1	Effect of scour on the fatigue life of offshore wind turbines and
2	its prevention through passive structural control
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4	Yu Cao <sup>1</sup> , Ningyu Wu <sup>2</sup> , Jigang Yang <sup>2</sup> , Chao Chen <sup>1,3*</sup> , Ronghua Zhu <sup>3,4*</sup> , Xugang Hua <sup>1</sup> ,
5	
6 7	<sup>1</sup> Key Laboratory for Bridge and Wind Engineering of Hunan Province, College of Civil Engineering, Hunan University, Changsha, China
8	<sup>2</sup> Hebei Construction Investment Offshore Wind Power Co., Ltd., Tangshan, China
9	<sup>3</sup> Yangjiang Offshore Wind Laboratory, Yangjiang, China
10	<sup>4</sup> Ocean College, Zhejiang University, Hangzhou, China
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12	
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<sup>\*</sup>Corresponding author: steinchen@hnu.edu.cn, zhu.richard@zju.edu.cn

### 16 Abstract

17 Offshore wind turbine (OWT) support structures are exposed to the risk of fatigue 18 damage and scour, and this risk can be effectively mitigated by installing structural 19 control devices such as tuned mass dampers (TMDs). However, time-varying scour al-20 tering OWTs' dynamic characteristics has an impact on the TMD design and fatigue 21 life, which was rarely studied before. In this paper, a simplified modal model is used to 22 investigate the influence of scour and a TMD on the fatigue life evaluation of a 5 MW 23 OWT's support structure, and a traditional method and a newly developed optimization 24 technique are both presented to obtain TMD parameters. This optimization technique 25 aims at finding optimal parameters of the TMD which maximizes the fatigue life of a 26 hotspot at the mudline, and effect of time-varying scour can be considered. This study 27 assumes the TMD operates in the FA direction, and the vibration in the SS direction is 28 uncontrolled. Results show that scour can decrease the fatigue life by about 24.1%, and 29 the TMD can effectively suppress vibration and increase the fatigue life. When the 30 scour depth reaches 1.3 times the pile diameter, the TMD with a mass ratio of 1% can 31 increase the fatigue life of OWT's support structure by about 64.6%. Further, it is found 32 that the fatigue life can be extended by 25% with the TMD optimized by the proposed 33 optimization technique, compared to that with the traditionally optimized TMD which 34 does not take the change of dynamic characteristics into account.

35 Keywords: scour, offshore wind turbine, structural control, modal analysis, fatigue life.

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### 37 1 Introduction

38 With the continuous development of large-size fixed-bottom OWTs, local scour 39 and scour protection of pile foundation have become a common issue (L. Wang et al., 40 2020; X. Wang et al., 2019; F. Zhang et al., 2022). Scour have a significant impact on 41 dynamic characteristics, vibration magnitudes, and thus fatigue life of OWTs under 42 wind and wave loads. On the one hand, the action of currents and waves causes local 43 scour pits around pile foundations, which reduces the burial depth of pile foundations. 44 This phenomenon usually causes a reduction in natural frequencies of OWTs and 45 changes in other dynamic characteristics, possibly leading to resonance, large ampli-46 tude stress cycles and fatigue damage when one of natural frequencies is close to the 47 rotational frequency of the blades (Sørensen and Ibsen, 2013). On the other hand, 48 current scour protection measures cannot completely avoid scour and have their own 49 shortcomings. For example, armouring protection has the disadvantages that the pro-50 jectile cannot be accurately cast in complex sea conditions and is easy to be washed 51 away (G. Wang et al., 2023; F. Zhang et al., 2023). Flow-altering protection has the 52 disadvantages of high cost and changing the dynamic characteristics of the foundation 53 (Tang et al., 2023). As offshore structures, wind turbines are vulnerable to corrosion 54 from seawater, which makes the fatigue problem worse (Amirafshari et al., 2021). Thus, 55 the scour-induced changes in dynamic characteristics and risk in resonance inevitably 56 induce a further increase in fatigue damage and deserve in-depth research (Mayall et 57 al., 2018).

58 Many researchers have studied the effect of scour on fatigue damage accumulation 59 in OWTs. For instance, Tempel et al. (2006) investigated the frequency and fatigue of 60 piles under different scour depths and concluded that scour has a little effect on the 61 natural frequencies but a great effect on fatigue damage. Zhang et al. (2021) found that 62 scour depth has a significant influence on monopile impedance. Rezaei et al. (2018) 63 showed that scour leads to an increase in the maximum bending moment of the monopile and a shortening of the fatigue life. To mitigate the fatigue damage in OWTs, in-64 65 stalling structural control devices is an effective way. It was demonstrated that TMDs 66 have a positive effect on reducing vibration amplitudes of wind turbine systems (Lack-67 ner and Rotea, 2011a; Dinh and Basu, 2015; Lu et al., 2023; Aydin et al., 2023). Dai et 68 al. (2021) conducted a shaker experiment using a scaled wind turbine model and 69 showed that the installed TMD can suppress the vibration of the structure more effec-70 tively considering soil-structure interaction (SSI).

In the previously mentioned studies, researchers have individually investigated the effect of scour on structural vibration and fatigue, and the structural control by TMDs for OWTs. However, in practice, the effect of scour combining structural control via TMDs could have a significant impact on OWTs' fatigue life. Moreover, whether considering scour could influence the design of TMDs, and TMDs with different parameters can also have an impact on fatigue damage accumulation.

The purpose of this study is to explore the effect of scour on the fatigue life of wind turbine structures and the control effect of TMD on the fatigue life of wind turbine structures under scour conditions. The authors use a 5 MW single-pile wind turbine as a case study to carry out related research. In this study, ABAQUS is used to establish a

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81 detailed SSI model with different scour depths. A finite element model considering 82 wind loads and TMD was established in MATLAB, and the scour effect is considered 83 by establishing a relationship with the ABAQUS model by means of the equivalent 84 stiffness matrix. And the finite element model is simplified to a modal model for fast 85 prediction of fatigue life. The TMD operates in the FA direction and does not work in 86 the SS direction. This study investigates the effect of different scour depths on the per-87 formance of the TMD and the fatigue life of a 5 MW OWT's support structure including a tower and a monopile foundation, and the optimization of the TMD's parameters con-88 89 sidering time-varying scour depths to maximum fatigue life is also presented. This 90 study provides some knowledge of the effects of the time varying scour and the TMD 91 on the fatigue life of wind turbines, as well as a new TMD design method targeting at 92 enhancing fatigue resistance. The rest of the paper is organized as follows: Section 2 93 introduces the numerical models used in the research. Section 3 introduces the tradi-94 tional TMD design method and the newly developed parameter optimization method. 95 Section 4 describes the load cases for the fatigue analysis, the analysis results of this 96 study and the TMD parameter optimization results. Section 5 concludes the study.

### 97 2 Model description

## 98 2.1 Finite element model and implementation of tuned mass damper

99 An FE model of a monopile-supported OWT installed with a TMD is established in MATLAB. This model contains a flexible tower, a rotor-nacelle assembly (RNA), 100 101 and an external TMD, considering the foundation flexibility. The model is based on the 102 widely used NREL 5MW reference OWT, and its detailed properties are shown in Ta-103 ble 1. Three-dimensional beam elements are used to create the FE model and the theo-104 retical basis is the standard Euler-Bernoulli beam theory. The wind turbine tower is 105 divided into 18 beam elements, and the monopile between the mudline and the mean 106 sea level (MSL) are divided into 4 beam elements. A convergence test by comparing 107 the first natural frequencies shows that 22 beam elements are sufficient. Each element 108 node has 6 degrees of freedom (DOFs) corresponding to the translational and rotational 109 motions in different directions. The mass matrix and stiffness matrix in the equation of 110 motion of the OWT structure can be obtained given the material properties. The damp-111 ing matrix is applied by means of Rayleigh damping, and the combined damping ratio 112 of soil damping and structural damping is assumed to be 1% (Chen and Duffour, 2018). 113 The Rayleigh mass and stiffness coefficients  $\alpha_1$  and  $\alpha_2$  are defined by  $\alpha_1 = \alpha_2 =$ 

- 114  $\frac{\zeta_C}{\frac{1}{2\omega}+\frac{\omega}{2}}$ .  $\omega$  is the natural frequency of the first fore-aft mode, and  $\zeta_C$  is the combined
- 115 damping ratio. The RNA is represented by a lumped mass at the tower top.



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Fig. 1. Schematic of NREL 5MW wind turbine and scour effect

The TMD is mounted on the top of the tower, and the effect of the TMD is considered by adding its mass, damping, and stiffness terms at relevant positions in the local mass, damping, and stiffness matrices of the beam element representing the tower top. The equation of motion of the OWT main structure is:

$$\mathbf{M}_{s} \ddot{\mathbf{U}}_{s} + \mathbf{C}_{s} \dot{\mathbf{U}}_{s} + \mathbf{K}_{s} \mathbf{U}_{s} + \mathbf{C}_{T} (\dot{\mathbf{U}}_{s} - \dot{\mathbf{U}}_{T}) + \mathbf{K}_{T} (\mathbf{U}_{s} - \mathbf{U}_{T})$$

$$= \mathbf{F}_{wind} + \mathbf{F}_{wave},$$
(1)

122 where  $M_s$ ,  $C_s$ ,  $K_s$  are the mass, damping and stiffness matrices of the main structure.

123  $\mathbf{C}_{\mathrm{T}}, \mathbf{K}_{\mathrm{T}}$  are matrices with same dimensions containing  $\mathbf{c}_{\mathrm{T}}, \mathbf{k}_{\mathrm{T}}, \mathbf{C}_{\mathrm{T}} = \begin{bmatrix} 0 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & \mathbf{c}_{\mathrm{T}} \end{bmatrix}, \mathbf{K}_{\mathrm{T}} =$ 

124 
$$\begin{bmatrix} 0 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & k_{\mathrm{T}} \end{bmatrix}$$
. **U**<sub>s</sub> is the displacement vector of the main structure, **U**<sub>s</sub> = 
$$\begin{bmatrix} u_{s-1} \\ \vdots \\ u_{s-top} \end{bmatrix}$$
.

125  $\mathbf{U}_{\mathrm{T}}$  is the displacement vector containing  $\mathbf{u}_{\mathrm{T}}$ ,  $\mathbf{U}_{\mathrm{T}} = \begin{bmatrix} 0 \\ \vdots \\ \mathbf{u}_{\mathrm{T}} \end{bmatrix}$ .  $\mathbf{F}_{\mathrm{wind}}$ ,  $\mathbf{F}_{\mathrm{wave}}$  are the aerody-

namic and wave load vectors. The equation of motion for the TMD can be representedby

$$m_{\rm T}\ddot{u}_{\rm T} + c_{\rm T}(\dot{u}_{\rm T} - \dot{u}_{s-top}) + k_{\rm T}(u_{\rm T} - u_{s-top}) = 0, \qquad (2)$$

128 where  $m_T$ ,  $c_T$ ,  $k_T$  are the mass, damping and stiffness of the TMD,  $u_T$ ,  $u_{s-top}$  are the 129 displacement of the TMD and the displacement of the top node. The modelling of SSI 130 is realized by an equivalent stiffness matrix, which will be introduced in detail subse-131 quently in Section 2.3.

132 Table 1. Basic properties of the NREL 5MW reference OWT (J. Jonkman et al.,

133 2009; Rezaei, 2017)

Number of blade	3
Rotor diameter	126 m
Tower length	80 m
Tower diameter	3.87–6.00 m
Tower thickness	28–38 mm
Pile length	75 m
Pile penetration depth	45 m
Pile diameter	6 m
Pile thickness	80 mm
Hub height from MSL	92.4 m
Turbine mass	350000 kg
Blade mass	17740 kg
Rated wind speed	12.1 m/s

Wind loads were calculated using modified unsteady blade element momentum (BEM) theory (Branlard, 2017; B. J. Jonkman and Buhl, 2006) with Prandtl and Glauert corrections. Ignoring the iterative loop (Chen, Duffour, Fromme, et al., 2021) in the steady-state BEM code, the instantaneous aerodynamic forces were calculated for each time step within the time integration. The turbulent wind field was generated using the Kaimal spectrum according to the wind field parameters of IEC 61400-3 (2019) assuming moderate turbulence intensity. It should be noted that the aerodynamic loads 141 from the rotor applied at the tower top were calculated using an aerodynamic force 142 linearization technique previously developed by authors (Chen, Duffour, Fromme, et 143 al., 2021; Chen et al., 2020). This technique divides the aerodynamic loads into two 144 parts. The first part is the quasi-steady aerodynamic force calculated by BEM theory, 145 which does not consider the influence of tower top motion. The second part considers the effect of aerodynamic damping by introducing an additional aerodynamic damping 146 147 matrix. The adoption of this technique is to enable the development of the simplified modal model for rapid fatigue calculation, which will be introduced in detail in Sub-148 149 section 2.4. To represent the influence of controller in the OWT, a standard relationship 150 (J. Jonkman et al., 2009) between the mean wind speed, rotor rotation speed and blade 151 pitch angles, which represents the OWT's normal operational conditions, are adopted 152 throughout the wind loading calculation.



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Fig. 2 Schematic of wind turbine load application

155 Wave loads were calculated using the Morison equation, which includes viscous156 drag and inertial forces:

$$\mathbf{F}_{\text{wave}} = \frac{1}{2} \rho_{\text{w}} D_{\text{pile}} C_{\text{d}} |\dot{\mathbf{u}}_{\text{w}}| \dot{\mathbf{u}}_{\text{w}} + \frac{\pi}{4} \rho_{\text{w}} D_{\text{pile}}^{2} C_{\text{m}} \ddot{\mathbf{u}}_{\text{w}}, \qquad (3)$$

where  $\dot{\mathbf{u}}_{w}$  and  $\ddot{\mathbf{u}}_{w}$  are the velocity and acceleration of water particles,  $C_{d}$  is the drag coefficient,  $D_{pile}$  is the diameter of the monopile between the mean sea level and the mudline,  $C_{m}$  is the inertia coefficient and  $\rho_{w}$  is the density of water.  $C_{d}$  and  $C_{m}$  were chosen as 1 and 2 respectively as the recommended values in Shirzadeh et al (2013). The wave profiles were obtained through the superposition of wave components, combining linear wave theory and JONSWAP spectra (Klaus et al., 1973). The application of wind and wave loads is shown in Fig. 2.

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## 2.2 Scour modelling in ABAQUS

165 Using solid elements to model pile-soil interaction (S. Dai et al., 2021; Fard et al., 2022; Ma and Chen, 2021; Zdravković et al., 2015) is usually considered to be more 166 167 accurate than the p-y curve method (Liang et al., 2018; Song and Achmus, 2023) and 168 the equivalent embedding method (Shahmohammadi and Shabakhty, 2020; Bergua et 169 al., 2022). The solid element method can also reduce the influence of empirical formula 170 on the results. Therefore, the solid element method is used to establish the wind turbine 171 scour model. The wind turbine scour model established in ABAQUS contains soil, pile 172 foundation, tower, and the RNA is replaced by a concentrated mass located at the top 173 of the tower. The diameter of the soil body is selected as 20 times of the pile diameter, 174 the soil under the pile foundation is selected as 2.5 times of the pile diameter, and the 175 total height of the soil body is 60 m. The soil body is made of homogeneous dense 176 sandy soil, and the piles and tower are made of steel. The material parameters of the 177 soil body, pile and tower are shown in Table 2 below:

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Table 2. Soil, pile and tower material parameters

Туре	Weight $\gamma$ $(kN/m^3)$	modulus of elasticity	Poisson's ratio	Internal friction angle	Expansion angle	Cohesion c (kPa)
Soil	19	80	0.3	35	23	0.1
Pile	78.5	215	0.25	-	-	-
Tower	85	215	0.25	-	-	-

The Mohr-Coulomb model is used for the soil, and the pile, tower, and nacelle are assumed to be elastic as they are much stiffer than the soil and do not deform plastically for the normal operational conditions. The pile and tower are connected by a binding relationship. The normal contact between the pile and soil adopts the hard contact, and the tangential contact adopts the friction penalty function. The relative sliding friction

184 factor at the interface,  $\mu$  is equal to tan( 0.75  $\varphi$ ), where  $\varphi$  is the internal friction angle. 185 The pile-soil contact is in the form of frictional contact, where mutual contact pairs are established between the pile and the soil, including the contacts between the pile bottom 186 187 surface and the soil, between the outside surface of the pile and the soil, and the inside surface of the pile and the soil core. The frictional contact between pile bottom surface 188 189 and soil is omitted due to the small area of the contact surface. These frictional contacts 190 all adopt the face-to-face contact, and the contact discretization method adopts the face-191 to-face discretization method, considering the large stiffness of the main surface and 192 small stiffness of the slave surface. The perimeter of the soil body is translationally 193 constrained, and the bottom surface of the soil adopts a fixed constraint. The eight-node 194 linear brick element (C3D8R) is used to model the pile and soil, and the mesh division 195 is realized by arranging seeds as shown in Fig. 2. The whole model is set up by adopting 196 the modelling method of "element birth and death", which realizes the operation of 197 initial soil stress balance and sets up contacts and other related steps by killing and 198 activating relevant elements.





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Fig. 3. Pile-soil interaction modelled by ABAQUS

The scour conditions can be represented by a deep conical pit around the pile under the long-term action of the waves and currents. According to the specification of Det Norske Veritas (DNV) (2014b), the radius of the pit surface formed by scour, R, can be related to the depth of the scour pit by

$$R = \frac{D}{2} + \frac{S}{\tan\varphi'},$$
(4)

where D is the diameter of the pile, S is the scour depth, and  $\varphi$  is the angle of internal friction of the soil.

### 207 2.3 Equivalent stiffness matrix method

208 It is necessary to consider the effect of scour in the FE model in MATLAB. An 209 equivalent stiffness matrix method is adopted in the FE model to consider the flexibility 210 induced by SSI. The 6 DOFs of node at the mudline are assumed to be constrained by 211 a series of coupled springs, and the stiffnesses of the coupled springs form a 6×6 stiff-212 ness matrix. For one specific stiffness term used in the FE model, for instance the one 213 relevant to the lateral displacement in the fore-aft (FA) direction, the value of the stiff-214 ness term can be found from the relationship between the reaction force at the mudline 215 and the pile top displacement (Jung et al., 2015). The equivalent stiffness schematic of 216 the pile-soil interaction in the FA direction for the OWT is shown in Fig. 4.



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Fig. 4. Equivalent stiffness schematic of pile-soil interaction in the FA direction

219 According to the principle of virtual displacement and with the DOFs in the other 220 directions constrained, a unit displacement or rotation was first applied in one direction, 221 and then the reaction force in that direction can be known. The equivalent stiffness in 222 that direction can be subsequently calculated by the relationship between the displace-223 ment and reaction force. Using the same approach, the stiffness terms corresponding to 224 the remaining five DOFs were calculated. The stiffness terms in all the six DOFs to-225 gether form all the diagonal terms of the soil stiffness matrix. With the diagonal terms 226 known, the off-diagonal stiffness terms can be found by applying a unit displacement 227 in one direction and looking at the reaction force in the other concerned direction, with 228 the other four DOFs constrained. Using the same principle, the off-diagonal terms can 229 also be found from the relationship between the displacements and reaction forces,

- which ultimately results in a 6×6 stiffness matrix (Bergua et al., 2021; Pedersen and
- 231 Askheim, 2021):

$$\mathbf{F_{soil}} = \begin{cases} F_{x}(t) \\ F_{y}(t) \\ F_{z}(t) \\ M_{x}(t) \\ M_{y}(t) \\ M_{z}(t) \end{cases} = \begin{bmatrix} k_{xx} & 0 & 0 & 0 & k_{x\theta y} & 0 \\ 0 & k_{yy} & 0 & k_{y\theta x} & 0 & 0 \\ 0 & 0 & k_{zz} & 0 & 0 & 0 \\ 0 & k_{\theta xy} & 0 & k_{\theta x\theta x} & 0 & 0 \\ k_{\theta yx} & 0 & 0 & 0 & k_{\theta y\theta y} & 0 \\ 0 & 0 & 0 & 0 & 0 & k_{\theta z\theta z} \end{bmatrix} \begin{pmatrix} u_{x}(t) \\ u_{y}(t) \\ u_{z}(t) \\ \theta_{x}(t) \\ \theta_{y}(t) \\ \theta_{z}(t) \end{pmatrix}$$
(5)
$$= \mathbf{K_{soil}} \mathbf{u}_{soil},$$

232 where  $\mathbf{K}_{soil}$  is the equivalent soil stiffness matrix,  $\mathbf{u}_{soil}$  is the displacement vector, and  $F_{soil}$  is the reaction force vector. The equivalent soil stiffness matrix ignores the nonlin-233 234 earity in the force-displacement relationship. This approach is suitable for fatigue anal-235 ysis, as in normal operation conditions the deformation of the soil around the monopile 236 is relatively small and the nonlinearity in soil stiffness is very weak. The  $6 \times 6$  soil stiff-237 ness matrix obtained from ABAQUS is imported to the FE model in MATLAB. This 238 modelling method possesses the advantages of the increase in accuracy brought by the 239 scour model in ABAOUS with solid elements, and the fast calculation speed and con-240 venience in applying wind and wave loads brought by the usage of the FE model in 241 MATLAB.

### 242 2.4 Rapid fatigue evaluation method

243 The established FE model in MATLAB can generate dynamic responses of the 244 OWT, considering wind and wave loads and scour effect. However, a comprehensive 245 fatigue life prediction in time domain needs to consider a large number of environmen-246 tal states and load cases, so simulation efficiency is very important. Moreover, the TMD 247 design optimization requires much more dynamic response time series. The FE model 248 is not fast enough in this case. Therefore, a simplified modal model is developed from 249 the FE model in MATLAB following the method develop in Ref. (C. Chen et al., 2021). 250 The total aerodynamic forces from the rotor applied on the tower top node are linearized 251 to the sum of a term corresponding to the forces for an assumed rigid tower, plus a term 252 proportional to the tower top linear and angular velocities. The hydrodynamic forces 253 are linearized by ignoring the relatively small monopile vibrations. The details for force 254 linearization can be found in the authors' previous studies (Chen, Duffour, Fromme, et 255 al., 2021). Since the dynamic responses of the OWT are mainly dominated by the first

256 two bending vibration modes, the FE model is reduced into a 4-DOF simplified modal 257 model by considering only the first two bending modes in the FA and side-side (SS) 258 directions respectively. The development of the simplified 4-DOF modal model is 259 briefly introduced as follows. Denoting the mass matrix and stiffness matrix of the 260 OWT as M and K including the TMD and the lumped soil stiffness matrix, the un-261 damped vibration mode matrix  $\Psi$  can be obtained directly through eigen analysis. Ac-262 cording to relationship  $\mathbf{u} = \Psi \boldsymbol{\alpha}$  and multiplying the transpose of the undamped vibration matrix  $\Psi^{T}$  with the equation of motion, the following equation is obtained: 263

$$\Psi^{\mathrm{T}}\mathbf{M}\Psi\ddot{\mathbf{\alpha}} + \Psi^{\mathrm{T}}\mathbf{C}\Psi\dot{\mathbf{\alpha}} + \Psi^{\mathrm{T}}\mathbf{K}\Psi\mathbf{\alpha} = \Psi^{\mathrm{T}}\mathbf{F}.$$
 (6)

264 Then rewrite the above equation as

$$\overline{\mathbf{M}}\ddot{\mathbf{\alpha}} + \overline{\mathbf{C}}\dot{\mathbf{\alpha}} + \overline{\mathbf{K}}\mathbf{\alpha} = \overline{\mathbf{F}},\tag{7}$$

where  $\alpha$  is the general coordinate vector,  $\overline{\mathbf{M}}$  is the modal mass matrix,  $\overline{\mathbf{C}}$  is the modal 265 266 damping matrix,  $\overline{\mathbf{K}}$  is the modal stiffness matrix,  $\overline{\mathbf{F}}$  the modal load matrix. Truncating 267 Eq. (6) by only considering the first two bending modes, the FE model is reduced to a 268 4-DOF modal model, which can be used for a rapid fatigue analysis. The dynamic re-269 sponses of the OWT can be obtained by modal superposition after solving the general 270 coordinate vector by time integration. In the 4-DOF simplified modal model, the cross-271 section stress at any height can be calculated from the calculated node displacements. 272 According to the dynamic stress extraction method provided by Pelayo et al. (Pelayo et 273 al., 2015), the cross-section stress  $\sigma_{z}(t)$  at any moment at a given location can be ob-274 tained by:

$$\sigma_{\mathbf{Z}}(t) = -\mathbf{E} \left( \mathbf{N}^{\mathbf{e}^{\prime\prime}}(\mathbf{z}) \mathbf{u}_{\mathbf{x}}^{\mathbf{e}}(t) \mathbf{x} + \mathbf{N}^{\mathbf{e}^{\prime\prime}}(\mathbf{z}) \mathbf{u}_{\mathbf{y}}^{\mathbf{e}}(t) \mathbf{y} \right), \tag{8}$$

where  $\mathbf{u}^{e}$  is the nodal displacement vector at the cross section, E is the material elastic modulus, and  $\mathbf{N}^{e}$  is the elemental shape function vector of FE model, x and y are the positions within the section at the height z of the tower. After cyclic counting the stress time series using the rainfall counting method, the fatigue damage at the hotspot can be evaluated by utilizing the Palmgren-Miner rule based on the S-N fatigue calculation method. The S-N curve for steel under water can be obtained by the following equation considering the thickness effect in DNV (2014a):

$$\log N = \log \overline{a} - m \cdot \log \left[ \Delta \sigma \left( \frac{t}{t_{ref}} \right)^k \right], \tag{9}$$

282 where N is the number of cycles to failure,  $\Delta \sigma$  is the stress range.  $\Delta \sigma$  is calculated from the nominal stress  $\Delta \sigma_{nominal}$  by the equation  $\Delta \sigma = SCF \cdot \Delta \sigma_{nominal}$ , SCF is the stress 283 284 concentration factor. m is the negative inverse slope of the S-N curve, and loga is the 285 intercept between the log N axis and the S-N curve,  $t_{ref}$  is the reference thickness for welded joints, t is the thickness at which cracks may grow. And  $t = t_{ref}$  is used for 286 287 thickness less than  $t_{ref}$ . When t is larger than  $t_{ref}$ , t is the actual thickness of the pile. k 288 is the thickness exponent of fatigue strength. For pile joints,  $t_{ref} = 25$ mm. According 289 to the DNV code, a bilinear S-N curve is usually used for offshore structures subjected 290 mainly to typical wind and wave loads, using the Class E structural detail S-N curve 291 shown in Table 3.



Table 3 Class E structural detail S-N curves

$N \le 10^6$		$N \ge 10^6$				
m <sub>1</sub>	$\log \overline{a_1}$	m <sub>2</sub>	$log\overline{a_2}$	k	t (mm)	SCF
3.0	11.610	5.0	15.350	0.2	80	1.13

For variable amplitude stresses, the fatigue damage index is calculated using the Palmgren-Miner summation rule:

$$D_{k} = \sum_{i=1}^{N_{c}} \frac{n_{i}}{N_{i}'}$$
(10)

where  $N_c$  is the total number of bins,  $n_i$  is the number of cycles in i<sup>th</sup> stress bin,  $N_i$  is the number of cycles to failure for the i<sup>th</sup> stress range, and  $D_k$  is the total fatigue damage index. The "rainflow" function in MATLAB is adopted for rainflow counting. When a stress time history is given, this function can automatically obtain the i<sup>th</sup> stress range and the corresponding cycle number  $n_i$ , and  $N_c$  is the total number of stress ranges. Fatigue failure occurs at the hotspot when the fatigue damage index reaches unit 1.

## 302 3 Damper design and optimisation method

303 Installing damping devices can efficiently reduce the vibration amplitudes of 304 OWTs so that their service life can be greatly prolonged. Using TMDs as passive 305 control devices is most widely used to control the vibration of OWT support structures. 306 Usually, most of TMDs are designed according to the dynamic characteristics of the 307 OWTs determined in the preliminary design stage, without considering the changes in 308 dynamic properties possibly caused by scour and soil degradation. However, in the real 309 environment, scour can cause the dynamic characteristics of OWTs to change, which 310 perhaps makes installed dampers become less effective or even completely ineffective. 311 Therefore, it is a great significance to consider the change in dynamic properties caused 312 by scour on the TMD design. The following two subsections first introduce the tradi-313 tional TMD design method considering constant dynamic characteristic in the initial 314 state, and then an optimal parameter searching method for the design of TMDs is pre-315 sented considering the effect of scour and fatigue life evaluation.

## 316 3.1 TMD design in initial state







Fig. 5. Schematic diagram of TMD arrangement in the tower tube

319 As the dominant vibration mode of the OWT structure in operation is the first 320 bending mode, the largest vibration amplitude occurs at the tower top and installing the 321 TMD at the tower top is most effective. Therefore, the TMD is installed inside the steel 322 tube at the tower top to mainly control the vibration in the FA direction, as shown in 323 Fig. 5. And the TMD can be aligned with the FA direction by rotating the damper. 324 Accordingly, the initial design of the TMD is mainly carried out based on the dynamic 325 properties for the first-order mode. The initial design is conduct based on the assump-326 tion that the monopile foundation is not scoured.

Numerous studies have shown that a TMD can effectively suppress the vibration of a main structure when the mass ratio of the TMDs to the main structure is 1%-2% (Lackner and Rotea, 2011b; R. Zhang et al., 2019). After determining the mass ratio of the TMD to the OWT structure, according to the classic TMD optimization theory proposed by Den Hartog (1957), the optimal frequency ratio of the TMD to the OWT structure is

$$\alpha_{\rm opt} = \frac{1}{1+\mu}.$$
 (11)

333 The optimal damping ratio for the TMD can be calculated by

$$\xi_{\rm opt} = \sqrt{\frac{3\mu}{8(1+\mu)}},$$
 (12)

where  $\mu$  is the mass ratio of the TMD to the OWT structure,  $\alpha_{opt}$  is the optimal frequency ratio of the TMD to the OWT structure and  $\alpha_{opt}$  is the optimal damping ratio of the TMD.

337 Considering that excessive mass will lead to increased construction costs and dif-338 ficulties and changes in the inherent characteristics of the original structure, the mass 339 ratio of the TMD system to the main structure is first selected to be 1%. Moreover, 340 previous studies have found that TLCD with a mass ratio of 1% and TMD with a mass 341 ratio of 2% can effectively suppress vibration (Colwell and Basu, 2009; Lackner and 342 Rotea, 2011b; R. Zhang et al., 2019). According to Den Hartog's optimization theory 343 for the initial TMD design, it can be determined that the optimal frequency ratio of the 344 TMD to the main structure is 0.99, and the optimal damping ratio of the TMD is 0.061. 345 When the OWT support structure is not scoured, the first-order modal mass of the struc-346 ture is 440350 kg, and the first-order modal frequency is 0.265 Hz. Therefore, accord-347 ing to the initial design parameters, the mass, stiffness coefficient and damping coeffi-348 cient of the TMD system are 4403.5 kg, 11,952 N/m and 885 Ns/m respectively.

# 349 3.2 Fatigue-based damper optimisation technique

After scour occurs around the monopile foundation, the burial depth of the monopile and natural frequencies of the OWT gradually change. The vibration mitigation effect of the TMD designed based on the dynamic parameters in the initial state can be

- 353 reduced, which may lead to the increase of fatigue damage of the OWT support struc-
- ture. Therefore, when designing the TMD, considering the influence of the time-vary-
- ing scour can enhance the performance of the TMD and thus result in a longer fatigue
- 356 life of the support structure.



357 358

Fig. 6. Flowchart of TMD fatigue-life-based optimization technique

359 Here a fatigue-life-based optimization technique (FOT) to find optimal parameters of the TMD is developed in MATLAB as shown in Fig. 8. In this technique, the fre-360 361 quency ratio, mass ratio and damping ratio of the TMD are set as the optimal parameters to search, and the fatigue life is the optimization objective. When considering the time-362 363 varying scour process, the time-varying scour depth curve is first divided into a number of scour depths with an increment of 0.1d. For each scour depth, the fatigue damage is 364 365 calculated respectively and then the total fatigue damage in a particular duration can be summarised. When the scour pit becomes deeper, the fatigue damage accumulates and 366 finally reaches unit 1 which denotes the end of fatigue life. The simplified 4-DOF modal 367 368 model incorporating scour modelling is used to generate the stress time series. The op-369 timization problem is formed so that the optimal parameters of the TMD correspond to 370 the longest fatigue life of the OWT support structure. The GlobalSearch function in 371 MATLAB was used to solve the optimization problem. In the TMD optimization 372 process, the mass ratio of TMD is first set to 1%, and only the parameter frequency 373 ratio and damping ratio are optimized. Subsequently, in order to understand the optimi-374 zation effect of TMD when the value of TMD mass ratio is not fixed, a mass ratio 375 optimization interval is given, so the mass ratio becomes a variable within the optimi-376 zation interval.

377 4 **Results** 

## 378 4.1 Environmental states and load cases

379 In this study, fatigue analyses are performed under 22 environmental states pro-380 vided by Tempel (2006), taking into account both operational and parked conditions. 381 These 22 environmental states are shown in Table 4. In operating conditions, the wind 382 turbine bears the aerodynamic load of the rotating rotor and the wind load of the tower, 383 and the wind load on the rotor is calculated using the BEM theory. In parked conditions, 384 the wind turbine mainly bears the aerodynamic load on the tower, and the aerodynamic 385 damping is very small. The aerodynamic loading on the blades is calculated by directly 386 looking at the aerodynamic loading coefficient table given the local attack angles. The 387 wind and wave loads are assumed to be always in the same direction to simplify the 388 analysis. When the mean wind speeds are above the cut-in wind speed and below the 389 cut-out wind speed, a 95% wind turbine availability is assumed following the setting 390 in Ref (Velarde et al., 2020), meaning that the OWT does not produce power for 5% 391 when the mean wind speeds are in the operating range. For a particular set of mean 392 wind speed, wave period and wave height, six different random seed numbers are used 393 to generate different wind fields and wave profiles to reduce the influence of random-394 ness. To obtain the stress time histories at the mudline, a 700s simulation for each ran-395 dom seed was conducted and the response in the first 100 seconds was deducted to 396 eliminate the effect of initial transient vibration. (Capaldo and Mella, 2023; Stieng and 397 Muskulus, 2020).

398

Table 4. Environmental states, adopted from Tempel (van der Tempel, 2006).

State	Vw	Tz	Hs	P <sub>State</sub>	State	Vw	Tz	Hs	P <sub>State</sub>
	(m/s)	(s)	(m)	(%)		(m/s)	(s)	(m)	(%)
1	4	3	0.5	3.95	12	14	5	2	3.26
2	4	4	0.5	3.21	13	16	4	2	1.79
3	6	3	0.5	11.17	14	16	5	2.5	3.1
4	6	4	0.5	7.22	15	18	5	2.5	1.74

5	8	3	0.5	11.45	16	18	5	3	0.8
6	8	4	1	8.68	17	20	5	2.5	0.43
7	10	3	0.5	5.31	18	20	5	3	1.14
8	10	4	1	11.33	19	22	5	3	0.4
9	12	4	1	5.86	20	22	6	4	0.29
10	12	4	1.5	6	21	24	5	3.5	0.15
11	14	4	1.5	4.48	22	24	6	4	0.1

In Table 4,  $V_w$  is the wind speed,  $T_z$  is the zero-crossing wave period,  $H_s$  is the wave height, and  $P_{state}$  is the probability of environmental state. To investigate the effect of scour and installation of the TMD on the fatigue damage accumulation, six load cases (LCs) are selected as shown in Table 5. LC 1 is used as the reference case, and other cases are distinguished by different scour and TMD settings. For LC 4 to LC 6, the initial design of the TMD with the mass ratio of 1% is used.

405

Table 5. Load case definition

LC	TMD	Scour	LC	TMD	Scour
number	condition	condition	number	condition	condition
LC 1	No	No Scour	LC 4	Enable	No Scour
LC 2	No	Time-varying	LC 5	Enable	Time-varying
LC 3	No	Maximum	LC 6	Enable	Maximum

When considering the time-varying scour depth, for a particular time t, the timevarying scour depth S can be predicted by the equation provided by Nakagawa et al. (1976):

$$S = \left(\frac{t}{t_1}\right)^{0.22} D,$$
 (13)

409 where D is the diameter of the monopile,  $t_1$  is the reference time and can be calculated 410 by

$$t_1 = 29.2 \cdot \frac{D}{\sqrt{2} \cdot u} \cdot \left(\frac{\sqrt{\Delta \cdot g \cdot d_{50}}}{\sqrt{2} \cdot u - u_c}\right)^3 \cdot \left(\frac{D}{d_{50}}\right)^{1.9}.$$
 (14)

411 u is the tidal velocity and taken as 0.5 m/s,  $u_c$  is the critical shear velocity and taken as 412 0.37 m/s, g is the acceleration of gravity and taken as 9.8 m/s<sup>2</sup>,  $d_{50}$  is grain size of sea 413 sand and taken as 0.2 mm. The parameter  $\Delta = \frac{\rho_s}{\rho_w} - 1$ , where  $\rho_s$  is density of sand and 414 taken as 2.65 g/cm<sup>3</sup>,  $\rho_w$  is density of water and taken as 1 g/cm<sup>3</sup>. Rudolph et al. (Rudolph et al., 2016) provided the sea state and measured the scour depth for the North Sea where the monopile N7 is located. The measured scour depth was fitted well for the first five years based on the time-varying scour depth prediction equation shown in Eq. (13). Therefore, the data from the North Sea site can represent a typical ocean environment with time-varying scour and is used for the correlation analysis in this study.



420

421

Fig. 7. Time-varying scour depth curve for pile N7 in the North Sea

When conducting analysis with the time-varying scour, an increment of scour depth equal to 0.1D is used. At one particular scour depth, the fatigue damage is calculated and then the total fatigue damage during a longer period with a changing scour depth can be obtained by damage accumulation. According to the specification of DNV, the maximum depth of a local scour pit formed around a pile foundation is 1.3 times the diameter of the pile. Therefore, it is assumed that the local scour pit has a maximum scour depth of 1.3D at which the scour process achieves equilibrium.

## 429 4.2 Scour influence on natural frequencies

430 The scour of the soil around the monopile has an important effect on the natural 431 frequencies of the OWT. For different scour depths, the first natural frequencies ob-432 tained the by the models in ABAQUS and MATLAB are compared in Fig. 8. It shows 433 the increase in the scour depth leads to a decrease in the first natural frequency of the 434 OWT. The first natural frequency is 0.265 Hz when no scour occurs, and the natural 435 frequency is reduced to 0.248 Hz when the depth of the scour pit reaches the maximum 436 depth. The first natural frequency is reduced by 6.42% due to the maximum scour depth. 437 It shows that the natural frequency nearly monotonically decreases with the increase of 438 the scour depth. The installation of TMD also influences the natural frequency of the 439 OWT main structure. The TMD with a mass ratio of 1% makes the first natural

440 frequency of the OWT main structure reduces to 0.251 Hz when no scour occurs, mean-



441 ing that the natural frequency is reduced by 5.28%.

442

443 Fig. 8. Relationship between wind turbine natural frequency and scour depth

444 In the TMD design process, the feasible displacement should be considered. The 445 smaller the mass ratio of TMD is, the larger the feasible displacement is required. The 446 22nd environmental state corresponds to the greatest vibration responses of the wind 447 turbine tower top due to large wind speed variations and lower aerodynamic damping, 448 and the stroke of the TMD could be the largest. As shown in the Fig. 9, the relative 449 displacement between the TMD and the tower top is much less than the inner diameter 450 of the wind turbine tower top in the 22nd environmental state. It shows that the stroke 451 of the TMD is sufficient when the mass ratio of TMD is 1%.



452

453 Fig. 9 Displacement of tower top and TMD under the 22nd environmental state

454 4.3 **Dynamic response analysis** 

When the OWT in the operating state is under the 9th environmental state which corresponds to the rated wind speed of 12 m/s, a comparison for the tower top displacements is made for LC 1, LC 3, LC 4 and LC 6, as shown in Fig. 10. These displacements 458 are obtained from the FE model in MATLAB described in Subsection 2.1. By compar-459 ing the displacements from 300 seconds to 420 seconds for LC 1 and LC 4, it can be 460 found that the displacement amplitudes of the tower top decreases when the TMD is 461 installed. It is known that the aerodynamic damping is large when the OWT is operating 462 under the rated wind speed, so it is normal that the vibration mitigation effect of the





465 Fig. 10. Dynamic response of wind turbine under wind-wave coupled loads for four
466 operating conditions



467

464

468 Fig. 11 The displacement response of wind turbine tower under the parked condition
469 with 3 m/s wind speed

The effect of the TMD is more prominent for parked conditions with less aerodynamic damping. As shown in the Fig. 11, the vibration mitigation effect of the TMD is more significant under the parked condition with 3 m/s wind speed. Moreover, by comparing the displacement responses for LC 1 and LC 3, it can be found that the average of the displacement at the tower top increases when the scour depth reaches 1.3D. This is because scour makes the OWT support structure become more flexible.

## 476 4.4 Fatigue calculation results

In Subsection 2.4, it is mentioned that in the process of fatigue life analysis, the 4-DOF simplified modal model is used to greatly save the calculation time. The accuracy test of the 4-DOF modal model in generating dynamic responses is first present in this subsection. Under the turbulent wind field with a turbulence intensity of 11.9% and an average wind speed of 12 m/s, the FE model and the 4-DOF simplified modal model are used to calculate the stress responses at the mudline for 10 minutes.

As shown in Fig. 12, the stress responses from these two models are very close, confirming good accuracy of the 4-DOF modal model. The fatigue damage caused by the FE model in 10 min is  $2.108 \times 10^{-7}$ , and the fatigue damage caused by the 4-DOF model in 10 min is  $2.1 \times 10^{-7}$ , with an error of 0.05%. Moreover, the calculation time of the 4-DOF simplified modal model is only about 1/55 of that of the FE model, which shows that the 4-DOF simplified modal model is adequate to replace the FE model when conducting fatigue life prediction.



490 Fig. 12. Comparison of stresses at the mudline from the FE model and the 4-DOF
491 model in time domain (a) and frequency domain (b)

492 The 4-DOF simplified modal model is used to conduct fatigue life prediction for 493 the OWT support structure under LC 1 to LC 6. A 10 min simulation for each random 494 seed of is six different random seed numbers conducted to obtain the stress time histo-495 ries at the mudline. The location of the hotspot used to evaluate fatigue damage is se-496 lected at the point where the maximum stress is reached, and this point is in the support 497 structure cross section at the mudline. Although the location in the monopile where the 498 moment reaches its maximum value can be below the mudline, the location at the mud-499 line is picked for simplicity. Further, as the SSI is modelled in the FE model by an 500 equivalent soil stiffness matrix, it is unstraightforward to obtain the internal forces at 501 the cross sections below the mudline. Given the stress time series at the selected hotspot, 502 the corresponding fatigue damage is calculated. Then the fatigue damage for the set of 503 mean wind speed, wave period and wave height in 10 min is obtained by averaging the 504 fatigue damage for all the six random seeds. For all the 22 environment states, the 10 505 min fatigue damage are calculated, and the fatigue life is predicted according to 506 Palmgren-Miner sum rule by combing these calculated fatigue damage and the occur-507 rence probabilities of the environmental states.

508 For different scour depths, the fatigue life of the OWT considering both operating 509 and parked conditions is predicted with or without TMD installation, and the results are 510 shown in Fig. 13. It is shown that an increase in scour depth leads to a decrease in 511 fatigue life, and an increasing fatigue life reduction rate can be observed when the scour 512 depth increases. When no scour occurs and the TMD is not installed on the OWT, the 513 OWT's fatigue life is 59.3 years, and the fatigue life drops to 45.0 years when consid-514 ering the maximum scour depth of 1.3 D. There exist some uncertainties in the fatigue 515 life prediction process due to the generation of random wind field and wave profile. It 516 should be noted that the predicted fatigue life is much longer than the normally adopted 517 OWTs' design fatigue life of 25-30 years. This can be explained by the following rea-518 sons. First, the maximum moment of the OWT support structure is not at the cross 519 section at the mudline where the selected hotspot is located. Second, the complex wind 520 and wave directionality during the OWT's lifetime is simplified, which would influence 521 the fatigue calculation result. Third, many other operation conditions such as starting 522 up, shutting down phases are not considered in this study, which can also have an im-523 pact on the fatigue damage accumulation. Moreover, the installation of the TMD greatly 524 extends about 51.8% of the OWT support structure's fatigue life.



525

526

Fig. 13. Fatigue life of wind turbine with different scour depths

527 The fatigue life prediction results of the OWT were obtained for all the six LCs, 528 as shown in Fig. 14. The fatigue life from the reference case LC 1, 59.3 years, is re-529 garded as the reference fatigue life. It shows that the fatigue life decreases by 14.3 years, 530 or about 24.1%, when the scour depth is set as the maximum value of 1.3D without 531 applying the TMD, compared to the reference fatigue life. When considering the timevarying scour, the fatigue life decreases by about 22.1% from the reference value. When 532 533 comparing the results for LC 1 and LC 4, it shows the installation of the TMD results 534 in a significant increase in the fatigue life of the OWT, with an increase in fatigue life of about 30.7 years, which is about 51.8%. In LCs with the TMD installed, the fatigue 535 536 life in LC 6 decreases by about 17.7% when the scour depth reaches 1.3 D, compared 537 to the result in LC 4. But the fatigue life in LC 6 is still 1.25 times of the reference 538 fatigue life, which indicates that the imposition of TMD can effectively increase the fatigue life of the OWT by reducing vibration amplitudes. 539





541



### 542 4.5 Fatigue calculation with optimized TMD

543 To compare the optimization effect and speed up the optimal parameter search 544 process, the mass ratio of TMD is first kept as 1%. Before the optimization, the param-545 eter ranges of the frequency ratio and damping ratio need to be defined. The optimal 546 frequency of the TMD is usually close to the resonance frequency of the main structure, 547 so the range of the frequency ratio was chosen to be from 0.8 to 1.1 for optimization. 548 As the optimal damping ratio could vary in a relatively larger range, the range of the 549 damping ratio for optimization is chosen to be from 1% to 30%. The optimization of the TMD is also conducted with the mass ratio not fixed. A range of the mass ratio from 550 551 0.001 to 0.1 is used to optimize the TMD so that the influence of the mass ratio can be 552 evaluated.

553

Table 6. Optimization of TMD parameters

Optimization method	Mass ra- tio range	Time- varying scour	Optimal mass ratio	Optimal fre- quency ratio	Optimal damping ratio	Fatigue life (Year)
Initial (LC 5)	0.01	Use	0.01	0.99	0.061	74.6
FOT	0.01	Use	0.01	0.94	0.050	93.2
FOT	0.001-0.1	Use	0.097	0.92	0.150	133.2

554 The optimal parameters obtained by FOT as well as the predicted fatigue life are 555 listed in Table 6. The fatigue life for LC 5 and the parameters of the initially designed 556 TMD are also shown in Table 6 for comparison. It shows that when the mass ratio is 557 fixed at 1%, the optimal frequency ratio is 0.94, the optimal damping ratio is 5%, and 558 the final fatigue life is 93.2 years. Compared to the fatigue life with initially optimized 559 TMD using the traditional method without considering scour, the fatigue life is in-560 creased by 18.6 years or about 25%. It indicates that the parameter search in the opti-561 mization process is correct and it is better to use the TMD parameter search method to 562 design the TMD after obtaining the time-varying scour curve. When the mass ratio range is taken from 0.1% to 10%, the optimal mass ratio of the TMD is 9.7%, the fre-563 quency ratio is 0.92, the damping ratio is 15%, and the final fatigue life is 133.2 years. 564 565 In this case, the fatigue life of the OWT is significantly increased mainly due to the 566 large mass ratio. However, in practice, it might be uneconomic to implement a TMD 567 with such a large mass ratio.

### 568 5 Conclusions

569 This study establishes a rapid numerical model which can consider the effect of 570 scour and installation of a TMD, and the TMD operates only in the FA direction. The 571 model is simplified by using concentrated mass instead of RNA and ignores the non-572 linearity of the equivalent stiffness matrix. The established model is used to investigate 573 the influence of scour and the installed passive structural control device on the OWT's 574 natural frequencies and fatigue life through 22 environmental states. An optimization 575 technique is also developed to find optimal parameters of the TMD considering timevarying scour. Moreover, it shows that the vibration amplitude of the OWT can be ef-576 577 fectively reduced by the TMD. On the one hand, results show that the TMD reduces 578 the vibration amplitude of the tower top. On the other hand, when the scour depth 579 reaches 1.3D, the wind turbine support structure becomes more flexible, with the dis-580 placement of the tower top increased without TMD.

581 In addition, the fatigue calculation results show that installation of the TMD sig-582 nificantly extends the fatigue life of the OWT, but scour can cause a reduced perfor-583 mance of the TMD. It is found that when the initially designed TMD does consider 584 scour and the scour-induced natural frequency reduction during the OWT's lifetime, its 585 performance is not as good as the TMD optimized by the developed FOT in terms of 586 fatigue life enhancement. Given a mass ratio of 1%, the fatigue life can be extended by 25% with the TMD optimized by FOT. This is because FOT can consider the effect of 587 588 time-varying scour. This study only performs the analysis with scour, but other factors 589 such as soil degradation can also alter the dynamic characteristics of OWTs and thus 590 have some influence on structural control devices' performance and fatigue life evalu-591 ation. Additionally, during OWTs' lifetime, the properties of installed TMDs can also 592 change, making the evaluation of TMDs' performance and OWTs' fatigue life more 593 complicated. These factors are worthwhile investigating in the future.

- 594 6 Competing interests
- 595 The contact author has declared that none of the authors has any competing inter-596 ests.

## 597 7 Acknowledgements

The financial supports from the National Natural Science Foundation of China (No.
52108280), Yangjiang Offshore Wind Laboratory (No. YJOWP-OF-2022A10),

600	the National	Science	Fund	for	Distinguished	Young	Scholars (No.	52025082)	are
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- 601 greatly appreciated.

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