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Fatigue life evaluation of offshore wind turbines considering scour and passive structural control

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Abstract

Offshore wind turbine (OWT) support structures are exposed to the risk of fatigue damages and scour, and this risk can be effectively mitigated by installing structural control devices such as tuned mass dampers (TMDs). However, time-varying scour altering OWTs' dynamic characteristics has an impact on the TMD design and fatigue life, which was rarely studied before. In this paper, a simplified modal model was used to investigate the influence of scour and a TMD on the fatigue life evaluation of a 5 MW OWT's support structure, and the parameters of the TMD were obtained by either a traditional method or a newly developed optimization technique. This optimization technique aims at finding optimal parameters of the TMD which maximizes the fatigue life of a hotspot at the mudline, and effect of time-varying scour can be considered. Results show that scour can decrease the fatigue life by about 26%, and the TMD can effectively suppress vibration and increase the fatigue life. Further, it is found that the fatigue life can be extended by 7.2% with the TMD optimized by the proposed optimization technique, compared to that with the traditionally optimized TMD which does not take the change of dynamic characteristics into account.

Keywords: scour, offshore wind turbine, structural control, modal analysis, fatigue life.

1 Introduction

With the continuous development of large-size fixed-bottom offshore wind turbines, scour around pile foundations has become a common issue (Prendergast et al., 2015; L. Wang et al., 2020; X. Wang et al., 2019), as scour can have a significant impact on dynamic characteristics, vibration magnitudes, and thus fatigue life of OWTs under wind and wave loads. The action of currents and waves causes local scour pits around pile foundations, which reduces the burial depth of pile foundations. This phenomenon usually causes a reduction in natural frequencies of OWTs and changes in other dynamic characteristics, possibly leading to resonance when one of natural frequencies is close to the rotational frequency of the blades (Peder Hyldal Sørensen and Bo Ibsen, 2013). On the other hand, the harsh offshore environment causes OWTs' long-term vibration and stress cycling in wind turbine components, endangering these components' fatigue durability (Herbert and Paul, 1994). Fatigue has become the main cause of wind turbine tower failure (Sørensen et al., 2008). Thus, the scour-induced changes

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in dynamic characteristics and risk in resonance inevitably induce an increase in fatigue damages and deserve in-depth research.

Many researchers have studied the effect of scouring on fatigue damage accumulation in OWTs. For instance, Tempel et al. (2006) investigated the frequency and fatigue of piles under different scour depths and concluded that scour has a little effect on the natural frequencies but a great effect on fatigue damage. Zhang et al. (2021) found that scour depth has a significant influence on monopile impedance. Rezaei et al. (2018) showed that scour leads to an increase in the maximum bending moment of the monopile and a shortening of the fatigue life. To mitigate the fatigue damages in OWTs, installing structural control devices is an effective way. It was demonstrated that tuned mass dampers (TMDs) have a positive effect on reducing vibration amplitudes of wind turbine systems (Lackner and Rotea, 2011; Enevoldsen and Mørk, 1996; Dinh and Basu, 2015; Si et al., 2013). Dai et al. (2021) conducted a shaker experiment using a scaled wind turbine model and showed that the installed TMD can suppress the vibration of the structure more effectively considering soil-structure interaction (SSI).

In the previously mentioned studies, researchers have individually investigated the effect of scour on structural vibration and fatigue, and the structural control by TMDs for OWTs. However, in practice, the effect of scour combining structural control via TMDs could have a significant impact on OWTs' fatigue life. Moreover, whether considering scour could influence the design of TMDs, and TMDs with different parameters can also have an impact on fatigue damage accumulation.

In this study, ABAQUS was used to establish a detailed SSI model with different scour depths. A finite element (FE) model was created in MATLAB to consider scour effect, wind loading and the TMD, then this FE model was simplified to a modal model for the purposed of rapid fatigue life prediction by using a well-established method previously presented by the authors. This study investigates the effect of different scour depths on the performance of the TMD and the fatigue life of a 5 MW OWT's support structure including a tower and a monopile foundation, and the optimization of the TMD's parameters considering time-varying scour depths to maximum fatigue life was also presented. This study can provide a guidance for the fatigue life evaluation and TMD design for OWTs.

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2 Model description

2.1 Finite element model and implementation of tuned mass damper

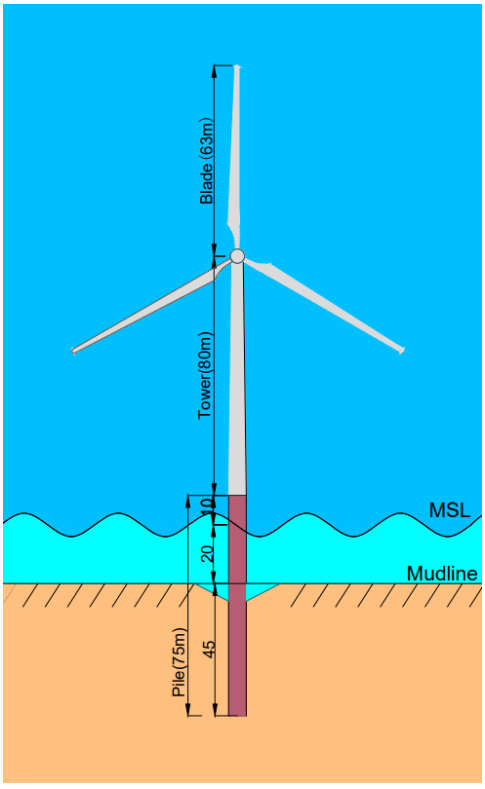

Fig. 1. Schematic of NREL 5MW wind turbine and scour effect

A FE model of an monopile-supported OWT installed with a TMD was established in MATLAB. This model contains a flexible tower, a rotor-nacelle assembly (RNA), and an external TMD, considering the foundation flexibility. The model is based on the widely used NREL 5MW reference offshore wind turbine, and its detailed properties are shown in Table 1. Three-dimensional beam elements are used to create the FE model (C. Chen et al., 2023). The wind turbine tower is divided into 18 beam elements, and the monopile between the mudline and the mean sea level (MSL) are divided into 4 beam elements. Each element node has 6 degrees of freedom (DOFs) corresponding to the translational and rotational motions in different directions. The mass matrix and stiffness matrix in the equation of motion of the OWT structure can be obtained given the material properties. The damping matrix is applied by means of Rayleigh damping,

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and the sum of soil damping and structural damping is assumed to be 1%. The RNA is represented by a lumped mass at the tower top.

The TMD is mounted on the top of the tower, and the effect of the TMD is considered by adding its mass, damping, and stiffness terms at relevant positions in the local mass, damping, and stiffness matrices of the beam element representing the tower top. The equation of motion of the OWT main structure is:

$$\mathbf{M}_s\ddot{\mathbf{u}}_s + \mathbf{C}_s\dot{\mathbf{u}}_s + \mathbf{K}_s\mathbf{u}_s + \mathbf{C}_T(\dot{\mathbf{u}}_s - \dot{\mathbf{u}}_T) + \mathbf{K}_T(\mathbf{u}_s - \mathbf{u}_T) = \mathbf{F}_{wind} + \mathbf{F}_{wave}, \quad (1)$$

where $\mathbf{M}_s$, $\mathbf{C}_s$, $\mathbf{K}_s$ are the mass, damping and stiffness matrices of the main structure. On the other hand, the equation of motion for the TMD can be represented by

$$\mathbf{m}_T\ddot{\mathbf{u}}_T + \mathbf{c}_T(\dot{\mathbf{u}}_T - \dot{\mathbf{u}}_s) + \mathbf{k}_T(\mathbf{u}_T - \mathbf{u}_s) = 0, \quad (2)$$

where $\mathbf{m}_T, \mathbf{c}_T, \mathbf{k}_T$ are the mass, damping and stiffness of the TMD, $\mathbf{u}_s$ is the displacement vector of the tower top, $\mathbf{u}_T$ is the displacement vector of the TMD, and $\mathbf{F}_{wind}$,$\mathbf{F}_{wave}$ are the aerodynamic and wave loads. The modelling of SSI is realized by an equivalent stiffness matrix, which will be introduced in detail subsequently in Sections 2.3.

Table 1. Basic properties of the NREL 5MW reference OWT (J. Jonkman et al., 2009; Rezaei, 2017)

Number of blade	3
Rotor diameter	126 m
Tower length	80 m
Tower diameter	3.87–6.00 m
Tower thickness	28–38 mm
Pile length	65 m
Pile penetration depth	45 m
Pile diameter	6 m
Pile thickness	80 mm
Hub height from MSL	92.4 m
Turbine mass	350000 kg
Blade mass	17740 kg
Rated wind Speed	12.1 m/s

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Wind loads were calculated using modified unsteady blade element momentum (BEM) theory (Branlard, 2017; B. J. Jonkman and Buhl, 2006) with Prandtl and Glauert corrections. Ignoring the iterative loop (C. Chen, Duffour, Fromme, et al., 2021a) in the steady-state BEM code, the instantaneous aerodynamic forces were calculated for each time step within the time integration. The turbulent wind field was generated using the Kaimal spectrum according to the wind field parameters of IEC 61400-3 (2019) assuming moderate turbulence intensity. It should be noted that the aerodynamic loads from the rotor applied at the tower top were calculated using an aerodynamic force linearization technique previously developed by the authors (C. Chen, Duffour, Fromme, et al., 2021b; C. Chen et al., 2020). This technique divides the aerodynamic loads into two parts. The first part is the quasi-steady aerodynamic force calculated by BEM theory, which does not consider the influence of tower top motion. The second part considers the effect of aerodynamic damping by introducing an additional aerodynamic damping matrix. The adoption of this technique is to enable the development of the simplified modal model for rapid fatigue calculation, which will be introduced in detail in Subsection 2.4. Wave loads were calculated using the Morison equation, which includes viscous drag and inertial forces:

$$\mathbf{F}_{\text{wave}} = \frac{1}{2}\rho_w D_{\text{pile}} C_d |\dot{\mathbf{u}}_w| \dot{\mathbf{u}}_w + \frac{\pi}{4}\rho_w {D_{\text{pile}}}^2 C_m \ddot{\mathbf{u}}_w, \quad (3)$$

where $\dot{\mathbf{u}}_w$ and $\ddot{\mathbf{u}}_w$ are the velocity and acceleration of water particles, C_d is the drag coefficient, D_{pile} is the diameter of the monopile between the mean sea level and the mudline, C_m is the inertia coefficient and ρ_w is the density of water. C_d and C_m were chosen as 1 and 2 respectively as the recommended values in Ref. (Shirzadeh et al., 2013). The wave profiles were obtained through the superposition of wave components, combining linear wave theory and JONSWAP spectra (Klaus et al., 1973).

2.2 Scour modelling in ABAQUS

Using solid elements to model pile-soil interaction (C. Chen and Duffour, 2018; S. Dai et al., 2021; Fard et al., 2022; Ma and Chen, 2021; Zdravković et al., 2015) is usually considered to be more accurate than the p-y curve method (Liang et al., 2018; Tempel, 2006) and the equivalent embedding method (Shahmohammadi and Shabakhty, 2020; Bergua et al., 2022). The solid element method can also reduce the influence of empirical formula on the results. Therefore, the solid element method was used

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to establish the wind turbine scour model. The wind turbine scour model established in ABAQUS contains soil, pile foundation, tower, and the RNA is replaced by a concentrated mass located at the top of the tower. The diameter of the soil body is selected as 20 times of the pile diameter, the soil under the pile foundation is selected as 2.5 times of the pile diameter, and the total height of the soil body is 60 m. The soil body is made of homogeneous dense sandy soil, and the piles and tower are made of steel. The material parameters of the soil body, pile and tower are shown in Table 2 below:

Table 2. Soil, pile and tower material parameters

Type	Weight γ （kN/m3）	modulus of elasticity E_S（MPa）	Poisson's ratio υ	Internal friction angle φ（°）	Expansion angle ψ（°）	Cohesion c（kPa)
Soil	19	80	0.3	35	23	0.1
Pile	78.5	215	0.25	-	-	-
Tower	85	215	0.25	-	-	-

The Mohr-Coulomb model is used for the soil, and the pile, tower, and nacelle are assumed to be elastic as they are much stiffer than the soil and do not deform plastically for the normal operational conditions. The pile and tower are connected by a binding relationship. The normal contact between the pile and soil adopts the hard contact, and the tangential contact adopts the friction penalty function. The relative sliding friction factor at the interface, μ is equal to $\tan(0.75\varphi)$, where φ is the internal friction angle. The pile-soil contact is in the form of frictional contact, where mutual contact pairs are established between the pile and the soil, including the contacts between the pile bottom surface and the soil, between the outside surface of the pile and the soil, and the inside surface of the pile and the soil core. The frictional contact between pile bottom surface and soil is omitted due to the small area of the contact surface. These frictional contacts all adopt the face-to-face contact, and the contact discretization method adopts the face-to-face discretization method, considering the large stiffness of the main surface and small stiffness of the slave surface. The perimeter of the soil body is translationally constrained, and the bottom surface of the soil adopts a fixed constraint. The eight-node linear brick element (C3D8R) was used to model the pile and soil, and the mesh division is realized by arranging seeds as shown in Fig. 2. The whole model was set up by adopting the modelling method of “element birth and death”, which realizes the operation of

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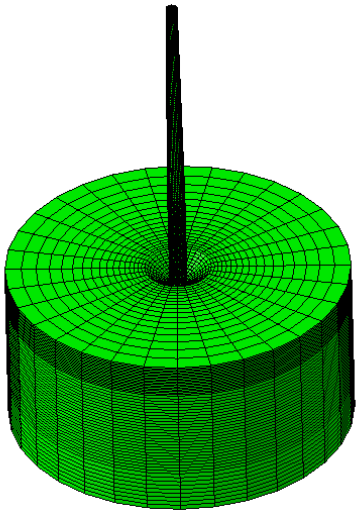
165 initial soil stress balance and sets up contacts and other related steps by killing and
166 activating relevant elements.



167

168 Fig. 2. Pile-soil interaction modelled by ABAQUS

169 The scour conditions can be represented by a deep conical pit around the pile under
170 the long-term action of the waves and currents. According to the specification of Det
171 Norske Veritas (DNV) (2014b), the radius of the pit surface formed by scour, R, can
172 be related to the depth of the scour pit by

$$R = \frac{D}{2} + \frac{S}{\tan\varphi}, \tag{4}$$

173 where D is the diameter of the pile, S is the scour depth, and φ is the angle of internal
174 friction of the soil.

175 2.3 **Equivalent stiffness matrix method**

176 It is necessary to consider the effect of scour in the FE model in MATLAB. An
177 equivalent stiffness matrix method was adopted in the FE model to consider the flexi-
178 bility induced by SSI. The 6 DOFs of node at the mudline are assumed to be constrained
179 by a series of coupled springs, and the stiffnesses of the coupled springs form a 6×6
180 stiffness matrix. For one specific stiffness term used in the FE model, for instance the
181 one relevant to the lateral displacement in the fore-aft (FA) direction, the value of the
182 stiffness term can be found from the relationship between the reaction force at the

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mudline and the pile top displacement (Jung et al., 2015). The equivalent stiffness schematic of the pile-soil interaction in the FA direction for the OWT is shown in Fig. 3.

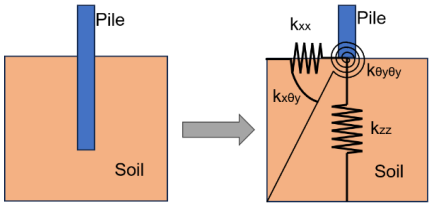

Fig. 3. Equivalent stiffness schematic of pile-soil interaction in the FA direction

According to the principle of virtual displacement and with the DOFs in the other directions constrained, a unit displacement or rotation was first applied in one direction, and then the reaction force in that direction can be known. The equivalent stiffness in that direction can be subsequently calculated by the relationship between the displacement and reaction force. Using the same approach, the stiffness terms corresponding to the remaining five DOFs were calculated. The stiffness terms in all the six DOFs together form all the diagonal terms of the soil stiffness matrix. With the diagonal terms known, the off-diagonal stiffness terms can be found by applying a unit displacement in one direction and looking at the reaction force in the other concerned direction, with the other four DOFs constrained. Using the same principle, the off-diagonal terms can also be found from the relationship between the displacements and reaction forces, which ultimately results in a 6×6 stiffness matrix (Bergua et al., 2021; Pedersen and Askheim, 2021):

$$\mathbf{F_{soil}} = \begin{Bmatrix} F_x(t) \\ F_y(t) \\ F_z(t) \\ M_x(t) \\ M_y(t) \\ M_z(t) \end{Bmatrix} = \begin{bmatrix} k_{xx} & 0 & 0 & 0 & k_{x\theta y} & 0 \\ 0 & k_{yy} & 0 & k_{y\theta x} & 0 & 0 \\ 0 & 0 & k_{zz} & 0 & 0 & 0 \\ 0 & k_{\theta xy} & 0 & k_{\theta x\theta x} & 0 & 0 \\ k_{\theta yx} & 0 & 0 & 0 & k_{\theta y\theta y} & 0 \\ 0 & 0 & 0 & 0 & 0 & k_{\theta z\theta z} \end{bmatrix} \begin{Bmatrix} u_x(t) \\ u_y(t) \\ u_z(t) \\ \theta_x(t) \\ \theta_y(t) \\ \theta_z(t) \end{Bmatrix} = \mathbf{K_{soil}}\mathbf{u_{soil}} . \quad (5)$$

where $\mathbf{K_{soil}}$ is the equivalent soil stiffness matrix, $\mathbf{u_{soil}}$ is the displacement vector, and $\mathbf{F_{soil}}$ is the reaction force vector. The 6×6 soil stiffness matrix obtained from ABAQUS is imported to the FE model in MATLAB. This modelling method possesses the advantages of the increase in accuracy brought by the scour model in ABAQUS with solid

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elements, and the fast calculation speed and convenience in applying wind and wave loads brought by the usage of the FE model in MATLAB.

2.4 **Rapid fatigue evaluation method**

The established FE model in MATLAB can generate dynamic responses of the OWT, considering wind and wave loads and scour effect. However, a comprehensive fatigue life prediction in time domain needs to consider a large number of environmental states and load cases, so simulation efficiency is very important. Moreover, the TMD design optimization requires much more dynamic response time series. The FE model is not fast enough in this case. Therefore, a simplified modal model was developed from the FE model in MATLAB following the method develop in Ref. (C .Chen et al., 2021). Since the dynamic responses of the OWT are mainly dominated by the first two bending vibration modes, the FE model is reduced into a 4-DOF simplified modal model by considering only the first two bending modes in the FA and side-side (SS) directions respectively. The development of the simplified 4-DOF modal model is briefly introduced as follows. Denoting the mass matrix and stiffness matrix of the OWT as $\mathbf{M}$ and $\mathbf{K}$ including the TMD and the lumped soil stiffness matrix, the undamped vibration mode matrix $\boldsymbol{\Psi}$ can be obtained directly through eigen analysis. Multiplying the transpose $\boldsymbol{\Psi}^{\mathbf{T}}$ of the undamped vibration matrix $\boldsymbol{\Psi}$ with the equation of motion the OWT, leading to the following equation:

$$\boldsymbol{\Psi}^{\mathbf{T}}\mathbf{M}\boldsymbol{\Psi}\ddot{\mathbf{u}} + \boldsymbol{\Psi}^{\mathbf{T}}\mathbf{C}\boldsymbol{\Psi}\dot{\mathbf{u}} + \boldsymbol{\Psi}^{\mathbf{T}}\mathbf{K}\boldsymbol{\Psi}\mathbf{u} = \boldsymbol{\Psi}^{\mathbf{T}}\mathbf{F}. \tag{6}$$

Then rewrite the above equation as

$$\bar{\mathbf{M}}\ddot{\boldsymbol{\alpha}} + \bar{\mathbf{C}}\dot{\boldsymbol{\alpha}} + \bar{\mathbf{K}}\boldsymbol{\alpha} = \bar{\mathbf{F}}, \tag{7}$$

where $\boldsymbol{\alpha}$ is the general coordinate vector, $\bar{\mathbf{M}}$ is the modal mass matrix, $\bar{\mathbf{C}}$ is the modal damping matrix, $\bar{\mathbf{K}}$ is the modal stiffness matrix, $\bar{\mathbf{F}}$ the modal load matrix. Truncating the Eq. (6) by only considering the first two bending modes, the FE model is reduced to a 4-DOF modal model, which can be used for a rapid fatigue analysis. The dynamic responses of the OWT can be obtained by modal superposition which can be represented by the relationship $\mathbf{u} = \boldsymbol{\Psi}\boldsymbol{\alpha}$, after solving the general coordinate vector by time integration. In the 4-DOF simplified modal model, the cross-section stress at any height

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can be calculated from the calculated node displacements. According to the dynamic stress extraction method provided by Pelayo et al. (2015), the cross-section stress $\sigma_Z(t)$ at any moment at a given location can be obtained by:

$$\sigma_Z(t) = -E\left(\mathbf{N}^{e''}(z)\mathbf{u}_x^e(t)x + \mathbf{N}^{e''}(z)\mathbf{u}_y^e(t)y\right), \tag{8}$$

where $\mathbf{u}^e$ is the nodal displacement vector at the cross section, E is the material elastic modulus, and $\mathbf{N}^e$ is the elemental shape function vector. After cyclic counting the stress time series using the rainfall counting method, the fatigue damage at the hotspot can be evaluated by utilizing the Palmgren-Miner rule based on the S-N fatigue calculation method. The S-N curve for steel under water can be obtained by the following equation considering the thickness effect in DNV (2014a):

$$\log N = \log\bar{a} - m \cdot \log\left[\Delta\sigma\left(\frac{t}{t_{ref}}\right)^k\right], \tag{9}$$

where N is the number of cycles to failure, $\Delta\sigma$ is the stress range, m is the negative inverse slope of the S-N curve, and $\log\bar{a}$ is the intercept between the log N axis and the S-N curve, t_{ref} is the reference thickness for welded joints, t is the thickness at which cracks may grow and k is the thickness exponent of fatigue strength. For pile joints, $t_{ref} = 25\text{mm}$. According to the DNV code, a bilinear S-N curve is usually used for offshore structures subjected mainly to typical wind and wave loads, using the Class E structural detail S-N curve shown in Table 3.

Table 3 Class E structural detail S-N curves

$N \le 10^6$		$N \ge 10^6$				
m_1	$\log\bar{a}_1$	m_2	$\log\bar{a}_2$	k	t（mm）	SCF
3.0	11.610	5.0	15.350	0.2	80	1.13

For variable amplitude stresses, the fatigue damage index is calculated using the Palmgren-Miner summation rule:

$$D_k = \sum_{i=1}^{N_c} \frac{n_i}{N_i}, \tag{10}$$

where N_c is the total number of bins obtained by rainflow counting, n_i is the number of cycles in stress range i, N_i is the number of cycles to failure in stress range i, and D_k is

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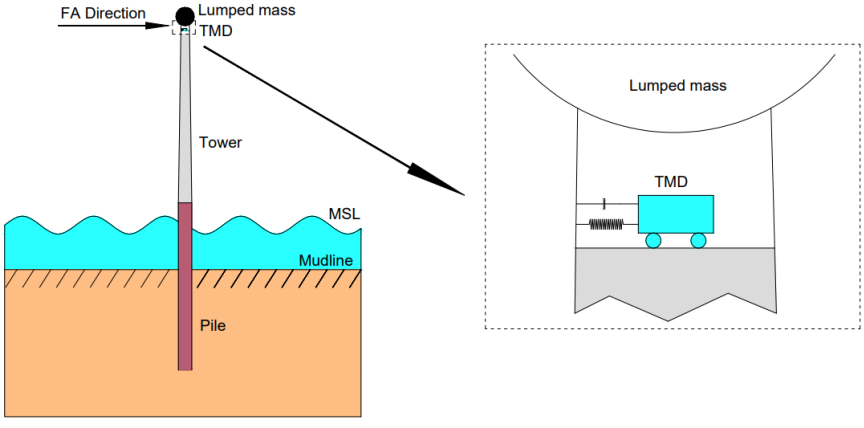
253 the total fatigue damage index. Fatigue failure occurs at the hotspot when the fatigue
254 damage index reaches one.

255 3 Damper design and optimisation method

256 Installing damping devices can efficiently reduce the vibration amplitudes of
257 OWTs so that their service life can be greatly prolonged. Using TMDs as passive con-
258 trol devices is most widely used to control the vibration of OWT support structures.
259 Usually, most of TMDs are designed according to the dynamic characteristics of the
260 OWTs determined in the preliminary design stage, without considering the changes in
261 dynamic properties possibly caused by scour and soil degradation. However, in the real
262 environment, scour can cause the dynamic characteristics of OWTs to change, which
263 perhaps makes installed dampers become less effective or even completely ineffective.
264 Therefore, it is of great significance to consider the change in dynamic properties
265 caused by scour on the TMD design. The following two subsections first introduce the
266 traditional TMD design method considering constant dynamic characteristic in the ini-
267 tial state, and then an optimal parameter searching method for the design of TMDs is
268 presented considering the effect of scour and fatigue life evaluation.

269 3.1 TMD design in initial state



270

271 Fig. 4. Schematic diagram of TMD arrangement in the tower tube

272 As the dominant vibration mode of the OWT structure in operation is the first
273 bending mode in the FA direction, the largest vibration amplitude occurs at the tower
274 top and installing the TMD at the tower top is most effective. Therefore, the TMD is

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installed inside the steel tube at the tower top to mainly control the vibration in the FA direction, as shown in Fig. 4. Accordingly, the initial design of the TMD is mainly carried out based on the dynamic properties for the first-order mode. The initial design is conduct based on the assumption that the monopile foundation is not scoured.

Numerous studies have shown that a TMD can effectively suppress the vibration of a main structure when the mass ratio of the TMDs to the main structure is 1%-2% (Y. Chen et al., 2021; R. Zhang et al., 2019). After determining the mass ratio of the TMD to the OWT structure, according to the classic TMD optimization theory proposed by Den Hartog (Den Hartog, 1957), the optimal frequency ratio of the TMD to the OWT structure is

$$\alpha_{opt} = \frac{1}{1+\mu}. \tag{6}$$

The optimal damping ratio for the TMD can be calculated by

$$\xi_{opt} = \sqrt{\frac{3\mu}{8(1+\mu)}}, \tag{7}$$

where μ is the mass ratio of the TMD to the OWT structure, α_{opt} is the optimal frequency ratio of the TMD to the OWT structure and is the optimal damping ratio of the TMD.

In this study, the mass ratio of the TMD system to the main structure was first selected to be 1%. According to Den Hartog's optimization theory for the initial TMD design, it can be determined that the optimal frequency ratio of the TMD to the main structure is 0.99, and the optimal damping ratio of the TMD is 0.061. When the OWT support structure is not scoured, the first-order modal mass of the structure is 440350 kg, and the first-order modal frequency is 0.265 Hz. Therefore, according to the initial design parameters, the mass, stiffness coefficient and damping coefficient of the TMD system are 4403.5 kg, 11,952 N/m and 885 N·s/m respectively.

3.2 **Fatigue-based damper optimisation technique**

After scour occurs around the monopile foundation, the burial depth of the monopile and natural frequencies of the OWT gradually change. The vibration mitigation effect of the TMD designed based on the dynamic parameters in the initial state can be

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reduced, which may lead to the increase of fatigue damages of the OWT support structure. Therefore, when designing the TMD, considering the influence of the time-varying scour could enhance the performance of the TMD and thus result in a longer fatigue life of the support structure.

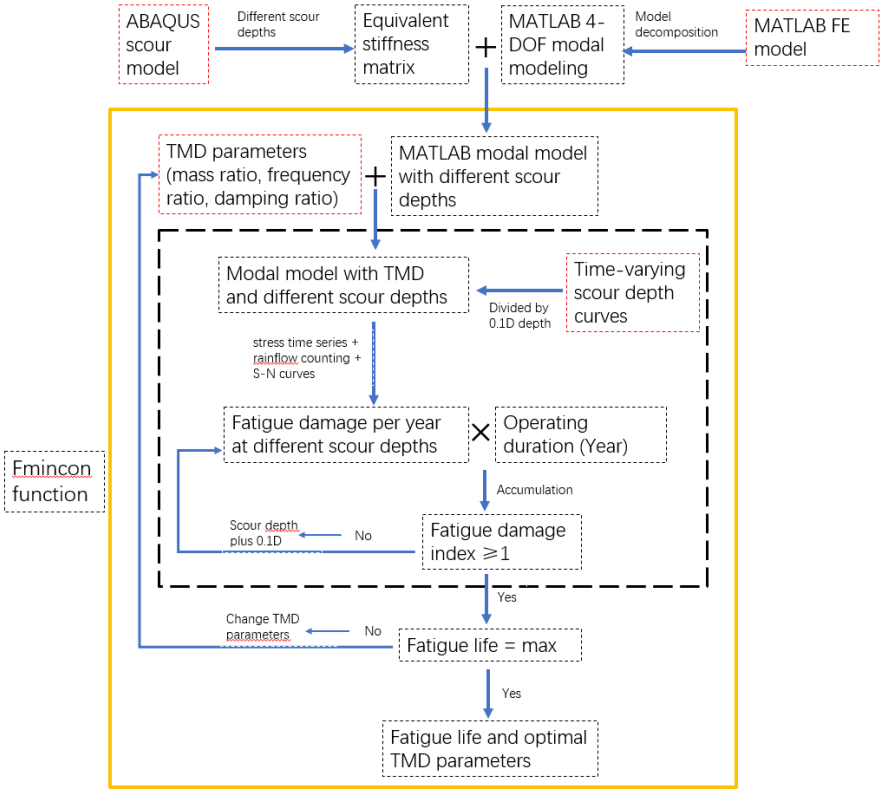

Fig. 6. Flowchart of TMD fatigue-life-based optimization technique

Here a fatigue-life-based optimization technique (FOT) to find optimal parameters of the TMD was developed in MATLAB as shown in Fig. 6. In this technique, the frequency ratio, mass ratio and damping ratio of the TMD were set as the optimal parameters to search, and the fatigue life is the optimization objective. The simplified 4-DOF modal model incorporating scour modelling was used to generate the stress time series. The optimization problem is formed so that the optimal parameters of the TMD correspond to the longest fatigue life of the OWT support structure. The Fmincon function in MATLAB was used to solve the optimization problem.

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4 Results

4.1 Environmental states and load cases

In this study, fatigue analyses were performed under 22 environmental states provided by Tempel (2006), taking into account both operational and parked situations. These 22 environmental states are shown in Table 4. The wind and wave loads are assumed to be always in the same direction to simplify the analysis. When the mean wind speeds are above the cut-in wind speed and below the cut-out wind speed, a 95% wind turbine availability is assumed following the setting in Ref. (Velarde et al., 2020), meaning that the OWT does not produce power for 5% when the mean wind speeds are in the operating range.

Table 4. Environmental states, adopted from Tempel (2006).

State	Vw (m/s)	Tz (s)	Hs (m)	P_{State} (%)	State	Vw (m/s)	Tz (s)	Hs (m)	P_{State} (%)
1	4	3	0.5	3.95	12	14	5	2	3.26
2	4	4	0.5	3.21	13	16	4	2	1.79
3	6	3	0.5	11.17	14	16	5	2.5	3.1
4	6	4	0.5	7.22	15	18	5	2.5	1.74
5	8	3	0.5	11.45	16	18	5	3	0.8
6	8	4	1	8.68	17	20	5	2.5	0.43
7	10	3	0.5	5.31	18	20	5	3	1.14
8	10	4	1	11.33	19	22	5	3	0.4
9	12	4	1	5.86	20	22	6	4	0.29
10	12	4	1.5	6	21	24	5	3.5	0.15
11	14	4	1.5	4.48	22	24	6	4	0.1

To investigate the effect of scouring and installation of the TMD on the fatigue damage accumulation, six load cases (LCs) were selected as shown in Table 5. LC 1 is used as the reference case, and other cases are distinguished by different scour and TMD settings. For LC 4 to LC 6, the initial design of the TMD with the mass ratio of 1% is used.

Table 5. Load case definition

LC number	TMD condition	Scour condition	LC number	TMD condition	Scour condition
LC 1	No	No Scour	LC 4	Enable	No Scour
LC 2	No	Time-varying	LC 5	Enable	Time-varying
LC 3	No	Maximum	LC 6	Enable	Maximum

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When considering the time-varying scour depth, for a particular time t, the time-varying scour depth S can be predicted by the equation provided by Nakagawa et al. (1976) was used:

$$S = \left(\frac{t}{t_1}\right)^{0.22} D. \tag{8}$$

where D is the diameter of the monopile, t_1 is the reference time and can be calculated by

$$t_1 = 29.2 \cdot \frac{D}{\sqrt{2} \cdot u} \cdot \left(\frac{\sqrt{\Delta \cdot g \cdot d_{50}}}{\sqrt{2} \cdot u - u_c}\right)^3 \cdot \left(\frac{D}{d_{50}}\right)^{1.9}. \tag{9}$$

u is the tidal velocity and taken as 0.5 m/s, u_c is the critical shear velocity and taken as 0.37 m/s, g is the acceleration of gravity, d_{50} is grain size of sea sand. The parameter $\Delta = \frac{\rho_s}{\rho_w} - 1$, where ρ_s is density of sand, ρ_w is density of water. Rudolph et al. (2016) provided the sea state and measured the scour depths for the North Sea where the mono-pile N7 is located. The measured scour depth was fitted well for the first five years based on the time-varying scour depth prediction equation shown in Eq. (8). Therefore, the data from the North Sea site can represent a typical ocean environment with time-varying scour and was used for the correlation analysis in this study.

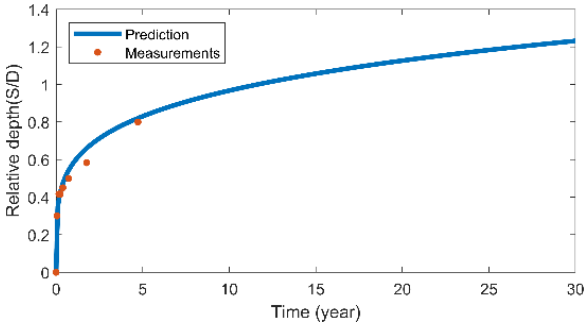

Fig. 5. Time-varying scour depth curve for pile N7 in the North Sea

When conducting analysis with the time-varying scour, an increment of scour depth equal to 0.1D was used. At one particular scour depth, the fatigue damages were calculated and then the total fatigue damages during a longer period with a changing scour depth can be obtained by damage accumulation. According to the specification

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of DNV, the maximum depth of a local scour pit formed around a pile foundation is 1.3 times the diameter of the pile. Therefore, it is assumed that the local scour pit has a maximum scour depth of 1.3D at which the scour process achieves equilibrium.

4.2 Scour influence on natural frequencies

The scouring of the soil around the monopile has an important effect on the natural frequencies of the OWT. For different scour depth, the first natural frequencies obtained the by the models in ABAQUS and MATLAB are compared in Fig. 6. It shows the increase in the scour depth leads to a decrease in the first natural frequency of the OWT. The first natural frequency is 0.265 Hz when no scour occurs, and the natural frequency is reduced to 0.248 Hz when the depth of the scour pit reaches the maximum depth. The first natural frequency is reduced by 6.18% due to the maximum scour depth. It shows that the natural frequency nearly monotonically decreases with the increase of the scour depth. The installation of TMD also influences the natural frequency of the OWT main structure. The TMD with a mass ratio of 1% makes the first natural frequency of the OWT main structure reduces to 0.251 Hz when no scouring occurs, meaning that the natural frequency is reduced by 5.4%.

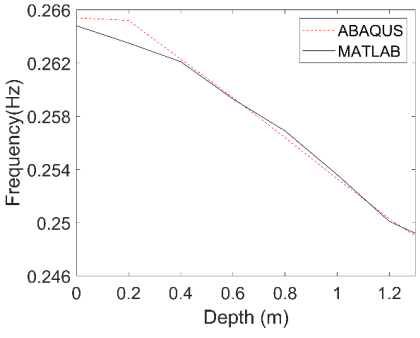

Fig. 6. Relationship between wind turbine natural frequency and scour depth

4.3 Dynamic response analysis

When the OWT is under the 9th environmental state which corresponds to the rated wind speed of 12 m/s, a comparison for the tower top displacements is made for LC 1, LC 3, LC 4 and LC 6, as shown in Fig. 7. These displacements are obtained from the FE model in MATLAB described in Subsection 2.1. By comparing the displacements from 300 seconds to 420 seconds for LC 1 and LC 4, it can be found that the displacement amplitudes of the tower top decreases slightly by about 7% when the

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TMD is installed. It is known that the aerodynamic damping is large when the OWT is operating under the rated wind speed, so it is normal that the vibration mitigation effect of the TMD is less significant in this case. The effect of the TMD is more prominent for other operating conditions with less aerodynamic damping. Moreover, by comparing the displacement responses for LC 1 and LC 3, it can be found that the average of the displacement at the tower top increases by about 8% when the scour depth reaches 1.3D. This is because scour makes the OWT support structure become more flexible.

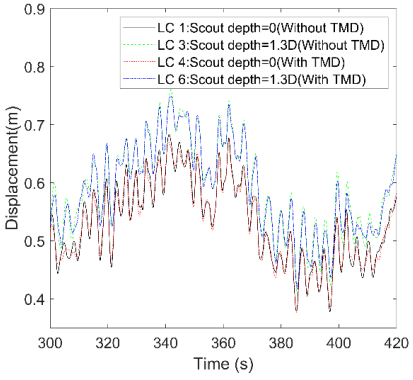

Fig. 7. Dynamic response of wind turbine under wind-wave coupled loads for four operating conditions

4.4 **Fatigue calculation results**

In Subsection 2.4, it is mentioned that in the process of fatigue life analysis, the 4-DOF simplified modal model was used to greatly save the calculation time. The accuracy test of the 4-DOF modal model in generating dynamic responses is first present in this subsection. Under the turbulent wind field with a turbulence intensity of 11.9% and an average wind speed of 12 m/s, the FE model and the 4-DOF simplified modal model were used to calculate the stress responses at the mudline for 10 minutes.

As shown in Fig. 8, the stress responses from these two models are very close, confirming good accuracy of the 4-DOF modal model. Moreover, the calculation time of the 4-DOF simplified modal model is only about 1/55 of that of the FE model, which shows that the 4-DOF simplified modal model is adequate to replace the FE model when conducting fatigue life prediction.

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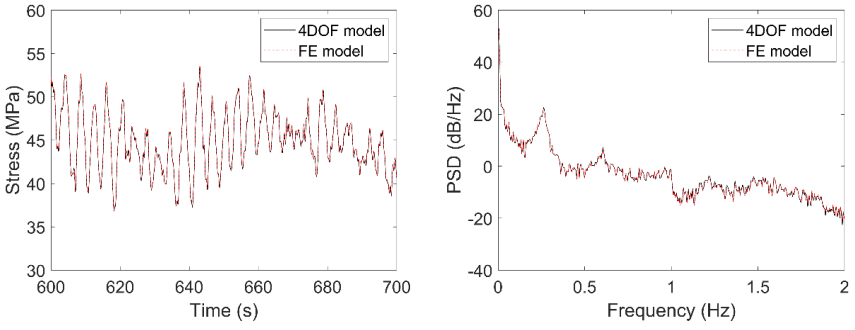

(a) (b)

Fig. 8. Comparison of stresses at the mudline from the FE model and the 4-DOF model in time domain (a) and frequency domain (b)

The 4-DOF simplified modal model was used to conduct fatigue life prediction for the OWT support structure under LC 1 to LC 6. For a particular set of mean wind speed, wave period and wave height, six different random seed numbers were used to generate different wind fields and wave profiles to reduce the influence of randomness. A 10 min simulation for each random seed was conducted to obtain the stress time histories at the mudline. The location of the hotspot used to evaluate fatigue damage is selected at the point where maximum tensile stress reaches, and this point is in the support structure cross section at the mudline. Although the location in the monopile where the moment reaches its maximum value can be below the mudline, the location at the mudline was picked for simplicity. Further, as the SSI is modelled in the FE model by an equivalent soil stiffness matrix, it is unstraightforward to obtain the internal forces at the cross sections below the mudline. Given the stress time series at the selected hotspot, the corresponding fatigue damage was calculated. Then the fatigue damage for the set of mean wind speed, wave period and wave height in 10 min was obtained by averaging the fatigue damages for all the six random seeds. For all the 22 environment states, the 10 min fatigue damages were calculated, and the fatigue life was predicted according to Palmgren-Miner sum rule by combing these calculated fatigue damages and the occurrence probabilities of the environmental states.

For different scour depths, the fatigue life of the OWT considering both operating and parked conditions was predicted with or without TMD installation, and the results are shown in Fig. 9. It is shown that an increase in scour depth leads to a decrease in

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fatigue life, and an increasing fatigue life reduction rate can be observed when the scour depth increases. When no scour occurs and the TMD is not installed on the OWT, the OWT's fatigue life is 59.3 years, and the fatigue life drops to 45.0 years when considering the maximum scour depth of 1.3 D. There exist some uncertainties in the fatigue life prediction process due to the generation of random wind field and wave profile. It should be noted that the predicted fatigue life is much longer than the normally adopted OWTs' design fatigue life of 25-30 years. This can be explained by the following reasons. First, the maximum moment of the OWT support structure is not at the cross section at the mudline where the selected hotspot is located. Second, the complex wind and wave directionality during the OWT's lifetime is simplified, which would influence the fatigue calculation result. Third, many other operation conditions such as starting up, shutting down phases are not considered in this study, which can also have an impact on the fatigue damage accumulation. Moreover, the installation of the TMD greatly extends about 50% of the OWT support structure's fatigue life.

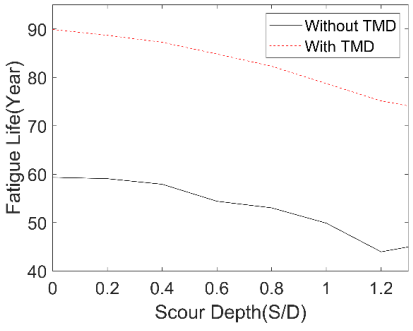

Fig. 9. Frequency life of wind turbine with different scour depths

The fatigue life prediction results of the OWT were obtained for all the six LCs, as shown in Fig. 10. The fatigue life from the reference case LC 1, 59.3 years, is regarded as the reference fatigue life. It shows that the fatigue life decreases by 14.4 years, or about 26%, when the scour depth is set as the maximum value of 1.3D without applying the TMD, compared to the reference fatigue life. When considering the time-varying scour, the fatigue life decreases by about 22% from the reference value. When comparing the results for LC 1 and LC 4, it shows the installation of the TMD results in a significant increase in the fatigue life of the OWT, with an increase in fatigue life of about 34.6 years, which about 62%. In LCs with the TMD installed, the fatigue life in LC 6 decreases by about 18% when the scour depth reaches 1.3 D, compared to the

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result in LC 4. But the fatigue life in LC 6 is still 1.25 times of the reference fatigue life, which indicates that the imposition of TMD can effectively increase the fatigue life of the OWT by reducing vibration amplitudes.

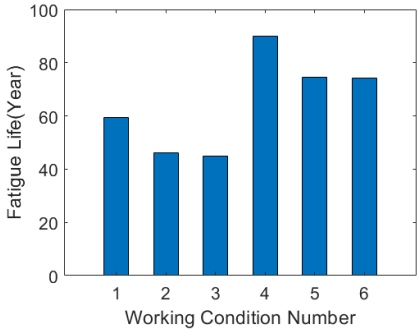

Fig. 10. Fatigue life of the wind turbine under six operating conditions

4.5 **Fatigue calculation with optimized TMD**

To compare the optimization effect and speed up the optimal parameter search process, the mass ratio of TMD is first kept as 1%. Before the optimization, the parameter ranges of the frequency ratio and damping ratio need to be defined. The optimal frequency of the TMD is usually close to the resonance frequency of the main structure, so the range of the frequency ratio was chosen to be from 0.8 to 1.1 for optimization. On the other hand, as the optimal damping ratio could vary in a relatively larger range, the range of the damping ratio for optimization was chosen to be from 1% to 30%. The optimization of the TMD was also conducted with the mass ratio not fixed. A range of the mass ratio from 0.01 to 0.1 was used to optimize the TMD so that the influence of the mass ratio can be evaluated.

Table 6. Optimization of TMD parameters

Optimization method	Mass ratio range	Time-varying scour	Optimal mass ratio	Optimal frequency ratio	Optimal damping ratio	Fatigue life（Year）
Initial	0.01	Use	0.01	0.99	0.061	74.6
FOT	0.01	Use	0.01	0.89	0.035	80.0
FOT	0.01-0.1	Use	0.094	0.94	0.136	121.8

The optimal parameters obtained by FOT as well as the predicted fatigue life are listed in Table 6. The fatigue life for LC 5 and the parameters of the initially designed

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TMD are also shown in Table 6 for comparison. It shows that when the mass ratio is fixed at 1%, the optimal frequency ratio is 0.89, the optimal damping ratio is 3.5%, and the final fatigue life is 80 years. Compared to the fatigue life with initially optimized TMD using the traditional method without considering scour, the fatigue life is increased by 5.4 years or about 7.2%. It indicates that the design of the TMD considering time-varying scour depth is more beneficial to minimize fatigue damages. When the mass ratio range is taken from 1% to 10%, the optimal mass ratio of the TMD is 9.4%, the frequency ratio is 0.94, the damping ratio is 8.2%, and the final fatigue life is 121.8 years. In this case, the fatigue life of the OWT is significantly increased mainly due to the large mass ratio. However, in practice, it might be uneconomic to implement a TMD with such a large mass ratio.

5 Conclusions

This study establishes a rapid numerical model which can consider the effect of scour and installation of a TMD. The established model was used to investigate the influence of scouring and the installed passive structural control device on the OWT's natural frequencies and fatigue life. An optimization technique was also developed to find optimal parameters of the TMD considering time-varying scour. It shows that the vibration amplitude of the OWT can be effectively reduced by the TMD. Results show the vibration amplitude of the tower top decreases by about 7%. On the other hand, when the scour depth reaches 1.3D, the wind turbine support structure becomes more flexible, with the displacement of the tower top increased by about 8% without TMD.

In addition, the fatigue calculation results show that installation of the TMD significantly extends the fatigue life of the OWT, but scour can cause a reduced performance of the TMD. It is found that when the initially designed TMD does consider scour and the scour-induced natural frequency reduction during the OWT's lifetime, its performance is not as good as the TMD optimized by the developed FOT in terms of fatigue life enhancement. Given a mass ratio of 1%, the fatigue life can be extended by 7.2% with the TMD optimized by FOT. This is because FOT can consider the effect of time-varying scour. This study only performs the analysis with scour, but other factors such as soil degradation can also alter the dynamic characteristics of OWTs and thus have some influence on structural control devices' performance and fatigue life evaluation. Additionally, during OWTs' lifetime, the properties of installed TMDs can also

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498 change, making the evaluation of TMDs' performance and OWTs' fatigue life more
499 complicated. These factors are worthwhile investigating in the future.

500 6 **Competing interests**

501 The contact author has declared that none of the authors has any competing inter-
502 ests.

503 7 **Acknowledgements**

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