

# A digital twin solution for floating offshore wind turbines validated using a full-scale prototype

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1 **Abstract.** In this work, we implement, verify, and validate a physics-based digital twin solution applied to a floating offshore  
2 wind turbine. The digital twin is validated using measurement data from the full-scale TetraSpar prototype. We focus on the  
3 estimation of the aerodynamic loads, wind speed, and section loads along the tower, with the aim of estimating the fatigue  
4 lifetime of the tower. Our digital twin solution integrates 1) a Kalman filter to estimate the structural states based on a linear  
5 model of the structure and measurements from the turbine, 2) an aerodynamic estimator, and 3) a physics-based virtual sensing  
6 procedure to obtain the loads along the tower. The digital twin relies on a set of measurements that are expected to be available  
7 on any existing wind turbine (power, pitch, rotor speed, and tower acceleration), and motion sensors that are likely to be  
8 standard measurements for a floating platform (inclinometers and GPS sensors). We explore two different pathways to obtain  
9 physics-based models: a suite of dedicated Python tools implemented as part of this work and the OpenFAST linearization  
10 feature. In our final version of the digital twin, we use components from both approaches. We perform different numerical  
11 experiments to verify the individual models of the digital twin. In this simulation realm, we obtain estimated damage equivalent  
12 loads of the tower fore-aft bending moment with an accuracy of approximately 5% to 10%. When comparing the digital twin  
13 estimations with the measurements from the TetraSpar prototype, the errors increased to 10%–15% on average. Overall, the  
14 accuracy of the results is promising and demonstrates the possibility of using digital twin solutions to estimate fatigue loads  
15 on floating offshore wind turbines. A natural continuation of this work would be to implement the monitoring and diagnostics  
16 aspect of the digital twin to inform operation and maintenance decisions. The digital twin solution is provided with examples  
17 as part of an open-source repository.

## 18 1 Introduction

19 The offshore floating wind turbine market is expected to grow in the coming decades as the technology gains in maturity,  
20 with several floating wind turbine prototypes already tested and commissioned, such as the TetraSpar, developed by Sties-  
21 dal Offshore (Stiesdal Offshore, 2022). Operation and maintenance (O&M) costs can account for approximately one-third  
22 of offshore wind farm life cycle expenditures for a fixed-bottom project and are expected to be higher for remote (floating)  
23 projects (Castella, 2020). Reducing the O&M costs is therefore an impactful and effective means to lower the costs of floating  
24 offshore projects. Digital twin solutions are increasingly being used to follow products during their life cycle to assess compo-

25 nent conditions, guide predictive maintenance, and thereby reduce O&M costs. A review of digital twins for power systems is  
26 found in Song et al. (2023). Digital twins often include a virtual sensing component that provides information not measured by  
27 the physical system, and a structural health monitoring component to assess the condition of the system. Virtual sensing tech-  
28 nology is usually achieved using physics-based or data-driven approaches; both approaches relying on measurements from the  
29 physical system to infer and extrapolate information about its current state. Physics-based approaches use a numerical model  
30 of the system, whereas data-driven approaches use either ad hoc algorithms or machine-learning techniques. Machine-learning  
31 approaches can be trained using high-fidelity models or measurements, leading to potentially high accuracies while maintain-  
32 ing low computational time, but their training requirements imply that a technology cannot be readily transferred from one  
33 platform to another. Physics-based models often require low-fidelity models to achieve computational times low enough for  
34 digital twins to run in real time. They nevertheless offer the advantage that they provide tractable and insightful results, and,  
35 they can be applied to a same family of wind turbine concepts because they do not require a training dataset. Currently, there is  
36 no definite case as to which approach can lead to the best digital twin implementation, and it is possible that future approaches  
37 will combine physics-based with data-driven techniques. This work presents the development, verification, and validation of a  
38 physics-based digital twin for floating wind turbines as a proof of concept for future maturation of the technology.

39 Digital twins for wind turbine applications have recently become a topic of research interest. The authors explored the  
40 topic of physics-based digital twins in previous work, in which a method to estimate tower loads on land-based turbines was  
41 developed (Branlard et al., 2020a, b). The approach relied on a Kalman filter model (Kalman, 1960; Zarchan and Musoff,  
42 2015) that combines a linear physics-based model of the structure with measurements from the turbine to perform a virtual  
43 sensing of the tower section loads and estimate the fatigue of this component. The measurement data were taken from the  
44 supervisory control and data acquisition (SCADA) system using sensors readily available on most turbines. The approach used  
45 a mix between an augmented Kalman filter approach (Lourens et al., 2012), where the loads are estimated with the states of the  
46 system, and a physics-based aerodynamic estimator for aerodynamic thrust. Bilbao et al. (2022) used a Gaussian process latent  
47 force model to estimate the forcing of the system and thereby obtain the section loads along the tower. Drivetrains are another  
48 component for which a digital twin has been applied, with physics-based approaches presented in Mehlan et al. (2022, 2023),  
49 and data-driven models presented in Kamel et al. (2023).

50 Despite the recent popularity of the term “digital twin,” the concept is heavily based on the fields of structural health monitor-  
51 ing and load estimations (or more generally, virtual sensing), which have long been topics of research. For instance, Iliopoulos  
52 et al. (2016) used physics-based modal decomposition to estimate the dynamic response on the substructure of a fixed-bottom  
53 wind turbine. Neural networks have been used to establish transfer functions or surrogate models based on SCADA data to  
54 obtain wind turbine loads with the aim of performing conditional monitoring (see, e.g., Cosack (2010); Schröder et al. (2018)).  
55 Kalman filters were introduced in fields other than wind energy to perform load estimation (Auger et al., 2013; Ma and Ho,  
56 2004; Eftekhari Azam et al., 2015; Lourens et al., 2012). Kalman filtering has been extensively used in wind energy to estimate  
57 rotor loads and improve wind turbine control (Boukhezzar and Siguerdidjane, 2011; Selvam et al., 2009; Bottasso and Croce,  
58 2009; Bossanyi, 2003). Load estimations were also achieved using hybrid techniques combining physics based on SCADA  
59 data by Noppe et al. (2016). Other load estimation techniques may be used, such as lookup tables (Mendez Reyes et al., 2019),

60 modal expansion (Iliopoulos et al., 2016), machine learning (Evans et al., 2018), neural networks (Schröder et al., 2018), poly-  
61 nomial chaos expansion (Dimitrov et al., 2018), deconvolution (Jacquelin et al., 2003), or load extrapolation (Ziegler et al.,  
62 2017).

63 In this work, we build on our previous work related to fixed-bottom turbines and present a digital twin solution for floating  
64 wind turbines that relies on physics-based models and a Kalman filter. We apply the digital twin to the TetraSpar structure and  
65 use measurements from the full-scale prototype. Achieving computational efficiency is crucial to be able to run the digital twin  
66 online, therefore, a reduced order model with few selected degrees of freedom is used. Developing digital twins for floating  
67 wind turbines present a set of challenges compared to our previous work on fixed-bottom foundations. The potentially large  
68 motions undergone by the platform may affect the aerodynamics and accelerometer signals. The models developed for fixed-  
69 bottom foundations need to be augmented to be able to predict the aerodynamics when the platform experiences large pitching  
70 motions. The dynamics of the platform motion needs to be well captured for the tower-top accelerometer to be used and for  
71 estimating the loading in the stationkeeping system. In both floating and fixed-bottom wind turbines, hydrodynamic loads need  
72 to be estimated to capture member-level loads in the substructure but they can be omitted as a first approximation if only the  
73 tower loads are estimated, as in this study.

74 In section 2, we provide an overview of our digital concept, the vision for future application, and the TetraSpar prototype  
75 on which the digital twin is applied. In section 3, we present the individual components of the digital twin, and run some  
76 isolated verification studies on them. In section 4, we present results from the digital twin application first using numerical  
77 experiments, then using measurements from the TetraSpar prototype before concluding. To avoid lengthening the main text,  
78 we provide derivations (some of which are important contributions of this work) and additional results in appendices.

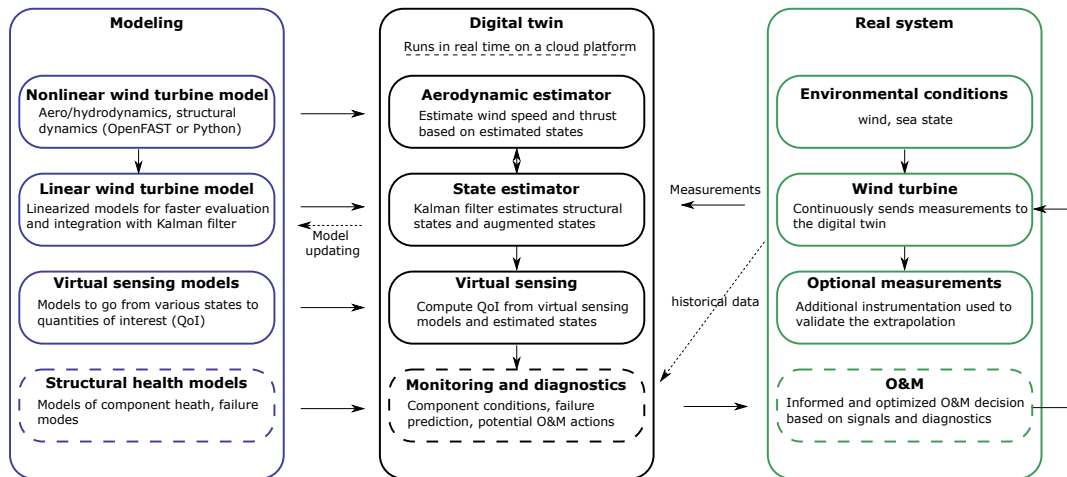
## 79 **2 Overview of the digital twin concept**

80 In this section, we provide an overview of our digital twin concept and how it is applied in this study.

### 81 **2.1 Long-term vision of the digital twin concept**

82 Many definitions and applications of digital twins are possible. The vision for the concept discussed in this work is to follow  
83 the life cycle of a wind turbine in real time and ultimately provide tangible signals to inform O&M decisions. Our goal is to  
84 achieve this by relying only on measurements expected to be available on most wind turbines, thereby avoiding the extra cost  
85 of adding sensors. In this work, we leave open the question as to whether the installation of an additional set of optimally  
86 placed and selected sensors can further improve the predictions of the digital twin, further reducing the long-term O&M costs,  
87 and thereby warranting the additional costs of adding the sensors.

88 We illustrate our approach and vision in Figure 1. The digital twin is intended to run in real time on a cloud platform. It  
89 combines a set of models (on the left of the figure) with data from the real system (on the right) to perform the estimation of  
90 various states and eventually produce diagnostics that can be use to inform the O&M. The data from the real system are taken  
91 from high-frequency measurements from the SCADA system (e.g., power, pitch, rotor speed). The states estimated by the



**Figure 1.** Overview of the digital twin concept. Dashed lines indicate features that are outside the current scope.

92 digital twin include aerodynamic states (wind speed, thrust) and motions of the structure (e.g., surge, pitch, tower deflection).  
 93 The core algorithm in the estimation is a Kalman filter that uses a linear wind turbine model. The estimated states are used in  
 94 a “virtual sensing” step to produce quantities of interests (QoI), such as the loads at key locations of the structure. The QoI are  
 95 then intended to be postprocessed by a monitoring and diagnostic tool to generate the data needed to perform condition-based  
 96 O&M.

## 97 2.2 Narrowed scope

98 The boxes in Figure 1 with dashed-line borders—structural health modeling, monitoring and diagnostics, and O&M decisions—  
 99 are postponed to future work, even though they are essential steps to achieve our final vision. Dashed lines and arrows indicate  
 100 options that may be exploited in the future but are also outside of our scope: the use of historical data to assist in the diagnostics,  
 101 the use of estimates to perform model updating, and real-time implementation.

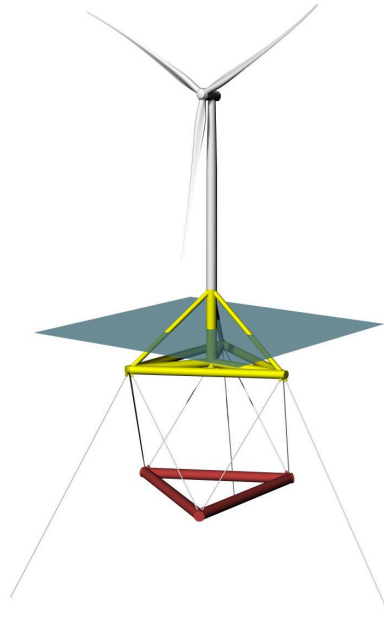
102 This work therefore focuses on the estimation of states and environmental conditions under the assumption that the estimated  
 103 quantities can replace costly measurements and eventually be used for O&M decisions. We intend to provide a proof of concept  
 104 that paves the way for future commercial applications. A detailed description of each of the boxes surrounded with solid lines  
 105 is given in section 3.

## 106 2.3 System studied

### 107 2.3.1 The TetraSpar prototype

108 The system studied for this article is the TetraSpar floating offshore prototype. The system consists of a floating platform  
 109 and stationkeeping system developed by Stiesdal Offshore in collaboration with partners Shell, RWE, and TEPCO Renewable

110 Power, and a 3.6-MW wind turbine with a rotor diameter of 130 m developed by Siemens Gamesa Renewable Energy. A sketch  
of the system is provided in Figure 2. The prototype was installed off the coast of Norway and commissioned in November



**Figure 2.** Sketch of the TetraSpar prototype

111

112 2021. The prototype turbine is equipped with additional sensors (labeled “Optional measurements” in Figure 1), which we use  
113 to validate the estimated QoI.

### 114 **2.3.2 Numerical experiments**

115 Prior to using measurement data, we use simulations (referred to as “numerical experiments”) in place of the real system to  
116 feed data to the digital twin. The advantage of this approach is that the QoI are directly accessible and can be compared to the  
117 estimates for verification purposes.

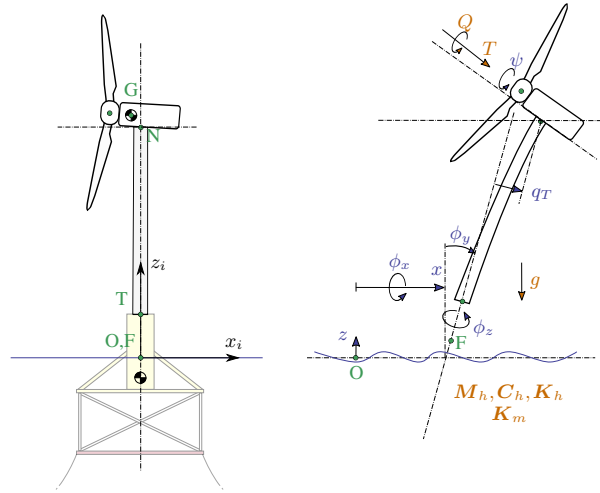
118 Data for the numerical experiments are obtained using OpenFAST simulations (Jonkman et al., 2023). A model of the  
119 TetraSpar floating platform and the wind turbine was implemented in OpenFAST based on data provided by the manufacturers.  
120 All the members of the substructure are modelled using the strip-theory approach (Morison equation) because the inherent  
121 long-wavelength assumption of the strip-theory has been shown to be sufficiently accurate for this structure with relatively  
122 slender members. The OpenFAST model is complemented with NREL’s Reference OpenSource Controller (ROSCO, Abbas  
123 et al. (2021)). The controller parameters are tuned so that OpenFAST simulations match the operating conditions of the turbine  
124 extracted from SCADA data (pitch, rotor speed and power). The nacelle velocity feedback option of ROSCO is used to reduce  
125 the platform pitching motion. Using trial and error, the frequency and damping ratio of the pitch PI-controller are set to

126  $\omega_p = 0.05$  rad/s and  $\zeta_p = 7\%$ , and the values for the torque controller are set  $\omega_Q = 0.15$  rad/s and  $\zeta_Q = 7\%$ . The gain-  
 127 scheduling of the pitch controller are obtained using the tuning feature of ROSCO. We note that the controller is only needed  
 128 to perform verifications of the digital twin with realistic time series of the turbine responses, but the controller itself is not used  
 129 for the design of the digital twin. We use the following modules of OpenFAST (Jonkman et al., 2023): MAP (mooring lines),  
 130 HydroDyn (hydrodynamics), ElastoDyn (tower and blade elasticity; rigid floater), AeroDyn (aerodynamics), InflowWind (wind  
 131 inflow), and ServoDyn (controller interface).

132 For the numerical experiments, we use synthetic turbulent wind fields generated using TurbSim (Jonkman and Buhl, 2006).  
 133 In particular, we often use the same wind field, which we refer to as the “turbulent step,” where a deterministic ramp and drop  
 134 are added to a turbulent field. The advantage of this 10-min wind field is that it covers all the operating regions of the turbine  
 135 in a challenging way because the variations of the wind speed are sudden. The wind speed at hub height for the turbulent step  
 136 can be seen in Figure 6.

### 137 2.3.3 Main aspects of the structural model

We model the structure using a set of 8 degrees of freedom (DOF), as illustrated in Figure 3. The platform is represented as a



**Figure 3.** Notations for the structural modeling of the floating wind turbine, assuming no yawing of the nacelle. Left: main points ( $F, T, N, O, G$ ) and inertial coordinate system ( $i$ ). Right: degrees of freedom ( $x, y, z, \phi_x, \phi_y, \phi_z, q_t, \psi$ ) and main loads: aerodynamics ( $T, Q$ ), hydrodynamics ( $6 \times 6$  mass and damping and stiffness matrices,  $M_h, C_h, K_h$ ; wave excitation force neglected), mooring ( $6 \times 6$  stiffness matrix,  $K_m$ ), and gravity ( $g$ ).

138  
 139 rigid body, and its motion is described using 6 DOF: surge, sway, heave, roll, pitch, and yaw, respectively noted  $x, y, z, \phi_x, \phi_y,$   
 140 and  $\phi_z$ . The tower bending in the fore-aft direction is represented using 1 generalized DOF,  $q_t$ , associated with a Rayleigh-Ritz  
 141 shape function, taken as the first fore-aft mode shape of the tower (Branlard, 2019). **The side-side tower bending can be added**  
 142 **in a similar way, but for simplicity, it was not considered in this study.** The shape function along the tower height,  $z_t$ , is written

143 as  $\Phi(z_t)$ , with  $\Phi(0) = 0$  at the tower bottom, and  $\Phi(L_T) = 1$  at the tower top, where  $L_T$  is the tower length. The shaft rotation  
144 is noted  $\psi$ , so that the rotation speed of the rotor is  $\dot{\psi}$ , where the dot notation indicates differentiation with respect to time. The  
145 rotor-nacelle assembly is modeled as a rigid body. The full vector of DOF is therefore  $\mathbf{q} = [x, y, z, \phi_x, \phi_y, \phi_z, q_t, \psi]$ . The  
146 equations of motion will be recast into a first-order form by concatenating the vector of DOF and its time derivative,  $\mathbf{x} = [\mathbf{q}, \dot{\mathbf{q}}]$ .  
147 The selected set of DOF capture the first-order effects as it is the minimal set required to capture the full motion of the floater  
148 (necessary to compute restoring loads and tower loads), the tower flexibility (necessary to capture tower loads) and the rotor  
149 motion (necessary to capture the aerodynamics). Additional degrees of freedom could be considered to increase the modeling  
150 accuracy, in particular to include floater flexibility for internal calculation of substructure member loads. This would increase  
151 the computational requirement and only contribute to second-order effects, and it is therefore postponed to future work.

152 In this work, we perform simplifying assumptions, e.g., neglecting the influence of nacelle yaw on the system. The measure-  
153 ment data are conveniently provided in the fore-aft and side-side system of the nacelle. The main assumption is therefore that  
154 we assume a rotational symmetry of the platform and mooring system about the yaw axis. We intend to lift this assumption  
155 in future work. Some of the consequences of this assumption is that we do not capture changes of inertial properties due to  
156 asymmetry of the support structure and changes of stiffness of the mooring system. In the case of the TetraSpar, the mass  
157 matrix of the floater does not vary significantly with the yawing of the coordinate system, and the assumption is fair. We note  
158 that if the structure had perfect 120 deg symmetry about the yaw axis, then its inertia would be invariant by yaw rotation. For  
159 the restoring stiffness of the mooring system, the diagonal terms do not vary significantly as the coordinate system yaws, but  
160 some of the coupling terms vary by 50% to 200%. The couplings between the platform DOF are likely wrongly estimated  
161 under the rotational symmetry assumption. The impact is nevertheless limited because most of the platform DOF ( $x, y, \phi_x$ , and  
162  $\phi_y$ ) are measured and therefore observable by the Kalman filter.

### 163 **3 Individual components of the digital twin**

164 In this section, we describe and verify the individual components of the digital twin presented in Figure 1. In section 4, we  
165 present applications of the digital twin where all the individual components are combined together.

#### 166 **3.1 Wind turbine measurements**

167 The measurements used as inputs to the digital twin are listed in Table 1. These outputs are stored in a database at a sampling  
168 rate of 25 Hz. We expect these measurements to be standard sensors for any floating wind turbine. The TetraSpar prototype is  
169 equipped with additional measurements that are used to validate the implementation of the digital twin (see section 4).

**Table 1.** Measurements used as inputs to the digital twin.

Signal	Symbol
Collective blade pitch angle	$\theta_p$
Rotor speed	$\dot{\psi}$
Generator torque*	$Q_g$
Platform surge and sway	$x, y$
Platform roll and pitch	$\phi_x, \phi_y$
Nacelle accelerations	$\ddot{\mathbf{r}}_N$

\* Obtained from the power measurement using Equation 2.

## 170 3.2 Nonlinear wind turbine models

### 171 3.2.1 Overview

172 Similar to our previous work (Branlard et al., 2020b), we use two different pathways to obtain nonlinear and linear models  
 173 of floating wind turbines: OpenFAST and WELIB (Wind Energy LIBrary, Branlard (2023b)). The OpenFAST approach was  
 174 described in subsection 2.3.2, it is compared to the WELIB approach in Table 2 and the WELIB toolset is further discussed  
 175 below. In the next sections, we will show that the results from both approaches are consistent with each other so that either of  
 176 the two can be used to obtain nonlinear and linear reduced order models. Ultimately, in section 4, a mix of the two approaches  
 177 is used for the digital twin: linear OpenFAST models for the state-space equations (subsection 3.5) and WELIB for the virtual  
 sensing step (subsection 3.6).

**Table 2.** Approaches and tools used to obtain nonlinear and linear models.

Approach	Tool	Usage	Formulation & Linearization
① OpenFAST	OpenFAST (ElastoDyn, HydroDyn, MAP, AeroDyn)	Structural model Hydrodynamics Moorings Aerodynamics Virtual sensing	Numerical and analytical
② WELIB (Python tools)	YAMS	Structural model Virtual sensing	Analytical
	pHydroDyn	Hydrodynamics	Numerical
	pyMAP	Moorings	Numerical

178

### 179 3.2.2 WELIB tools

180 The WELIB approach consists of a set of dedicated open-source Python tools that are similar to the ElastoDyn, HydroDyn  
 181 and MAP modules of OpenFAST. We developed these tools to offer additional modularity and granularity: the tools can be



182 called individually or together; their states, inputs and outputs can be accessed and manipulated at each time step; and the  
183 Python scripting eases the manipulation of the models. For instance, this allows for: 1) analytical linearization of the structural  
184 dynamics, 2) simple linearization of the hydrodynamics (obtention of  $6 \times 6$  matrices), 3) linearization of hydrodynamics with  
185 respect to wave elevation, 4) linearization with respect to parameters (Jonkman et al., 2022), and 5) interactive time-stepping of  
186 the linear and nonlinear model. In this work, we mostly use the first two features listed above and their usage will be described  
187 in subsection 3.3.2. Results from time-stepping simulations will be presented in subsection 3.3.3. We expect to exploit  
188 the additional features of WELIB in future digital-twin implementations. For this work, we implemented the following tools  
189 in WELIB: 1) YAMS, a symbolic structural dynamics package to obtain the equations of motion of an assembly of rigid and  
190 flexible bodies analytically, and allow for their analytical linearization (Branlard and Geisler, 2022); 2) pHydroDyn, a Python  
191 version of the module HydroDyn (with a subset of HydroDyn’s functionality) to determine the hydrodynamic loads; and 3)  
192 pyMAP, a wrapper around the MAP module of OpenFAST, to obtain the mooring quasi-statics. With these three additions, it is  
193 possible to perform nonlinear simulations of floating wind turbines using WELIB and perform comparisons with OpenFAST.

### 194 **3.2.3 Differences between the two nonlinear approaches**

195 Currently, no controller or aerodynamic module is present in WELIB. Therefore, nonlinear timestepping simulations with  
196 WELIB are limited to free-decay simulations or prescribed loads. Another shortcoming is that WELIB does not cover the full  
197 range of options available with OpenFAST, which is a continuously evolving, extensively verified and validated tool. Such  
198 options include the potential flow representation of hydrodynamic bodies, the flexibility of the floating structure, aerodynamic  
199 and control features. One benefit of WELIB over OpenFAST is the possibility to perform interactive time-stepping, that is,  
200 to change the states and inputs dynamically during the simulation. We do not use this approach in this work, but it can be  
201 considered for nonlinear digital twin applications, for instance, using an extended Kalman filter algorithm. Another benefit is  
202 the possibility to obtain analytical linear models of the structure, which avoids using finite-differences and therefore reduces the  
203 associated numerical errors. In the WELIB approach, the individual modules are linearized separately before being combined  
204 into the final linear model, and it is therefore easier to understand where each term in the Jacobians of the linear models  
205 comes from, and thereby, gain physical intuitiveness on the model. Ultimately, the linear models obtained by both approaches  
206 are similar and differ mostly based on differences in the structural dynamics equations and the implementation of rotational  
207 transformation matrices. Results comparing time simulations using both approaches will be presented in subsection 3.3.

### 208 **3.3 Linear wind turbine models**

209 As part of our digital twin concept, we have chosen to use linear wind turbine models and a Kalman filter for the core of the  
210 state estimation (see subsection 3.5). Nonlinear models and an extended Kalman filter could be considered in future iterations.  
211 In this section, we describe how the linear models from OpenFAST and WELIB are obtained.

### 212 3.3.1 OpenFAST linearization

213 OpenFAST can provide full-system linearization of its underlying nonlinear models by using a mix of analytically and finite-  
214 difference-derived Jacobians (Jonkman and Jonkman, 2016; Jonkman et al., 2018). The linearization process provides the  
215 state-space model ( $\delta\dot{\mathbf{x}} = \mathbf{A}\delta\mathbf{x} + \mathbf{B}\delta\mathbf{u}$ ) and output equation ( $\delta\mathbf{y} = \mathbf{C}\delta\mathbf{x} + \mathbf{D}\delta\mathbf{u}$ ) for small perturbations (indicated with  $\delta$ ) of  
216 the internal states ( $\mathbf{x}$ ), inputs ( $\mathbf{u}$ ), and outputs ( $\mathbf{y}$ ) of OpenFAST, around a selected operating point. OpenFAST provides the  
217 linear model for the entire set of states, inputs, and outputs present in the model (including virtual sensor-type outputs typically  
218 written to an output file and not used internally). In this work, we extract subsets of the  $\mathbf{A}$ ,  $\mathbf{B}$ ,  $\mathbf{C}$ , and  $\mathbf{D}$  matrices and combine  
219 them to form the linear model of the state estimator (see subsection 3.5).

### 220 3.3.2 WELIB linearization

221 WELIB performs the linearization of the structure, hydrodynamics, and moorings independently before combining them into  
222 one model. The aerodynamic loads are not linearized because a dedicated aerodynamic estimator is used in this work (see  
223 subsection 3.4). The steps are as follows:

- 224 – The structural equations are linearized analytically using our symbolic framework (Branlard and Geisler, 2022). We  
225 introduced a notion of “augmented inputs” to linearize the equations of motion without an explicit knowledge of the  
226 external forces. The process is described in Appendix A.
- 227 – We compute the  $6 \times 6$  linearized rigid-body hydrodynamics matrices (mass matrix  $\mathbf{M}_h$ , damping matrix  $\mathbf{C}_h$ , and stiffness  
228 matrix  $\mathbf{K}_h$ ) corresponding to the six rigid-body motions of the platform. At the time of this study, these matrices  
229 could not be obtained directly from OpenFAST. While working on this issue, we ended up devising multiple ways  
230 to obtain them. They can now be obtained using: 1) full-system linearization of the HydroDyn module, 2) the Python  
231 implementation of the HydroDyn module by performing rigid-body perturbations of the full platform, or 3) an upgraded  
232 version of the OpenFAST HydroDyn driver that also uses rigid-body perturbations. The first approach uses baseline  
233 OpenFAST functionalities but requires additional postprocessing scripts and derivations. The full-system linearization  
234 of OpenFAST provides Jacobians of the hydrodynamic loads as a function of motions of the individual hydrodynamic  
235 analysis nodes (of which models often have hundreds to thousands of). To transfer these individual Jacobians to the  
236 reference point and obtain the  $6 \times 6$  matrices, we developed and used the method presented in Appendix B. The process  
237 is involved and prone to errors. In comparison, the second and third approaches are straightforward to implement and  
238 are several orders of magnitude faster. The Python version was implemented first and then ported over to Fortran so that  
239 it can be readily available to the OpenFAST community. The consistency between the different approaches was verified,  
240 and because of its ease of use, the second approach is retained in this study. We note that in this study, all members are  
241 modeled using the Morison equation and the hydrodynamic drag is set to zero during the linearization process. There is  
242 therefore no frequency-dependent damping, and the effect of hydrodynamic drag is assumed to be part of the modeling  
243 uncertainty of the state estimator (see subsection 3.5).

- 244 – The linearized  $6 \times 6$  mooring stiffness matrix,  $\mathbf{K}_m$ , is obtained by calling the linearization feature of the MAP module,  
 245 and transferring the Jacobian to the reference point using the method outlined in Appendix B.
- 246 – The linearized equations of motion are assembled as:

$$247 \quad [\mathbf{M}_0 + \mathbf{Q}_0 \mathbf{M}_h] \delta \ddot{\mathbf{q}} + [\mathbf{C}_0 + \mathbf{Q}_0 \mathbf{C}_h] \delta \dot{\mathbf{q}} + [\mathbf{K}_0 + \mathbf{Q}_0 (\mathbf{K}_h + \mathbf{K}_m)] \delta \mathbf{q} = \delta \mathbf{f}_a + \delta \mathbf{f}_h \quad (1)$$

248 where the matrices with subscript 0 originate from the linearization of the structure (see Appendix A). The matrix  $\mathbf{Q}_0$ ,  
 249 of dimension  $8 \times 6$ , maps the subset of the 6 rigid-body platform DOF ( $x, y, z, \phi_x, \phi_y, \phi_z$ ), used for the definitions of  
 250  $\mathbf{M}_h, \mathbf{C}_h, \mathbf{K}_h$  and  $\mathbf{K}_m$ , to the full vector of DOF,  $\mathbf{q}$ . The term  $\delta \mathbf{f}_a$  is an approximation of the aerodynamic loads and will  
 251 be discussed in subsection 3.4. The term  $\delta \mathbf{f}_h$  is an approximation of the hydrodynamic wave-excitation loads. In this  
 252 work,  $\delta \mathbf{f}_h$  is mapped into the inherent model noise of the Kalman filter (see subsection 3.5). Assuming that the loading  
 253 is part of the model noise is a crude approximation that is expected to be fair as long as the loading has a zero mean  
 254 value, which is expected to be the case for the wave loading, but not for the wind or current loading (here omitted). This  
 255 modeling choice is not very influential in this work because the motions of the platform measured by the inclinometers  
 256 and GPS sensors inherently carry information about the wave loading. Improvements could be obtained by including  
 257 a model for the wave-excitation loads, and further, limiting the wave load signal such that it remains within a certain  
 258 frequency band.

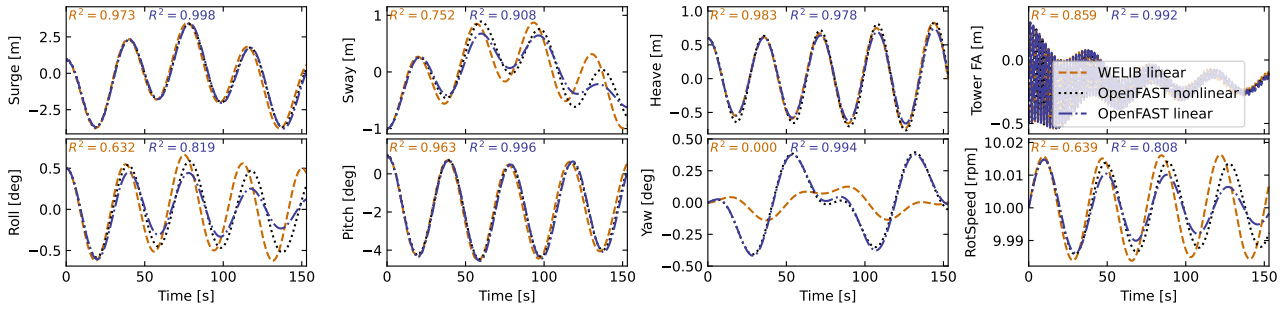
259 For instance, we could introduce a hydrodynamic state analog to the wave elevation or a set of states that scales different  
 260 hydrodynamic shape functions so that the hydrodynamic load can be obtained as a linear superposition of scaled shape  
 261 functions. In our application (tower section loads), such modeling did not appear necessary, but it will be considered in  
 262 future work as it can be relevant to estimate substructure loads.

- 263 – We recast Equation 1 into a first-order system to obtain the state matrix  $\mathbf{A}$ .

### 264 3.3.3 Verification of the linear models

265 In this section, we compare results from the OpenFAST nonlinear model, the OpenFAST linear model, and the WELIB linear  
 266 model for free-decay simulations of the TetraSpar structure. Free-decay simulations are sufficient because wave and aerody-  
 267 namic loads are purposely not included in the linear models used by the digital twin. The OpenFAST linear model is obtained  
 268 about the operating point defined by  $\mathbf{q}_0 = \mathbf{0}$  and  $\dot{\psi}_0 = 10$  rpm. All models (including the OpenFAST nonlinear model) use  
 269 8 DOF. The initial conditions are set to  $\mathbf{q} = [1, -1, 0.6, 0.5, 0.5, 0, -0.2, 0]$  (in m and deg) and  $\dot{\psi} = 10$  rpm, after which the  
 270 structure is free to move.

271 First, simulation without hydrodynamics (structure only) are considered, to isolate and verify the structural-dynamics part  
 272 of the models. The time responses from the linear and nonlinear models are in strong agreement when only the structure is  
 273 considered (see results in Appendix C). Then, we consider results for a model that includes hydrodynamics but without wind or  
 274 external waves (still water). We set the hydrodynamic drag to zero due to the difficulty in linearizing this term and let the state  
 275 estimator account for this modeling uncertainty. Results of the free-decay simulation are given in Figure 4 for a time period



**Figure 4.** Free decay of the structure using nonlinear and linear models for a case including moorings and hydrodynamics (still water). Time series of the main DOF.

276 of 153 s corresponding to the surge frequency. When hydrodynamics is included, the time responses from the linear models  
 277 are in strong agreement with the nonlinear OpenFAST results for the surge, heave, pitch, and tower fore-aft DOF. The sway,  
 278 roll, and rotor speed responses tend to drift as the simulation time advances, which we assume can be attributed to inherent  
 279 differences between linear and nonlinear models. The coefficient of determination ( $R^2$ ) is indicated in Figure 4, comparing the  
 280 linear models to the reference OpenFAST simulations for each response. In all cases, the OpenFAST linear model is closer to  
 281 the nonlinear OpenFAST model than the WELIB model. The consistency between the linear and nonlinear OpenFAST model  
 282 is expected because they are obtained from the same code base. The WELIB linear model had difficulty capturing the yaw  
 283 response. We believe that some of the error in the yaw signal is due to differences between the formulations of the three-  
 284 dimensional rotations in OpenFAST and WELIB. The difference in yaw, results in a difference of coupling between the DOF,  
 285 which can explain the differences observed in the sway, roll and rotor speed signals.

286 To further quantify the differences between the models, we compare the natural frequencies obtained using the OpenFAST  
 linear and WELIB linear models in Table 3. Overall, the frequencies between the two linear formulations agree very well (less

**Table 3.** Comparison of system frequencies obtained using the WELIB and OpenFAST linear models with and without hydrodynamics (no added mass, damping, hydrostatics, or wave excitation)

Mode	Structure + mooring			Structure + mooring + hydrodynamics		
	OpenFAST [Hz]	WELIB [Hz]	Rel. Err [%]	OpenFAST [Hz]	WELIB [Hz]	Rel. Err [%]
Surge	0.0088	0.0088	-0.2	0.0067	0.0065	-2.4
Sway	0.0088	0.0088	-0.1	0.0067	0.0068	0.7
Yaw	0.0163	0.0162	-1.0	0.0128	0.0128	-0.3
Pitch	0.0879	0.0886	0.7	0.0253	0.0257	1.6
Roll	0.0894	0.0902	0.9	0.0256	0.0266	4.0
Heave	NA	NA	NA	0.0276	0.0276	-0.2
Tower FA	0.5782	0.5789	0.1	0.5129	0.5145	0.3

288 than 2.5% relative error), except for the roll frequencies (4% error) with hydrodynamics. Given the results of this section, we  
 289 will continue this study using the OpenFAST linear model. We expect that continuous development of WELIB will further  
 290 narrow the gap with OpenFAST in the future.

### 291 3.4 Aerodynamic estimator

292 In subsection 3.3, we indicated that the linear models were derived without accounting for aerodynamics. Instead, we choose  
 293 to include the aerodynamic contribution separately within the digital twin. The reason for this choice is that the determination  
 294 of the aerodynamic loads is essential to capturing the main loading and deflections of the structure, in particular the tower, and  
 295 the aerodynamic loads vary significantly over the range of operating conditions. Therefore, separating this contribution limits  
 296 the need to obtain different linearized models for different operating conditions. We have successfully applied this approach  
 297 in the past (Branlard et al., 2020a). In this work, we extend this approach to accommodate the floating wind application. The  
 298 different elements of the aerodynamic estimator consist of a torque estimator, aerodynamic maps, and a wind speed estimator.

#### 299 3.4.1 Kalman filter for torque estimation

300 We assume that the power and rotor speed are reliable measurement signals, and we further assume that the generator torque  
 301 (relative to the low-speed shaft) can be inferred from the power signal as:

$$302 \quad Q_g = \frac{P}{\dot{\psi}} \frac{1}{n\eta_{DT}(\dot{\psi})} \quad (2)$$

303 where  $\eta_{DT}$  is the drivetrain (gearbox and generator) efficiency and  $n$  is the gear ratio. For the TetraSpar,  $n = 1$ , and we assume  
 304  $\eta_{DT} = 1$ . The dynamics equation of the drivetrain is modeled as:

$$305 \quad J_{DT}\ddot{\psi} = Q - Q_g \quad (3)$$

306 where  $J_{DT}$  is the inertia of the drivetrain about the shaft axis. If we assume that the generator torque is a measurement, then an  
 307 augmented Kalman filter (Lourens et al., 2012) can be used to estimate the aerodynamic torque  $Q$ , using the following state  
 308 equation:

$$309 \quad \begin{bmatrix} \dot{\psi} \\ \ddot{\psi} \\ \dot{Q} \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & \frac{1}{J_{DT}} \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \psi \\ \dot{\psi} \\ Q \end{bmatrix} + \begin{bmatrix} 0 \\ -\frac{1}{J_{DT}} \\ 0 \end{bmatrix} Q_g \quad (4)$$

310 A random walk approach is used for the evolution of the torque, that is,  $\dot{Q} = 0$ , and the Kalman filter adds further model noise  
 311 to this equation. The measurement equation of the Kalman filter is:

$$312 \quad \begin{bmatrix} \dot{\psi} \\ Q_g \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \psi \\ \dot{\psi} \\ Q \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} Q_g \quad (5)$$

313 In the following, we write  $\hat{Q}$ , the aerodynamic torque obtained using the method outlined above. We present verification results  
 314 in subsection 3.4.4.

### 315 3.4.2 Aerodynamic maps

316 It is commonly accepted that the aerodynamic performance of a wind turbine mostly depends on the tip-speed ratio and the  
 317 pitch angle of the blade. With compliant structures, the bending of the blade, the bending of the tower, and the motions of the  
 318 floating platform (in particular, the platform pitch) will also affect the aerodynamic performance. These motions are to a large  
 319 extent a function of the mean wind speed. Therefore, we recommend tabulating the aerodynamic performance as a function  
 320 of wind speed ( $U$ ), rotor speed ( $\dot{\psi}$ ), blade pitch ( $\theta_p$ ), and platform pitch ( $\phi_y$ , assumed to be in the fore-aft direction). The  
 321 power and thrust coefficients, respectively noted  $C_P$  and  $C_T$ , are precomputed using aeroelastic simulations in OpenFAST for  
 322 a discrete set of values of the four input parameters. In the simulations, the blade and tower elasticity are accounted for. To  
 323 limit the number of simulations, only the points that are within reasonable proximity of the regular operating conditions of the  
 324 wind turbine are computed. The 4D aerodynamic maps are precomputed as follows:

$$325 C_P(U, \dot{\psi}, \theta_p, \phi_y), \quad C_T(U, \dot{\psi}, \theta_p, \phi_y) \quad (6)$$

$$326 U \in \{2, 3, \dots, 25\} \text{ m.s}^{-1}, \quad \dot{\psi} \in \{5, 5.5, \dots, 18\} \text{ rpm}, \quad (7)$$

$$327 \theta_p \in \{-1, 0, \dots, 30\} \text{ deg}, \quad \phi_y \in \{-10, 0, 15\} \text{ deg} \quad (8)$$

328 The precomputed values are stored in a database.

### 329 3.4.3 Wind speed estimation

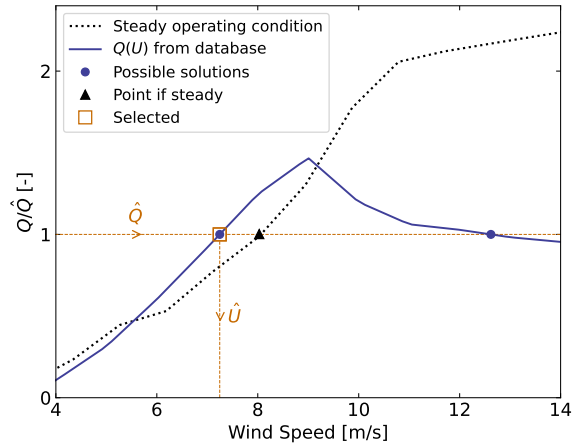
330 The digital twin uses the aerodynamic map database to estimate the wind speed and aerodynamic thrust. For a given air density  
 331 ( $\rho$ ), rotor radius ( $R$ ), and measurements  $\tilde{\psi}$ ,  $\tilde{\theta}_p$ ,  $\tilde{\phi}_y$ , the aerodynamic torque and thrust are readily obtained as a function of  
 332 wind speed from the database:

$$333 Q(U) = \frac{1}{2} \rho \frac{U^3}{\dot{\psi}} \pi R^2 C_P(U, \tilde{\psi}, \tilde{\theta}_p, \tilde{\phi}_y), \quad T(U) = \frac{1}{2} \rho U^2 \pi R^2 C_T(U, \tilde{\psi}, \tilde{\theta}_p, \tilde{\phi}_y) \quad (9)$$

334 where SI units are assumed for all variables. For a given estimated torque ( $\hat{Q}$ ), the estimated wind speed ( $\hat{U}$ ) is found such that:

$$335 Q(\hat{U}) - \hat{Q} = 0 \quad (10)$$

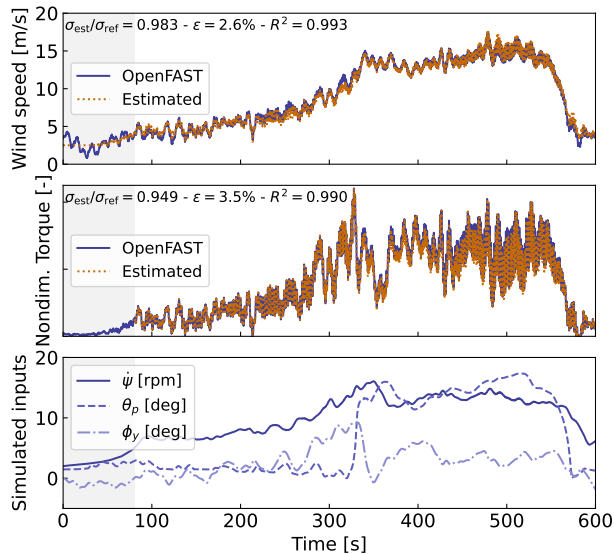
336 As illustrated in Figure 5, multiple values of  $\hat{U}$  can potentially satisfy Equation 10 because the aerodynamic torque is a  
 337 nonlinear function of the wind speed. In such cases, we use the steady-state operating condition curve of the turbine to choose  
 338 between the multiple solutions (typically two) by selecting the point closest to this curve (see Figure 5). A relaxation scheme  
 339 is also used, based on the previous estimate, to alleviate sudden jumps of the estimated wind speed.



**Figure 5.** Illustration of wind speed estimation in the case where multiple wind speed values match the target torque value  $\hat{Q}$

### 340 3.4.4 Verification of the aerodynamic estimator

341 To verify the aerodynamic estimator, we ran an OpenFAST simulation of the TetraSpar with the “turbulent step” wind field  
 342 mentioned in subsection 2.3.2 and irregular waves computed with a significant wave height of  $H_s = 6$  m and a peak spectral  
 343 period of  $T_p = 14$ , which represent a fairly extreme sea state for the site of the TetraSpar prototype. The simulated values of  $\dot{\psi}$ ,  
 344  $\theta_p$ ,  $\phi_y$ ,  $Q_g$  are used as direct input to the aerodynamic estimator. Comparisons of the estimates with the OpenFAST outputs are  
 shown in Figure 6. The shaded areas on the graphs represent the areas where the generator torque is zero (turbine spinning up);



**Figure 6.** Example of aerodynamic estimation using “simulated measurements” from OpenFAST. Top: wind speed. Middle: Dimensionless torque. Bottom: structural inputs from the OpenFAST simulation provided to the estimator.

345 therefore, the wind speed estimator is not expected to work in that region. The tops of the plots indicate the ratio of standard  
 346 deviations, the mean relative error ( $\epsilon$ ), and the coefficient of determination ( $R^2$ ). Throughout this article, we define the mean  
 347 relative error of a quantity  $x$  as:

$$348 \quad \epsilon(x) = \text{mean}_i \left[ \frac{|x_{\text{est}}[i] - x_{\text{ref}}[i]|}{\text{mean}(|x_{\text{ref}}|)} \right] \quad (11)$$

349 where  $x_{\text{est}}$  is the estimated signal,  $x_{\text{ref}}$  is the reference signal, and  $x[i]$  is the value of a signal at time step  $i$ . Using the mean  
 350 of  $|x_{\text{ref}}|$  in the denominator avoids issues related to signals crossing 0. It results in lower mean relative error than if the  
 351 instantaneous value were used, but the metric is still indicative of how far the two signals are on average.

352 To quantify the performance of the estimator, we reproduce the simulation above, but add different noise levels to the  
 353 measurements to account for measurement errors by the sensors. A Gaussian noise signal of zero mean and standard deviation  
 354  $r\sigma$  is added to each input, where  $r$  is the noise level and  $\sigma$  is the standard deviation of the clean input. The results are shown in  
 Table 4. As expected, the error in the estimation increases with increasing noise levels. This numerical experiment provides a

**Table 4.** Mean relative error ( $\epsilon$ ) of the wind speed, torque and thrust estimates for increasing noise levels.

Noise level	0%	1%	5%	10%	20%
Wind Speed	2.6%	2.6%	3.1%	4.1%	6.7%
Torque	3.5%	3.8%	5.0%	6.8%	11.1%
Thrust	4.1%	5.1%	5.6%	7.3%	11.6%

355  
 356 rough quantification of the errors that can be expected from the aerodynamic estimator.

### 357 3.5 State estimator

358 In this work, we follow a similar approach to our previous work (Branlard et al., 2020a), where an augmented Kalman filter  
 359 is used to estimate states and loads. The Kalman filter used in the aerodynamic estimator (subsection 3.4) is augmented with  
 360 additional states and outputs. The Kalman filter uses two linear models: a state-equation, describing the time evolution of the  
 361 states, and an output equation, describing how the measurements are related to the states and inputs. The state and output  
 362 equations are written:

$$363 \quad \delta \dot{\mathbf{x}}_{\text{KF}} = \mathbf{X}_x \delta \mathbf{x}_{\text{KF}} + \mathbf{X}_u \delta \mathbf{u}_{\text{KF}} + \mathbf{w}_x \quad (12)$$

$$364 \quad \delta \mathbf{y}_{\text{KF}} = \mathbf{Y}_x \delta \mathbf{x}_{\text{KF}} + \mathbf{Y}_u \delta \mathbf{u}_{\text{KF}} + \mathbf{w}_y \quad (13)$$

365 where  $\delta \mathbf{x}_{\text{KF}}$ ,  $\delta \mathbf{u}_{\text{KF}}$ , and  $\delta \mathbf{y}_{\text{KF}}$  are the state, input, and output<sup>1</sup>, respectively;  $\mathbf{X}_x$ ,  $\mathbf{X}_u$ ,  $\mathbf{Y}_x$ , and  $\mathbf{Y}_u$  are the system matrices that  
 366 relate the different system vectors; and,  $\mathbf{w}_x$  and  $\mathbf{w}_y$  are Gaussian processes represented modeling noise. The output vector,  
 367  $\delta \mathbf{y}_{\text{KF}}$ , is also referred to as the “measurement” vector because it corresponds to the measured signals. At a given time step,

<sup>1</sup>In general, the Kalman filter system vectors are different from the ones used for the linearization presented in subsection 3.3, therefore the subscript  $KF$  (for Kalman Filter) is added to these vectors.



368 the Kalman filter algorithm uses the system matrices, a set of measurements, and an a priori knowledge of the model and  
 369 measurement uncertainties to estimate the state vector (Kalman, 1960; Zarchan and Musoff, 2015).

370 In this work, we design the state estimator such that the state vector contains the structural degrees of freedom ( $\delta\mathbf{q}$  and  $\delta\dot{\mathbf{q}}$ )  
 371 and the aerodynamic torque ( $Q$ ), and the input vector consists of the thrust (obtained with the aerodynamic estimator) and  
 372 the generator torque (obtained from the power). These design choices were guided by our previous work on the topic. For  
 373 this choice of state and input variables, we build linear models for the state and output equations. We use the linear models  
 374 described in subsection 3.3 (the  $\mathbf{A}$ ,  $\mathbf{B}$ ,  $\mathbf{C}$ ,  $\mathbf{D}$  matrices) to populate the system matrices of the Kalman filter. Additional details  
 375 on how the relevant Jacobians are extracted are given in subsection 3.6.1. Given our choice of system vectors, the state  
 376 equation is:

$$377 \begin{bmatrix} \delta\dot{\mathbf{q}} \\ \delta\ddot{\mathbf{q}} \\ \dot{Q} \end{bmatrix} = \begin{bmatrix} \mathbf{0} & \mathbf{I} & \mathbf{0} \\ \mathbf{A}_{12} & \mathbf{A}_{22} & \frac{\partial\ddot{\mathbf{q}}}{\partial Q} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} \end{bmatrix} \begin{bmatrix} \delta\mathbf{q} \\ \delta\dot{\mathbf{q}} \\ Q \end{bmatrix} + \begin{bmatrix} \mathbf{0} & \mathbf{0} \\ \frac{\partial\ddot{\mathbf{q}}}{\partial Q_g} & \frac{\partial\ddot{\mathbf{q}}}{\partial T} \\ 0 & 0 \end{bmatrix} \begin{bmatrix} Q_g \\ T \end{bmatrix} + \mathbf{w}_x \quad (14)$$

378 where  $\mathbf{A}_{12}$  and  $\mathbf{A}_{22}$  are the two lower blocks of the  $\mathbf{A}$  matrix, and  $\mathbf{I}$  is the identity matrix. The Jacobians with respect to the  
 379 loads are extracted from the  $\mathbf{B}$  and  $\mathbf{D}$  matrices. A random walk approach is used for the evolution of the torque  $Q$  (that is, we  
 380 set  $\dot{Q} = 0$ ). The output equation, which effectively relates the measurements to the system states and inputs, is set as:

$$381 \begin{bmatrix} \delta\tilde{\mathbf{q}} \\ \dot{\psi} \\ \ddot{\mathbf{r}}_N \\ Q_g \end{bmatrix} = \begin{bmatrix} \frac{\partial\tilde{\mathbf{q}}}{\partial\mathbf{q}} & \frac{\partial\tilde{\mathbf{q}}}{\partial\dot{\mathbf{q}}} & \frac{\partial\tilde{\mathbf{q}}}{\partial Q} \\ \mathbf{0} & \tilde{\mathbf{I}} & 0 \\ \frac{\partial\ddot{\mathbf{r}}_N}{\partial\mathbf{q}} & \frac{\partial\ddot{\mathbf{r}}_N}{\partial\dot{\mathbf{q}}} & \frac{\partial\ddot{\mathbf{r}}_N}{\partial Q} \\ \mathbf{0} & \mathbf{0} & 0 \end{bmatrix} \begin{bmatrix} \delta\mathbf{q} \\ \delta\dot{\mathbf{q}} \\ Q \end{bmatrix} + \begin{bmatrix} \mathbf{0} & \mathbf{0} \\ 0 & 0 \\ \frac{\partial\ddot{\mathbf{r}}_N}{\partial Q_g} & \frac{\partial\ddot{\mathbf{r}}_N}{\partial T} \\ 1 & 0 \end{bmatrix} \begin{bmatrix} Q_g \\ T \end{bmatrix} + \mathbf{w}_y \quad (15)$$

382 where  $\ddot{\mathbf{r}}_N$  is the vector of nacelle accelerations, and  $\tilde{\mathbf{q}} = \{\delta x, \delta y, \delta\phi_x, \delta\phi_y\}$  is the measurements of surge, sway, roll, and pitch  
 383 as given in Table 1.

384 The state and output equations are used as part of a Kalman filter algorithm implemented in WELIB, which continuously  
 385 takes as input the measurements from the wind turbine (corresponding to the left-hand side of Equation 15). The process  
 386 and covariance matrices used within the Kalman filter algorithm (determining the values of  $\mathbf{w}_x$  and  $\mathbf{w}_y$ ) are populated based  
 387 on the estimated standard deviations of the different states and outputs. At each time step, the thrust is estimated using the  
 388 aerodynamic torque of the previous time step and used as input. The result of the Kalman filter is the estimated states and  
 389 outputs at each time step. Sample simulation results are provided in section 4.

### 390 3.6 Virtual sensing

391 Once the states are estimated by the Kalman filter, the virtual sensing step is used to derive quantities of interest (see Figure 1).  
 392 In this work, we focus on the estimation of the sectional loads along the tower using a physics-based model. We investigate  
 393 two methods to obtain these loads.

### 394 3.6.1 OpenFAST linearization outputs

395 The first method consists of using the linearization outputs of OpenFAST, namely, using a subset of the equation  $\delta\mathbf{y} = \mathbf{C}\delta\mathbf{x} +$   
396  $\mathbf{D}\delta\mathbf{u}$  (see subsection 3.3.1). In general, if a quantity of interest is present in the output vector of OpenFAST, it can be  
397 retrieved as follows. If the variable is located at the row index  $k$  in the vector  $\mathbf{y}$ , then this variable can be obtained from the  
398 states and inputs as:

$$399 [\mathbf{y}]_k = [\delta\mathbf{y}]_k + [\mathbf{y}_0]_k = [\mathbf{C}]_k\delta\mathbf{x} + [\mathbf{D}]_k\delta\mathbf{u} + [\mathbf{y}_0]_k \quad (16)$$

400 where  $[\cdot]_k$  indicates that the row  $k$  of the matrix or column vector is used. In our case,  $[\mathbf{y}]_k$  in Equation 16 would be the sectional  
401 fore-aft bending moment at the height  $z_j$  along the tower, noted  $\mathcal{M}_y(z_j)$ . The advantages of using this method are multiple:  
402 1) the method is directly applicable to any other outputs computed by OpenFAST, 2) the calculation procedure is linear and  
403 therefore computationally efficient, 3) if strain measurements are available at given heights, the rows  $[\mathbf{C}]_k$  and  $[\mathbf{D}]_k$  could be  
404 included in the output equation of the Kalman filter (Equation 15) to provide information about the model's expectation of these  
405 measurements, and 4) the underlying linear model is consistent with the nonlinear model of OpenFAST. The downside of the  
406 method is its linearity, in the sense that it is only valid close to the operating point and could lack important nonlinear effects.  
407 The values of  $[\mathbf{C}]_k$ ,  $[\mathbf{D}]_k$ , and  $[\mathbf{y}_0]_k$  would potentially need to be reevaluated if the system operates away from the linearized  
408 operating point. One possible solution is to introduce gain-scheduling to continuously modify the linear system based on the  
409 estimated wind speed. In this work, we used one operating point only and obtained results with fair accuracy (see section 4).  
410 We nevertheless expect that to better represent the different operating regions of a pitch-regulated wind turbine, three to five  
411 linear models, stitched together through gain-scheduling would be needed.

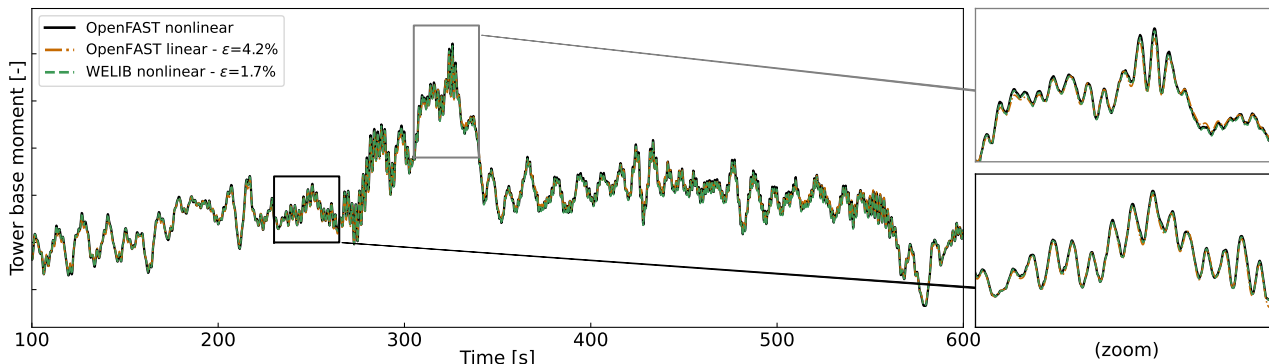
### 412 3.6.2 Nonlinear calculation (WELIB)

413 An alternative method consists of computing the section loads based on first principles using the formulation presented in  
414 Branlard (2019). The calculation requires a knowledge of the tower-top loads and the full kinematics of the tower and nacelle  
415 (position, velocity, and acceleration). At a given time step, the kinematics are computed based on  $\mathbf{q}$ ,  $\dot{\mathbf{q}}$ , and  $\ddot{\mathbf{q}}$ . The tower-top  
416 loads are estimated based on the aerodynamic loads and the inertial loads of the rotor-nacelle assembly. We describe the method  
417 in more detail in Appendix D. The advantages are that nonlinearities are accounted for and the model is valid irrespective of the  
418 operating condition. The downside is that this method does not provide any of the four advantages offered by the OpenFAST  
419 linearization method.

### 420 3.6.3 Verification of the section loads calculation

421 To verify the calculation of the section loads, we use the same “turbulent step” wind field and irregular sea state that were used in  
422 subsection 3.4.4. We assume that the time series of  $\mathbf{q}$ ,  $\dot{\mathbf{q}}$  and  $\ddot{\mathbf{q}}$  are entirely known, extracted from the OpenFAST simulation.  
423 These time series are provided to the two section loads algorithms: the WELIB nonlinear algorithm and the OpenFAST linear  
424 algorithm.

425 We run two sets of virtual sensing. In the “ideal” set, the loads at the tower top are extracted from OpenFAST results and  
 426 provided to the two virtual sensing algorithms. In this ideal case, the linearized operating points of the OpenFAST linear model  
 is set as the mean of each of the OpenFAST time series values. Results for the ideal case are illustrated in Figure 7. The



**Figure 7.** Tower fore-aft bending moment for the “turbulent step” and an irregular sea state as calculated by OpenFAST and compared to the WELIB nonlinear and OpenFAST linear method. The motion of the structure is determined by OpenFAST and provided to the two algorithms. The tower-top loads are also provided to the algorithms (“ideal” case, as opposed to Figure 8).

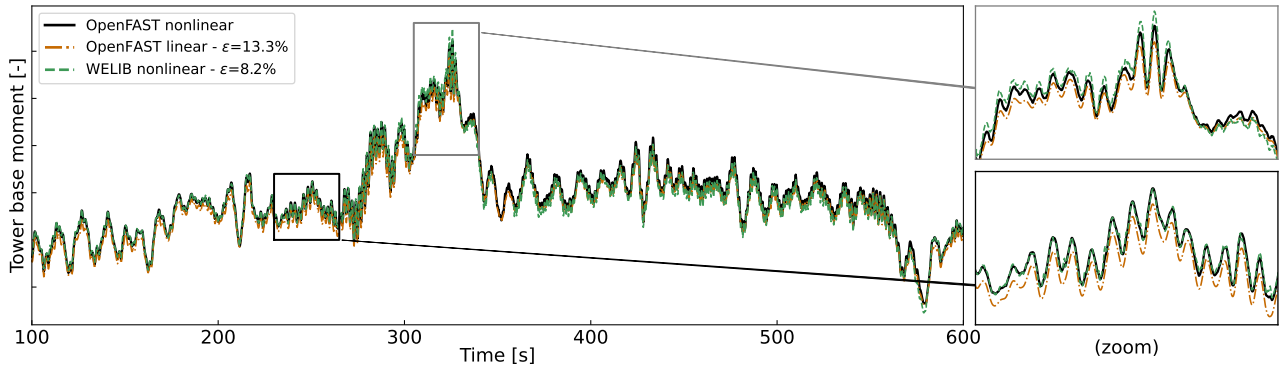
427  
 428 two algorithms are able to reproduce the section loads of OpenFAST with relatively high accuracy, which verifies our two  
 429 calculation procedures.

430 In the second set, labeled “unknown thrust,” the tower top loads are not provided to the algorithms; instead, the aerodynamic  
 431 estimator mentioned in subsection 3.4.4 is used to estimate the aerodynamic loads. This time, we do not set the linearized  
 432 operating point of the OpenFAST linear model to the mean value of the time series; we set it to the static equilibrium (without  
 433 loading).

434 The results are illustrated in Figure 8. The accuracy of the section loads calculation is seen to deteriorate when the aerody-  
 435 namic loads are estimated with the aerodynamic estimator, which is expected. The damage equivalent load computed with a  
 436 Wöhler slope of  $m = 5$  is found to be 3.7% lower with the OpenFAST linear method and 1.2% lower with the YAMS nonlinear  
 437 method compared to the value for reference signal.

438 The performance of both algorithms remains satisfactory because the extrapolated signals follow the reference OpenFAST  
 439 nonlinear simulation. The relative error obtained with the OpenFAST linear algorithm is higher (13.3%) than the one obtained  
 440 using the WELIB nonlinear method (8.2%). The main source of error in the linear model is associated with the fact that the  
 441 linearization point was not tuned for this specific simulation. It is our simplifying design choice to use only one linearization  
 442 operating point throughout. Because of the loss of accuracy associated with this design choice, we use the WELIB nonlinear  
 443 algorithm in the digital twin for the calculation of section loads.

444 After performing a sensitivity analysis on the inputs and states of the system, we observed that the variables that most affect  
 445 the fore-aft section loads are the platform pitch ( $\phi_y$ ), the tower fore-aft bending degree of freedom ( $q_t$ ), and the aerodynamic  
 446 thrust. In this section, we assumed that all the states were known (including  $\phi_y$  and  $q_t$ ), leading to great accuracy in the



**Figure 8.** Tower fore-aft bending moment for the “turbulent step” and an irregular sea state as calculated by OpenFAST and compared to the WELIB nonlinear and the OpenFAST linear method. The motion of the structure is determined by OpenFAST and provided to the two other algorithms. The tower-top loads are estimated using the aerodynamic estimator (“unknown thrust” case, as opposed to the ideal case presented in Figure 7).

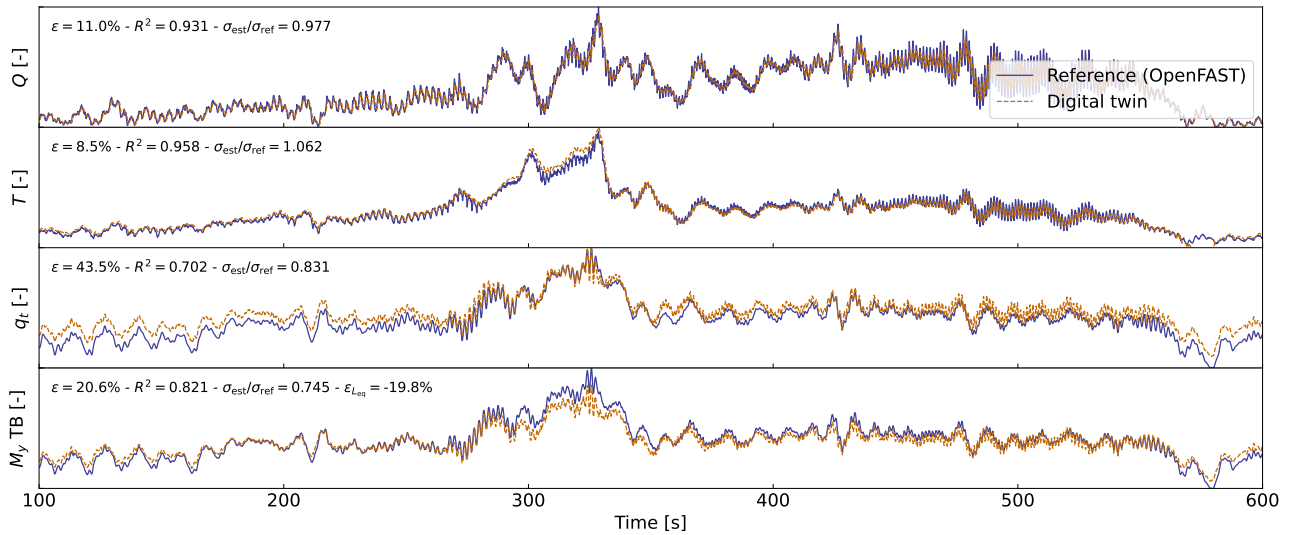
447 estimation of the section loads. The final verification step involves providing estimated states to the algorithm, which is the  
 448 topic of the next section.

#### 449 4 Applications of the digital twin

450 In section 3 we discussed how the different components of the digital twin were introduced and tested using increasing com-  
 451 plexity. In this section, we discuss combining the different components to form the digital twin. We begin using numerical  
 452 experiments from OpenFAST (see subsection 2.3.2), similar to what was done previously, before using measurements from  
 453 the TetraSpar prototype.

##### 454 4.1 Numerical experiment

455 First, we use the same “turbulent step” wind field and sea state that was used throughout section 3. The augmented states  
 456 of the system are determined at each time step using the state estimator described in subsection 3.5. The measurements (see  
 457 Table 1) are taken from the nonlinear OpenFAST simulation. The wind speed and aerodynamic loads are estimated using the  
 458 aerodynamic estimator described in subsection 3.4. The linear model is derived from linearized OpenFAST, and the section  
 459 loads in the tower are obtained using the WELIB virtual sensing algorithm described in subsection 3.6. The estimates from the  
 460 digital twin are compared with the reference nonlinear OpenFAST simulation results in Figure 9. A visual inspection of the  
 461 time series reveals that the digital twin is able to capture the main trends and fluctuations of the different signals. The match  
 462 can be considered remarkable given that only the sensors provided in Table 1 are used by the digital twin. Metrics such as mean  
 463 relative error ( $\epsilon$ ) and coefficient of determination ( $R^2$ ) are indicated on the figure. Despite the visually appealing match, the  
 464 metrics indicate that the tower-bottom moment has a mean error of  $\epsilon = 21\%$ . The damage equivalent load of the tower-bottom

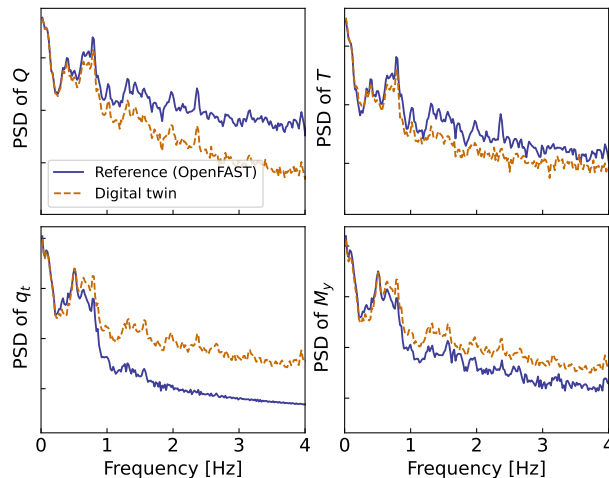


**Figure 9.** Estimated signals from the digital twin compared to results from a nonlinear OpenFAST simulation using the turbulent-step numerical experiment. From top to bottom: aerodynamic torque ( $Q$ ), aerodynamic thrust ( $T$ ), tower-top position ( $q_t$ ), tower-bottom fore-aft bending moment ( $M_y$ , TB). Results are made dimensionless for confidentiality reasons.

465 moment is underestimated by  $\tilde{\epsilon}_{L_{eq}} = -21\%$ , where we define:

$$466 \quad \tilde{\epsilon}(L_{eq}) = \frac{L_{eq,est} - L_{eq,ref}}{L_{eq,ref}} \quad (17)$$

467 Differences in damage equivalent loads typically indicate differences in the frequency content of the signals. We compare the frequency content of the estimated signals with the reference signals in Figure 10. The low-frequency content (below 1 Hz)

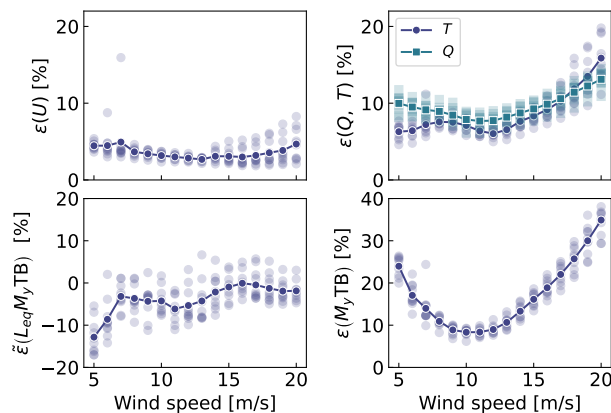


**Figure 10.** Power spectral density (PSD) of the time series presented in Figure 9. A logarithmic scale is used on the  $y$  axis.

468

469 is captured well, in line with the visual inspection of Figure 9. Unfortunately, no clear trend is found for the high-frequency  
 470 content: the power spectra of the aerodynamic loads indicate an underestimation, whereas the spectra of the tower-top position  
 471 and tower-bottom bending moment tend to have higher energy content. As shown in previous studies (Branlard et al., 2020a),  
 472 filtering of the input measurements can be used to tune the energy content at high frequencies. The method is yet unsatisfactory  
 473 because it acts as an artificial rebalancing of energy content to achieve the desired DEL value. Both low and high frequency  
 474 content contribute to the DEL values, therefore, we believe that systematic improvement is only possible through modeling  
 475 improvements and higher observability of the states by the Kalman filter.

476 To quantify the errors in the estimation under a wider set of operating conditions, we run 10-min simulations for a set of  
 477 wind speeds under normal turbulent conditions and sea states. We select wind speeds from 5 to 20 m/s using 10 different seeds  
 478 per bin of wind speed. The seeds are used to randomize the turbulent field and sea states. The wind speed range is selected so  
 479 as to avoid cut-in and cut-out events where the aerodynamic estimator is not expected to perform well. The turbulence intensity  
 480 is selected based on the normal turbulence model for a turbine of class ‘‘A.’’ The wave height and wave period are set as a  
 481 function of the wind speed as:  $H_s(U) = 0.16U + 1$  and  $T_p(U) = 0.09U + 5.57$ . The  $H_s$  and  $T_p$  relationships were obtained by  
 482 performing a linear regression on the sea state and wind measurements at the test site. OpenFAST simulations are run for each  
 483 case, and then the digital twin is run using these numerical measurements. A summary of the mean relative error on some key  
 estimated quantities is given in Figure 11. We observe that the mean relative error of the wind speed and aerodynamic loads



**Figure 11.** Mean relative error of estimated signals for various wind speed and seeds. Clockwise from top left: wind speed ( $U$ ), aerodynamic loads, tower-bottom moment ( $M_y$  TB), and damage equivalent load of the tower-bottom moment ( $L_{eq}, M_y$  TB). The individual simulations are indicated by transparent markers. The average over each seed is indicated using solid lines.

484

485 is between 5% and 15% with a tendency for larger errors on the aerodynamic loads at low and high wind speeds. The error  
 486 further propagates within the system, and the tower-bottom moment is estimated with a relative error between 10% and 40%.  
 487 The error levels indicate that the aerodynamic estimator, which is based on quasi-steady rotor-averaged aerodynamics, cannot  
 488 fully capture the dynamic aerodynamic state of the rotor in floating conditions. In general, the digital twin lacks sufficient

489 information to fully capture the tower-top loads and the frequency content of the system. It is expected that the placement of  
490 additional sensors, such as accelerometers or load cells, along the tower can significantly improve the estimation of the tower  
491 loads (in that case, we would either use OpenFAST linearization outputs or an extended Kalman filter and a nonlinear model  
492 for the outputs). As seen in Figure 11, the relative error levels on the damage equivalent loads are between  $-10\%$  and  $5\%$ , with  
493 the loads being either overestimated or underestimated depending on the wind speed. The structural health monitoring system  
494 could potentially use the estimated error levels indicated in Figure 11 to provide a confidence interval on the fatigue lifetime  
495 of the tower. We note that these error levels represent a best-case scenario because we assumed that no noise or biases were  
496 present in the measurements. We expect the error levels to increase with additional measurement noise.

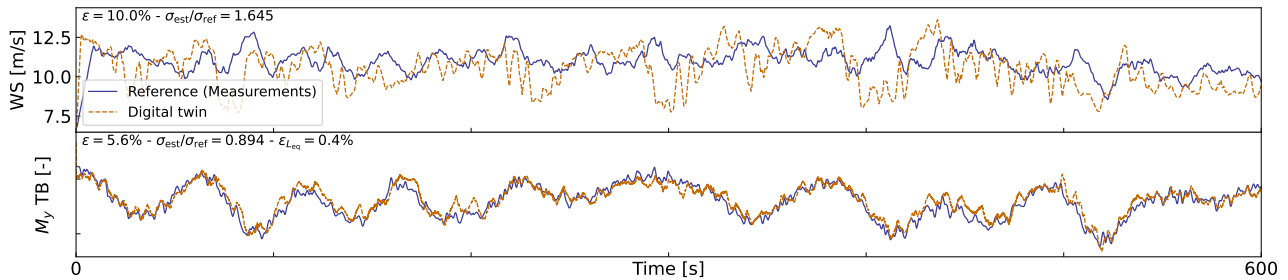
## 497 **4.2 Estimations using measurements from the full-scale prototype**

498 In this section, we use measurements from the full-scale TetraSpar prototype installed off the Norwegian coast. Four days of  
499 data were selected based on data availability; a wide range of wind speeds are present in the time series (ranging from 4 to 24.5  
500 m/s with an overall mean of 8.9 m/s). Two days were selected in summer and two in winter to account for potential seasonality.  
501 Apart from these criteria, the selection of time series can be considered random. The measurement data are stored as 10-min  
502 time series sampled at 25 Hz. The total number of 10-min samples used over the four days is 576. The measurement data are  
503 provided to the digital twin to perform the state estimation and virtual sensing. The prototype is equipped with load cells at the  
504 tower top, middle, and bottom and nacelle wind speed measurements. We use these measurements to compare with the digital  
505 twin estimates.

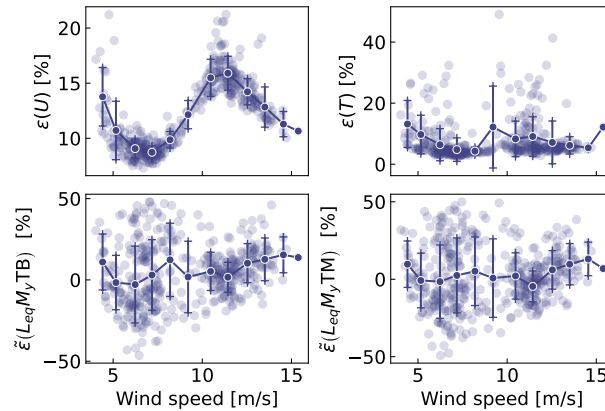
506 We begin by highlighting the computational time of the current procedure, as computational efficiency is crucial to achieve  
507 our digital twin vision. The state estimation is currently 10 times faster than real time. The virtual sensing step is twice as fast  
508 as real time, but computational improvements are possible, in particular, by using a compiled language instead of Python. For  
509 reference, OpenFAST simulations of the full TetraSpar model (with substructure flexibility) typically run 3 times slower than  
510 real time, and a reduced-order OpenFAST model with 8 DOF runs 1.1 times slower. Currently, real time estimation cannot  
511 be achieved with OpenFAST. Reduced-order modeling techniques, such as the ones presented in this article, are necessary to  
512 implement an online digital twin. Yet, if the digital twin is run as a postprocessing step, then parallelization using multiple  
513 CPUs could be used, e.g., processing different time periods of the day.

514 A sample of results is provided in Figure 12. The figure illustrates a selected case where the estimation of the tower load  
515 is reasonably accurate, with an error on the damage equivalent load of only  $0.4\%$ . We note that the wind speed from the  
516 measurement is a point measurement (from the nacelle anemometer, in the wake of the turbine, and moving with the nacelle),  
517 and it is therefore not expected to be in strong agreement with the digital twin estimate, which is representative of a rotor-  
518 averaged wind speed.

519 An aggregate of results from all the 10-min digital twin runs is illustrated in Figure 13. The figure shows relative errors in  
520 wind speed, thrust, and damage equivalent loads at the tower bottom and tower middle. As indicated previously, the wind speed  
521 from the digital twin and the measurements are different quantities, but the level of error obtained indicates that the digital twin  
522 is able to capture the main level of wind speed. The aerodynamic thrust from the aerodynamic estimator is compared with



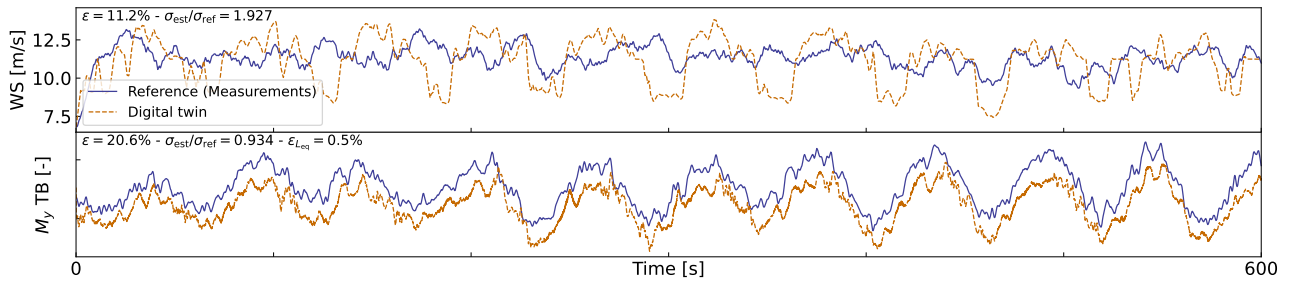
**Figure 12.** Comparison of digital twin outputs with wind speed and tower-bottom moment measurements from the TetraSpar prototype. The measured wind speed comes from a nacelle anemometer and therefore is expected to differ from the rotor-averaged value estimated by the digital twin.



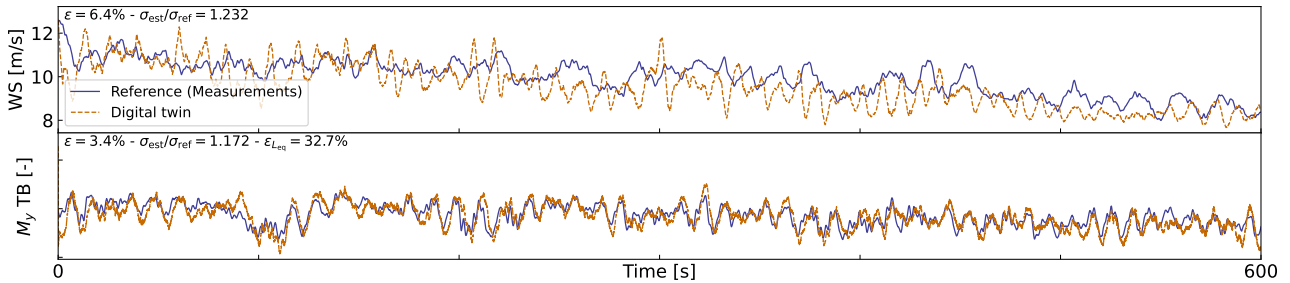
**Figure 13.** Similar to Figure 11 but using measurements from the Tetra Spar prototype. Each marker indicates a 10-min simulation result. Solid lines are bin averages. The whiskers indicate the standard deviation in each bin. The bottom plots are for the tower bottom (TB) and tower middle (TM) bending moments.

523 the load cell at the tower top in the fore-aft direction. This is a crude first-order approximation (e.g., neglecting inertial and  
524 gravitational loads, nacelle tilting and shaft bending), but the overall estimated levels appears to be, on average, around 10%  
525 from the measured ones. The tower damage equivalent loads are, on average, within  $\pm 10\%$  of the values obtained from the  
526 measurements, but some cases show errors ranging between  $\pm 50\%$ . To give perspective on the large error values taken by the  
527 metrics, we illustrate two cases with large errors in Figure 14 and Figure 15. In both cases, we observe that the estimator is  
528 capturing the trends and low frequencies with accuracy that, from a pure qualitative perspective, would appear satisfactory.  
529 As seen in Figure 14, an offset is present in the signal, which indicates that some physics might be missing from the load  
530 virtual sensing, or that the state estimator is failing. Measurement errors could also affect the results, but no systematic error  
531 was detected over the time period investigated. It is therefore difficult to conclude as to what is the main source of error. In  
532 Figure 14, the overall load level is captured well, but the error in the damage equivalent load is  $\epsilon_{L_{eq}}$  is 33%. As illustrated  
533 in Figure 10, our current method fails at capturing the high-frequency content of the signals, which can have a significant





**Figure 14.** Similar to Figure 12, but for a case where a clear offset is present in the tower loads.



**Figure 15.** Similar to Figure 12, but for a case where a large error in damage equivalent load is observed.

534 impact on the accuracy of the damage equivalent loads. Despite these challenges, the average accuracy of 10% is promising  
 535 and indicates that the current methodology can be used to reconstruct some structural and environmental signals from a limited  
 536 number of readily available sensors.

## 537 5 Conclusions

538 In this work, we implemented, verified, and validated a physics-based digital twin solution applied to a floating offshore wind  
 539 turbine. The work focused on the estimation of the aerodynamic loads and the section loads along the tower, using a set of  
 540 measurements that we expect to be available on any existing wind turbine (power, pitch, rotor speed, and tower acceleration)  
 541 and motion sensors that are likely to be standard measurements for a floating platform (inclination and GPS sensors). The key  
 542 concept behind our approach uses 1) a Kalman filter to estimate the structural states based on a linear model of the structure and  
 543 measurements from the turbine, 2) an aerodynamic estimator, and 3) a physics-based virtual sensing procedure to obtain the  
 544 loads along the tower. An important part of the work was developing the methodology and implementing the tools and models  
 545 necessary for the aerodynamic estimation, state estimation, and load virtual sensing. We explored two different pathways to  
 546 obtain models: a suite of Python tools and OpenFAST linearization. We used components from both approaches for the digital  
 547 twin.

548 Using numerical experiments, we found that the accuracy of the individual models was typically on the order of 5%. When  
549 comparing the digital twin estimations with the measurements from the TetraSpar prototype, the errors increased to 10%–15%  
550 on average for the quantities of interest. Overall, the accuracy of the results appeared promising given the scope of our work,  
551 which aimed to illustrate a proof of concept for a floating wind turbine digital twin. We observed a non-negligible scatter of  
552 results for the estimation of the tower damage equivalent loads that we attributed to the difficulty of capturing high-frequency  
553 content.

554 Future work should therefore explore possible improvements of the method to address this issue.

555 Additional improvements could include: 1) gain-scheduling of the linear models to extend the domain of validity of the  
556 linear models used and reduce the modeling error, 2) using nonlinear models and extended Kalman filtering techniques to lift  
557 the linear assumptions that challenges the aerodynamics, hydrodynamics and structural dynamics, 3) introducing additional  
558 degrees of freedom and a full account of the yawing of the nacelle to increase the fidelity of the models and account for the  
559 flexibility of the floater, 4) adding a model to account for wave excitation forces to account for hydrodynamic loads and likely  
560 improve the estimation of member-level loads, 5) introducing additional measurements to improve the state estimation and  
561 increase the observability of the state, 6) improving the robustness of the aerodynamic estimator in particular, beyond the cut-  
562 in and cut-out wind speeds, to apply the digital twin when the turbine is not operating, and, 7) expanding the virtual sensing  
563 steps to estimate additional signals.

564 *Author contributions.* EB implemented the digital twin and wrote the main corpus of this paper. JJ, CB and JZ provided continuous feedback  
565 on the project and reviewed the article.

566 *Competing interests.* No competing interests are present.

567 *Code availability.* The source code of the digital twin and examples using a generic spar turbine are provided in the GitHub repository (Bran-  
568 lard, 2023a).

569 *Acknowledgements.* This work was authored in part by the National Renewable Energy Laboratory, operated by Alliance for Sustainable  
570 Energy, LLC, for the U.S. Department of Energy (DOE) under Contract No. DE-AC36-08GO28308. Funding provided by U.S. Department  
571 of Energy Office of Energy Efficiency and Renewable Energy Wind Energy Technologies Office. The views expressed in the article do  
572 not necessarily represent the views of the DOE or the U.S. Government. The U.S. Government retains and the publisher, by accepting the  
573 article for publication, acknowledges that the U.S. Government retains a nonexclusive, paid-up, irrevocable, worldwide license to publish or  
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575 *Financial support.* This work was funded under the Technology Commercialization Fund Project, supported by DOE’s Wind Energy Tech-  
576 nologies Office.

## 577 **Appendix A: Linearization of the equations of motion with augmented inputs**

578 In this section, we describe the procedure used to linearize the structural equations of motion without knowledge of the external loads, which  
579 is used to obtain Equation 1. We write the implicit form of the equations of motion as

$$580 \quad \mathbf{e}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}, \tilde{\mathbf{u}}, t) = \mathbf{0} \quad (\text{A1})$$

581 where  $\mathbf{q}$ ,  $\dot{\mathbf{q}}$ ,  $\ddot{\mathbf{q}}$  and  $\tilde{\mathbf{u}}$  are the degrees of freedom, velocities, accelerations, and “augmented inputs” of the model, respectively. The term  
582 augmented input is used because the external loads are included in this vector. The external loads are (in general) a function of the degrees  
583 of freedom. Therefore, we write the augmented input vector as:

$$584 \quad \tilde{\mathbf{u}} = \tilde{\mathbf{u}}(\mathbf{q}, \dot{\mathbf{q}}, \ddot{\mathbf{q}}, \mathbf{u}) \quad (\text{A2})$$

585 where  $\mathbf{u}$  is the vector of inputs in the classical sense, that is, consisting of system inputs that do not depend on the degrees of freedom (for  
586 instance, the wave elevation). The operating point is written using the subscript “0,” and is defined as:

$$587 \quad \mathbf{e}(\mathbf{q}_0, \dot{\mathbf{q}}_0, \ddot{\mathbf{q}}_0, \tilde{\mathbf{u}}_0, t) = \mathbf{0} \quad (\text{A3})$$

588 We perturb each variable, as  $\mathbf{q} = \mathbf{q}_0 + \delta\mathbf{q}$ ,  $\dot{\mathbf{q}} = \dot{\mathbf{q}}_0 + \delta\dot{\mathbf{q}}$ , etc., where  $\delta$  indicates a small perturbation of the quantities. The perturbation of the  
589 augmented input is then:

$$590 \quad \tilde{\mathbf{u}} = \tilde{\mathbf{u}}(\mathbf{q}_0, \dot{\mathbf{q}}_0, \ddot{\mathbf{q}}_0, \mathbf{u}_0) + \left. \frac{\partial \tilde{\mathbf{u}}}{\partial \mathbf{q}} \right|_0 \delta\mathbf{q} + \left. \frac{\partial \tilde{\mathbf{u}}}{\partial \dot{\mathbf{q}}} \right|_0 \delta\dot{\mathbf{q}} + \left. \frac{\partial \tilde{\mathbf{u}}}{\partial \ddot{\mathbf{q}}} \right|_0 \delta\ddot{\mathbf{q}} + \left. \frac{\partial \tilde{\mathbf{u}}}{\partial \mathbf{u}} \right|_0 \delta\mathbf{u} \quad (\text{A4})$$

591 where  $|_0$  indicates that the expressions are evaluated at the operating point. The linearized equations are obtained using a Taylor-series  
592 expansion:

$$593 \quad \left[ M_0 - Q_0 \left. \frac{\partial \tilde{\mathbf{u}}}{\partial \ddot{\mathbf{q}}} \right|_0 \right] \delta\ddot{\mathbf{q}} + \left[ C_0 - Q_0 \left. \frac{\partial \tilde{\mathbf{u}}}{\partial \dot{\mathbf{q}}} \right|_0 \right] \delta\dot{\mathbf{q}} + \left[ K_0 - Q_0 \left. \frac{\partial \tilde{\mathbf{u}}}{\partial \mathbf{q}} \right|_0 \right] \delta\mathbf{q} = Q_0 \left. \frac{\partial \tilde{\mathbf{u}}}{\partial \mathbf{u}} \right|_0 \delta\mathbf{u} \quad (\text{A5})$$

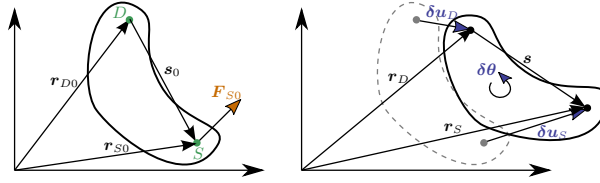
594 with

$$595 \quad M_0 = - \left. \frac{\partial \mathbf{e}}{\partial \ddot{\mathbf{q}}} \right|_0, \quad C_0 = - \left. \frac{\partial \mathbf{e}}{\partial \dot{\mathbf{q}}} \right|_0, \quad K_0 = - \left. \frac{\partial \mathbf{e}}{\partial \mathbf{q}} \right|_0, \quad Q_0 = \left. \frac{\partial \mathbf{e}}{\partial \mathbf{u}} \right|_0 \quad (\text{A6})$$

596 and where  $M_0$ ,  $C_0$ ,  $K_0$  are the linear mass, damping, and stiffness matrices, and  $Q_0$  is the linear forcing vector, also called the input matrix.

## 597 **Appendix B: Transfer of a Jacobian from one destination point to another**

598 The Jacobians provided by OpenFAST and MAP are provided at given nodes of the structure (e.g., the hydrodynamic nodes, or the fairleads).  
599 In this section, we highlight the procedure to transfer these Jacobians to another node (the platform reference point) assuming a rigid-body  
600 relationship between the nodes. The procedure is used in this work to compute the linear  $6 \times 6$  matrix for the hydrodynamics and mooring  
601 dynamics in subsection 3.3.2. We obtain different relationships depending on whether the destination point is assumed to be displaced or  
602 not (see different subsections below).



**Figure B1.** Rigid-body kinematics with the loads from one source point ( $S$ ) transferred to a destination point ( $D$ ), assuming small motion of the points.

## 603 B1 Transfer of Jacobians between two points

604 We consider a point source (noted  $S$ ) and a destination point (noted  $D$ ). The notations are illustrated in Figure B1. We assume that the two  
605 points belong to a rigid body. The forces and moments at the destination and source are related as follows:

$$606 \quad \mathbf{F}_D = \mathbf{F}_S \tag{B1}$$

$$607 \quad \mathbf{M}_D = \mathbf{M}_S + \tilde{\mathbf{s}} \mathbf{F}_S \tag{B2}$$

608 where  $\mathbf{s} = \mathbf{r}_S - \mathbf{r}_D$  is the vector from destination point to the source point,  $\mathbf{F}_S$  and  $\mathbf{M}_S$  are the force and moments, respectively, at point  
609  $S$ , and the tilde notation refers to the skew symmetric matrix, which is a matrix representation of the cross product. We seek to linearize  
610 Equation B1 and Equation B2 for small displacements and rotations of the destination and source nodes. In particular, we seek to express  
611 the Jacobians at the destination node as a function of the source node, assuming a rigid-body relationship between the two. The rigid-body  
612 relationship linking the small displacements ( $\delta \mathbf{u}$ ) and small rotations ( $\delta \boldsymbol{\theta}$ ) of the source and destination points is:

$$613 \quad \delta \mathbf{u}_D = \delta \mathbf{u}_S + \tilde{\mathbf{s}}_0 \delta \boldsymbol{\theta}_S$$

$$614 \quad \delta \boldsymbol{\theta}_D = \delta \boldsymbol{\theta}_S \tag{B3}$$

615 where  $\mathbf{s}_0$  is the vector between the source and destination points at the operating point (prior to the perturbation). The Jacobians of the  
616 transformations given in Equation B3, and its inverse, are:

$$617 \quad \begin{bmatrix} \frac{\partial \mathbf{u}_D}{\partial \mathbf{u}_S} & \frac{\partial \mathbf{u}_D}{\partial \boldsymbol{\theta}_S} \\ \frac{\partial \boldsymbol{\theta}_D}{\partial \mathbf{u}_S} & \frac{\partial \boldsymbol{\theta}_D}{\partial \boldsymbol{\theta}_S} \end{bmatrix} = \begin{bmatrix} \mathbf{I} & \tilde{\mathbf{s}}_0 \\ \mathbf{0} & \mathbf{I} \end{bmatrix}, \quad \begin{bmatrix} \frac{\partial \mathbf{u}_S}{\partial \mathbf{u}_D} & \frac{\partial \mathbf{u}_S}{\partial \boldsymbol{\theta}_D} \\ \frac{\partial \boldsymbol{\theta}_S}{\partial \mathbf{u}_D} & \frac{\partial \boldsymbol{\theta}_S}{\partial \boldsymbol{\theta}_D} \end{bmatrix} = \begin{bmatrix} \mathbf{I} & -\tilde{\mathbf{s}}_0 \\ \mathbf{0} & \mathbf{I} \end{bmatrix} \tag{B4}$$

618 To linearize Equation B1 and Equation B2, we introduce the following perturbations:

$$619 \quad \mathbf{F}_D = \mathbf{F}_{D0} + \delta \mathbf{F}_D, \quad \mathbf{F}_S = \mathbf{F}_{S0} + \delta \mathbf{F}_S \tag{B5}$$

$$620 \quad \mathbf{M}_D = \mathbf{M}_{D0} + \delta \mathbf{M}_D, \quad \mathbf{M}_S = \mathbf{M}_{S0} + \delta \mathbf{M}_S, \tag{B6}$$

621 where the subscript 0 indicates values at the operating point. At the operating point, Equation B1 and Equation B2 are satisfied, that is:

$$622 \quad \mathbf{F}_{D0} = \mathbf{F}_{S0} \tag{B7}$$

$$623 \quad \mathbf{M}_{D0} = \mathbf{M}_{S0} + \tilde{\mathbf{s}}_0 \mathbf{F}_{S0} \tag{B8}$$

624 **Transfer of forces**

625 Inserting Equation B5 into Equation B1 leads to:

$$626 \quad \mathbf{F}_{D0} + \delta \mathbf{F}_D = \mathbf{F}_{S0} + \delta \mathbf{F}_S \quad (\text{B9})$$

627 which, using Equation B7, leads to:

$$628 \quad \delta \mathbf{F}_D = \delta \mathbf{F}_S \quad (\text{B10})$$

629 The Jacobians of the loads at node  $D$  with respect to the displacements at node  $D$  are then obtained by applying the chain rule to Equation B10  
630 and making use of the Jacobian of the displacements given on the right of Equation B4. For instance, for the force:

$$631 \quad \frac{\partial \mathbf{F}_D}{\partial \mathbf{u}_D} = \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S} \frac{\partial \mathbf{u}_S}{\partial \mathbf{u}_D} + \frac{\partial \mathbf{F}_S}{\partial \boldsymbol{\theta}_S} \frac{\partial \boldsymbol{\theta}_S}{\partial \mathbf{u}_D} = \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S}$$

$$632 \quad \frac{\partial \mathbf{F}_D}{\partial \boldsymbol{\theta}_D} = \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S} \frac{\partial \mathbf{u}_S}{\partial \boldsymbol{\theta}_D} + \frac{\partial \mathbf{F}_S}{\partial \boldsymbol{\theta}_S} \frac{\partial \boldsymbol{\theta}_S}{\partial \boldsymbol{\theta}_D} = \frac{\partial \mathbf{F}_S}{\partial \boldsymbol{\theta}_S} - \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S} \tilde{\mathbf{s}}_0 \quad (\text{B11})$$

633 For the transfer of the moments, the relationship will be different whether the moments are transferred at the undisplaced destination point  
634 or the displaced destination point.

635 **Moments at the undisplaced destination point**

636 In this section, the moments are transferred to the undisplaced destination point. The vector from the undisplaced destination point to the  
637 displaced source is:

$$638 \quad \mathbf{r} = \mathbf{s}_0 + \delta \mathbf{u}_S \quad (\text{B12})$$

639 Introducing Equation B6 and Equation B12 into Equation B2, and temporarily using the “ $\times$ ” notation instead of the tilde notation:

$$640 \quad \mathbf{M}_{D0} + \delta \mathbf{M}_D = \mathbf{M}_{S0} + \delta \mathbf{M}_S + \mathbf{s}_0 \times \mathbf{F}_{S0} + \mathbf{s}_0 \times \delta \mathbf{F}_S + \delta \mathbf{u}_S \times \mathbf{F}_{S0} + \delta \mathbf{u}_S \times \delta \mathbf{F}_S \quad (\text{B13})$$

641 Making use of Equation B8, neglecting the nonlinear term ( $\delta \mathbf{u}_S \times \delta \mathbf{F}_S$ ) and reintroducing the tilde notation leads to:

$$642 \quad \delta \mathbf{M}_D = \delta \mathbf{M}_S + \tilde{\mathbf{s}}_0 \delta \mathbf{F}_S - \tilde{\mathbf{F}}_{S0} \delta \mathbf{u}_S \quad (\text{B14})$$

643 The Jacobians of the moments at the undisplaced node  $D$  with respect to the displacements at node  $D$  are then obtained by applying the  
644 chain rule to Equation B14:

$$645 \quad \frac{\partial \mathbf{M}_D}{\partial \mathbf{u}_D} = \frac{\partial \mathbf{M}_S}{\partial \mathbf{u}_S} \frac{\partial \mathbf{u}_S}{\partial \mathbf{u}_D} + \frac{\partial \mathbf{M}_S}{\partial \boldsymbol{\theta}_S} \frac{\partial \boldsymbol{\theta}_S}{\partial \mathbf{u}_D} + \tilde{\mathbf{s}}_0 \left[ \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S} \frac{\partial \mathbf{u}_S}{\partial \mathbf{u}_D} + \frac{\partial \mathbf{F}_S}{\partial \boldsymbol{\theta}_S} \frac{\partial \boldsymbol{\theta}_S}{\partial \mathbf{u}_D} \right] - \tilde{\mathbf{F}}_{S0} \frac{\partial \mathbf{u}_S}{\partial \mathbf{u}_D}$$

$$646 \quad = \frac{\partial \mathbf{M}_S}{\partial \mathbf{u}_S} + \tilde{\mathbf{s}}_0 \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S} - \tilde{\mathbf{F}}_{S0} \quad (\text{B15})$$

647 and

$$648 \quad \frac{\partial \mathbf{M}_D}{\partial \boldsymbol{\theta}_D} = \frac{\partial \mathbf{M}_S}{\partial \boldsymbol{\theta}_S} \frac{\partial \boldsymbol{\theta}_S}{\partial \boldsymbol{\theta}_D} + \frac{\partial \mathbf{M}_S}{\partial \mathbf{u}_S} \frac{\partial \mathbf{u}_S}{\partial \boldsymbol{\theta}_D} + \tilde{\mathbf{s}}_0 \left[ \frac{\partial \mathbf{F}_S}{\partial \boldsymbol{\theta}_S} \frac{\partial \boldsymbol{\theta}_S}{\partial \boldsymbol{\theta}_D} + \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S} \frac{\partial \mathbf{u}_S}{\partial \boldsymbol{\theta}_D} \right] - \tilde{\mathbf{F}}_{S0} \frac{\partial \mathbf{u}_S}{\partial \boldsymbol{\theta}_D}$$

$$649 \quad = \frac{\partial \mathbf{M}_S}{\partial \boldsymbol{\theta}_S} - \frac{\partial \mathbf{M}_S}{\partial \mathbf{u}_S} \tilde{\mathbf{s}}_0 + \tilde{\mathbf{s}}_0 \frac{\partial \mathbf{F}_S}{\partial \boldsymbol{\theta}_S} - \tilde{\mathbf{s}}_0 \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S} \tilde{\mathbf{s}}_0 + \tilde{\mathbf{F}}_{S0} \tilde{\mathbf{s}}_0 \quad (\text{B16})$$

650 **Jacobian relationships at the undisplaced destination point**

651 Equation B11, Equation B16, and Equation B15 can be gathered in matricial form to relate the different Jacobians between the source point  
652 and the undisplaced destination point:

$$653 \begin{bmatrix} \frac{\partial \mathbf{F}_D}{\partial \mathbf{u}_D} & \frac{\partial \mathbf{F}_D}{\partial \boldsymbol{\theta}_D} \\ \frac{\partial \mathbf{M}_D}{\partial \mathbf{u}_D} & \frac{\partial \mathbf{M}_D}{\partial \boldsymbol{\theta}_D} \end{bmatrix}_{\text{undisplaced}} = \begin{bmatrix} \mathbf{I} & \mathbf{0} \\ \tilde{\mathbf{s}}_0 & \mathbf{I} \end{bmatrix} \begin{bmatrix} \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S} & \frac{\partial \mathbf{F}_S}{\partial \boldsymbol{\theta}_S} \\ \frac{\partial \mathbf{M}_S}{\partial \mathbf{u}_S} & \frac{\partial \mathbf{M}_S}{\partial \boldsymbol{\theta}_S} \end{bmatrix} \begin{bmatrix} \mathbf{I} & -\tilde{\mathbf{s}}_0 \\ \mathbf{0} & \mathbf{I} \end{bmatrix} + \begin{bmatrix} \mathbf{0} & \mathbf{0} \\ -\tilde{\mathbf{F}}_{S0} & \tilde{\mathbf{F}}_{S0}\tilde{\mathbf{s}}_0 \end{bmatrix} \quad (\text{B17})$$

654 **Moments at the displaced destination point**

655 In this section, the moments are transferred to the displaced destination point. The vector from the displaced destination point to the displaced  
656 source is:

$$657 \mathbf{r} = \mathbf{s}_0 + \delta \mathbf{u}_S - \delta \mathbf{u}_D = \mathbf{s}_0 - \tilde{\mathbf{s}}_0 \delta \boldsymbol{\theta}_S \quad (\text{B18})$$

658 Introducing Equation B6 and Equation B18 into Equation B2, and temporarily using the “ $\times$ ” notation instead of the tilde notation:

$$659 \mathbf{M}_{D0} + \delta \mathbf{M}_D = \mathbf{M}_{S0} + \delta \mathbf{M}_S + \mathbf{s}_0 \times \mathbf{F}_{S0} + \mathbf{s}_0 \times \delta \mathbf{F}_S - (\mathbf{s}_0 \times \delta \boldsymbol{\theta}_S) \times \mathbf{F}_{S0} - (\mathbf{s}_0 \times \delta \boldsymbol{\theta}_S) \times \delta \mathbf{F}_S \quad (\text{B19})$$

660 Making use of Equation B8, neglecting the nonlinear term  $((\mathbf{s}_0 \times \delta \boldsymbol{\theta}_S) \times \delta \mathbf{F}_S)$ , and reintroducing the tilde notation leads to:

$$661 \delta \mathbf{M}_D = \delta \mathbf{M}_S + \tilde{\mathbf{s}}_0 \delta \mathbf{F}_S + \tilde{\mathbf{F}}_{S0} \tilde{\mathbf{s}}_0 \delta \boldsymbol{\theta}_S \quad (\text{B20})$$

662 The Jacobians of the loads at the displaced node  $D$  with respect to the displacements at node  $D$  are then obtained by applying the chain rule  
663 to Equation B20 and making use of the Jacobian of the displacements given on the right of Equation B4.

$$664 \frac{\partial \mathbf{M}_D}{\partial \mathbf{u}_D} = \frac{\partial \mathbf{M}_S}{\partial \mathbf{u}_S} \frac{\partial \mathbf{u}_S}{\partial \mathbf{u}_D} + \frac{\partial \mathbf{M}_S}{\partial \boldsymbol{\theta}_S} \frac{\partial \boldsymbol{\theta}_S}{\partial \mathbf{u}_D} + \tilde{\mathbf{s}}_0 \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S}$$

$$665 = \frac{\partial \mathbf{M}_S}{\partial \mathbf{u}_S} + \tilde{\mathbf{s}}_0 \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S} \quad (\text{B21})$$

666 and

$$667 \frac{\partial \mathbf{M}_D}{\partial \boldsymbol{\theta}_D} = \frac{\partial \mathbf{M}_S}{\partial \mathbf{u}_S} \frac{\partial \mathbf{u}_S}{\partial \boldsymbol{\theta}_D} + \frac{\partial \mathbf{M}_S}{\partial \boldsymbol{\theta}_S} \frac{\partial \boldsymbol{\theta}_S}{\partial \boldsymbol{\theta}_D} + \tilde{\mathbf{s}}_0 \frac{\partial \mathbf{F}_S}{\partial \boldsymbol{\theta}_D} + \tilde{\mathbf{F}}_{S0} \tilde{\mathbf{s}}_0$$

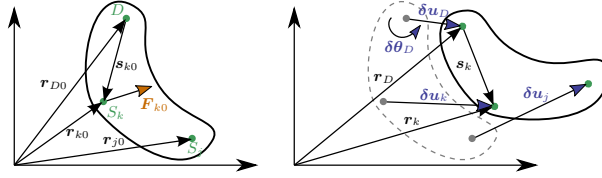
$$668 = \frac{\partial \mathbf{M}_S}{\partial \boldsymbol{\theta}_S} - \frac{\partial \mathbf{M}_S}{\partial \mathbf{u}_S} \tilde{\mathbf{s}}_0 + \tilde{\mathbf{s}}_0 \frac{\partial \mathbf{F}_S}{\partial \boldsymbol{\theta}_D} + \tilde{\mathbf{F}}_{S0} \tilde{\mathbf{s}}_0$$

$$669 = \frac{\partial \mathbf{M}_S}{\partial \boldsymbol{\theta}_S} - \frac{\partial \mathbf{M}_S}{\partial \mathbf{u}_S} \tilde{\mathbf{s}}_0 + \tilde{\mathbf{s}}_0 \frac{\partial \mathbf{F}_S}{\partial \boldsymbol{\theta}_S} - \tilde{\mathbf{s}}_0 \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S} \tilde{\mathbf{s}}_0 + \tilde{\mathbf{F}}_{S0} \tilde{\mathbf{s}}_0 \quad (\text{B22})$$

670 **Jacobian relationships at the displaced destination point**

671 Equation B11, Equation B22, and Equation B21 can be gathered in matricial form to relate the different Jacobians:

$$672 \begin{bmatrix} \frac{\partial \mathbf{F}_D}{\partial \mathbf{u}_D} & \frac{\partial \mathbf{F}_D}{\partial \boldsymbol{\theta}_D} \\ \frac{\partial \mathbf{M}_D}{\partial \mathbf{u}_D} & \frac{\partial \mathbf{M}_D}{\partial \boldsymbol{\theta}_D} \end{bmatrix}_{\text{displaced}} = \begin{bmatrix} \mathbf{I} & \mathbf{0} \\ \tilde{\mathbf{s}}_0 & \mathbf{I} \end{bmatrix} \begin{bmatrix} \frac{\partial \mathbf{F}_S}{\partial \mathbf{u}_S} & \frac{\partial \mathbf{F}_S}{\partial \boldsymbol{\theta}_S} \\ \frac{\partial \mathbf{M}_S}{\partial \mathbf{u}_S} & \frac{\partial \mathbf{M}_S}{\partial \boldsymbol{\theta}_S} \end{bmatrix} \begin{bmatrix} \mathbf{I} & -\tilde{\mathbf{s}}_0 \\ \mathbf{0} & \mathbf{I} \end{bmatrix} + \begin{bmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \tilde{\mathbf{F}}_{S0} \tilde{\mathbf{s}}_0 \end{bmatrix} \quad (\text{B23})$$



**Figure B2.** Rigid-body kinematics with the loads from multiple source points ( $S_j$ ), transferred to a destination point ( $D$ )

## 673 B2 Relationships at the displaced destination point for multiple source points

674 We now consider the case where multiple point sources are present. The derivation can be seen as a generalization of the previous case  
 675 between two points, but special care is needed when summing the contributions from the different nodes. The notations are illustrated in  
 676 Figure B2. The loads at the destination points are obtained as:

$$677 \quad \mathbf{F}_D = \sum_k \mathbf{F}_k \quad (\text{B24})$$

$$678 \quad \mathbf{M}_D = \sum_k \mathbf{M}_k + \tilde{\mathbf{s}}_k \mathbf{F}_k \quad (\text{B25})$$

679 where  $k$  is an index looping over all points of the rigid structure. To shorten notations, we define the vector between the destination point and  
 680 a given point as:

$$681 \quad \mathbf{s}_k = \mathbf{r}_k - \mathbf{r}_D \quad (\text{B26})$$

$$682 \quad \mathbf{s}_{k0} = \mathbf{r}_{k0} - \mathbf{r}_{D0} \quad (\text{B27})$$

683 where  $\mathbf{s}_k$  is the vector between the displaced points and  $\mathbf{s}_{k0}$  is the vector prior to the displacement. Due to the rigid-body assumption, the  
 684 elementary displacements of the points are related as follows:

$$685 \quad \delta \mathbf{u}_D = \delta \mathbf{u}_j + \tilde{\mathbf{s}}_{j0} \delta \boldsymbol{\theta}_j$$

$$686 \quad \delta \boldsymbol{\theta}_D = \delta \boldsymbol{\theta}_j \quad (\text{B28})$$

687 from which one obtains the following relationships:

$$688 \quad \frac{\partial \mathbf{u}_j}{\partial \mathbf{u}_D} = \mathbf{I}, \quad \frac{\partial \boldsymbol{\theta}_j}{\partial \mathbf{u}_D} = \mathbf{O}, \quad \frac{\partial \mathbf{u}_j}{\partial \boldsymbol{\theta}_D} = -\tilde{\mathbf{s}}_{j0}, \quad \frac{\partial \boldsymbol{\theta}_j}{\partial \boldsymbol{\theta}_D} = \mathbf{I}, \quad \frac{\partial \boldsymbol{\theta}_j}{\partial \boldsymbol{\theta}_k} = \mathbf{I} \delta_{jk}, \quad \frac{\partial \boldsymbol{\theta}_j}{\partial \mathbf{u}_k} = \mathbf{O} \quad (\text{B29})$$

689 Using a similar Taylor expansion as for the case with two nodes, the perturbation loads are obtained as:

$$690 \quad \delta \mathbf{F}_D = \sum_k \delta \mathbf{F}_k \quad (\text{B30})$$

$$691 \quad \delta \mathbf{M}_D = \sum_k \delta \mathbf{M}_k + \tilde{\mathbf{s}}_{k0} \delta \mathbf{F}_k + \tilde{\mathbf{F}}_{k0} (\tilde{\mathbf{s}}_{k0} \delta \boldsymbol{\theta}_k) \quad (\text{B31})$$

692 The chain rule for a given quantity of interest ( $Q$ ) is obtained by summing over all the elementary variables:

$$693 \quad dQ = \sum_j \frac{\partial Q}{\partial \mathbf{u}_j} d\mathbf{u}_j + \frac{\partial Q}{\partial \boldsymbol{\theta}_j} d\boldsymbol{\theta}_j \quad (\text{B32})$$

694 For instance, applying the chain rule to  $\mathbf{F}_D$  and using Equation B30 leads to:

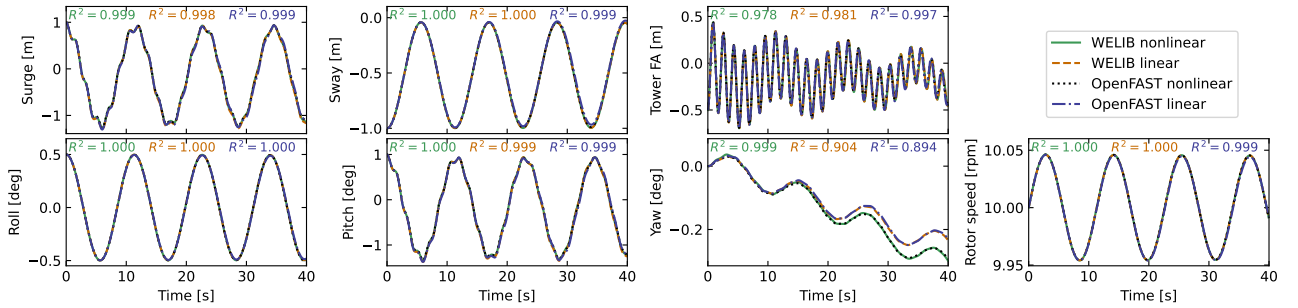
$$695 \frac{\partial \mathbf{F}_D}{\partial \mathbf{u}_D} = \sum_j \frac{\partial \mathbf{F}_D}{\partial \mathbf{u}_j} \frac{\partial \mathbf{u}_j}{\partial \mathbf{u}_D} + \frac{\partial \mathbf{F}_D}{\partial \boldsymbol{\theta}_j} \frac{\partial \boldsymbol{\theta}_j}{\partial \mathbf{u}_D} = \sum_j \sum_k \frac{\partial \mathbf{F}_k}{\partial \mathbf{u}_j} \frac{\partial \mathbf{u}_j}{\partial \mathbf{u}_D} + \frac{\partial \mathbf{F}_k}{\partial \boldsymbol{\theta}_j} \frac{\partial \boldsymbol{\theta}_j}{\partial \mathbf{u}_D} = \sum_j \sum_k \frac{\partial \mathbf{F}_k}{\partial \mathbf{u}_j} \quad (\text{B33})$$

696 Eventually, the Jacobians at the displaced destination node are obtained as:

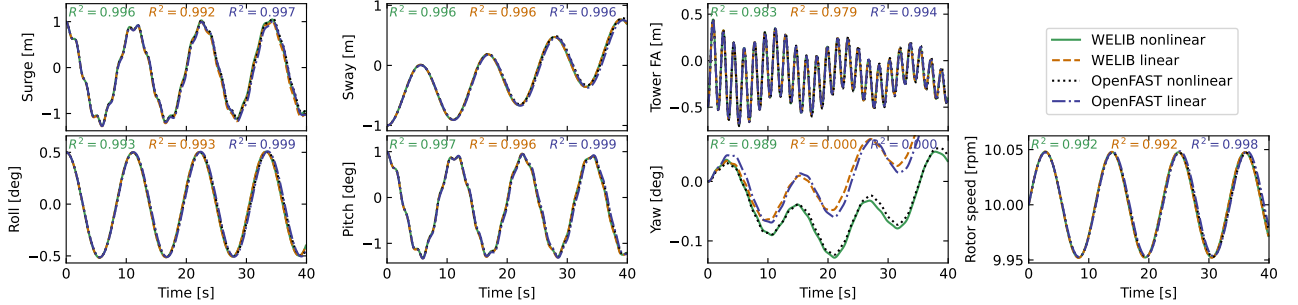
$$697 \left[ \begin{array}{cc} \frac{\partial \mathbf{F}_D}{\partial \mathbf{u}_D} & \frac{\partial \mathbf{F}_D}{\partial \boldsymbol{\theta}_D} \\ \frac{\partial \mathbf{M}_D}{\partial \mathbf{u}_D} & \frac{\partial \mathbf{M}_D}{\partial \boldsymbol{\theta}_D} \end{array} \right]_{\text{displaced}} = \sum_j \left\{ \sum_k \left( \left[ \begin{array}{cc} \mathbf{I} & \mathbf{0} \\ \tilde{\mathbf{s}}_{k0} & \mathbf{I} \end{array} \right] \left[ \begin{array}{cc} \frac{\partial \mathbf{F}_k}{\partial \mathbf{u}_j} & \frac{\partial \mathbf{F}_k}{\partial \boldsymbol{\theta}_j} \\ \frac{\partial \mathbf{M}_k}{\partial \mathbf{u}_j} & \frac{\partial \mathbf{M}_k}{\partial \boldsymbol{\theta}_j} \end{array} \right] \left[ \begin{array}{cc} \mathbf{I} & -\tilde{\mathbf{s}}_{j0} \\ \mathbf{0} & \mathbf{I} \end{array} \right] + \left[ \begin{array}{cc} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \tilde{\mathbf{F}}_{j0} \tilde{\mathbf{s}}_{j0} \end{array} \right] \right\} \quad (\text{B34})$$

## 698 Appendix C: Verification of the linear models

699 In this section, we supplement the results given in subsection 3.3.3 by showing free-decay results without hydrodynamics (no added  
700 mass, damping, hydrostatics). In Figure C1, we show results with the structure only, and results with the structure and moorings are reported  
in Figure C2. These results also include the nonlinear WELIB formulation. A strong agreement is found between the nonlinear OpenFAST



**Figure C1.** Free decay of the structure using nonlinear and linear models for a case including only the structure (no moorings, no hydrodynamics). Time series of the main DOF.



**Figure C2.** Free decay of the structure using nonlinear and linear models for a case including moorings (no hydrodynamics). Time series of the main DOF.

701

702 and WELIB models, and between the linear OpenFAST and WELIB models. The yaw degree of freedom appears to be more challenging

703 to capture for the linear models. We recall that OpenFAST and WELIB use different definition of the transformation matrices between the

704 degrees of freedom, which results in different structural dynamic equations.



## 705 Appendix D: Computation of section loads

706 In this section, we describe the nonlinear calculation procedure used in subsection 3.6.2 to assess the section loads along the tower based  
 707 on estimates of the structure kinematics and the loads at the tower top. For conciseness, in this appendix, we use  $x$  and  $z$  for the coordinates  
 708 along the tower fore-aft and tower height, respectively, instead of  $x_T$  and  $z_T$ .

### 709 D1 Tower fore-aft bending moment and shear force

710 The fore-aft and side-side moments are computed in the same way; therefore, this section focuses on the fore-aft direction. The sectional  
 711 fore-aft bending moment at a given tower height  $z$  is determined as:

$$712 \mathcal{M}_y(z) = \mathcal{M}_{y,\text{top}} - \int_z^{L_T} S_x(z') dz' \quad (\text{D1})$$

713 Here,  $\mathcal{M}_{y,\text{top}}$  is the fore-aft bending moment at the tower top, and  $S_x$  is the shear force in the  $x$  direction, obtained as:

$$714 S_x(z) = \int_z^{L_T} p_{x,\text{all}}(z') dz' \quad (\text{D2})$$

715 where  $p_{x,\text{all}}$  is the force per unit length acting on the tower section in the fore-aft direction, including contributions from the external loads  
 716 (aerodynamic loads on the structure), inertial loads due to the acceleration of the structure (including gravity), and nonlinear correction terms  
 717 from the loads in the  $z$  direction ( $p - \Delta$  effect, including self-weight effects). The different contributions are written as follows:

$$718 p_{x,\text{all}} = p_{x,\text{ext}} + p_{x,\text{corr}} - p_{x,\text{acc}} \quad (\text{D3})$$

719 In this work, we neglect the external loads on the tower,  $p_{x,\text{ext}} = 0$  (aerodynamic loads on the tower are typically small relative to rotor-thrust  
 720 loads for an operating wind turbine). The acceleration contribution is  $p_{x,\text{acc}} = -m(z)(a_{x,\text{struct}}(z) - a_{x,\text{grav}})$ , where  $m$  is the mass per length  
 721 along the beam,  $a_{x,\text{struct}}(z)$  is the acceleration of the section, determined based on the rigid-body acceleration of the floater and the elastic  
 722 motion of the tower ( $\dot{q}_T$  and  $\ddot{q}_T$ ), and  $a_{x,\text{grav}}$  is the acceleration of gravity in the  $x$  direction. The  $p - \Delta$  correction term due to the vertical  
 723 loading is computed as (see Branlard (2019)):

$$724 p_{x,\text{corr}} = \frac{d^2\Phi}{d^2z} \left[ \int_z^L p_z dx' + \sum_{z_k \geq z} \mathcal{F}_{z,k} \right] - \frac{d\Phi}{dz} \left[ p_z + \sum_k \mathcal{F}_{z,k} \delta(z - z_k) \right] \quad (\text{D4})$$

725 where  $p_z$  is the vertical load per unit length (mostly consisting of the self-weight),  $\mathcal{F}_{z,k}$  is the  $k$ th vertical force acting at point  $z_k$ ,  $\delta$  is the  
 726 Dirac function, and  $\Phi$  is the shape function used to describe the tower displacement field (see subsection 2.3.3). In our case, only the  
 727 vertical force acting on top of the tower is present,  $z_1 = L_T$  and  $\mathcal{F}_{z,1} = \mathcal{F}_{z,\text{top}}$ . The procedure to compute the section loads in the  $y$  direction  
 728 (using the  $p - \Delta$  correction as well) is similar.

### 729 D2 Tower and rotor-nacelle assembly kinematics

730 The determination of the tower section loads requires a knowledge of the tower kinematics, to compute  $\mathbf{a}_{\text{struct}}$ , and of the rotor-nacelle  
 731 assembly (RNA) kinematics, to compute the inertial contribution to the tower-top loads (see subsection D3). The position, linear velocity,

732 linear acceleration, rotational speed, and rotational acceleration of the floater (point  $F$ , body  $f$ ) are given respectively by:

$$733 \quad \mathbf{r}_F = \{x, y, z\}_i, \quad \mathbf{v}_F = \{\dot{x}, \dot{y}, \dot{z}\}_i, \quad \mathbf{a}_F = \{\ddot{x}, \ddot{y}, \ddot{z}\}_i, \quad (\text{D5})$$

$$734 \quad \boldsymbol{\omega}_f = \{\dot{\phi}_x, \dot{\phi}_y, \dot{\phi}_z\}_i, \quad \dot{\boldsymbol{\omega}}_f = \{\ddot{\phi}_x, \ddot{\phi}_y, \ddot{\phi}_z\}_i \quad (\text{D6})$$

735 where the notation  $i$  indicates that the coordinates of the vector are expressed in the inertial coordinate system. The transformation matrix  
736 from the floater to the inertial frame is obtained as  $\mathbf{R}_{f2i} = \mathbf{R}(\phi_x, \phi_y, \phi_z)$ , where  $\mathbf{R}$  is a function computing the rotation matrix. The tower  
737 base (point  $T$ , body  $t$ ) kinematics are obtained from the floater motion using rigid-body kinematics:

$$738 \quad \mathbf{r}_T = \mathbf{r}_F + \mathbf{r}_{FT}, \quad (\text{D7})$$

$$739 \quad \mathbf{v}_T = \mathbf{v}_F + \boldsymbol{\omega}_f \times \mathbf{r}_{FT}, \quad (\text{D8})$$

$$740 \quad \mathbf{a}_T = \mathbf{a}_F + \boldsymbol{\omega}_f \times (\boldsymbol{\omega}_f \times \mathbf{r}_{FT}) + \dot{\boldsymbol{\omega}}_f \times \mathbf{r}_{FT}, \quad (\text{D9})$$

$$741 \quad \boldsymbol{\omega}_t = \boldsymbol{\omega}_f, \quad \dot{\boldsymbol{\omega}}_t = \dot{\boldsymbol{\omega}}_f, \quad \mathbf{R}_{t2i} = \mathbf{R}_{f2i} \quad (\text{D10})$$

742 where  $\mathbf{r}_{FT}$  is the vector from the floater point to the tower base. The kinematics of a given tower section (point  $S$ , at height  $z$ ) are given by:

$$743 \quad \mathbf{r}_S = \mathbf{r}_T + \mathbf{r}_{TS} = \mathbf{r}_T + \mathbf{r}_{TS0} + \mathbf{u}_S, \quad (\text{D11})$$

$$744 \quad \mathbf{v}_S = \mathbf{v}_T + \boldsymbol{\omega}_t \times \mathbf{r}_{TS} + \dot{\mathbf{u}}_S, \quad (\text{D12})$$

$$745 \quad \mathbf{a}_S = \mathbf{a}_T + \boldsymbol{\omega}_t \times (\boldsymbol{\omega}_t \times \mathbf{r}_{TS}) + \dot{\boldsymbol{\omega}}_t \times \mathbf{r}_{TS} + 2\boldsymbol{\omega}_t \times \dot{\mathbf{u}}_S + \ddot{\mathbf{u}}_S, \quad (\text{D13})$$

$$746 \quad \boldsymbol{\omega}_s = \boldsymbol{\omega}_t + \boldsymbol{\omega}_{ts}, \quad (\text{D14})$$

$$747 \quad \dot{\boldsymbol{\omega}}_s = \dot{\boldsymbol{\omega}}_t + \dot{\boldsymbol{\omega}}_{ts} + \boldsymbol{\omega}_t \times \boldsymbol{\omega}_{ts}, \quad (\text{D15})$$

748 where  $\mathbf{r}_{TS0} = z\hat{\mathbf{z}}_t$  is the vector from the tower base to the undeflected section,  $\mathbf{u}_S$ ,  $\dot{\mathbf{u}}_S$ ,  $\ddot{\mathbf{u}}_S$  are the elastic motions of the section computed  
749 based on the shape function and the generalized coordinates, e.g.,  $\mathbf{u}_S(z) = \sum_j q_{t,j} \boldsymbol{\Phi}_j = q_t \boldsymbol{\Phi}(z) \hat{\mathbf{x}}_t$  (see Branlard and Geisler (2022)). We  
750 note that OpenFAST also includes a vertical motion associated with the deflection (referred to as a ‘‘geometric nonlinearity’’), which we  
751 neglect in this work. The transformation matrix from the section to the tower is  $\mathbf{R}_{s2t} = \mathbf{R}(-u'_{S,y}, u'_{S,x}, 0)$ , where  $u_{S,y}$  and  $u_{S,x}$  are the  
752 components of  $\mathbf{u}_S$  in the tower coordinate system, and the prime notation indicates the differentiation with respect to  $z$ . The rotation speed  
753 and acceleration of the tower section with respect to the tower base are:

$$754 \quad \boldsymbol{\omega}_{ts} = \{\dot{u}'_{S,y}, \dot{u}'_{S,x}, 0\}_t, \quad \dot{\boldsymbol{\omega}}_{ts} = \{\ddot{u}'_{S,y}, \ddot{u}'_{S,x}, 0\}_t \quad (\text{D16})$$

755 The kinematics of the tower-top point and nacelle (point  $N$ , body  $n$ ) are taken from the last section node (point  $S$  with  $z = L_T$ ). Yawing,  
756 tilting, and rolling of the tower top would change the orientation matrix, rotational velocity, and rotational acceleration of the nacelle. These  
757 kinematics are omitted here for conciseness. The kinematics of the center of mass of the RNA (point  $G$ ) are obtained using rigid-body  
758 kinematics, identical to what was used between point  $F$  and  $T$ .

### 759 **D3 Tower-top loads**

760 The tower-top loads are computed as follows:

$$761 \quad \mathcal{F}_{\text{top}} = \mathcal{F}_{\text{aero}} - \mathcal{F}_{\text{inertia}} \quad (\text{D17})$$

$$762 \quad \mathcal{M}_{\text{top}} = \mathcal{M}_{\text{aero}} - \mathcal{M}_{\text{inertia}} \quad (\text{D18})$$

763 where the aerodynamic loads are transferred to the tower top and where the inertial loads from the rigid-body RNA are:

$$764 \quad \mathcal{F}_{\text{inertia}} = M_{\text{RNA}}(\mathbf{a}_G - \mathbf{g}) \quad (\text{D19})$$

$$765 \quad \mathcal{M}_{\text{inertia}} = \mathbf{r}_{NG} \times \mathcal{F}_{\text{inertia}} + \mathbf{J}_G \cdot \dot{\boldsymbol{\omega}}_n + \boldsymbol{\omega}_n \times (\mathbf{J}_G \cdot \boldsymbol{\omega}_n) \quad (\text{D20})$$

766 where:  $\mathbf{r}_{NG}$  is the vector from the tower top to the center of mass of the RNA,  $M_{\text{RNA}}$  is the mass of the RNA,  $\mathbf{J}_G$  is the inertia tensor of the  
767 RNA at it's center of mass,  $\mathbf{a}_G$  is the linear acceleration of the center of mass of the RNA,  $\boldsymbol{\omega}_n$  is the rotational acceleration of the RNA, and  
768  $\dot{\boldsymbol{\omega}}_n$  is the rotational acceleration of the nacelle. The load calculation is first done in the coordinate system of the nacelle and then transferred  
769 to the coordinate system of the tower where Equation D1 is defined.

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