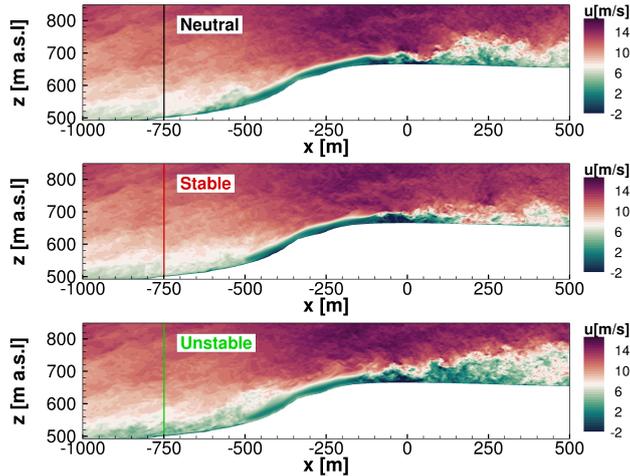


Figure 12. Snapshots of extracted vertical slices of the flow field under different stratification conditions

properties of turbulence, such as its intensity, length scale, and dissipation. The study, however, aims to assess the effects of **R2: Language** pressure driven buoyancy in general.

415 The flow field in neutral conditions is shown in the upper plot. Results for stable and unstable conditions are shown analogously in the middle and lower illustrations. It can be observed that atmospheric turbulence changes due to buoyancy in the flow region close to the inflow between $x = -1000$ m and $x = -600$ m. The forest wake even amplifies buoyancy effects. In the wake of the forest, the influences of buoyancy become evident. Using the flow field for neutral conditions as a reference case, it is noticeable that stable stratification suppresses the mixing of the forest wake with the ambient turbulence. Consequently, the wake exhibits smaller fluctuations and does not extend to high altitudes. 420 Unstable stratification has the opposite effect. Thus, the wake and ambient turbulence are mixed and propagated to larger heights, resulting in higher turbulence intensities. Ultimately, unstable conditions influence the test site and the wind turbine inflow more than other conditions, which will be emphasised hereinafter.

The standard deviations σ of the horizontal velocity are displayed in an enlarged graph of the forest wake in 425 Fig. 13. In a four-minute time window, standard deviations were evaluated. The upper plot shows the flow field for neutral stratification, the middle plot for stable stratification, and the lower plot for unstable stratification. Again, neutral stratification is chosen as a reference case. Further downstream, the standard deviation decreases, indicating a reduction in turbulent fluctuations, although the wake extends to higher altitudes. For stable stratification, the standard deviations in the forest wake decrease compared to neutral conditions. This suppresses turbulence, causing the σ values to decrease significantly. On top of that, the wake does not extend to higher altitudes. A similar result 430 was obtained by Desmond et al. (2017) both numerically and in wind tunnel measurements. The opposite effect is again evident in the lower plot for unstable stratification. The standard deviation decreases with increasing distance

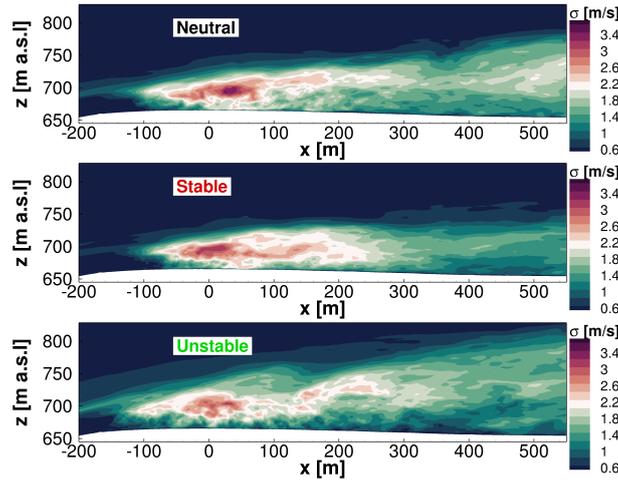


Figure 13. Standard deviation of horizontal velocity in the forest wake for different stratification conditions

R2: Language downwind of the forest, but the turbulence in the forest wake spreads in much higher altitudes than for other conditions.

435 **R2: Comment 29** Figure 14 compares distributions of flow variables for stable and unstable stratification at the turbine position ($x=0$ m) versus altitude. The dimensions of the rotor plane are highlighted by the dashed lines and the hub height by the solid line. The upper plot shows the mean velocity profiles. Stable stratification causes increased velocities at smaller altitudes, in contrast to unstable conditions. The reason for this is buoyancy-induced higher velocities in stable conditions versus unstable conditions. Air masses from higher altitudes, which generally show higher velocities caused by the shear of the atmospheric boundary layer, move vertically downwards into lower areas due to buoyancy. For unstable conditions, the forest wake also has a stronger effect on the velocity field as shown in Fig. 13. The study of Keek et al. (2013) confirms these results. According to their research, if the wind flows over and around hills in stable conditions, there will be higher accelerations and consequently higher velocities. The lower plot shows the comparison of standard deviations versus altitude. Compared to the profile for stable conditions, there is a significant increase in mixing of the air for unstable stratification. Due to the amplified turbulent mixing, the standard deviation for the entire height is higher than for stable stratification. Especially near the ground and in the forest wake this can be quantified. Besides the lower wind speed, the increased turbulence also affects the wind turbine rotor plane more for unstable stratification than for stable stratification. For stable stratification, the influence of the forest wake at the rotor plane is small, and only noticeable for turbulent quantities, whereas under
445
450 unstable conditions the turbine's inflow is significantly affected.

Transverse planes at the wind turbine position will further emphasise these effects as can be seen in Fig. 14.

Figure 14 depicts the flow field at the turbine position for neutral, stable, and unstable stratifications. **R2: Comment 31**

Here, the standard deviation $\sigma = \sqrt{\sigma_u^2 + \sigma_v^2 + \sigma_w^2}$ is analysed, which was evaluated over four minutes. Especially the

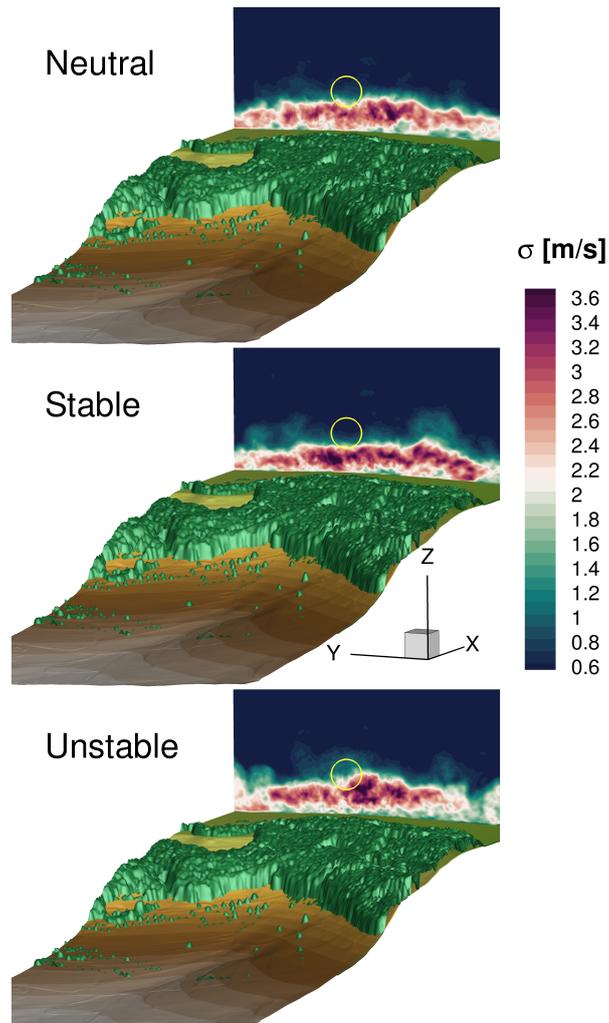


Figure 14. Standard deviation of the horizontal velocity at the wind turbine position. The rotor position is outlined by the yellow circle

influence of the vegetation on the flow field at different stability conditions will be further investigated. Besides the
 455 plane at the turbine location, the forested areas are also shown. In the contour slice, the rotor area is sketched with
 a yellow circle. This is supposed to show how the wind turbine is affected by the flow field of the forest wake for
 different stabilities. **R2: Comment 32** The buoyancy force has a very large impact on the mean flow field. As
 compared with other thermal conditions, fluid mixing in the forest wake spreads over the entire width to higher
 altitudes for unstable stratification. Thus, the forest wake also crosses the rotor plane. This dominant effect is visible
 460 in the lower plot. For neutral stratification, vortex structures detaching from the forest only sporadically cross the

lower half of the rotor. For stable stratification, there are only scattered turbulent structures influencing the rotor. On average, for stable conditions, most of the rotor remains unharmed by the forest wake.

3.3 Forest Effects on the Wind Turbine

In the following, interaction of the forest wake and the wind turbine is investigated for neutrally stratified conditions.

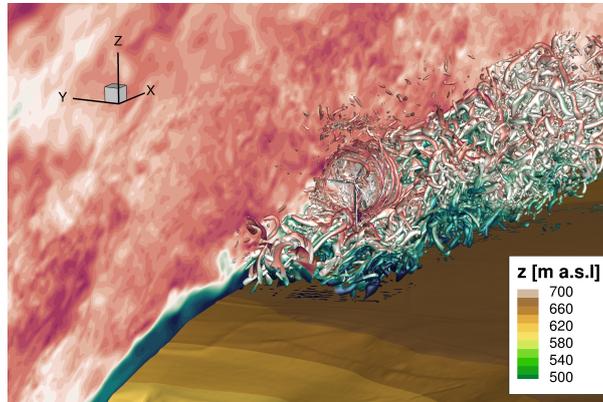


Figure 15. Snapshot of the interaction of the wind turbine with the forest wake and the ambient turbulence

465 Figure 15 shows an unsteady flow situation in which the forest wake and atmospheric turbulence interact strongly with the wind turbine and its wake. The wind turbine was **R2: Language** incorporated into the computational domain of the same simulation as in Fig. 9. The initialised fully meshed wind turbine was subsequently simulated over a period of a few rotor revolutions in order to eliminate numerical disturbances that arise when the turbine is **R2: Language** incorporated into the numerical domain. After further 25 revolutions, this plot was extracted. A
470 vertically oriented plane of the local velocity was extracted to illustrate the flow field at the topography. Additionally, λ_2 structures of turbulence are shown to indicate the strong interaction of the forest wake, the ambient turbulence, and the turbine. After the propagation of only a few rotor revolutions, the blade tip vortices break down into smaller turbulent structures. Further downstream, there is a turbulent low speed area, where the turbine wake and the forest wake can no longer be distinguished. More detailed analyses of this effect are presented below. In the following
475 section, two different flow and vegetation conditions will be analysed to develop a physical understanding of the topography-forest-turbine interaction. Figure 16 shows the interaction of the forest wake and the wind turbine. In the upper plot, the same flow situation as described before **R2: Language** in Fig. 9 is analysed, which reaches rated turbine conditions of 11 m s^{-1} at hub height with a turbulence intensity of 10%. Again, this study is intended to show a summer situation with relatively high wind speeds. Here, the forest is completely leafy and accordingly
480 has an LAI of about 4.5. Occasionally, vortex structures of the forest wake cross the rotor plane, which leads to large velocity fluctuations that may influence the rotor loads and the turbine performance negatively. In the upper plot, the dense forest causes vortex shedding at the canopy, which can randomly affect the inflow onto the wind turbine. A

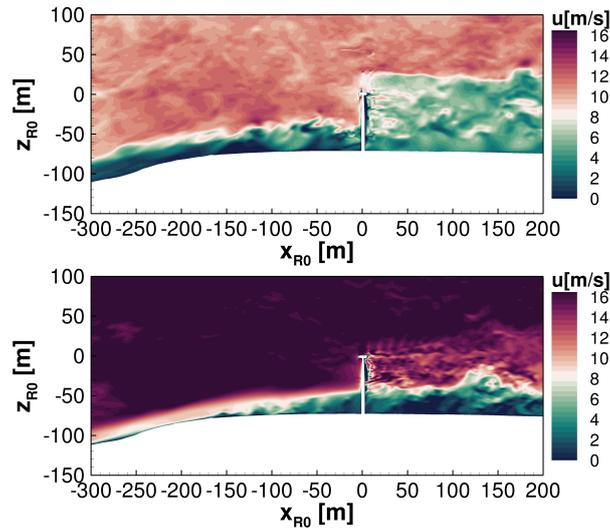


Figure 16. Vertical planes of the interaction of forest wakes, ambient turbulence and wind turbines for two different flow cases

large area of reduced wind speeds is **R2: Language** presented from the upper blade tip to the ground downstream of the turbine. The forest wake quickly mixes with the turbine wake, leaving a large common wake area. In the lower plot, a comparable vertical plane is shown at higher wind speeds. This is a simulated real **R2: Language** observed flow scenario from 31 March 2019 at 11 p.m. at the test site with average wind speeds of 16.5 m s^{-1} at hub height. A turbulence intensity of 9% and a length scale of 35 m was measured **R2: Language** from the met mast at **hub height**, which was adjusted to the flow situation in the valley and then used as inflow data for the FLOWer simulations. As the forest is not completely leafy in spring it was modelled with an averaged LAI of 2.8. Because of the high wind speeds, the rotor blades are provided with larger pitch angles, which is the reason why the velocities of the wake are not reduced as much by the turbine as in the plot above. By contrast, the inflow of the wind turbine is less affected by the sparsely covered forest, but downstream of the wind turbine a strong interaction between the forest wake and the turbine wake is readily apparent. Caused by the higher velocity differences of turbine wake and forest wake, they do not mix to the same extent as in the upper plot of Fig. 16. However, the small velocities in the forest wake affect a deflection of the turbine wake. There is a noticeable vertical wake deflection at $x = 130 \text{ m}$, which strongly influences the turbine wake propagation. As a result, the forest wake and the turbine wake do not mix as strongly as they do in the upper plot, but the speed differences of the turbulent structures result in significant deflections. Thus, neutral stratification and different leaf area densities result in large forest-turbine interactions, which have a significant impact on inflow and wake.

In the following, influences of different stratification conditions with consideration of the wind turbine are compared. For this study, the influence of vegetation will be neglected to capture the effects of buoyancy on the inflow and wake of the wind turbine separately. For this purpose, the inflow conditions from Fig. 12 and 13 were again used. With integrating the turbine into the flow field, the forest was removed as just described, to investigate only the influence of buoyancy and ambient turbulence on the wind turbine in complex terrain.

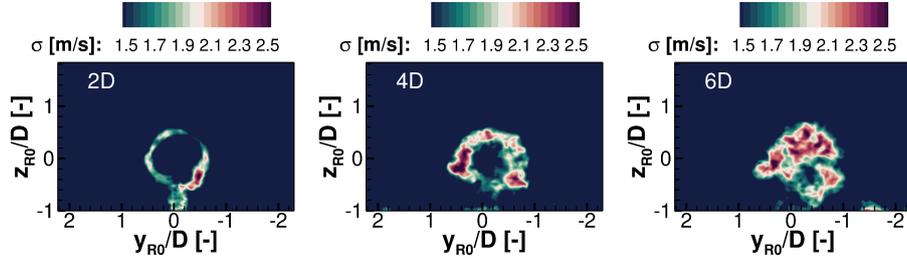


Figure 17. Standard deviations of the wake for stable stratification in transverse planes several rotor diameters (2D, 4D and 6D) downstream of the wind turbine

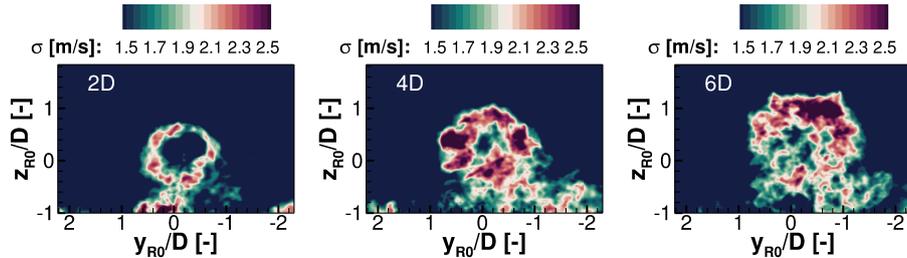


Figure 18. Standard deviations of the wake for unstable stratification in transverse planes several rotor diameters (2D, 4D and 6D) downstream of the wind turbine

R2: Comment 33 Standard deviation σ ($\sigma = \sqrt{\sigma_u^2 + \sigma_v^2 + \sigma_w^2}$) of planes perpendicular to the flow direction several rotor diameters (2D, 4D and 6D) downstream of the wind turbine are illustrated in Fig. 17 and 18. Figure 17 represents the wake cross sections for the simulations under stable conditions and Fig. 18 for unstable stratification. Through the standard deviation σ , the shape of the wake and the mixing with the ambient turbulence are explained. It becomes apparent that further downstream the fluctuations of the wake are considerably larger for unstable stratification than for stable conditions. Comparing the standard deviation σ in Fig. 17 with Fig. 18, it is recognisable that already 2D downstream of the turbine the plots look entirely different. In this region primarily the blade tip vortices cause these high values of the standard deviation. For unstable stratification, the vortices spread out into significantly wider areas, whereas for stable stratification the wake keeps its circular shape longer. Further

515 downstream, it can be assumed that the wake decays for unstable conditions more quickly, due to vortex breakdown, as analysed by Troldborg et al. (2008). In stable stratification, the vortex decay will occur comparatively further downstream due to suppressed mixing, which is evident from the standard deviation at all planes.

4 Results - Part 2 Real Case Study: 10 March 2021

In the second part of the paper, the previously described effects on flow fields in complex terrain are investigated combined for a case study based on **R2: Language** observed conditions. For this study, a five-minute time period of the measurement data from 10 March 2021 at 11:30 a.m. was extracted to generate inflow data in the valley. This was then propagated through the domain and compared to the experimental data. On the plateau, the flow direction is west-northwest, which corresponds with the predominant wind direction at this site. **R2: Language**

The atmosphere was slightly unstable, and the forest was not leafy because it was springtime. **R2: Comment 20**
525 **The depth of the ABL was set to 300 m height.** The turbulence and velocity profiles were measured by the met mast. To obtain the velocity profile at the inflow plane, the measurement data were adjusted to the flow field in the valley.

R2: Comment 1, Comment 34 **The quantitative adjustment of the inflow velocity field from the measured data downstream of the escarpment can be described from empirical values as follows: The previous analyses of the flow field showed that in the investigated terrain on the Swabian Alps, speed-ups of 35% to approximately 60% result at hub height compared to the velocities in the valley at the same reference height. The relative speed-up tends to be higher for lower wind speeds in the valley. This demonstrated the advantage of using the escarpment for wind energy at the test site. For a reference velocity of 8 s^{-1} in the valley and 11 m s^{-1} on the test field plateau the relative speed-up is approximately 37.5% and at 16.5 m s^{-1} the speed-up was 35%, as the simulation in Figure 16 showed. For this current situation the reference velocity was 7.7 m s^{-1} at hub height that results in a speed-up of approximately 60% compared to the valley situation.**

530 **R2: Language** **at hub height** $z_{ref} = 72 \text{ m}$ with an Hellmann exponent $\alpha = 0.2$ at the inflow plane. The synthetic turbulence for this purpose was generated with a turbulence intensity of $Ti = 15\%$ **R2: Comment 34** **analogous to the measurement results of the met masts.** The used length scale was 42 m, which is consistent with findings of Peña et al. (2010) and Sathe et al. (2013) for slightly unstable conditions at comparable wind speeds. The **R2: Language** **anisotropy** Γ was set to **R2: Comment 35** 2.9 and the dissipation factor $\alpha\epsilon^{2/3}$ resulted in 0.03, which fits to the studies of Chougule et al. (2016) and Kelly (2018). **R2: Comment 36** **Because of insignificant wind veer, the Coriolis force is ignored. At the heights investigated, the maximum difference from the main wind direction was 5.5 % in the averaged time window. Therefore, the wind veer was classified as negligible.**

545 The generated unsteady inflow plane is shown in Fig. 19. The used velocity profile at the inflow is illustrated in the upper plot. This above-the-ground extracted velocity profile is superimposed with the generated synthetic turbulence by source terms. The resulting flow field is shown in the lower plot at a position two length scales downstream of

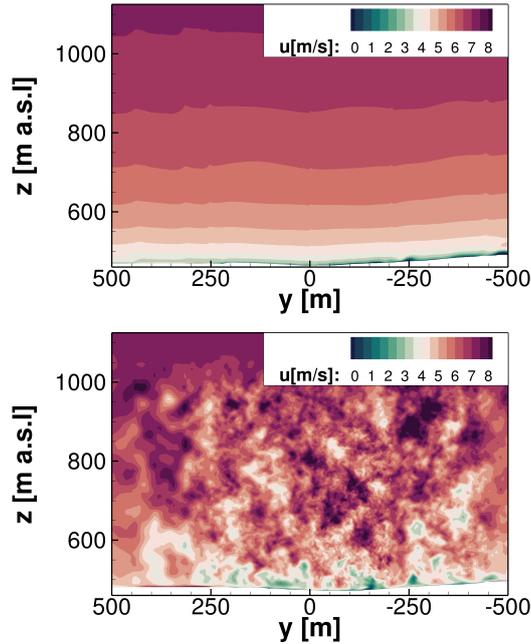


Figure 19. Generation of turbulence from the met mast data of 10 March 2021 and the resulting turbulent flow field at the inflow

the inflow plane. This transient turbulent field is propagated through the domain. After one complete flow through the whole domain, the evaluation, as well as the averaging of the simulation, was started in order to compare it with
 550 the met mast data as shown by Fig. 20.

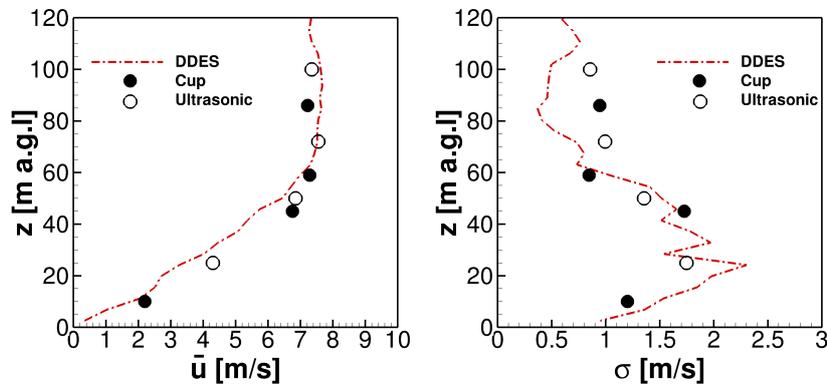


Figure 20. Averaged flow quantities of the simulations in comparison with measured mast data from 10 March 2021

Figure 20 illustrates the comparison of simulated flow quantities compared with experimental data of the first met mast. Both the measurements and the simulations show results, which are averaged over five minutes. The mean

velocity profile at the met mast position is depicted in the left plot. The forest wake with reduced velocities near the ground causes the almost linear increase of the curve until 50 m a.g.l. In the upper part of the velocity profile near the rotor plane and above, there is very little shear of the wind speed. The wind speed remains almost constant over the height, which can be explained by the **R2: Comment 2** speed-up over the terrain in lower areas, whereas the upper flow field is less influenced by the orography. This effect has also been illustrated by flow regions **1** and **4** in Fig. 9. Besides, the shear is suppressed by the convective conditions in this situation, because the buoyancy force drives air masses upwards. It is noticeable that the numerical results show a decent agreement compared to the measured data. The deviation of all measurement points to the simulated results remains in a range of $\pm 1 \text{ m s}^{-1}$. The graph of the standard deviation σ above the height is shown in the right plot. Up to 60 m above-the-ground, the standard deviation and consequently the turbulence is significantly increased, which is shown in both the simulation and the measured data. The forest wake, which extends to higher altitudes because of the unstable conditions primarily causes this effect. A stronger mixing of the ambient turbulence and the forest wake is the result. In this region, the examined turbulence properties match well with the CFD simulations. At higher altitudes, there are deviations of the numerics and the measured data. This can probably be explained by the evaluation period in the simulations, whereby the synthetic turbulence generated stochastically via the **R2: Language** Mann-model did not exactly match the real turbulence characteristics. These turbulent data were fed into the inflow plane in the valley more than 1000 m upstream of this evaluation position.

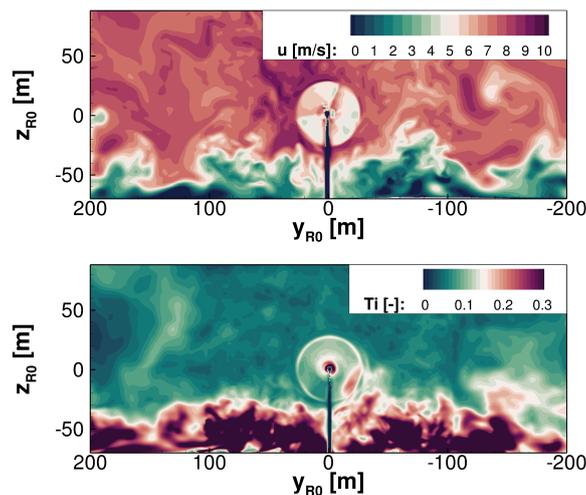


Figure 21. Flow situation at the wind turbine position for unstable conditions on 10 March 21. Upper plot: Instantaneous flow field. Lower plot: Turbulence intensity of the flow field

A plane through the rotor position is visualised in Fig. 21. In the upper plot, the flow field at an arbitrary moment in time is shown. The interaction of the turbine rotor, the ambient turbulence, and the turbulent wake of the forest are shown. The situation depicts the flow field 65 revolutions after the rotating turbine has been integrated into the

flow field. From the turbulent structures of the forest wake, which propagate heterogeneously and expand to different heights, it becomes evident that with increasing time, detaching vortex structures with low horizontal velocities cross the rotor plane. Investigating the lower plot, the turbulent inflow onto the turbine is characterised. The turbulent forest wake extends on average into heights of 45 m. The significantly increased turbulence intensity results from fluctuations at low mean velocities in the forest wake. If these structures flow through the rotor plane, the inflow will be significantly affected. This influences the loads, the power, as well as the wake. Especially in the lower right rotor position, the high turbulence intensity caused by the forest wake are remarkable. The effect on the wake is explained in the following Fig. 22. The effects on power output and rotor loads follow afterwards.

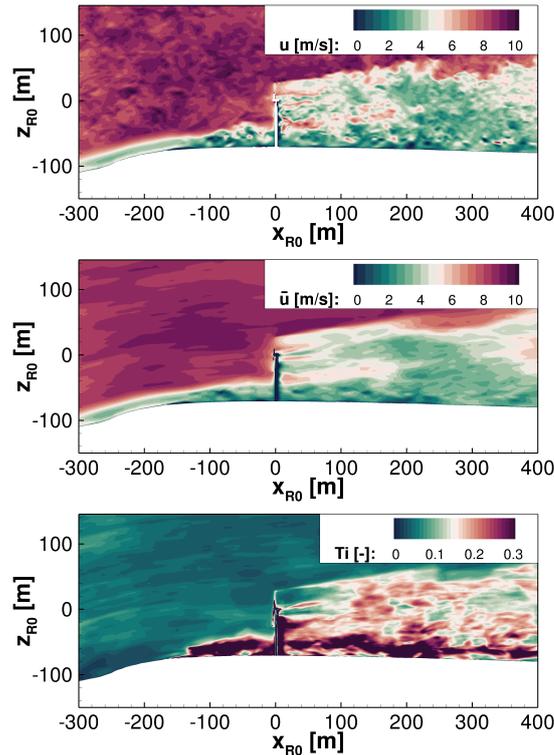


Figure 22. Flow field of a vertical plane crossing the wind turbine position during unstable conditions on 10 March 21. Upper plot: Instantaneous flow field. Middle plot: Averaged flow field. Lower plot: Turbulence intensity of the flow field

Figure 22 shows a vertical plane of the instantaneous flow field upstream and downstream of the wind turbine. Despite the lower foliage densities, the unstable conditions lead to significant mixing of the ambient flow with the forest wake, which also affects the inflow on the wind turbine. However, especially the effects on the wake are even stronger. Up to $x = 200$ m downstream of the turbine, the turbine wake is distinguishable from the forest wake. The propagation of the blade tip vortices with increased velocities is also visible in this area. Further downstream the wake structures of the forest and the wind turbine increasingly mix and are R2:Language subsequently hardly

distinguishable from each other. Considering a position that lies even further downstream, a decay of the turbulent wake is noticeable. Temporal averages show similar findings. The middle plot shows such an averaged flow solution over 60 seconds. The lower plot indicates the turbulence intensity evaluated over the same period. Starting at $x = 200$ m, the wake contour of the turbine mixes with the forest wake to an extent that results in a uniformly spreading wake structure, which rapidly decays. Also increasing fluctuations arise from the strong mixing of the wind turbine wake and the forest wake. The turbulence production near the ground at $x = -140$ m downstream of the last tree row is due to transient effects: A combination of temporal flow separations and also moments with high velocities at this position causes these unsteady variations of the wind.

Thus, the high turbulence intensities at these unstable conditions lead to strong fluctuations in the wake. This impact causes the wake to deform when mixing with the forest. The result is a breakdown of the wake further downstream.

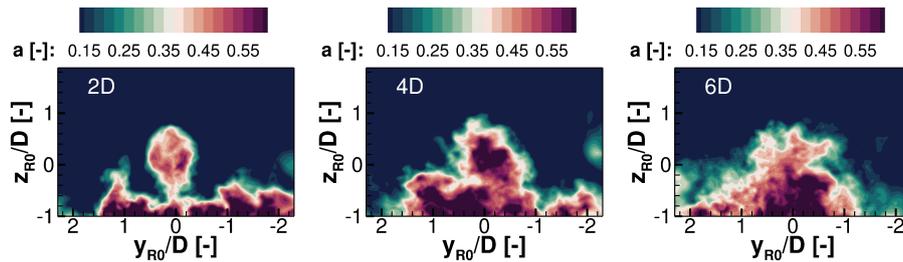


Figure 23. Comparison of the axial induction factors a in transverse planes of the wake 2D, 4D and 6D downstream of the wind turbine

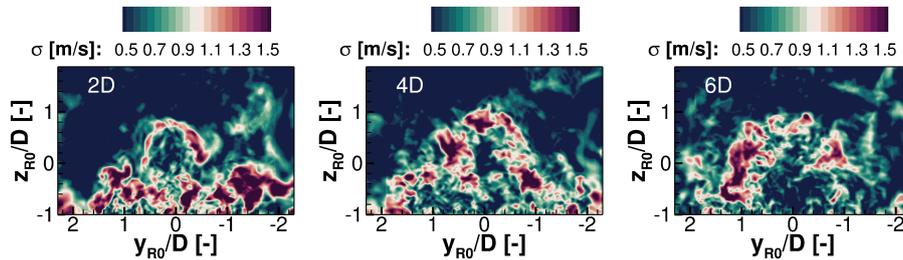


Figure 24. Comparison of the standard deviations σ in transverse planes of the wake 2D, 4D and 6D downstream of the wind turbine

The physical behaviour of the flow in the wake will be examined in more detail by looking at transverse planes in Fig. 23 and Fig. 24. The planes are extracted 2, 4, and 6 rotor diameters downstream of the turbine, respectively. Figure 23 shows the averaged axial induction factor a to analyse the mean wake propagation. Figure 24 examines the standard deviation σ , which is used to evaluate the decay of the wake with increasing distance. In a distance 2D downstream of the turbine, by both a and σ , the turbine wake is still distinguishable from the turbulent structures of the forest wake. This means at this position mixing is still relatively low. Further 2D downstream a mixing of the

wake structures is already visible and the standard deviation σ depicts that the rotor induced fluctuations increase and occupy increasingly larger areas. In a distance $6D$ downstream of the turbine, forest wake and turbine wake have become a coherent structure. The standard deviation illustrates the decay of the wind turbine wake because the fluctuations propagate into extensive areas.

To analyse the combined topographic and meteorological influences on the wind turbine performance, simulation results examining torque and torque fluctuations are presented below. For this purpose, the results were phase averaged over 25 revolutions.

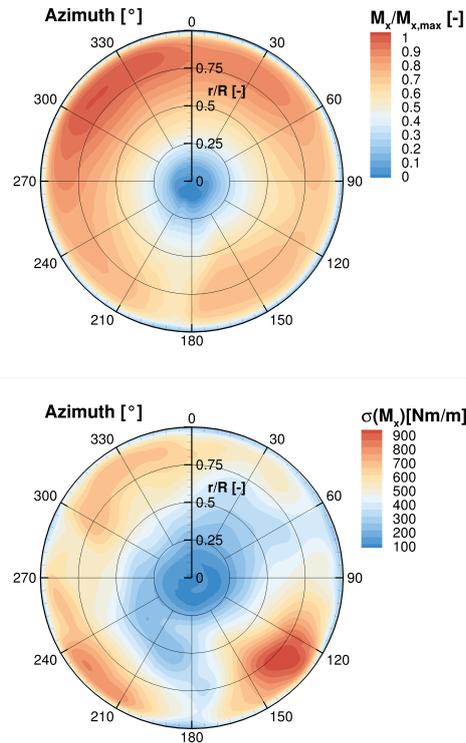


Figure 25. Distributions of the torque and of the standard deviation of the torque phase-averaged over 25 revolutions at the rotor plane

Figure 25 shows the phase-averaged torque distribution at the rotor plane, which is significantly responsible for generated power of the wind turbine. The upper plot depicts the phase averaged torque normalised by the maximum value. This maximum of the torque is reached in the upper-left area of the rotor plane. The maximum torque is generated between 290° and 340° because of the retreating blade effect. The escarpment of the orography and besides convective conditions with upwards acting buoyancy cause inclined flows. This upward wind increases the angle of attack at this position as the blade retreats in the left rotor half and advances in the right rotor half. The higher

angles of attack increase the rotor loads and therefore the torque at this position. The forest wake causes lower torque to be generated in the lower half of the rotor, resulting in a power reduction. As can be interpreted from Fig. 20, the higher torque distributions of the upper rotor plane in contrast to the lower rotor plane do not result from the shear of the velocity profile, as **R2:Language** the shear hardly changes over the rotor plane. The differences
620 are rather due to the forest wake and the unstable conditions. At 180° the tower blockage causes lower values of M_x . To gain a better understanding of the effects of the turbulent flow field on the torque, the lower plot shows the standard deviation of the axial torque $\sigma(M_x)$. The largest torque fluctuations occur in the lower half of the rotor. As already described, turbulent vortices of the forest wake with reduced velocities flow across the lower half of the rotor plane, which leads to temporarily large velocity differences and therefore to high load fluctuations. The
625 maximum of the torque fluctuations is reached between azimuth positions 120° and 150° . In this area the highest turbulent fluctuations occur as it was already analysed in the lower plot of Fig. 21. The increased load fluctuations arise across the entire lower half of the rotor. Because of the tower passage of the blade and the associated tower blockage, smaller torque fluctuations occur at 180° . Increased torque fluctuations also occur at **R2:Language** the
630 **areas of** the maximum rotor loads between 290° and 340° . The high ambient turbulence causes gusts that affect the inflow for this rotor position in the observed period.

Many conditions affect the wind turbine at the test site. The orography leads to accelerations above the escarpment. The forest induces reduced velocities close to the ground, resulting in large shear effects and amplified turbulence. In addition, high turbulence intensities induced by the orography and convective flows have a strong impact on the wind turbine.

635 5 Conclusions

The paper presents the results of a study of highly resolved DDES simulations of a wind energy test site in complex terrain that is currently under construction in Southern Germany. In the first part of the results, the effects resulting from orography, forests, and thermal stratification were investigated and evaluated separately. Initially, the effects on the flow field were analysed and in a second step, the fully meshed wind turbine was integrated into the flow field.
640 Subsequently, all effects were combined and applied to a study **R2: Language** with real observed conditions on 10 March 2021 in the second part of the results. The results of the simulated flow field were compared with met mast data. For the evaluation of this work, only comparative measurements of the wind field were available, as the construction of the turbines had not yet been completed on the test field at that time. Numerically, however, the wind turbine could be considered for this period, which was subsequently also done in the results. The inflow, the
645 wake and the performance output of the wind turbine were investigated. The main findings are listed below:

- 1) **R2: Comment 1** The escarpment accelerates the flow field at the altitude of the rotor plane. The analyses of the flow field showed that in the investigated terrain on the Swabian Alps, speed-ups of 35% to approximately

650 60% result at hub height compared to the velocities in the valley at the same reference height. In combination with the upper flow field that is less influenced by the orography, a boundary layer profile with low shear versus altitude results on the plateau of the wind energy test site.

655 2) **R2: Comment 1** At the test site, the forest has a large impact on the flow field in ground proximity. The numerical studies showed that the forest wake can spread up to heights of 60 m approximately 200 m downstream of the last row of trees on the escarpment where the wind turbine is located in the simulations. The horizontal wind speed also has an impact on the spread of the forest wake. The higher the horizontal speed, the higher the acceleration of the vertical speed over the steep slope. Accordingly, the forest wake spreads to larger heights. In some cases, these turbulent wake structures also reach hub height. Highly turbulent fluctuations of low wind speeds in the forest wake strongly mix with the high velocity flow field above and result in highly complex and turbulent flow situations. For a reference wind speed at hub height of 11 m s^{-1} , the standard deviation at the turbine position can reach approximately $\sigma = 3 \text{ m s}^{-1}$, which corresponds to extremely high turbulence intensities due to the low average wind speeds near the ground in the forest wake. For a reference wind speed at hub height of approximately 7.7 m s^{-1} and lower leaf area densities, the standard deviation can still be $\sigma = 1.5 \text{ m s}^{-1}$ to $\sigma = 2 \text{ m s}^{-1}$, which dominates the flow near the ground.

665 3) **R2: Comment 1** Thermal stratification also has a strong impact on the ambient turbulence and the forest wake. Stable conditions suppress turbulent mixing, especially downstream of the forested escarpment, whereas turbulence and dispersion are strongly amplified for convective conditions. The height differences of the forest wake between stable and unstable stratification at the turbine position as analysed in this work can reach approximately 670 25 m, which can influence the rotor loads, the power and also the wake very differently

675 4) **R2: Comment 1** By considering the wind turbine in the flow field, it has been shown that the forested escarpment impacts the inflow of the turbine, as well as the mixing of the forest wake with the turbine wake. This affects wake decay further downstream. Unstable conditions amplify this effect, while in stable conditions the wake extends further downstream. In unstable conditions 6D downstream of the turbine, the turbulent wake spreads laterally and vertically in approximately 30% larger areas than for stable conditions.

680 5) **R2: Comment 1** Taking all these effects into account when simulating real conditions of a five-minute period on 10 March 2021, decent agreements with the mean velocity profile and the turbulent statistics measured by the met masts were shown. The deviation of all measurement points of the velocity profile to the simulated results remains in a range of $\pm 1 \text{ m s}^{-1}$. In most cases, the deviations of the measured and simulated values in the range relevant for the wind turbine are even significantly smaller. A similar statement is also valid for the comparison of the standard deviation. Especially in the lower area below the hub height, which is strongly influenced by the very turbulent

685 forest wake, there are very good agreements with the measured data. The deviations $\Delta\sigma = |\sigma_{measured} - \sigma_{simulated}|$
are generally less than $\Delta\sigma \approx 0.3 \text{ m s}^{-1}$

6) The prevailing unstable conditions led to increased mixing of the ambient turbulent flow with the forest wake. This had an increased effect on the inflow of the rotor plane and on turbulence amplification in the forest wake.

690 7) To evaluate the performance output of a virtual turbine, all of these effects had to be considered. Topographic effects and convective conditions cause low shear at the rotor position on the plateau. However, vortex structures that detach from the forest occasionally cross the rotor plane and have a significant impact on performance in the lower half of the rotor. Inclined flows in the escarpment are intensified by convective conditions, resulting in increasing angles of attack and, therefore, more torque in the left rotor plane. The highly turbulent flow in the test field
695 also impacts the turbine rotor strongly.

This paper emphasised the importance of considering orography, vegetation, and thermal stratification in numerical simulations to resolve the flow field decently. In this way, the effects on inflow, loads, power, and wake of the wind turbine can also be predicted well.

700 *Data availability.* The raw data of the simulation results can be provided by contacting the corresponding author.

Author contributions. PL implemented the code extensions for the forest model and for thermal stratification, generated the entire preprocessing, performed the simulations, the analyses and evaluations of this work in the postprocessing and wrote the paper. GG generated the mesh of the wind turbine and built the setup for the turbine parameters. TL supported the research, defined and supervised the work, and revised the manuscript.

705 *Competing interests.* The authors declare that they have no conflict of interest.

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