



Development of an image processing method for wake meandering studies and its application on data sets from scanning wind lidar and large-eddy simulation

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Abstract.

Wake meandering studies require knowledge of the instantaneous wake shape and its evolution. Scanning lidar data are used to identify the wake pattern behind offshore wind turbines but do not immediately reveal the wake shape. The precise detection of the wake shape and centerline helps to build models predicting wake behavior. The conventional Gaussian fit methods are reliable in the near-wake area but lose precision with the distance from the rotor and require good data resolution for an accurate fit. The thresholding methods usually imply a fixed value or manual selection of a threshold, which hinders the wake detection on a large data set. We propose an automatic thresholding method for the wake shape and centerline detection, which is less dependent on the data resolution and can also be applied to the image data.

We show that the method performs reasonably well on large-eddy simulation data and apply it to the data set containing lidar measurements of the two wakes. Along with the wake detection method, we use image processing statistics, such as entropy analysis, to filter and classify lidar scans. The image processing method is developed to reduce dependency on the supplementary reference data such as wind speed and direction. We show that the centerline found with the image processing is in a good agreement with the manually detected centerline and the Gaussian fit method. We also discuss a potential application of the method to separate the near and far wakes and to estimate the wake direction.

15 1 Introduction

A wake is a complex dynamic structure forming behind a wind turbine due to the kinetic energy extraction from the incoming wind flow. The wake region is characterized by the decreased wind speed and the increased turbulence intensity. The relative velocity deficit rapidly decreases to 20% at the downstream distance of five rotor diameters ($5D$). Further downstream, the recovery to the free flow is considerably slowed down; at the same time, the wake width increases up to $3D$ according to in situ observations (Aitken et al. (2014)). The typical turbine spacing in the wind farms is usually $8D$, although the optimal spacing is estimated to be higher in order to reduce the wake effect on downstream turbines (Meyers and Meneveau (2012); Stevens (2016)). Since the generated wind power is proportional to the cube of the wind speed U^3 , the power production gradually decreases if the incoming wind speed drops below the rated wind speed. The increased turbulence intensity negatively affects



the turbine fatigue loads (Lee et al. (2012)). Studying the wake behavior is hence crucial to estimating both the actual power
25 production and the overall lifetime of a wind farm.

Not only the wake expands, but it is also subjected to the wake meandering – oscillations along the rotor axis caused by
the movement of large eddies (Larsen et al. (2007, 2008)). While the near wake remains primarily stable and follows the wind
direction, the far wake oscillates randomly in the horizontal plane with an amplitude exceeding $0.5D$ (Howard et al. (2015); Foti
et al. (2016)). The far wake also oscillates in the vertical plane, although the velocity fluctuations there are weaker (España et al.
30 (2011)). As a result, a downstream turbine is exposed to intermittent flow and, consequently, unequal fatigue loads (Muller et al.
(2015); Moens et al. (2019)). Additionally, the wake in the Northern hemisphere slightly turns clockwise due to the Coriolis
effect (Abkar and Porté-Agel (2016); van der Laan and Sørensen (2017)) adding more complexity to the wake evolution over
time. Knowing only the velocity deficit at a certain downstream distance is insufficient since the wake meandering strength is
characterized by the standard deviation of the wake center. Therefore, the wake meandering analysis requires the knowledge
35 of the wake centerline to quantify the instantaneous wake effect on the downwind structures. An appropriate detection method
should be able to separate the wake from the free flow and estimate the wake centerline and its statistical characteristics. The
method application and their capabilities are highly dependent on the data available.

In situ measurements using scanning lidars provide the most relevant data on the wind flow in a particular wind farm (Trujillo
et al. (2010, 2011); Herges et al. (2017)). Due to the technical restrictions and costs of lidar installation, it is complicated
40 to obtain a three-dimensional scan of the flow around the whole wind farm, although the flow can be reconstructed for a
single turbine (Beck and Kühn (2019)). Still, the measurement campaigns span along several months and require data pre-
processing to sort out invalid measurements. A controlled experiment can be performed on a wind tunnel for model validation or
reproduction of specific flow conditions (Snel et al. (2007); Chamorro and Porté-Agel (2010)). The particle image velocimetry
(PIV) provides good spatial and temporal resolution of the measured wind field but still deals with the scaled models and has
45 to account for their limitations. A different approach is running a large-eddy simulation (LES) of a wind turbine or a wind
farm. While LES provides a wide range of possibilities to simulate atmospheric conditions and wind farm configuration, its
representation of a wake strongly depends on the implemented turbulence closure (Moriarty et al. (2014); Mehta et al. (2014);
Martínez-Tossas et al. (2018)) and wind turbine model (Porté-Agel et al. (2011); Martínez-Tossas et al. (2015)). A relatively
new development is quantitative study of wind farm wakes from the satellite data (Ahsbahs et al. (2020)). The satellites
50 generally have a lower spatial resolution than scanning lidars and measure wind speed on the horizontal near-surface plane but
still provide general information on the flow around wind farms.

Several wake detection methods exist, varying in the complexity and input data requirements (Quon et al. (2020)). Among
the variety of wake detection methods, we focus on the thresholding and Gaussian fitting because they are applicable to a 2D
lidar scan in a horizontal or inclined plane. The most common wake detection method is fitting a Gaussian distribution to the
55 velocity deficit across the wake at various downstream positions and get estimations of the wake center and width from the
fitted function (Fleming et al. (2014); Vollmer et al. (2016); Krishnamurthy et al. (2017)). The method can be applied both
to the averaged and instantaneous wake, although the irregular wake shape of the latter complicates the detection. For better
accuracy, the fitting requires wind speed data in a fine spatial resolution. A sufficient spatial resolution is achieved by large-



eddy simulation or particle image velocimetry. The Gaussian fit method can be also applied to the scanning wind lidar data,
60 provided the wake region is resolved well enough.

Alternatively, a threshold value can be defined to split the data into the wake and free-flow points. Thresholding methods
depend less on the data resolution and mainly rely on the wind speed values. The simplest thresholding method defines a thresh-
old based on the wind speed ratio in the wake and the free flow. The detection is applied up to a $6-8D$ distance downstream
the turbine, where the wake structure remains primarily continuous. The post-processing may require filtering and smoothing
65 to decrease the noise (España et al. (2011)).

A fixed threshold value does not account for wind speed distribution and data quality which may be a common issue for
a lidar scan. The fitting methods also depend on the data quality and spatial resolution and may require additional data such
as wind direction. We, therefore, refer to image processing techniques that depend less on the data availability and can be
applied both to the image or processed wind speed data. We propose an image processing method with an automated threshold
70 estimation, previously developed for the whitecaps detection – Adaptive Thresholding Segmentation method (ATS) (Bakhoday-
Paskyabi et al. (2016)). We adapt the method for the wake applications and develop new routines to estimate the wake centerline
without a priori knowledge of the wind direction.

This study focuses on the technical aspect of the ATS method and discusses its advantages and limitations. The method
is applied to the scanning lidar data set containing wakes from two wind turbines and various wake-wake or wake-turbine
75 interactions. The measurement site and lidar setup are described in Sect. 2, and the data preprocessing is reviewed in Sect. 3. In
the same section, we preview diagnostic techniques using entropy to evaluate and classify the data. In addition to the lidar data,
we also describe wake detection based on LES data as a proof of concept. The application of the image processing method to
the wake and centerline detection is detailed in Sect. 4. We then apply the image processing techniques to the lidar data and
compare the result with the manual wake detection and the Gaussian fit method in Sect. 5. The findings are summarized in
80 Sect. 6. In the Appendix, we briefly discuss the differences between wake detection from the lidar scan data and the respective
grayscale image.

2 Site description and measurement setup

In this study, we use radial wind speed data recorded with a scanning Doppler wind lidar Leosphere WindCube 100S during
the Offshore Boundary-Layer Experiment at FINO1 (OBLEX-F1) campaign. The FINO1 platform is located in the North Sea
85 at $54^{\circ} 00' 53.5''$ N $6^{\circ} 35' 15.5''$ E, 45 km to the north of the German island of Borkum. The installed lidar is oriented towards
the *alpha ventus* wind farm.

The *alpha ventus* wind farm consists of 12 wind turbines arranged in a rectangular pattern (Fig. 1). The wind turbines
AV1–AV6 are of the type Repower 5M with a hub height of 92 m and a rotor diameter of 126 m; AV7–AV12 are of the type
AREVA M5000 with a hub height of 91.5 m and a rotor diameter D of 116 m. The row and column distances between the
90 turbines vary within 800–850 m, approximately seven rotor diameters, $7D$. The distance between FINO1 and the closest wind
turbine, AV4, is 405 m. The closest scanned wind turbine, AV7, is located at 919 m or $7.92D$ from FINO1.

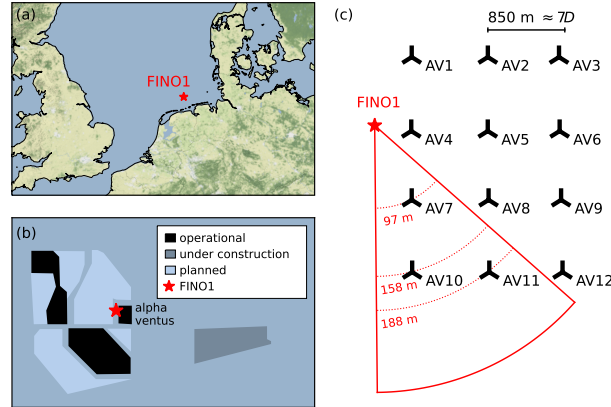


Figure 1. A schematic shows (a) the location of FINO1 platform, map made with Natural Earth, (b) wind farms and platforms near FINO1, status in 2015-2016, and (c) *alpha ventus* wind farm layout, maximum lidar scan area and scanning height at the position of each wind turbine.

The lidar is installed at 23.5 m above sea level and operates in a Plan Position Indicator (PPI) scanning mode. In this mode, the azimuth of the lidar beam changes between 131.5° and 179.5° at an elevation angle of 4.62° . The lidar scans the south-western sector of the *alpha ventus* wind farm and captures wake patterns from two wind turbines, AV7 and AV10. The third
 95 wind turbine, AV11, stays outside of the lidar range in most scans, but a part of its wake is visible for the specific wind directions. The wind turbine AV7 is scanned near the hub height at approximately 97 m. The farther wind turbines AV10 and AV11 are scanned above the top of the blade tip at 158 m and 188 m, respectively.

In addition to the lidar data, we use the wind speed and wind direction time series from the FINO1 meteorological mast as a reference. The wind speed and direction are measured at 90 m and 100 m, respectively.

100 3 Data description

3.1 Lidar measurements at *alpha ventus*

The lidar measurements partially cover the day of September 24, 2016 and capture a variety of wake-wake interactions. The data set used in this study contains the first 20–22 minutes of each hour. The consecutive lidar scans are separated by approximately 45 s – the time required for the lidar to finish one scan. Overall, the data set contains 600 lidar scans, which are split into 24
 105 subsets of 25 scans each. For the simplicity of presentation and referring, we number the lidar scans from 1 to 600 (Table 1).

The image processing algorithm accepts the input data as a grayscale image. The wind speed data is adjusted to the range of $[0, 1]$ to imitate the grayscale intensity as

$$I = \frac{U_{\max} - U}{U_{\max} - U_{\min}}, \quad (1)$$



where U is the wind speed value measured at a point, U_{\min} and U_{\max} are minimum and maximum wind speed registered
 110 in a particular lidar scan. For the lowest wind speed $U = U_{\min}$ (potential wake points), $I = 1$ denotes the points with the
 highest intensity. Similarly, the highest wind speed for $U = U_{\max}$ (free-flow points), $I = 0$ indicates the points with the lowest
 intensity. Figure 2 presents an example lidar scan plotted in polar coordinates and then transformed into Cartesian coordinates.

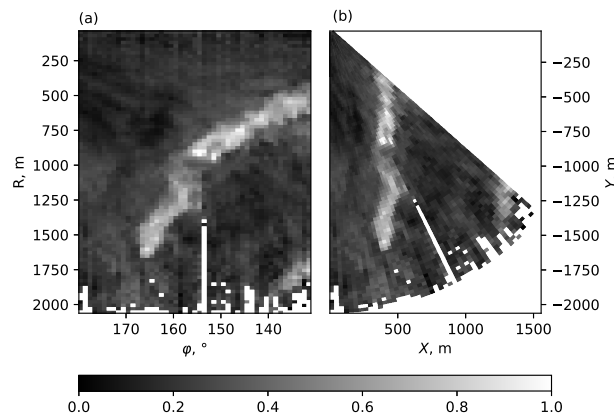


Figure 2. An example lidar scan #221 taken on September 24th 2016 08:17 UTC+1 at reference wind speed 8.8 m s^{-1} and reference wind
 direction 171.2° . The original data is stored in (a) the polar coordinates R, φ and converted to (b) the Cartesian coordinates X, Y .

The wake detection is performed on the data stored in the polar coordinates. The resulting data are converted to the Cartesian
 coordinates for a better presentation.

115 3.2 Data quality

Working with lidar scans, we encounter two types of noise: wind speed fluctuations not caused by the wake and high wind
 speed due to the measurement error. The lidar measures radial velocity, which can be represented through three directional
 wind speed components u, v , and w , and the information on the line of sight of the lidar beam, given by the azimuth ϕ and
 elevation angle θ :

$$120 \quad U = u \sin \phi \cos \theta + v \cos \phi \cos \theta + w \sin \theta \quad (2)$$

When the wind blows along the lidar's line of sight, the measured radial velocity is essentially the horizontal wind speed. In
 the case of crosswind – the wind direction is close to perpendicular to the line of sight – the radial velocity tends to zero. The
 lidar cannot measure the crosswind, and measurements taken during the crosswind event are prone to errors compared to other
 wind directions.

125 When plotted against the reference wind direction, the reference wind speed and mean wind speed of a lidar scan show
 strong discrepancy for a range of wind directions (Fig. 3). With the lidar scanning in the range of $131.5\text{--}179.5^\circ$, the crosswind
 effects can be expected for the wind directions of $221.5\text{--}269.5^\circ$. As shown in Fig. 3, the crosswind effects already appear for



the wind direction above 210° . The scans taken at crosswind direction show a large number of non-physical wind speed values reaching $100\text{--}1000\text{ m s}^{-1}$.

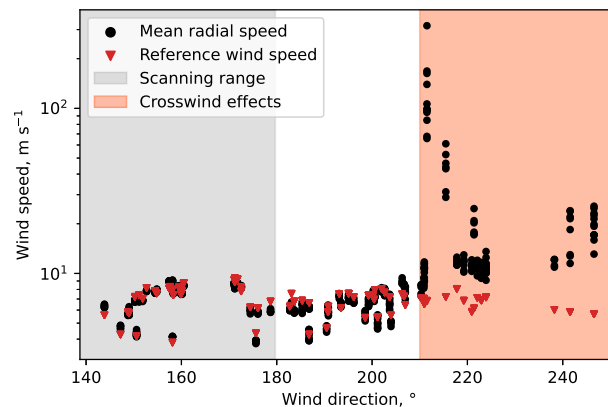


Figure 3. Comparison of the mean radial wind speed and reference wind direction in the data set.

130 Occasionally, we also observe weaker spikes in the wind speed value, most of which are localized at the position of a wind turbine AV10, implying a measurement error due to the lidar beam reflection from rotating blades. The reference and mean radial wind speeds remain in the good agreement for the wind directions below 210° despite containing spikes in the wind speed data. Nevertheless, the outliers cause an intensity skew when the wind speed data are normalized to the range of $[0, 1]$ (Fig. 4). The intensity distribution peak moves to the right, with the far left tail containing occasional low bumps caused by the spikes (Fig. 4d).

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In the example, the middle scan (Fig. 4b) has a wind speed spike of 15 m s^{-1} , while the reference wind speed reaches 5.8 m s^{-1} . The wind speed measured in the spike region stays below 7 m s^{-1} . The resulting lidar scan image is less contrast compared to the adjacent lidar scans.

To preserve the uniformity between consequent lidar scans of the same subset, we perform despiking – detection and removal of the spikes. The spikes are detected based on the wind speed value and the difference with the adjacent points. We delete all values higher than 30 m s^{-1} and check the remaining data for the local maximums. We consider a wind speed of 7 m s^{-1} enough to designate a local maximum as a spike. When a spike consists of a single or double point, it is replaced by a NaN value and the resulting gap is filled by interpolation to retain the continuous wind field. Larger clusters of high wind speed values are considered noise, gap filling after removal is not performed.

145 Since the lidar is oriented towards the closest wind turbine, a string of missing values – a wind turbine ‘shadow’ – is always present in the lidar scans regardless of the wind direction. The shadow rarely crosses wind turbine wakes and did not noticeably affect the performance of the wake detection methods. Hence we do not perform a gap filling to remove the shadow in addition to the despiking.

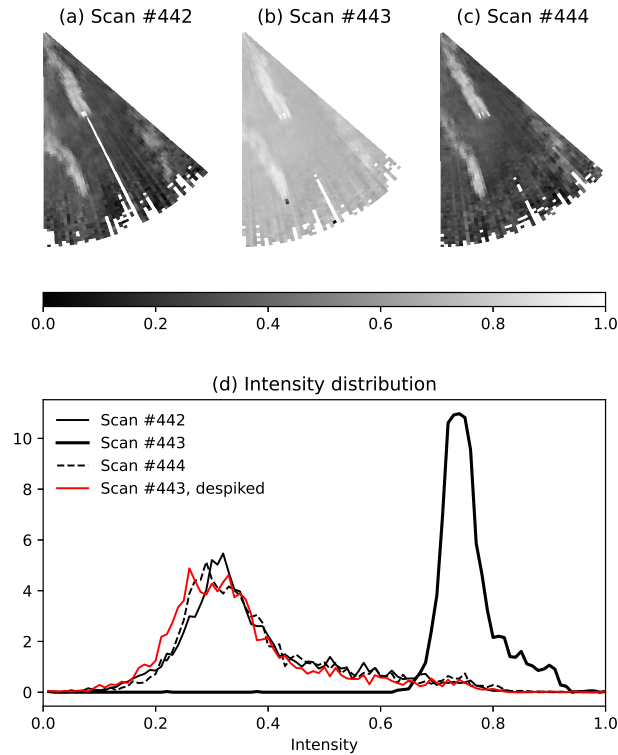


Figure 4. Consecutive lidar scans from the bimodal subset. Scans (a) and (c) have a valid wind speed range, while scan (b) has wind speed spikes near the wind turbine position and in the far range; subplot (d) shows the intensity distribution for the same scans.

3.3 Information entropy and data classification

150 We introduce entropy criteria as an alternative to using reference data for quality control. The entropy application ranges from finding a threshold (Pun (1981)) to object classification in an image (e.g., satellite map segmentation by Long and Singh (2013)). Here, we calculate it primarily for the diagnostic purposes and data classification into subsets.

The information entropy is a measure of noise in the data. It can be calculated for the whole data set as well as across the rows or columns of a rectangular matrix containing 2D data. We apply Shannon entropy S (Shannon (1948)) as follows:

$$155 \quad S = - \sum_{i=1}^n P(x_i) \log_2 P(x_i), \quad (3)$$

where $P(x_i)$ is the probability density function (PDF) of the variable x_i (here – intensity) to occur in the data. If the entropy tends to zero, it indicates uniform data. High entropy value implies disturbances in the lidar scan due to wakes or noise. However, too strong disturbances caused by measurement errors are reflected as entropy decrease due to the approach we use. As shown earlier, the outliers cause intensity skew after normalization to the range of $[0, 1]$ (Fig. 4b). With the maximum
 160 values of $100\text{--}1000 \text{ m s}^{-1}$, the intensity skew after normalization becomes even stronger. The valid wind speed measurements

become indistinguishable and the lidar scan in question is perceived to be uniform, hence its entropy is lower than for the scans containing mostly valid measurements.

For the directional entropy, we select either wind speed values across the beam range or across the azimuth and calculate a PDF for this sample to pass it to the entropy function. An example directional entropy is presented in Fig. 5. The top and the left parts of the scan in polar coordinates do not contain wakes, hence the entropy calculated for the respective rows and columns is lower than for the wake regions. The entropy calculated across the azimuth (Fig. 5a) is higher for columns containing both wakes instead of one due to higher disturbance rate. An additional entropy increase near the azimuth of 130–140° can be explained by high noise at the lidar scan border. The entropy calculated across the beam range (Fig. 5c) has a prominent peak for the AV7 wake. The AV10 wake produces two less prominent peaks indicating thinner wake spread along longer distance. We can utilize changes in the entropy to detect common features in the lidar scans.

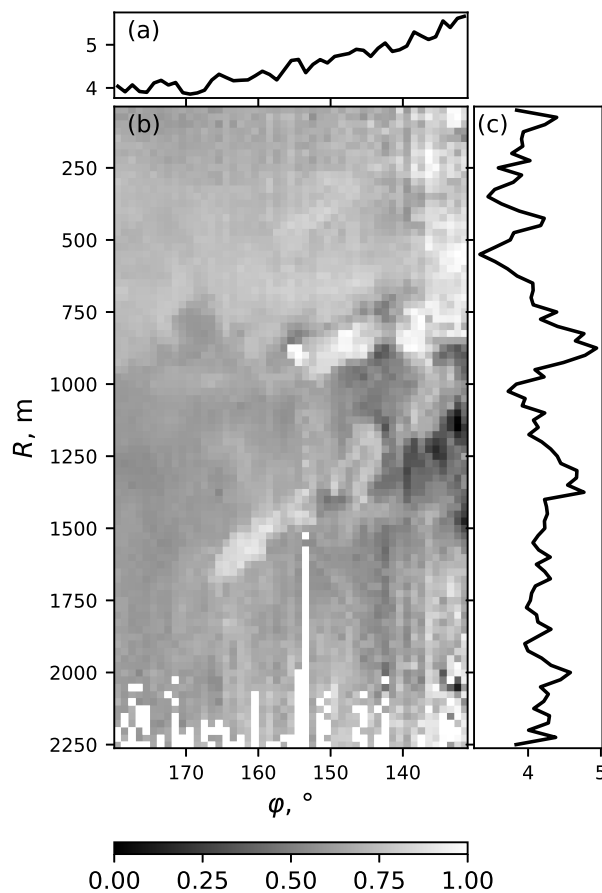


Figure 5. The directional entropy calculated across the (a) azimuth φ and (c) beam range R of (b) the lidar scan #61.



We calculate the entropy across the azimuth and beam range for all lidar scans before preprocessing and combine them into two plots (Fig. 6). The respective wind turbine positions are marked on the right axis. The lower color bar limit is adjusted for better presentation, while the actual entropy values reach zero for certain lidar scans. The entropy calculated across the beam range highlights several lidar scans with a significant entropy decrease compared to other cases (Fig. 6a). Those scans are also characterized by the measurements corrupted due to the crosswind effect. The spiked data also leads to entropy decrease although not as gradual. The corresponding scans can be seen as occasional vertical stripes in (Fig. 6), e.g., series of scans after #400.

The remaining subsets have a similar entropy distribution across the beam range. A wake from the wind turbine AV7 can be seen as an increase of entropy near the turbine's position. A weaker increase of entropy can be also seen for AV10, for example, in scans #51–175.

The entropy calculated across the azimuth (Fig. 6b) also shows consistent features for the corrupted lidar scans: the subset containing scans #1–25 and #301–350 shows a substantial decrease of entropy. Among the remaining scans, #51–300 and #376–425 show a gradient-like pattern caused by the absence of wakes in 170–180° sector (low entropy) and wakes and border noise in 130–140° sector (high entropy). The pattern is weaker for scans #176–250, where the border noise is absent and wakes are aligned along the line connecting wind turbines, thus disturbing a smaller area of a lidar scan. The scans #426–600 demonstrate a horizontal stripe pattern, caused by the wind blowing towards the lidar and wakes forming in the near scanning range. The entropy increase matches the positions of AV7 and AV10, as marked in the graphs.

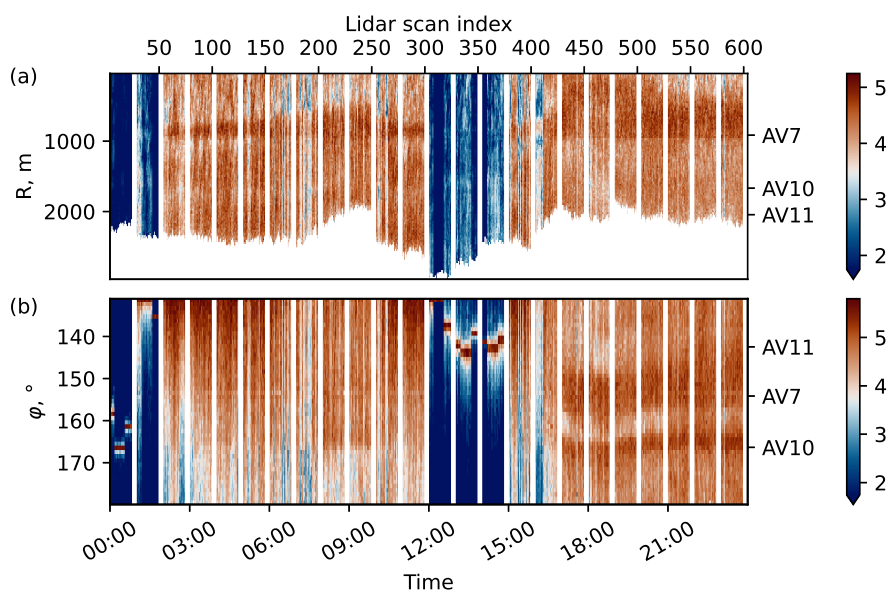


Figure 6. Directional entropy of the raw lidar data, all 600 lidar scans combined: (a) entropy calculated across the beam range R , and (b) entropy calculated across the azimuth φ .



The low entropy criteria agree well with the crosswind criteria on which scans are likely to contain a high amount of corrupted data. In general, the scans with a high data corruption rate can be easily identified based on the percentage of the data points exceeding a specific wind speed limit. The total number of corrupted scans is five subsets, each containing 25 scans, i.e., about 1/5 of the total number of scans. Classification of the remaining valid scans requires either prior knowledge of the reference wind direction (which may be unavailable if we work with the image data) or visual evaluation of the wake features (which may be complicated for a large data set). Entropy criteria can potentially simplify the classification. Using the entropy and intensity histograms, we classify the subsets into the following groups:

1. **Parallel wakes subset**, Fig. 7a: The wakes do not interact with each other. Some noise may occur at the lidar scan's border due to the wind direction approaching the value when the crosswind effects start. Since the wakes propagate towards this border, the entropy calculated across the azimuth shows a consistent increase near azimuth of 131° . The entropy calculated across the beam range shows a distinctive peak due to AV7 wake. The intensity histogram tends to be more symmetrical than in other subsets and has a peak close to the average intensity of 0.5. Parallel wakes are the most common case for this data set.
2. **Aligned wakes subset**, Fig. 7b: The wind blows along the line connecting wind turbines AV7 and AV10 so that the former is subjected to a wake. Compared to the parallel wakes subset, the histogram peak is shifted to the left. The histogram peak may split into two narrow peaks located close to each other when the wakes are not perfectly aligned. The entropy across both the azimuth and the beam range is more uniform than for the parallel wakes subset due to the absence of the border noise.
3. **Transitional subset**. The wind direction changes, so both parallel and aligned wakes can be observed in the subset. This behavior is observed for a single subset containing scans #401–425.
4. **Bimodal subset**, Fig. 7c: The wind blows along the lidar beam. Two long wakes are formed behind the wind turbines and merge in the lidar near range. The wind speed histogram approaches bimodal distribution, which is especially prominent for the averaged subset. The larger peak represents the free flow, while the smaller peak corresponds to the far wakes of AV7 and AV10. The scans have little noise, the increase of entropy highlights the presence of a wake.
5. **Corrupted subset**, Fig. 7d: The lidar scan is characterized by a high percentage of non-physical wind speed values. The histogram tends to the far right side, forming a sharp peak in between intensity values of 0.9–1.0. The entropy across the beam range and the azimuth is significantly lower than in other subsets and tends to zero.

The overview of the subsets and reference values is presented in Fig. 7 and Table 1 containing wind speed, wind direction and entropy averaged over each subset. A sample histogram averaged for a typical subset from each group is shown in Fig. 8.

3.4 LES setup

We have also performed a large-eddy simulation to verify the performance of the image processing algorithm and compare it against the Gaussian method described further in Sect. 4.3. For that purpose, we use the PALM LES code with a built-in

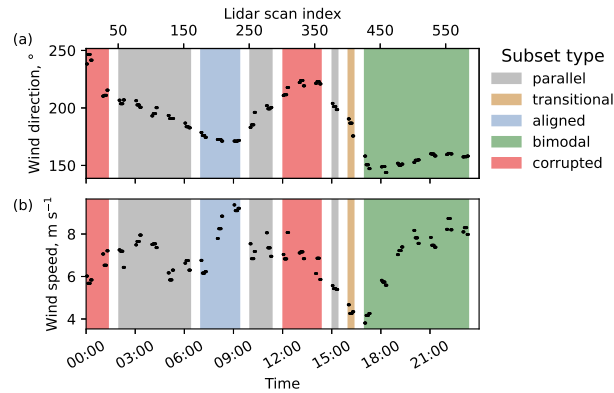


Figure 7. Classification of the subsets and overview of the reference wind direction (a), and wind speed (b).

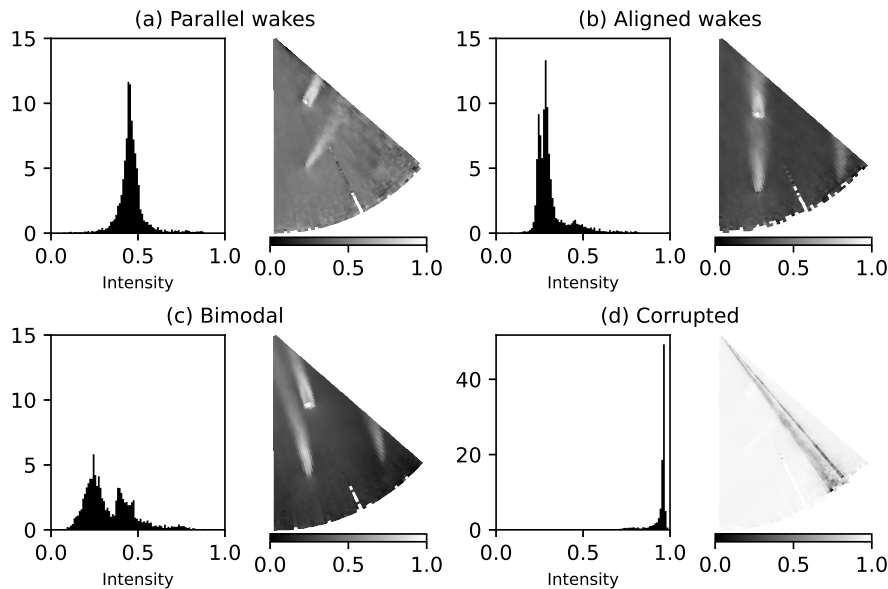


Figure 8. Sample averaged subsets and corresponding intensity histograms. The wind speed data are normalized to imitate the grayscale intensity.

220 actuator disc with rotation (ADR) wind turbine model (Maronga et al. (2020)). The results produced with the model were
 shown to reproduce the wake shape rather accurately (Vollmer et al. (2015)). Particularly, the model resolves the double peak
 in the wake deficit distribution near the rotor.

The domain contains $2304 \times 576 \times 192$ points and has the horizontal grid spacing of 4 m. The vertical spacing below 600 m
 is also 4 m, above 600 m the vertical spacing is stretched with a factor of 1.08, capped at maximum 8 m grid cell height. The
 225 reference NREL 5MW wind turbine has a hub height of 102 m and a diameter of $D_r = 126$ m and is placed in the center of



Data type	Subset	Scans	WSPD, m s ⁻¹	WDIR, °	Entropy	% of data
Parallel wakes	3	51–75	6.99	205.3	5.11	33.3
	4	76–100	7.71	202.7	5.29	
	5	101–125	7.48	196.0	5.38	
	6	126–150	6.05	191.4	5.35	
	7	151–175	6.58	184.0	5.01	
	11	251–275	7.10	187.8	5.12	
	12	276–300	7.41	200.2	5.37	
	16	376–400	5.45	200.9	5.02	
Transitional	17	400–424	4.38	184.5	4.76	4.2
Aligned wakes	8	176–200	6.32	176.2	4.69	12.5
	9	201–225	8.30	172.2	5.28	
	10	226–250	9.19	171.3	5.30	
Bimodal	17	426–450	4.11	151.5	5.44	29.2
	18	451–475	5.71	147.3	5.31	
	19	476–500	7.22	150.9	5.72	
	20	501–525	7.83	154.2	5.67	
	21	526–550	7.52	159.4	5.64	
	22	551–575	8.46	160.1	5.72	
	23	576–600	8.16	157.7	5.70	
Corrupted	1	1–25	5.80	243.3	1.54	20.8
	2	26–50	6.85	212.1	2.31	
	13	301–325	7.27	213.4	1.53	
	14	326–350	7.06	222.2	2.64	
	15	351–375	6.41	222.0	2.52	

Table 1. Overview of the lidar data subsets.

the domain so that the wake length can reach up to $20D_r$. The surface temperature is 277 K and increases by 1 K per 100 m. Neither heat flux nor surface heating are activated. During the simulation the turbulence intensity reaches 6.6%.

The LES is used solely to generate idealized wake data. No direct comparison to the lidar data is performed.



4 Methodology

230 4.1 Automatic threshold detection

The automatic thresholding methods aim to split an image into background (in our case – free flow) and foreground (wake). Despite lidar data having large amount of disturbances in the free flow, the wind speed distribution in a single scan tends to have one peak. A single peak limits the applicability of the common thresholding methods that search for the local minimum of a bimodal histogram (Otsu (1979)). The lidar scan structure has similarities with the ocean surface images: a background with small disturbances and bright whitecaps. Bakhoday-Paskyabi et al. (2016) described three methods of the automated threshold detection for the whitecaps. We choose an Adaptive Thresholding Segmentation (ATS) method identified to be fast and reliable by the original study. The basic principles of the ATS method are introduced here on a test example of an averaged LES wake.

235 Figures 9a and 9b show the wind speed field of a 10-minute averaged LES wake and the same data normalized to the range of $[0, 1]$. A threshold T is a value in the range $[0, 1]$ that separates the free flow and wake points. After the threshold is applied to the normalized wind field, a binary matrix WP is constructed from I as follows:

$$WP(i, j) = \begin{cases} 0: & I(i, j) \leq T - \text{free-flow point,} \\ 1: & I(i, j) > T - \text{wake point.} \end{cases} \quad (4)$$

The intensity threshold can be converted back to the wind speed threshold U_{th} by:

$$U_{th} = U_{\max}(1 - T) + U_{\min}T. \quad (5)$$

The normalized wind speed data can be represented as an intensity histogram (Fig. 9c). Let $H(x)$ for $x \in [0, 1]$ be the cumulative distribution function (CDF) of the intensity data. Then $H'(x)$ and $H''(x)$ are its first and second derivatives, respectively. With respect to the definition of intensity I in Eq. (1), the wake points are located in the histogram's tail, while the free-flow points form a peak on the left side. The transition region where the peak tends to the tail is a good choice to look for a threshold. We detect the threshold at the point where the CDF slope is close to constant, i.e., the curvature $C(x)$ approaches zero.

$$250 \quad C(x) = H''(x)[1 + H'(x)^2]^{-3/2}. \quad (6)$$

The curvature graph tail (Fig. 9d) may fluctuate and complicate the detection of the zero curvature. Instead, we look at the first and second derivatives separately. The threshold value is selected as an inflection point at the right side of the second derivative graph (Fig. 9e). A similar point in the first derivative graph can be used as a complementary value to improve accuracy. In the case of the lidar data, the derivative plots may have strong oscillations. Therefore, we fit a polynomial function on the range between intensity I_k corresponding to the most prominent local extremum and maximum intensity $I_{max} = 1$. We fit a polynomial function with the order of five, since the corresponding fit returned low root-mean-square error (RMSE) while not altering the inflection point location significantly.



The estimated inflection points should lie close to each other. We select the threshold as an average value between first and second derivative inflection points to smooth the detection outcome. Alternatively, for example in the case of a smooth
 260 one-peak histogram, only a second derivative inflection point can be used.

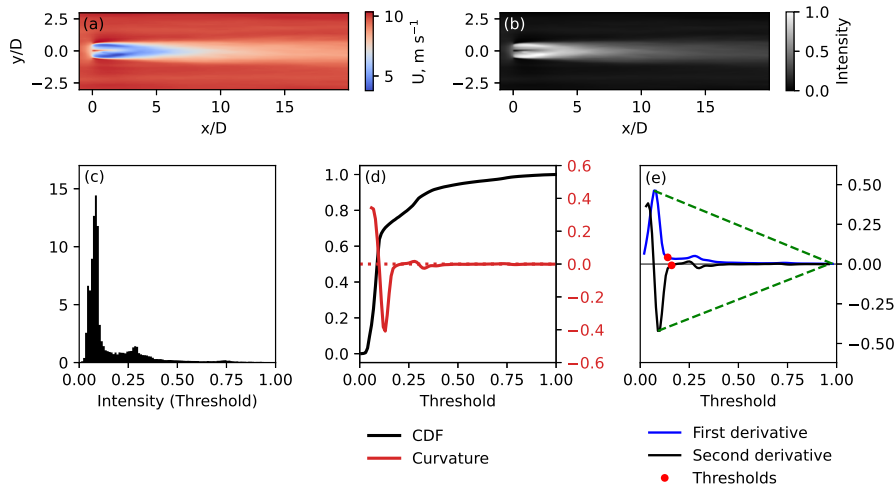


Figure 9. Sample LES wake and threshold detection. (a) Original flow averaged over 10 minutes; (b) same flow normalized to the range of [0, 1]; (c) the intensity histogram of the normalized data; (d) CDF of the normalized data and CDF curvature; and (e) first and second derivatives of the CDF and the estimated thresholds.

4.2 Wake detection using image processing techniques

In the thresholded image, it can be expected that the near wake will be the largest continuous structure of all detected shapes due to the highest wake deficit. The presumed wake shape is also most likely to contain a wind turbine within it. Points identified as a wake can be extracted for further analysis, either as a shape or a borderline contour. The wake centerline is then defined
 265 as a middle line of the wake shape. To fully automate the centerline detection, we require the wind turbine coordinates, and preferably, the wind direction. The wind direction is particularly useful to distinguish wake shapes from noise, as it allows to exclude shapes detected in the upwind direction as false positives. Nevertheless, the centerline can be also estimated without the prior knowledge of wind direction.

We demonstrate the centerline detection on a simple case of 10-minute averaged LES wake (Fig. 10a). After the threshold
 270 is applied, the wake is presented as a continuous structure (Fig. 10b). In the example, the wake expansion is not detected for $x/D > 10$ due to the wind speed recovery to the free flow near $y/D \approx 2$. Nevertheless, the detected shape is suitable for the centerline detection. Assuming the outline of the shape as wake boundaries, we estimate the wake centerline using the following algorithm:

275

1. We start by drawing a circle of radius $1D$ around the wind turbine and mark points where the circle crosses the borders of the wake shape. If the circle happens to lie within the wake shape completely, the initial radius should be increased.
2. The midpoint of the arc inside the wake presumably indicates the wake direction and is stored as the centerline midpoint.
3. We increase the circle diameter and repeat steps 1–2 until the end of the wake shape is reached (Fig. 10c).

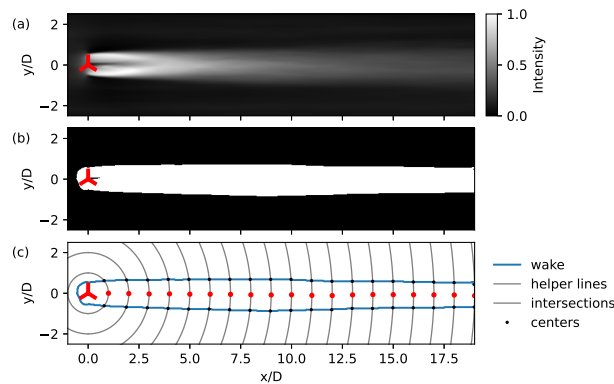


Figure 10. Wake and centerline detection for a sample 10-minute averaged LES wake: (a) normalized flow field, same as Fig. 9b; (b) wake shape after threshold is applied; and (c) shape contour and centerline detection.

280

In an irregular wake shape, the circular lines may cross the wake boundaries several times. For the initial step, it may be difficult to resolve the ambiguity, if the wind direction is unknown. Considering the near wake to be wide and continuous, we assign the midpoint of the longest arc inside the wake shape as the centerline point. We also assume that the wake does not turn gradually further downstream. Therefore, the segment between the last known and unknown midpoint should turn by a relatively small angle compared to the previous segment. The new midpoint also has to lie within the wake shape.

285

Generally, this centerline-search method does not require knowledge of the wind direction. However, the aligned wakes subset (Fig. 8b) and, to a certain extent, bimodal subset (Fig. 8c) introduce ambiguity in a wake direction for the downstream wind turbine AV7. The ambiguity can be resolved either by applying the reference wind direction or starting the detection from the upstream wind turbine AV10.

290

The estimated centerline is further used to calculate the wake direction. The wake from the wind turbine AV11 is usually weak and easily confused with the noise; we do not consider this wake in our analysis. We convert the coordinates of the centerline points for AV7 and AV10 wakes to the Cartesian system and subtract the respective wind turbine positions to get a set of the relative centerline coordinates. We assume a centered data set and add a point (0, 0) corresponding to the relative wind turbine position. The composed data set is fitted with the linear regression, and the fitted line indicates the estimated wake direction.



4.3 Wake detection using the Gaussian method

The wake deficit distribution is similar to the Gaussian distribution in the far wake (Ainslie (1988)) and often shows a double
295 Gaussian peak in the near wake (Magnusson (1999)). This feature makes a base for a widely used method to detect wake bound-
aries and centerline (Vollmer et al. (2016); Krishnamurthy et al. (2017)). The method requires the data in a two-dimensional
horizontal plane, which makes it versatile and practical to use for the wake detection. A small plane inclination can be allowed
as long as the wake profile is not subjected to the wind shear effects.

A normalized wake deficit distribution is fitted with the Gaussian function:

$$300 \quad F(y) = A \exp\left(-\frac{(y-\mu)^2}{2\sigma^2}\right), \quad (7)$$

where the amplitude A , mean value μ , and standard deviation σ are the parameters to fit; variable y is a coordinate across
the rotor axis.

Assuming equal width of the two peaks, the double wake can be fitted with the symmetric double Gaussian function:

$$F_2(y) = A \left[\exp\left(-\frac{(y-\mu_1)^2}{2\sigma^2}\right) + \exp\left(-\frac{(y-\mu_2)^2}{2\sigma^2}\right) \right], \quad (8)$$

305 where μ_1 and μ_2 denote positions of each peak (Aitken et al. (2014)).

Due to the lidar elevation angle, AV10 is scanned near the top tip and does not show a double wake. The scan resolution
near AV7 is not always sufficient to resolve a pronounced double wake. Therefore, we fit only a single Gaussian function as in
Eq. (7) and start fitting from $0.5D$ to avoid uncertainties caused by a weak double wake.

For a wake deficit distribution, the fitted single Gaussian function $F(y)$ reaches maximum at $y = \mu$, i.e., the estimated mean
310 μ gives the wake center position across the rotor axis. The wake boundaries are defined through the mean value μ and standard
deviation σ as $\mu \pm 2 \ln 2 \sigma$ so that the velocity deficit at wake boundaries is 5% of the velocity deficit at the wake center (Aitken
et al. (2014)).

Unlike the centerline detection using image processing, the Gaussian method should be applied to the data extracted along
the straight-line. We attempt fitting for the wake deficit distributions up to $15D$ downstream distance covering the length of
315 most wakes in the lidar data set.

We run the Gaussian fit method in an automatic mode. The wake deficit distribution is extracted for a straight line perpendic-
ular to a pre-defined search direction, usually the reference wind direction. The Gaussian fit algorithm thus requires knowledge
of the wind direction before the fitting. The algorithm is also dependent on the accuracy of the wind direction measurements
and the similarity between reference wind and actual wake direction. To reduce the influence of a possible discrepancy between
320 wind and wake direction, we recalculate the search direction after enough points are accumulated. For example, the fitting starts
from at $0.5D$ with a step of $0.1D$ along the search direction. After the wake deficit at $2D$ is fitted, we fit the linear regression
to the previously found center points and recalculate the search direction. The process is then repeated for each step along the
wake.



It should be noted that a wake usually expands beyond rotor diameter size. Hence, it is important to have more data points
325 in the wake deficit distribution to improve fitting results. At the same time, the extracted line should not be too long to exclude
disturbance from the other wakes, if present, or low wind-speed streaks.

4.4 Wake deficit threshold

In addition to the Gaussian fit, we apply a threshold based on the wake deficit criteria. The method assumes that the point
belongs to the wake if the wind speed at it is less or equal to 95% of the free-flow wind speed (España et al. (2011)). Here we
330 assign the reference wind speed as the free flow speed. The deficit-based threshold is presented as the wind-speed threshold. For
the comparison, it can be converted to the segmentation threshold by substituting $0.95U_{ref}$ into the normalization expression
Eq. (1):

$$T = \frac{U_{\max} - 0.95U_{ref}}{U_{\max} - U_{\min}}. \quad (9)$$

4.5 Manual wake detection

335 To evaluate the performance of the ATS method, we perform a manual segmentation to select an optimal threshold for each
lidar scan and use it as a reference. Since the available scans represent different wake-wake and wake-turbine interactions, the
criteria for a reasonable threshold varies over the subsets. In order to reduce human error, we use the following qualitative
criteria:

1. The shape of the wake should be distinguishable well enough not to be mistaken with noise.
- 340 2. The noise should be reduced near wind turbines AV7 and AV10 but is allowed near AV11 since its wake has low
importance in this study.
3. The shapes of wakes from AV7 and AV10 should not merge to ease the centerline detection.

We also perform a manual centerline detection. A presumed centerline is drawn over the lidar scan as a line or series of
points. For further comparison with wake detection methods, it is converted to the Cartesian coordinates using a plot digitizer.
345 Unlike the manual detection of the wake shape, the manual centerline detection is more prone to errors, especially in the far-
wake region, where the wake becomes less distinguishable from the free flow. Due to ambiguity and complexity of the manual
detection, we select only few lidar scans to demonstrate the methods' performance.

5 Results

In this section, we first present a proof of concept ATS detection on less noisy LES data and compare it to the Gaussian method.
350 For the lidar data, we perform an extensive comparison to the manual wake detection and evaluate the accuracy of the ATS
method. We further compare the performance of the ATS and Gaussian methods and discuss the application of the ATS method
in the centerline detection.

5.1 LES wake detection

Unlike a 10-minute averaged LES wake, the instantaneous wake reveals more complex spatial features to be detected, although
355 its intensity histogram remains rather smooth (Fig. 11a). Figure 11b compares the centerline and wake shape detected by the
Gaussian and ATS methods. Overall, the Gaussian method performs well in the range of $2 < x/D < 10$. Additional errors may
occur in the far wake ($x/D > 10$), where the wake recovers to the free flow and the wake deficit function becomes too weak to
fit accurately.

The ATS method detects a continuous structure in the near wake, while the far wake is represented as series of small dis-
360 connected structures (Fig. 11c). Nevertheless, those structures primarily lie within the wake detected by the Gaussian method.
The Gaussian centerline passes through the centers of the ATS-detected structures. A good agreement between methods can
be explained by the fact that the ATS method searches for regions of high intensity, i.e., low wind speed. At the same time, the
Gaussian method approximates a wake center at the point of high wake deficit, which also corresponds to low wind speed.

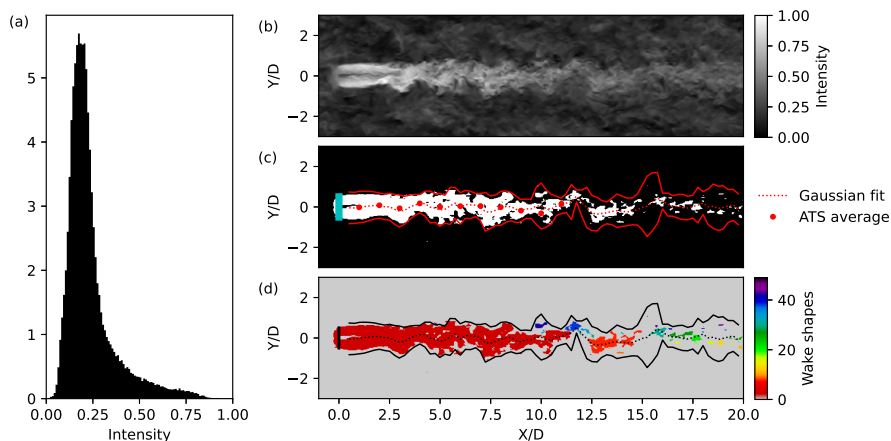


Figure 11. Sample wake detection using idealized LES data. (a) Intensity histogram of an instantaneous flow field; (b) original data normalized to the range of $[0, 1]$, (c) a thresholded image overlaid with the wake boundaries and centerline detected by the Gaussian method, and (d) color-coded wake shapes detected by the ATS method.

While the centerline extraction using image processing works well with the continuous shapes, correct identification of the
365 downstream disconnected shapes as a part of the wake requires further modification of the algorithm, that is not discussed in
this study.

We have used the LES data as a proof-of-concept to show the capability of the ATS method to detect wakes from idealized
data. The further sections focus on the wake detection from the lidar data and challenges caused by less uniform free flow.



5.2 Comparison of the ATS detection against the manual detection on lidar data

370 We construct a confusion matrix to assess the performance of the methods for a single lidar scan. The confusion matrix 2×2 describes the comparison of the automatic thresholding methods (wake deficit or ATS) against the manual method and contains the following outcomes:

- True Positive (TP) – the point is detected as a wake point by both methods.
- True Negative (TN) – the point is detected as a free-flow point by both methods.
- 375 – False Positive (FP) – the point is detected as a wake point by the automatic method but is a free-flow point in the manual detection.
- False Negative (FN) – the point is detected as a free-flow point by the automatic method but is a wake point in the manual detection.

If the detection is accurate with the respect to the manual detection, TP and TN values tend to 100%, while FP and FN are
380 close to zero.

The bimodal subset can be considered the most convenient for the manual threshold segmentation. It utilizes strict criteria for the manual threshold that the wake shapes should not merge (Fig. 12d). In the example, the ATS method sets the threshold higher compared to the manual detection (Fig. 12e). Hence the far-wake area is slightly reduced. The wake deficit method (Fig. 12f) produces a similar result. The bimodal subset is the only one, where the performance of the deficit-based method is
385 comparable with the manual detection and ATS method and all methods produce similar results.

The aligned wakes subset utilizes the same manual threshold criteria for the wake splitting as bimodal subset (Fig. 13), although it may be harder to fulfill. For some lidar scans, the far wake from the turbine AV10 and the near wake from AV7 cannot be separated, unless the threshold is increased so the far wake is not detected (Fig. 13d). In this case, detecting a general shape of the wakes is the priority. The manual threshold is then more subjective than that of the bimodal subset. The deficit-
390 based method underestimates the threshold more significantly than in the bimodal case and produces a large percentage of false positive detections (Fig. 13f).

The parallel wakes subset is the most challenging both for the manual detection and automatic methods (Fig. 14). The wind direction in the subset is close to 210° , at which the crosswind effects start (Fig. 3) and noise appears at the border of a lidar scan. Unlike the corrupted scans with a high amount of non-physical wind speed values, the region near the wind turbines AV7
395 and AV10 contains valid measurements and allows to perform wake detection with relative success. However, the detection accuracy declines due to the border noise; only one wake can be extracted well enough to perform analysis on the wake direction and shape evolution. If the threshold is increased to distinguish wakes and noise, the wake from AV10 remains nearly undetected as can be seen from Fig. 14d. The ATS method returns a lower threshold that improves distinguishing the shape of the AV10 wake but falsely detects noise near as a part of the AV7 wake (Fig. 14e). The deficit-based method significantly
400 underestimates the threshold and generates too many false positives (Fig. 14f).

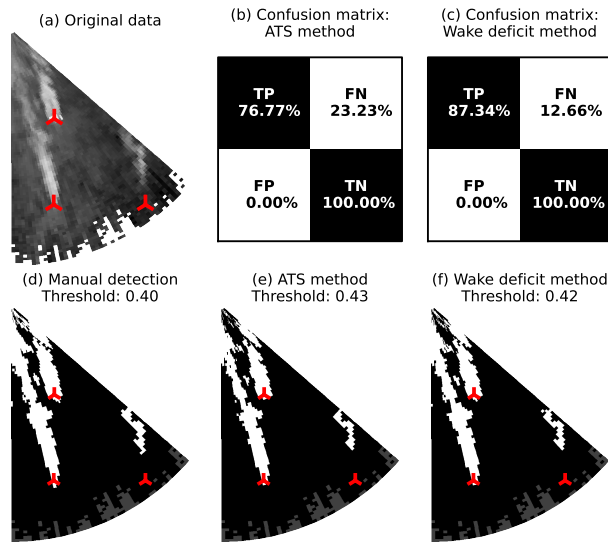


Figure 12. Scan #599 (bimodal subset), wake detection. (a) The original data in the Cartesian coordinates; (b-c) confusion matrices for the ATS and deficit-based methods; (d) manual threshold selected in a way to separate two wakes; and (e-f) thresholds estimated by the ATS and deficit-based methods.

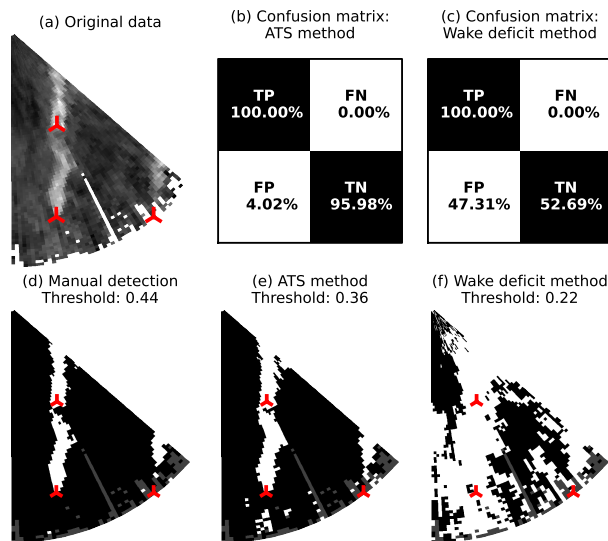


Figure 13. Scan #221 (aligned wakes subset), wake detection. (a) The original data in the Cartesian coordinates; (b-c) confusion matrices for the ATS and deficit-based methods; (d) manual threshold selected in a way to separate two wakes as much as possible; and (e-f) thresholds estimated by the ATS and deficit-based methods.

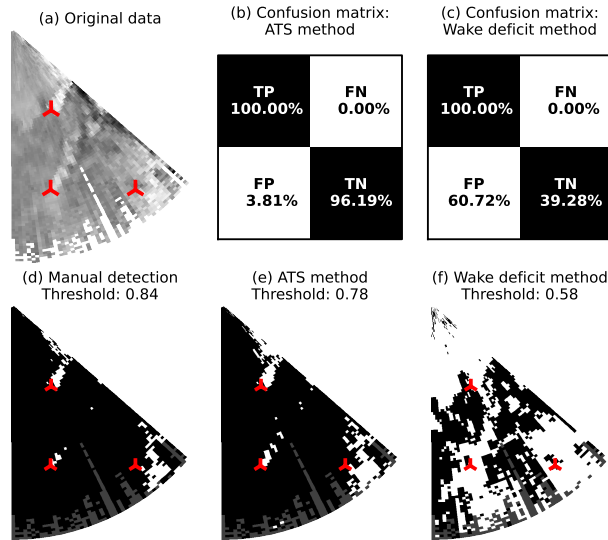


Figure 14. Scan #60 (parallel wakes subset), wake detection. (a) The original data in the Cartesian coordinates; (b-c) confusion matrices for the ATS and deficit-based methods; (d) manual threshold selected in a way to reduce noise but keep a general shape of the wakes; and (e-f) thresholds estimated by the ATS and deficit-based methods.

We plot the thresholds predicted by the wake deficit and ATS methods against the manual segmentation (Fig. 15). The deficit-based threshold is primarily used to detect wakes from LES or PIV data (España et al. (2011)). The lidar data has less uniform free flow and lower resolution. This results in a relatively poor correlation with the manual segmentation – the deficit-based threshold is always underestimated. Therefore, more points, including noise points, might be falsely detected as wake points.

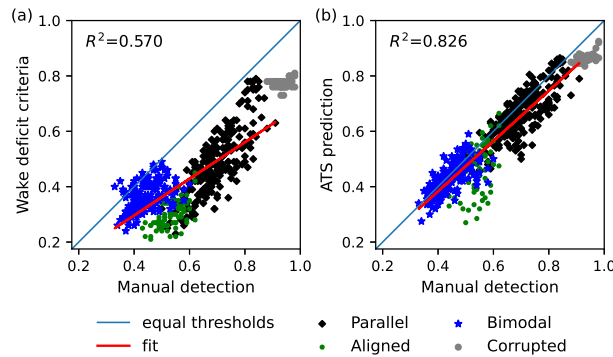


Figure 15. Comparison of the manual-detected threshold with (a) the deficit-based threshold, and (b) ATS-based threshold through the whole data set.



The deficit-based thresholds form two clusters in the threshold plot (Fig. 15a). The parallel and aligned wake subsets share similar behavior and return a threshold significantly lower than manual-detected threshold. In the bimodal case, the deficit-based threshold shows better agreement with the manual-detected threshold but not as good as the ATS-based threshold (Fig. 15b). Overall, the deficit-based method consistently underestimates the threshold, implying that the 5% criteria should be
410 changed to improve the detection result. The ATS method performs on par with the manual detection. The strongest discrepancy between the manual and ATS methods can be seen for the aligned wakes subset, in which the outliers might be partially caused by the ambiguity of the manual detection criteria.

To reduce the influence of ambiguity of the manual detection, we construct a confusion matrix for each subset of 25 consecutive lidar scans instead of single scans. The corrupted scans are excluded from the comparison, since high noise prevented the
415 manual detection for most of the scans. Table 2 summarizes the detection outcomes for each subset. The deficit-based method often underestimates the threshold, therefore the share of true positive detections is nearly always 100%. However, the amount of true negatives indicates a high probability of identifying noise as a wake. Additionally, the percentage of true negative detections strongly fluctuates within the same type of the subset making the fixed threshold method unreliable. While the amount of true positives for the ATS method may drop to 80% for a complex subset, the amount of true negatives consistently stays
420 near 95% – the background flow is mostly detected correctly, which is a significant improvement compared to the deficit-based method. Compared to the manual detection, the ATS method does not always separate wake and noise correctly, particularly for the parallel wakes subset (Fig. 14) and thus requires additional filtering. For the aligned and bimodal subsets, the ATS method is capable to detect the general wake shape rather similar to the manual detection.

5.3 Centerline detection

425 We perform centerline detection using the ATS method as described in Sect. 4.2 and the Gaussian method as described in Sect. 4.3. Both methods are compared against the manual wake detection from the lidar scan image as described in Sect. 4.5. We compare the found centerlines by fitting the regression lines to the relative coordinates, so that each local coordinate system is centered at a selected wind turbine.

The parallel wakes subset (Fig. 16) contains a short but contrast wake from the wind turbine AV7 and a longer wake from
430 the wind turbine AV10. Due to the fact that the AV10 wake is detected as series of small disconnected structures, the current ATS method detects the centerline only in the near-wake region. The manual and Gaussian detection can be carried further into the far-wake region, but become rather uncertain as the far wake recovers to the free flow or mixes with the border noise.

The aligned wakes subset (Fig. 17) possesses a distinctive feature: the wakes are aligned along the line connecting two wind turbines resulting into the merge of the AV10 far wake and the AV7 near wake. Additionally, the connecting line is parallel to
435 Y -axis in the Cartesian coordinates (Fig. 2), so the centerline tends to $X = \text{const}$ when the wakes are perfectly aligned. Hence, the coefficient of determination R^2 either approaches zero or negative and does not indicate the quality of the regression fit.

The bimodal subset (Fig. 18) has the longest wakes in the data set. The wake detection in the far wake (i.e. lidar near range) is hindered by wake merging and the narrowness of the scanned area. For example, the ATS method may underestimate the threshold and detect merging wakes as a single shape. The ATS-based threshold can be adjusted to guarantee the wake splitting.



Data type	Subset	Scans	Manual-deficit, %				Manual-ATS, %			
			TP	FN	FP	TN	TP	FN	FP	TN
Parallel wakes	3	51–75	100	0	39	61	80	20	2	98
	4	76–100	100	0	41	59	97	3	4	96
	5	101–125	100	0	51	49	91	9	4	96
	6	126–150	100	0	41	59	95	5	4	96
	7	151–175	100	0	67	33	98	2	3	97
	11	251–275	100	0	65	35	99	1	4	96
	12	276–300	100	0	54	46	93	7	2	98
	16	376–400	100	0	55	45	96	4	3	97
Transitional	17	401–425	100	0	54	46	87	13	0	100
Aligned wakes	8	176–200	100	0	64	36	98	2	1	99
	9	201–225	100	0	33	67	100	0	10	90
	10	226–250	100	0	52	48	98	2	3	97
Bimodal	17	426–450	99	1	8	92	82	18	0	100
	18	451–475	96	4	10	90	89	11	0	100
	19	476–500	100	0	22	78	96	4	4	96
	20	501–525	100	0	24	76	85	15	2	98
	21	526–550	100	0	28	72	90	10	5	95
	22	551–575	100	0	33	67	90	10	8	92
	23	576–600	98	2	9	91	94	6	4	96

Table 2. Comparison of the thresholding methods’ performance against the manual detection.

440 The adjustment is performed automatically by increasing the threshold with an increment of 0.05 until the stopping criteria – the wind turbines belong (or are located near) to different wake shapes – is reached.

The Gaussian method, in turn, may detect a wake center incorrectly because of high wake deficit in the neighboring wake. The detection inaccuracy in the lidar near range is compensated by higher overall number of data points available for fitting, compared to other subsets.

445 Both methods perform best for the long wakes in a lidar scan with low noise and show a good agreement on the detected centerlines. When the far-wake region cannot be detected properly, the methods still agree in the near wake. For noisy subsets, such as parallel wakes, the performance of all methods strongly relies on the data quality. The longer the near wake can be detected, the higher is the accuracy of the centerline detection. The ATS method requires additional algorithm to identify downstream disconnected structures as a part of the wake.

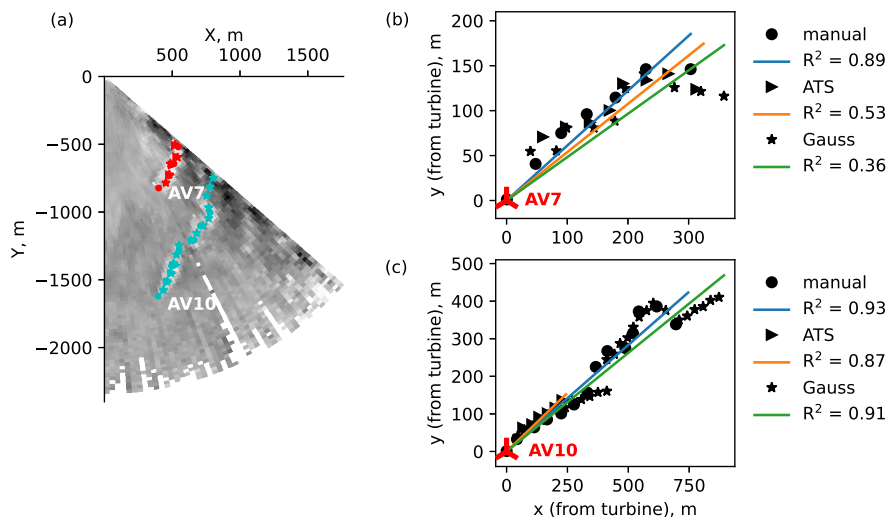


Figure 16. Scan #59 (parallel wakes subset). (a) An overview of the detected centerlines and regression fits for (b) AV7 and (c) AV10.

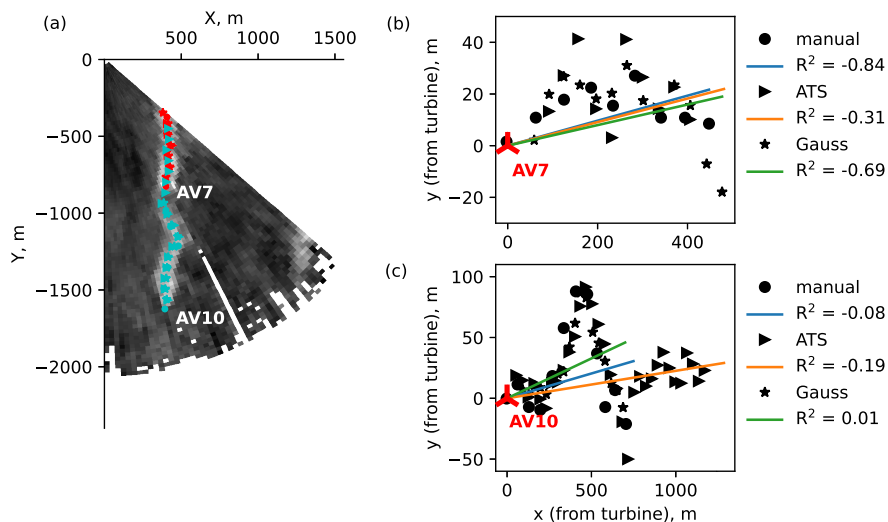


Figure 17. Scan #221 (aligned wakes subset). (a) An overview of the detected centerlines and regression fits for (b) AV7 and (c) AV10.

450 5.4 Comparison of the Gaussian and ATS methods

Figure 19 shows an example of wake detection performed on a lidar scan from the aligned wakes subset. The subset is characterized by the wake merging near AV7. The formed structure proves to be challenging for a Gaussian method, as the centerline point and far-wake width for AV10 are estimated incorrectly.

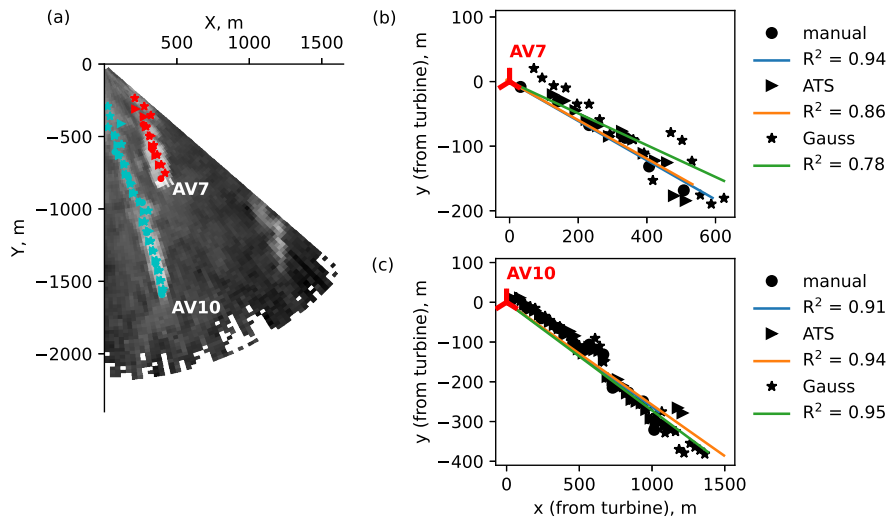


Figure 18. Scan #599 (bimodal subset). (a) An overview of the detected centerlines and regression fits for (b) AV7 and (c) AV10.

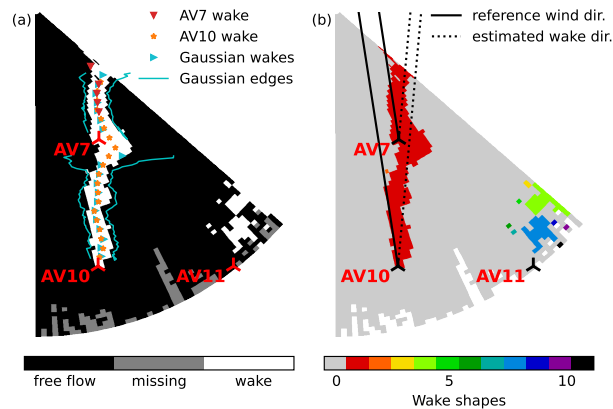


Figure 19. Scan #222 (aligned wakes subset) sample wake detection showing (a) comparison of the ATS and Gaussian methods, (b) shapes detected by the ATS method after the threshold is applied, and wind and wake direction.

The ATS method detects wakes as a single shape. Unlike the bimodal subset, the merging wakes in the aligned wake subset do not necessarily worsen the performance of the centerline detection method. The centerline is first detected for AV10 wake, from which the wake direction can be estimated. Since the wakes are merged, the centerline detection for AV10 continues in the AV7 wake. The centerline search for AV7 starts at the corresponding turbine location and is performed in the direction of AV10 wake, thus excluding the merge region from the search. Thus the centerline of AV7 wake gets detected twice if no stopping criteria (e.g., the AV10 centerline passes AV7 location) is activated. Both detected centerlines agree in the AV7 wake region and follow the Gaussian centerline rather well. Near-border wake centers of the AV7 wake deviate from the presumed

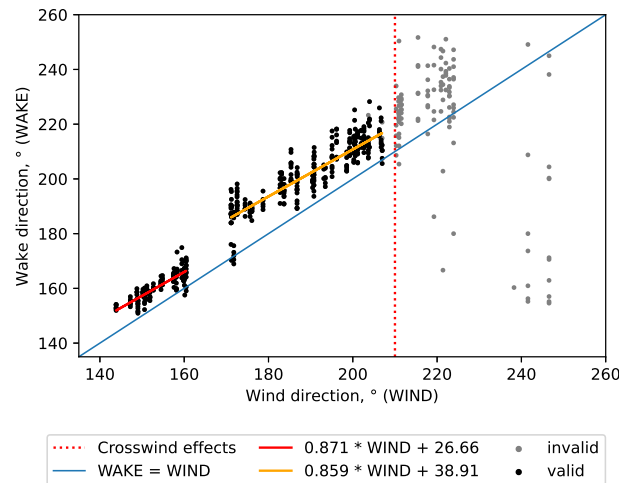


Figure 20. Comparison of the reference wind direction and estimated wake direction.

centerline because border noise is erroneously attributed as a part of the wake. Considering the problems the border noise may pose for the wake detection in less clean scans (Fig. 14), excluding the near-border sector of 1–2° width from the detection should improve the outcome.

5.5 Wind and wake direction

465 The regression line fitted to the ATS-detected centerline also indicates the wake direction. A strong mismatch between reference wind direction and wake direction can be seen for most lidar scans from the data set (Fig. 19b).

Comparing the directions for the whole data set, we observe a clear trend for the wake direction deviating clockwise from the reference wind direction (Fig. 20). The trend continues for the reference wind direction above 210° for the corrupted lidar scans, where wake detection was still possible despite the erroneous data.

470 The valid points for the reference wind directions less than 210° group into two distinct clusters (Fig. 20). The leftmost cluster corresponds to the bimodal subset and lies within the range of wind directions of 140–170°. Another cluster contains the results for the aligned, transitional, and parallel wakes subsets and covers the range of wind directions of 170–210°. Fitting a linear regression to each group returns a similar slope but a different intercept value. Although the fitted line slope is not equal to one, the regression fit on the selected range shows a nearly constant offset between wind and wake direction with the bimodal
475 subset having noticeably lower difference, than other subsets.

The vertical veer and clockwise rotation of the wake in the Northern hemisphere due to the Coriolis force are known effects causing wake rotation and were confirmed by in situ observations and LES studies of the wind farms (Magnusson and Smedman (1994); Abkar and Porté-Agel (2016); van der Laan and Sørensen (2017)). The wind turbine AV7, closest to the lidar, is scanned nearly at the hub height, while the farther wind turbines, AV10 and AV11, are scanned near the top-tip height (Fig. 1). Due to
480 the elevation and vertical veer, the wind and wake direction discrepancy is the strongest for AV10 and AV11. Nevertheless, we



also observe a deflection for the near wake of AV7, although the noticeable effects of the Coriolis force are usually recorded for the downwind distance of $6D$ or higher. The additional discrepancy can be explained by the yaw misalignment (Bromm et al. (2018)), reference measurements uncertainty (Gaumond et al. (2014)), the lidar installation's imperfection. The discrepancy for the bimodal subset could be possibly reduced because of the longer wakes and, consequently, more precise regression fit.

485 We do not have additional data to distinguish these factors and leave it for future study.

The outliers showing strong differences between wind and wake direction highlight the lidar scans where the wake detection was hindered by noise or strong irregularity of the wake shape. The wind-wake direction plot can be used for diagnostic purposes to select the lidar scans that require additional processing prior to wake detection.

5.6 Near and far wake separation with a threshold

490 Bimodal subsets have a distinctive double peak in the intensity histogram, which results into two local minimums in the second derivative graph. The highest histogram peak corresponds to the free flow. The second peak forms due to a long far wake from AV10 and subsequent merging of the two wakes. Therefore, a bimodal subset provides a unique opportunity to use one of the two local minimums for the threshold estimation. Applying the ATS method for the first (lowest) local minimum returns a threshold to separate the full wake from the free flow. The other local minimum returns an ATS-based threshold that allows to

495 detect only the near wake (Fig. 21a).

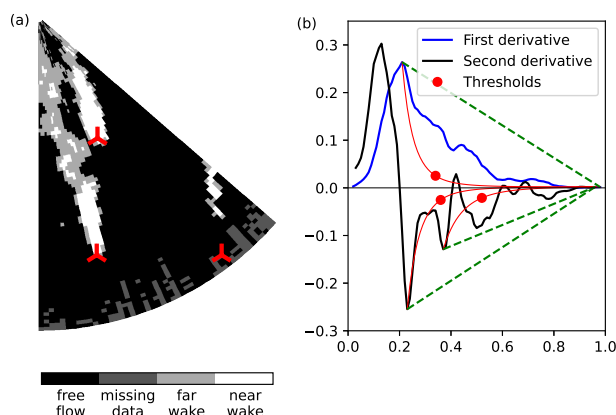


Figure 21. Scan #584 (bimodal subset), the near and far wake separation using the image processing. (a) The thresholds are detected using (b) the ATS method.

According to our observations of the bimodal subsets, the ratio between near-wake and full-wake thresholds falls into the range of 1.3–1.5. The threshold multiplier can be applied to the aligned wakes subset but needs to be higher than in the bimodal case to allow a good separation of the near and far wake. For the parallel wakes subset, the near and far wake separation is complicated by noise, and the full-wake detection returns disconnected structures. Overall, we see a potential in using the ATS

500 method to split the wake into parts for the further analysis.



6 Conclusions

We proposed an automatic thresholding method for the wake detection based on the image processing method for the whitecaps detection on the ocean surface. We also described an automatic method to detect the wake centerline from an irregular wake shape. The results showed that image processing techniques were also a viable solution for wake detection and did not strongly depend on the supplementary measurements, such as reference wind speed and direction. The preprocessing tools developed alongside the wake detection method helped to recognize the lidar scans that are not suitable for the analysis. The same tools allowed to group the valid scans into subsets based on the expected features. Future plans include testing the preprocessing tools on a larger data set to completely remove dependency on the supplementary data and visual analysis for the filtering and classification.

The ATS method generally agreed well with the manual threshold selection. We also compared the ATS method against the Gaussian fit method. Although the Gaussian method performed on the lidar scans not as good as on the LES data, the detections in the near-wake region showed a good agreement between the methods with the respect to the manual detection. At the same time, the accuracy of both Gaussian and ATS methods decreased in the far-wake region or in the case of wake-wake interaction. In the latter case, the ATS method often detected two wakes as a single shape. To perform a centerline detection, we adjusted a threshold to split the wakes. The separation of wake and false positives remained an open problem and left room for improving the ATS method in the future studies.

Video supplement. The video <https://doi.org/10.5446/54055> demonstrates wake shape detection results for all lidar scans in the data set. No post-processing is performed after running the ATS algorithm.

Appendix A: Image data processing

Conversion of the raw data into the image erases the exact information on distances and wind speed values. Therefore, it is preferable to use original lidar data for the wake detection. If the original data is not available anymore, the ATS method can still be run on the image.

An image has several properties which may affect the algorithm performance compared to the use of the raw wind speed data:

1. Image resolution in dots-per-inch (dpi): the resolution of 72 dpi transforms an original data point into an image pixel as 1-to-1 approximately. Higher resolution increases the number of pixels per data point. Lower resolution merges several data points into one pixel.
2. Colormap: the ATS method relies on the image grayscale intensity as an input. A non-grayscale image can be desaturated, but the colormap of the original image then should be sequential rather than perceptually uniform or diverging. For the latter, the conversion to the grayscale gradually reduces the contrast between high and low values making the detection



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impossible. Additionally, several grayscale colormaps exist. Depending on the colormap, the image intensity histogram may shift to the left or right compared to the raw data. We observed this effect when the 'Greys' colormap of the Python Matplotlib library was used. This colormap emphasizes light tones; as a result, the intensity histogram peak slightly shifts to the right, although the general shape of the peak is preserved (Fig. A1). The colormaps 'binary' or 'gray' from the same library return the result that follows the original data.

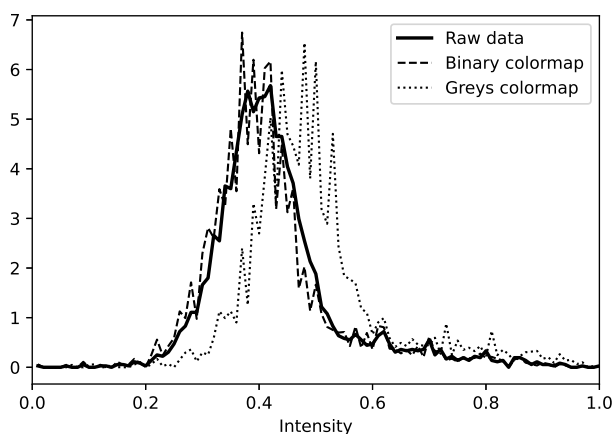


Figure A1. Comparison of the intensity distribution in the original (raw) data and image plotted using Python Matplotlib with different grayscale colormaps.

3. The image intensity: as processed by Python, the values are rounded up to second digits and some are assigned to different bins compared to the original data. The histogram and CDF have stronger oscillations than the raw data (Fig. A1) and require smoothing before the ATS method can be applied.

Running an automated threshold detection on the image raises another question: how much does the image resolution
540 affect the detection accuracy compared to the raw data. We apply the ATS algorithm to raw and image data under different resolutions: 72, 150, and 300 dpi. We observe little influence from the image resolution, except for few LES cases the low resolution of 72 dpi affected the threshold detection. In those cases, the detected threshold is lower than in fine resolution cases, and, therefore, a larger shape is identified as a wake. The image resolution of 150 dpi and above agrees well with the wake detection from the raw data. The general shape of an image intensity histogram does not depend on the image resolution.
545 The image resolution of 150 dpi or higher is recommended for use, although 72 dpi also produces good detection results.

In the case of lidar measurements, the detection from the image data can be performed in two ways: by plotting the original data either in polar or Cartesian coordinates. The detection from the polar coordinates image does not bear a significant difference from the raw data detection. After the conversion to the Cartesian coordinates, the lidar close and far range get distorted, affecting the histogram shape.

550 The effect is most pronounced when the wind blows towards the lidar. As described in the subsets overview in Sect. 3.1, this wind direction and wake behavior result in the bimodal intensity histogram. The leftmost, high peak, contains points from the

free flow, while the second, low, peak accumulates points from the far wake. The second peak gets smoothed when the input data is changed from the normalized wind speed to the grayscale image plotted in Cartesian coordinates. As can be seen from the comparison (Fig. A2), the lower peak corresponds to the data in the lidar's close range. After conversion to the Cartesian coordinates, the close range area shrinks significantly, while the free-flow area on the far lidar range enlarges. The transition between coordinate systems changes the balance between wake and free-flow pixels and virtually increases the share of the latter.

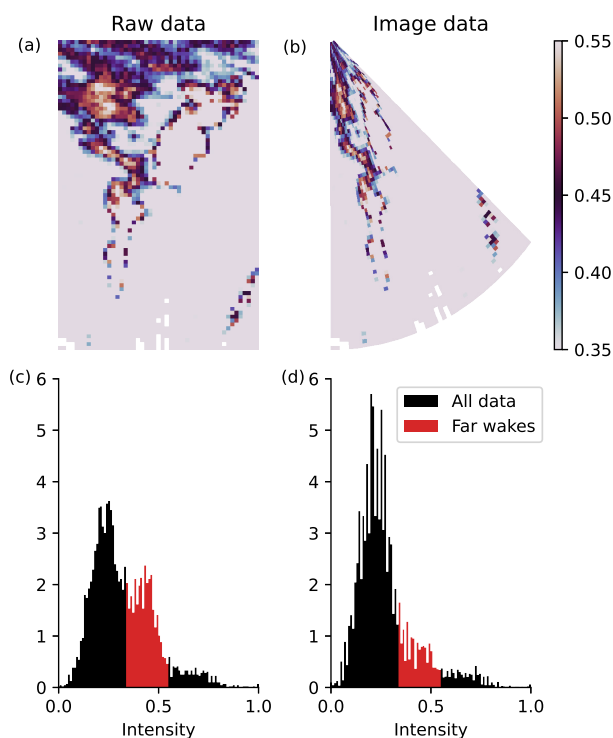


Figure A2. (a-b) Normalized wind speed data with far wakes highlighted and (c-d) corresponding grayscale intensity histograms of the lidar scan #551.

Author contributions. MK adapted the image processing algorithm developed by MBP to the wake detection, expanded the algorithm with the centerline detection code and performed analysis of the wake detections. MBP provided further guidance on the image processing techniques. JR provided information on the lidar setup and explanation on the discrepancies between reference and lidar data. FGN consulted on the method practical application. All authors had contributed to the proofreading and correcting the article draft.

Competing interests. The authors declare that they have no conflict of interest.



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