1 Dear Amy Robertson,

- 2 We have the pleasure of submitting our revised paper "Hybrid-Lambda: A low specific
- rating rotor concept for offshore wind turbines" (wes-2023-72) for consideration in the
 iournal Wind Energy Science
- 4 journal Wind Energy Science.
- 5 We are very grateful for the constructive feedback with lots of valuable suggestions
 6 from the editorial team and the reviewers which helped to improve our paper. In
 7 short, we want to highlight the major changes and additions:
- Design and optimization flow chart (Fig. 3.)
- 9 Additional study on applying the *Hybrid-Lambda* control strategies to a
 10 conventionally upscaled rotor (Fig. 9)
- Additional study on components costs and LCOE in addition to COVE (Fig. 20 and 21)
- Revision of Fig. 15, now showing absolute loads for all DLCs
- Restructuring and better explaining the concept of peak shaving, the transition
 between the TSRs and the twist offset (Sect. 2.1 and 3.2)
- Added a plot to compare the stiffness and mass distribution along the blade
 span with the reference turbine (Fig. 13)
- Furthermore, we have made all the necessary requested changes, and haveaddressed all comments of the reviewers (printed in black) in the detailed responsebelow.
- 21 Our responses to the referees are written in green.
- 22 Reformulated or added phrases for the revised manuscript are cited with blue fonts.
- Line, figure and table numbers in our answers are according to the revised
 manuscript. Line, figure and table numbers in the referees' comments are according
 to the initial manuscript. All new and updated figures are appended to this authors'
 response.
- We feel that based on the reviewers comments our paper has been sharpened and improved, especially in terms of clarity, readability and additional considerations, and now meets the required standards to be published. If any responses are unclear, or if you wish for additional changes, please let us know.
- 31 Sincerely,
- 32 Daniel Ribnitzky
- 33 On behalf of all authors -

34 **Referee 1:**

35 General comments

The manuscript addresses the philosophy and methodology for rotor re-design to 36 achieve a turbine that is better suited for electricity markets with high wind-energy 37 38 penetration. Subsequently, the methodology is applied, and the resulting example design is evaluated on main performance indicators. The research is well motivated 39 and introduced, with a clear description of the objectives. The main design philosophy 40 is clearly argued and described. However, the methodology has a few complicated 41 aspects that are challenging to understand. Particularly the aspect of pitching and how 42 43 that influences the design of the inner blade section requires very much attention from the reader to grasp and only emerges gradually throughout the story. Likewise, 44 which variables are optimised and how is not described in one place. In my opinion, 45 the manuscript would benefit from restructuring this, for which I have some 46 suggestions below, under 'Specific comments'. The results are interpreted fairly, with 47 sufficient criticism, properly supporting the final conclusions. 48

- 49 On the principal criteria for WES publications, I would evaluate this manuscript with:
- 50 Scientific significance: Excellent
- 51
- 52 Scientific quality: Mostly excellent to good, and fair for the treatment of
- 53 transition/pitch/optimisation
- 54
- 55 Presentation quality: Mostly good, for a challenging topic to explain, and again fair
- 56 for the treatment of transition/pitch/ optimisation

Although a rather extensive section with specific comments follows, I would like to
stress that I find the research very valuable and very well executed. I just want to share
my ideas with the authors to stimulate them to see the work from a slightly different
perspective. I'm happy with whichever way they use this information.

We thank the reviewer for the comprehensive, yet positive and encouraging feedback.
We feel that the paper essentially improved by clearly marking the design variables
and providing an overview of the design process with Fig. 3. We further improved the
description of peak shaving and the twist offset and how this influences the blade
design, as described below in more detail.

66 Specific comments

67 Design philosophy and methodology (of aerodynamic design and control) 68

- 69 I apologise up front for the lengthy discussion of this aspect. However, the authors
- 70 know how many variables interact in the performance of a rotor, let alone in its design,
- and they have ample experience in trying to convey that to others. My struggle to

provide clarity here will probably resemble theirs, so I hope this gives me someleniency.

There are a few aspects of the descriptions of the design that I found difficult to follow. 74 For instance, several design choices are explained and motivated during the execution 75 of the design activities, while they are already touched upon earlier in the description 76 of the methodology. There turns out to be a strong relation between the final control 77 philosophy and the aerodynamic design for low-TSR / strong winds. However, this 78 control philosophy only becomes clear in section 3.2, while several references to its 79 consequences are already used in the descriptions and clarifications in chapter 2 (e.g. 80 lines 122-132, 152-160) and section 3.1 (e.g lines 221, 250-252). 81

Currently, the rotor design methodology starts with the principle of having three 82 regions: a light wind / high TSR region, a strong wind / low TSR region and a peak 83 shaving region (which is introduced on line 119, without explicitly describing how peak 84 shaving is done). At this point, the reader perceives these regions as being fully 85 separated. During the transition from light winds to strong winds the TSR and the 86 induction drop instantaneously, so the RBM drops instantaneously as well. Therefore, 87 pitching would only need to be applied at even stronger winds, when the (separate) 88 peak-shaving region starts. This would allow for a straightforward design of the inner 89 blade for zero pitch and at the optimal AoA, for low TSR. This is also how it is described 90 in figure 1, right (apart from the dual goal for the induction factor). 91

However, the final control philosophy introduces a longer transition, due to the choice 92 of keeping rotational speed constant in a transition region (rather than reducing it 93 94 instantaneously). Consequently, the blade needs to be pitched in the transition region, and the pitch angle in the strong-wind region is no longer zero. Inherently, the 95 transition region is extended by this up to wind speeds where peak shaving is needed. 96 Therefore, there is no 'clean' strong-wind / low-TSR region, but this region is 97 immediately combined with peak shaving. It also seems that the term peak shaving is 98 often used loosely, to imply both control regions 2.2 and 2.3. As seen in figure 6, in 99 the strong-wind / low-TSR region the blade is not at constant pitch, so there are no 100 'unique' design conditions for the aerodynamic design for this region (i.e. with 101 constant TSR and constant pitch). These differences with the primary philosophy 102 103 explained in relation to figure 1 where initially very confusing to me.

We added a paragraph in Sec 2.1 to clearly address that switching between the operating modes is realized by a continuous reduction in TSR. We further clarified that a reduction in TSR alone is not enough to limit the loads and properly defined the term peak-shaving:

The transition between the operating modes introduces a new control region since the switching of the TSR is not a sudden change rather than a continuous reduction in TSR. In this paper, it is realized with a constant rotational speed (rpm) in region 2.2 as shown in Fig. 2. The reduction in TSR alone (with a constant rpm) is not enough to limit the loads. On the contrary, it is part of the design methodology to combine a reduction in TSR and pitching to feather for load limitation as further analysed in Sec.
3.1. Consequently, the so-called strong wind mode cannot be described with a
constant pitch angle. With increasing wind speed the pitch angle is gradually increased
towards feather to limit the flapwise RBM. This action will be referred to as peakshaving in the following.

It stands to reason that an extended transition region is beneficial. Without it, the drop 118 in TSR will be accompanied with a drop in BRM, but also a drop in power. Most likely, 119 power can be maximised in a transition region, if the BRM remains at its constraint. 120 This can be achieved with 1. constant speed and pitching (as chosen), 2. constant 121 (zero) pitch and a gradual reduction in rotational speed, or 3. a combination of speed 122 and pitch changes. Choosing for one of the first two (simpler) options is reasonable. 123 Unfortunately, this kind of logic using the level of system parameters is not provided. 124 Instead, the more complicated, implicit evaluation of the effect of speed and pitch on 125 load distribution is given (later), leaving it up to the reader to judge if this achieves the 126 desired global behaviour. 127

We thank the referee for these additional ideas on how to perform the transition between the operating modes. We added a paragraph about these options in Sec. 2.1. In fact, we ran optimization routines (in steady and uniform inflow and with rigid structures) to find the best combination of TSR and pitch in the transition region (and up to rated wind speed), that constrain the maximum flapwise RBM and maximize the power output. We did not address this solution in the paper since the advantages in terms of power output were only marginal.

Note, that the transition of TSRs could also be realized in different ways (e.g. reduction 135 or gentle increase in rpm). In fact, the optimal combination of TSR and pitch for the 136 transition region can be found by constraining the flapwise RBM and searching for the 137 optimum in the power coefficient. These optimization routines resulted in a gently 138 increasing rpm until rated wind speed. However, for all wind speed bins, the increase 139 in the power output was never larger than 0.5 % of rated power compared to the 140 constant rpm solution presented here. Consequently, the aforementioned alternative 141 for the transition region is not presented in this paper. 142

For better understanding of this approach, I recommend moving at least the top-left 143 of figure 6, to section 2.1. This speed control is so straight-lined, that it seems to be 144 more like a pre-meditated aspect of the design methodology than a consequence of 145 the execution of a design iteration. This graph will help understanding of many 146 aspects of section 2.1 that are currently unclear. Understandably, the authors did 147 learn from their early design experiments for the tuning of this graph (such as the 148 onset of the speed reduction at 15 m/s), but the same applies to the a-priory choice 149 of TSR 9 and 11. I think it is also necessary to already explain the consequence of this 150 speed control for the extent of the transition region, for pitch control, for the non-zero 151 152 pitch of the low-TSR design and for the non-constant pitch in strong winds (during 153 low-TSR operation in region 2.3). A schematised version of the bottom-left of figure 6 could be used for that as a qualitative pre-analysis. The shapes of the curve can easily 154

be described with qualitative arguments for all regions. As a follow up of this
description, it can then be clarified that the inner blade section is designed for a
different/non-zero pitch angle and how that pitch angle will be determined during the
design process. It would help to add this change in design pitch-angle to figure 1.

We agree that the rotational speed schedule is important for understanding thefollowing design steps and moved Fig. 2 to Sec. 2.1, as suggested.

Although the previous description is reverse engineered from the manuscript, I'm 161 fairly sure this captures (part of) the rationale of the authors. The concurrent change 162 of TSR (for constant-speed operation) and pitch angle, will therefore naturally lead to 163 the effects described in figures 4 and 5. As such, those graphs could support the 164 choice for constant speed plus pitch increase, instead of constant pitch with speed 165 reduction. However, the bottom-up approach that starts with the graphs in figures 4 166 and 5 and ends with exactly constant speed operation is neither convincing nor clear. 167 If the authors agree with (some of) this analysis, I suggest that they restructure the 168 story along similar lines of reasoning. 169

We agree that cause, reason and prerequisites were not always clearly separated and
indicated in the initial manuscript. We therefore moved the description of the
rotational speed schedule up front to Sec. 2.1, as suggested. The additional changes
to the manuscript are printed in the two answers above.

Up to this point, I agree with the overall philosophy for design and operation. On top 174 of this, the authors introduce two aspects, which I'd like them to either reconsider, or 175 support more clearly. These aspects are the twist offset towards stall for the inner 176 blade and the dual goal for its induction factor (0.33 in high-TSR operation and 0.21 in 177 low-TSR operation). I will start with the dual goal for induction, as this is easier to 178 address. The principle of the design is to provide power by the outer blade section in 179 light winds, to reduce loads on this section in strong winds and to let the inner section 180 take over power production in strong winds. Power production in strong winds is 181 considered to be important for offshore wind turbines, since these have a high 182 183 probability of occurrence. Hence the interest of the authors in good peak-shaving performance. All these intentions, given by the authors, are counteracted by 184 prioritising the induction factor optimisation of the inner blade for power production 185 in light winds. As above, I would agree if the authors used an analysis of what happens 186 to the induction factor as argument for the choice of a constant speed-increasing pitch 187 transition: if the inner blade section is designed for an induction factor of 0.21 with a 188 positive pitch angle, then it will have a higher induction factor at zero pitch and high 189 TSR, which is a welcome advantage. This advantage is again a natural consequence of 190 the pitch and TSR actions. The need to fine-tune this with a dedicated design for an 191 induction factor of 0.33 in off-design operation is insufficiently argued. 192

The referee is correct in her/his description of the dual goal for the induction factor of
the inner blade section. In fact, the blade design for the inner section is driven by two
objectives. First, a traditional axial induction factor distribution of constant 0.33, as

the aerodynamic optimum for power production in light winds (high TSR, fine pitch of 196 -0.8°). And second, a low induction rotor design of around 0.21 with decreasing axial 197 induction factor towards the tip in strong winds (low TSR, positive pitch) for load 198 reduction. For power maximization in light winds both parts (inner and outer blade 199 section) are important. While in strong winds first of all the inner part is important, 200 since the outer part does not contribute much anymore to the power production and 201 202 the loads. This somehow delicate design compromise is definitely not achievable with a conventional rotor design and the fact that we could achieve it already explains how 203 we "integrate the application of peak shaving into the design process" which was also 204 questioned in other comments by the referees. We added a justification to the 205 description of the light wind mode in Sec. 2.1: 206

The inner part of the rotor operates like a conventional rotor with an axial induction factor close to 0.33. This is chosen in order to maximize the power output in light winds. But the reader should bear in mind that this part is not operating at its design point, as it is designed for a lower TSR of 9.

Then, the twist offset. If I'm correct, this offset is relative to its optimal AoA in low-TSR operation, although that conflicts with the information in figure 1. Also here, in terms of design philosophy this doesn't make sense in a first-order rationale: The primary goal for the inner blade is power production in strong winds, so a compromise of the design for low-TSR operation should be very strongly supported.

Here we at least partly disagree with the referee. Yes, the twist offset is applied relative 216 to the optimal AoA in the low-TSR operation. The twist offset defines the difference in 217 the axial induction factor between the light and strong wind mode for the inner blade 218 section, as well as the resulting pitch angles in the strong wind mode (e.g. how much 219 we need to pitch to limit the loads). In fact, the pitch angle of 2.2° at $v_{shift end}$ almost 220 perfectly counterbalances the twist offset of -2.5°. Hence, the inner part of the blade 221 operates in it's optimal AoA (at this wind speed) and we don't see a conflict with Fig. 1. 222 We further have a different view on the described "primary goal for the inner blade". 223 The design is highly driven by compromise findings and the goals can't always be 224 categorized into primary and secondary. We would rather like to highlight the equality 225 of the two objectives: Power maximization in light winds by a drastic increase in rotor 226 diameter and limitation of the loads in strong winds with reduced power losses. 227

Furthermore, I'd like to go into the description of the effects of the twist offset, that 228 are used to argue its need. In much of the operational region of the aerofoils, the lift 229 coefficient depends nearly linearly on the AoA. Thus, pitching is almost equally 230 effective with and without the twist offset. Likewise, the effect of changing TSR on the 231 change in lift coefficient over the blade span (relating to figure 4) is hardly dependent 232 on a twist offset, since it is an effect on inflow angle: the change in AoA is not affected 233 by an offset. Furthermore, for the system-level phenomena that are discussed, the 234 optimality of the AoA hardly matters, so the offset of the twist is effectively relative to 235 an arbitrary AoA. This also makes the discussion in lines 294-299 confusing or even 236 misleading: Optimum AoA only tells something about the lift over drag ratio. For this 237

special design and for the many off-design conditions (dual TSRs, transition pitching 238 and peak-shaving pitching) it doesn't tell anything about the bigger picture for 239 induction, power coefficient or thrust coefficient. Because of the operation at high TSR 240 (with zero pitch) and at low TSR (with positive pitch), both the inner blade section and 241 outer blade section have fundamentally two operating AoAs. I would be more 242 concerned about how these two points are situated in the region between maximum 243 lift coefficient/stall and minimum/negative lift. If the margins to those are good, then 244 I would prioritise the optimum AoA under the principal design conditions (inner: low 245 TSR – outer: high TSR) and not the off-design conditions (inner: high TSR). 246

The twist offset indeed introduces very complex effects on the blade design, the 247 aerodynamics and the resulting control strategy. We apologize if this was not clearly 248 discussed in the paper and we tried to improve the comprehensibility in the revised 249 manuscript. But, we also want to clarify that the optimality of the AoA (lift to drag ratio) 250 251 indeed does matter. As described in Burton et al. (2011) (Fig. 3.26 in chapter 3.7.5.) the lift to drag ratio has a non-neglectable influence on the power coefficient. The 252 referee is correct in stating that pitching changes the lift coefficient equally, 253 independent of the "starting-AoA", since the behaviour is linear throughout the 254 operating range. But, it is not equally effective (e.g. how much do we lose in terms of 255 power coefficient) since there is a difference if you pitch away from the optimum lift 256 257 to drag ratio or towards the latter. By introducing the twist offset it is possible to pitch the blade to feather (for load reduction) while increasing the lift to drag ratio for the 258 inner blade section (keeping the aerodynamic performance high). With that we 259 realized exactly what the referee suggested: "prioritise the optimum AoA under the 260 principal design conditions (inner: low TSR – outer: high TSR)". This can be seen in Fig. 8 261 for the angle of attack in strong wind mode. Here, also the stall angle and the angle 262 for the maximal lift to drag ratio is indicated. We agree, that part of the mentioned 263 benefits (e.g. the change in the AoA distribution) could also be realized without the 264 twist offset, just using the reduction in TSR and pitching to feather. At the end, the 265 twist offset is also a tool to tune the difference in the axial induction factor between 266 the light and strong wind mode for the inner blade section and allows to use smaller 267 268 chord lengths. This further leads to a more slender, lighter and possibly cheaper blade. We reformulated the last paragraph in Sec. 2.1 to address the advantages of 269 the twist offset more clearly: 270

Furthermore, we design the blade in a way that peak shaving is applied more 271 efficiently. The inner section is designed with a twist offset towards stall. This comes 272 with several advantages. The inner section does not operate in the design point in the 273 low wind regime. As it is twisted towards stall and operated at a higher TSR than it was 274 275 designed for, a fairly conventional induction factor of 0.33 can be reached, which leads to an increase in the power coefficient in the low wind regime. The angle for the twist 276 offset is derived iteratively in stationary blade element momentum (BEM) simulations 277 to reach the desired axial induction factor of 0.33 in the inner section at the high TSR. 278 Using the twist instead of the chord length as a tool for this increase in the axial 279 induction factor allows to use smaller chord lengths which leads to more slender, 280

lighter and possibly cheaper blades. Hence, the twist offset defines the difference of 281 the axial induction factor between the light and strong wind mode for the inner part 282 of the blade and it further influences the pitch angle at $v_{shift.end}$ that is needed to limit 283 the loads. In fact, the pitch angle of 2.2° at $v_{shift,end}$ almost perfectly counterbalances 284 the twist offset of -2.5°. Hence, the inner part of the blade operates in it's optimal lift 285 to drag ratio at this wind speed, although the entire blade is already pitched to feather 286 for load reduction. When peak shaving is applied, pitching shifts the inner section to 287 operate at its aerodynamic optimum rather than moving away from it. It reaches its 288 design point (an induction factor of 0.21 at the low TSR), which is beneficial for load 289 reduction. In contrast, the outer section is now operated in a "pitched-to-feather-290 291 condition" and is greatly relieved. The limits to this methodology are negative lift and the stall angle. The latter is also plotted in Fig. 8. 292

I concur that the authors might prove to be correct in their arguments for deviation 293 from the first design principles, to fine-tune the performance. However, there is so 294 much going on, that I don't think it helps understanding the fundamentals. Obviously, 295 the design principles of the Hybrid-lambda rotor can be combined with other 296 philosophies, such as induction reduction towards the tip. However, a separation of 297 298 effect would be beneficial for obtaining better insights. Induction reduction is here primarily achieved by the Hybrid-lambda design, and secondarily by the inner section 299 design adaptations. Possibly, the authors already have experimented with the 300 straightforward design approach and have found it to lead to unacceptable 301 behaviour. In that case, it would be helpful to describe that more explicitly. 302

303 Thank you for the suggestion. Indeed, we tried to separate the effects as best as possible to identify the potential of each design decision (e.g.: Using a step-wise 304 distribution with only two TSRs, rather than a continuous change in TSR; Separating 305 aerodynamic and elastic effects at first; Separating instationary effects and the 306 influence of controller tuning; etc.). However, we did not want to further complicate 307 the reading by describing several design versions of the Hybrid-Lambda rotor (there 308 were definitely many versions developed on the long path to the final design 309 310 presented here). To provide a clear reference we introduced the scaled conventional blade which uses simple peak-shaving (only pitching to feather to limit the loads), as 311 printed in line 369. 312

313 **Optimisation procedure**

- 314
- 315 The design methodology (chapter 2) describes how the blade is designed for a
- 316 particular rotor diameter and doesn't describe if and how rotor diameter is
- optimised. Rotor diameter also doesn't appear as design variable in the optimisation
- methodology (of section 2.3), where these variables are declared on lines 175-176.

Section 2.3 describes the methodology for the structural design and optimization andthe aeroelastic investigations. The rotor radius is not mentioned here since this is

analysed in the previous aerodynamic design step. The design flow chart (added to

- Sect. 2.1 of the revised manuscript and additionally displayed in the appendix of thisauthors' response) will help to clarify our workflow. We added to the beginning of
- 324 Sec. 2.3:

To further investigate the feasibility of the Hybrid-Lambda Rotor we develop a structural model for the blade. The workflow described in this section is carried out after freezing the design output-variables rotor radius and the chord and twist distribution. A link back to the aerodynamic optimization was only performed for a few major design versions, as indicated in Fig. 3.

Perhaps what is described there is a nested optimisation (inner level), but that is not described. As it is, the value of 326 m for rotor diameter on line 216 comes out of the blue. Similarly, it isn't clarified in the methodology how the spanwise transition point will be determined. The effect of both variables is discussed later (lines 254-280), which implies that they are also design variables (according to line 213). It would be helpful to know in advance how these design variables are incorporated in the methodology.

We want to give the reader an impression of the size of the rotor up-front. We tried to 337 keep the description of the methodology (chapter 2) as general as possible in order 338 to provide a design idea that can be adopted to different wind turbine design 339 problems (see lines 100-104). Starting with the results in chapter 3, we are explicitly 340 explaining the concept on the basis of the worked-out example (15 MW, 326 m 341 diameter). The effect of the rotor radius (and specific rating) is described later in lines 342 279-294. The effect of the spanwise transition point is discussed in lines 296-304. We 343 believe it is meaningful to provide the reader with a short overview first (mentioning 344 radius and spanwise transition point) and then explaining the effects later in the same 345 section (3.1). We added a paragraph to the beginning of chapter 3 in order to clarify 346 this: 347

In this chapter, we focus on the given use-case of the 15 MW offshore wind turbine, 348 no longer generalizing the concept, in order to simplify the understanding. This means 349 only one specific turbine diameter is presented here, although the influence of the 350 rotor radius as a design variable was investigated and is further described below. We 351 first address the resulting blade design and the influence of certain design variables. 352 Table 2 summarizes general turbine parameters. The second part deals with loads, 353 axial induction, angle of attack and power generation under steady and uniform 354 inflow conditions. This is followed by the results of the structural design and the aero-355 servo-elastic investigations. 356

Along similar lines, lines 78-79 describe that the objective function (implied: for rotor optimisation) is COVE. However, the optimisation of the tower is only described later.
It is not clear whether this tower optimisation is included in a global exploration or nested optimisation in this optimisation of COVE.

We clarified this in the design flow chart. The objective function for the blade structural optimization is COVE, as stated in line 213. The objective function for the tower design is the combined structural mass of tower and monopile, which is mentioned in line 228.

365 On line 178, a stall margin is introduced as a constraint for the optimisation. It is not 366 clear how this is implemented, since the aerodynamic design methodology doesn't 367 (explicitly) accommodate that.

- 368 We further explained the stall margin constraint:
- 369 Constraints for the optimization process are tip deflection, blade eigenfrequencies

370 (must be above the rated blade passing frequency, 3P), the strains in the spar caps

and a stall margin. The latter would only be active if the change in the airfoil position

leads to an operating angle of attack larger than the stall angle of the respective airfoil

373 (chord and twist are not optimized in this structural design step).

Line 180 states that this optimisation is done for a wind speed of 6.9 m/s, but it is not clear to the reader how this can be known. The wind speed at which the light-wind mode ends even seems to be a consequence of the optimisation itself, considering its dependence on rotor diameter.

378 Although the rotor diameter is not a free design variable in the structural optimization,

the wind speed $v_{shift,start}$ is explicitly calculated for every design iteration in WISDEM

380 (with the code changes applied by the authors). We added this description to the

381 methodology:

For each iteration the schedule of rpm, pitch, power, thrust and flapwise RBM over wind speed is re-calculated. The considered load case for the constraints is a steady inflow at the strongest wind speed in the light wind mode $v_{shift,start}$, as calculated for each design iteration (in this case $v = 6.9 \frac{m}{s}$, TSR = 11, $\beta = -0.8^{\circ}$).

All in all, I was somewhat confused about which aspects were optimised in a numerical 386 optimisation, which aspects were determined in an analytic design approach and 387 which aspects were designed with the authors in the loop. Relating to that, it wasn't 388 always clear in which order the various design variables were fixed. It would be helpful 389 to clarify that in the beginning, perhaps with a flow chart of the entire process. In 390 addition, it would help to categorise the variables in table 2 (fixed/chosen, design 391 variables, properties, ...). In the results, I propose to start with the discussion of rotor 392 diameter and spanwise transition (lines 254-280), since these are two high-level 393 system parameters. 394

We thank the referee for the idea of a design flow chart. We added this to the revised
manuscript for further clarification (see also the appendix of this authors' response).
We further added a description of the design flow chart to line 153:

The overall design and optimization workflow is illustrated in Fig. 3. The process can 398 be explained in four steps: An aerodynamic blade optimization, an aero-structural 399 optimization of the blade, a structural optimization of the tower and the aero-servo-400 elastic simulations. In the first step (aerodynamic optimization) the design variables 401 are the transition point between the inner and outer blade section, the design TSRs, 402 the design axial induction factors, the twist offset and the design angle of attacks. 403 404 Once a reasonable design is established the influence of the rotor radius is investigated. In the second step (the aero-structural optimization) the design variables 405 are the airfoil positions and the spar cap thickness. When this step is converged the 406 aerodynamic optimization is re-calculated once with the new airfoil positions. As a 407 third step the tower and monopile are optimized for a fixed rotor design. The resulting 408 turbine design is then investigated in aero-servo-elastic simulations. 409

410 We further classified the variables in table 2 to highlight the differences between

- 411 optimized design parameters and predefined parameters. When explaining the effect
- 412 of certain design variables in lines 278-317, we changed the order as proposed.

413 **Results**

- 414
- The design is assessed on AEP, revenue and COVE. Although the design is intended to 415 advance from LCOE optimisation, it would be interesting to add how well the new 416 design and reference perform on that metric. This would help understand to which 417 418 extent the new design is a conventional improvement on LCOE, and which part can be attributed to the adaptation to the market conditions. This is similar to the 419 comparison between AEP and revenue, which is already made. In addition, it might be 420 useful to show and discuss some cost results separately, and not only hidden inside 421 COVE. 422
- 423 We included the LCOE in Fig. 20 and added:

This figure also includes the LCOE to give an insight on how much of this reductioncan be attributed to cost and AEP optimization versus the adaption of the marketconditions.

- 427 We further corrected a typo in the legend in Fig. 20:
- 428 Optimized blade design Initial blade and tower design

429 Discussion

430

431 There are good messages in the discussion. I would recommend discussing only

aspects that are closely related to the proposed concept and the results of this study.

433 Adding other concepts/technologies (such as actuators and bend-twist coupling) is not

- 434 specific to this concept (or at least it isn't argued why a combination would be of more
- 435 interest than for conventional designs). There are numerous other concepts that
- 436 could otherwise be named as well.

We used the description of additional actuators and the accompanying disadvantages
to highlight the benefits of the Hybrid-Lambda rotor. We further like to mention the
bend-twist coupling since we believe that including blade torsion to the simulation
model only makes sense with a substantial redesign of the blade twist, accounting for
and counterbalancing the blade torsion. We therefore decided to keep theses
descriptions in lines 752-757 and 767-771.

In my opinion, the generalisation of the method to continuous variable-TSR operation
(with variable spanwise induction optimisation) is the most interesting part of the
discussion. It could be considered to dive a little deeper into this.

Our idea was to distribute the design TSR over the blade length with a continuous 446 function. This could enable three advantages. First, the steep gradient in the blade 447 design (twist and chord) would be reduced which simplifies the structural design. 448 Second, the axial induction and angle of attack distribution would be smoother. Steep 449 gradients might lead to additional trailing vortices and a continuous distribution might 450 be beneficial. Third, since lowering the operational TSR is a continuous control action 451 there would always be some part of the blade operating in its design point. The further 452 the operational TSR is reduced, the further this part would move along the blade 453 towards the root. Like this, one could generalize the concept to a continuous TSR 454 reduction towards rated wind speed, not using distinct light and strong wind modes 455 anymore. Since all these thoughts and ideas are speculations so far and we couldn't 456 457 find the time to implement such a design idea, we decided to not further explain the idea in the paper. We will keep it in mind for further publications. 458

459 Conclusions

460

On line 710-713 you state that peak shaving is integrated into the design process. As 461 you have seen in my earlier comments, I found this part somewhat confusing. I 462 struggled with the use of the term peak shaving for both the transition region and for 463 the conventional peak-shaving region. Furthermore, the bottom-up argumentation 464 for the chosen control was difficult to follow. It didn't give a reproducible procedure 465 to merit the name 'integration in the design process'. To claim this integration, I would 466 like to see at least a stricter process for this particular part of the design approach, 467 such as could be given with a flow chart, a formal optimisation problem description 468 or graphs with dependencies on relevant design variables. As outlined above, in my 469 opinion you provide arguments for a sensible choice of operation in the transition 470 region, but that wouldn't go as far as a design process. As it is, you only show one 471 design point, with only circumstantial evidence that it provides superior performance 472 thanks to the claimed mechanisms. Perhaps a similar combination of speed and pitch 473 control can achieve similar performance for peak shaving with a conventional rotor 474 475 design.

Having said that, the conclusions provide a concise overview of the relevant insightsthat have been achieved with this research.

It is one key aspect of the proposed design methodology to consider the fact that the 478 blade will be pitched to feather before rated power is reached to limit the loads. Since 479 the blade designer knows this already, it should be integrated in the blade design 480 process beforehand. We agree that we didn't provide a clear evidence in the paper, 481 about how this is done. We further thank the referee for the idea of comparing the 482 performance with a conventional blade where both, pitch and TSR, are optimized 483 when peak shaving is applied. We carried out an additional study and applied similar 484 control optimization strategies to the scaled version of the IEA 15 MW turbine. This 485 breaks up to what extent the improvements result from the change in the control 486 strategy and to what extent from the integration in the blade design. We added the 487 results to Fig. 9 and added a descriptive paragraph: 488

The green dashed line indicates the power curve of the reference blade that is 489 geometrically scaled by the same factor and conventional peak shaving is applied to 490 limit the flapwise RBM. This means only the pitch angle is set to a higher value to 491 constrain the flapwise RBM while the rpm follows the design TSR. In contrast, the black 492 dotted line represents the same blade (geometrically scaled IEA 15 MW) but peak 493 shaving is applied in a similar manner as for the Hybrid-Lambda Rotor. This means 494 for $v > v_{shift,start}$ the rpm is kept constant until the operational TSR is reduced from 9 495 to 7. For $v > v_{shift,end}$ the rpm schedule follows the TSR of 7 which is an arbitrary 496 choice in this case and should be optimized in a detailed design study. In addition, the 497 pitch angle is set for $v > v_{shift,start}$ in order to limit the flapwise RBM. In short, we are 498 applying the Hybrid-Lambda control strategy to a conventional blade design. The 499 results show that the power output can be greatly increased if the TSR is lowered in 500 region 2.2 and 2.3 (compare green dashed and black dotted line in Fig. 9). Thus, peak 501 shaving should not only be accomplished by increasing the pitch angle, but also by 502 optimizing the operational TSR with respect to the load constraint (as also indicated 503 by Madsen et al. (2020)). Since the results show that a reduction of the operational 504 TSR is beneficial in the peak shaving region it makes sense to account for this fact 505 already in the blade design which is integrated in the Hybrid-Lambda design 506 methodology. Indeed, the Hybrid-Lambda Rotor enables even lower power losses in 507 the peak shaving region since the TSR reduction is already accounted for in the blade 508 design (compare solid red and dotted black line in Fig. 9). The turbine concept reaches 509 its rated power at 10.2 $m s^{-1}$, which is 0.4 $m s^{-1}$ lower than the reference turbine. 510

511 Smaller comments about the content (in order of appearance)

On line 53 a similar design philosophy from Wobben is mentioned. This is
 later discussed on line 656, where it becomes clearer in which sense that
 philosophy differs. It could be useful to clarify this already in the
 introduction.

516 We moved the description to the introduction, as proposed:

This concept follows the objective of reducing unintended stall effects on the blade of
a variable-speed turbine in gusty winds. It was not used to enable large rotors with
low specific ratings, as pointed out with the *Hybrid-Lambda* concept.

• Line 64 (and many other places): The authors use 'zero pitch' for the operation 520 of the blade at design conditions. This is implicitly defined on line 64. However, 521 many blade designers and control designers define the structural twist with 522 respect to zero twist at the tip and then use something like 'fine pitch' to get 523 the design twist at the tip. Thus, this offsets the definition of pitch from the one 524 used in this manuscript. It seems that even the authors confused themselves 525 about this, since figure 6, bottom-left, shows a negative pitch angle for high-526 TSR operation. The chosen definition could be made more explicit (and used 527 consistently). 528

The term zero pitch should indicate only that the pitch angle is at zero degrees. In many blade design studies the so called fine pitch, that leads to the maximum power coefficient at design TSR, can deviate slightly from zero degrees, as it does in our study. We clarified this, using the term "zero pitch" only if zero degrees are meant and using "fine pitch" when the pitch for optimal c_p is meant. We added to the description of Fig. 2:

From $4 m s^{-1}$ on, the rotor operates at the high TSR of 11 in the light wind mode and a fine pitch angle of -0.8° which leads to the maximum power coefficient. This pitch angle is called fine pitch since the pitch angle for optimal c_p was derived after the blade design was concluded.

- Line 257-258: The sentence 'If ... reached' is not so clear.
- 540 We added the respective control region numbers for clarification:

If the rotor radius is enlarged, the power output is increased before the limiting loads
are reached (e.g., in region 1 and 2.1). But at higher wind speeds, when peak shaving
is applied (in region 2.2 and 2.3), the blade must be pitched further and power losses
are more pronounced.

The authors claim on lines 319-320 that the reduced thrust coefficient leads to much lower wake losses. This cannot be known, since the effect of increased rotor diameter cannot be ignored. The increase in rotor diameter will extend the wake over longer distances and over a wider area. The next sentence implies that actually more momentum is taken from the wind.

550 We addressed the wake losses of the *Hybrid-Lambda Rotor* in a separate publication, 551 which is accepted but not published yet (Ribnitzky, Bortolotti, Branlard, Kühn: *Rotor* 552 *and wake aerodynamic analysis of the Hybrid-Lambda concept - an offshore low-specific-*553 *rating rotor concept,* JoP conference series, 2023). Results show an increased power 554 output on a two-turbine set-up even though the rotor radius is enlarged and even in a scenario of constant absolute spacing (compared to the IEA 15 MW). We added thecitation:

The wake losses of the Hybrid-Lambda rotor are addressed by Ribnitzky et al. (2023).
Results show significant advantages even in a scenario with constant absolute spacing
(compared to the IEA 15 MW reference turbine).

Lines 353-362: Does Wisdem take the special care that is meant here? For
 instance, this region would experience stress concentration. Is that accounted
 for? Otherwise, the reduction in spar-cap thickness could be more related to
 model simplification than to optimisation.

PreComp estimates equivalent sectional inertia and stiffness properties for 2D cross sections with the help of a modified classic laminate theory. It's not a 3D finite element model, hence gradients in the stiffness distribution in blade spanwise direction are not considered. However, for each cross section realistic stiffness properties are derived and the resulting material stresses are calculated. We further added the stiffness distribution and compared it to the IEA 15 MW (as requested by the second referee). We added a note to the manuscript:

Here, the reader should bear in mind that the structural solver *PreComp* is a 2D cross
sectional solver and does not account for stress concentration due to rapid changes
in the geometry in span wise direction.

Lines 388-390: This description is ambiguous. In region 2.3 the blade has
 variable pitch, so there is no unique c_P for this region. Could this be clarified?

This is correct, c_p is changing with the wind speed in region 2.3. But, from steady state simulations the desired c_p is known as a function of wind speed (or in the given case of eq. 6, as a function of rotational speed). We added the dependency in the equation and added:

580
$$M_g = \frac{\pi R^5 \rho c_p(\omega)}{2\lambda^3} \omega^2$$

Note, that there is no constant c_p in region 2.3 since the pitch angle is a function of wind speed. Hence, the desired c_p from steady state simulations is implemented as a function of rotational speed.

 Lines 400-402: It is described that a conventional look-up table was not found to perform sufficiently well. Could it be clarified whether this means that something else has been implemented? This seems to be the implication, since this section is about the controller design, and not about its evaluation.
 Therefore, this doesn't seem to be simply an observation of performance, but a reason for change. 590 We implemented two versions of the controller. The advanced controller with the load 591 feedback was only applied for the investigations in Sec. 3.4.2. We clarified this by 592 describing and naming both controller versions:

For the pitch controller two versions are implemented. The first version is referred to as simplified controller and implements the transition of the TSR and a look up table for the pitch signal for regions 2.2 and 2.3. This simplified controller is used for the load case calculations in Sec 3.4.3. A second version is developed that features a feedback from the flapwise RBMs, further referred to as load feedback controller and it is applied in Sec. 3.4.2.

599 Lines 403-404: Could the authors explain what is meant by 'minimal' and • 'reduce' compared to what? The previous descriptions of prescribed pitch do 600 not seem to relate to the region where RBM load control is needed, or is it 601 (dynamically)? The later text (lines 412-413) implies that 'minimal' refers to the 602 steady-state pitch angle that was previously discussed. It would be helpful to 603 get this information first. Having said that, lines 457-459 state that this 604 controller is not used. Therefore, I would recommend removing this entire 605 description of the (dynamic) load controller. 606

As discussed above, the load feedback controller is used in Sec. 3.4.2 and should
therefore be described in the manuscript. Although there is a mismatch with the line
numbers the authors are guessing that the term "minimal pitch" is causing confusion.
We therefore changed the naming to reference pitch, which is meant to be the output
signal of the controller. We further added the controller region numbers where the
load limiter is usually active.

Thirdly, in parallel to these two functionalities, we implemented a load limiter (for region 2.2 and 2.3). (...) As long as the RBM feedback is larger than the constraint, the reference pitch value (output of the controller) is increased, thus not allowing the blades to reduce its pitch angles, which would further increase the RBMs. The change of the reference pitch angle is proportional to the difference between the RBM feedback and the constraint.

Lines 468-470: Are the 'quasi-steady loads' determined by dynamic simulation with uniform and constant wind speed? That is not the same as quasi-steady (even though the outcome might be similar). Could the procedure for this assessment be described with a little bit more detail?

This term describes simulations with steady and uniform inflow, steady state operation (steady pitch and rotational speed), including elastic deformations on the turbine structure. As also suggested by referee No. 2, we changed the wording to "steady-uniform inflow loads".

Lines 478-480: This statement seems to contradict the earlier description. Does
 this only apply to the tip deflection? Why wouldn't the same argument apply to
 flapwise RBM and thrust?

630 Indeed, we investigated two wind speeds (v_{rated} and $v_{shift,start}$) for the steady-inflow 631 cases and choose to display the more severe load case. For the flapwise RBM it doesn't 632 matter since the load level is the same for the two wind speeds as defined by the 633 design methodology. For the edgewise RBM, the thrust and the tower base bending 634 moments it is the load case at v_{rated} . For the tip-to-tower-clearance it is $v_{shift,start}$. We 635 clarified this in the revised manuscript:

First, the white bars illustrate the maximum loads under steady and uniform inflow including elastic deformations. Two wind speeds (rated and $v_{shift,start}$) were investigated and the more severe case is displayed here.

Lines 487-488: This describe the normalisation of the loads. It doesn't mention 639 • that a different normalisation is used for operational load cases and storm load 640 cases. It would be useful to mention this up front, to avoid confusion with 641 interpretation of the results later. This use two different normalisation values 642 might even be reconsidered, even though I can see arguments for its use. 643 Nevertheless, in the discussion and conclusions the authors now need to warn 644 the reader that values for operational load cases and storm load cases cannot 645 be compared directly. On line 678, they state that this is due to using relative 646 values, but it is actually due to using different reference values for each. 647

648 We see the disadvantages of using normalized load levels and choose to display only 649 absolute values for both, the *Hybrid-Lambda Rotor*, as well as for the reference turbine. 650 The updated Fig. 15 (appended to this authors' response) now includes more 651 information about the distinctive load levels. We further decided to show the tip-to-652 tower-clearance instead of the out-of-plane deflections since this variable is more 653 design driving. The descriptive pats in the manuscript (Sect. 3.4.3) are updated 654 respectively.

Figure 15 presents the ultimate loads of the *Hybrid-Lambda Rotor* with solid bars and 655 those from the reference turbine with hatched bars. Three groups are distinguished 656 657 by their texture. First, the white bars illustrate the maximum loads under steady and uniform inflow including elastic deformations. Two wind speeds (rated and $v_{shift,start}$) 658 were investigated and the more severe case is displayed here. Second, the grey bars 659 show the theoretical load increase according to the generic scaling law as described 660 by Gasch and Twele (2012), which would apply to a geometrically scaled reference 661 turbine without changing the aerodynamic concept (e.g. scaling the steady-inflow 662 loads of the IEA 15 MW, displayed with white hatched bars). (...) The unloaded tip-663 to-tower-clearance scales with *n*, too (neglecting gravitational effects). Thus, the 664 loaded tip-to-tower clearance scales with *n* as it is the difference of two 665 variables, both scaling with *n* (the unloaded tip-to-tower clearance and the 666 maximum tip deflection with the blade in front of the tower). These scaling 667 factors are only an indication for the upper bound since the design 668 methodology of the Hybrid-Lambda Rotor includes peak shaving with a constant 669

flapwise RBM. Third, the coloured columns relate to the dynamic loadquantities from aero-servo-elastic simulations. (...)

The tip-to-tower-clearance represents a reserve, thus a higher value indicates a safer design. Note, that the unloaded tip-to-tower clearance also increased as documented in Table 2. The loaded tip-to-tower-clearance is larger for the *Hybrid-Lambda Rotor* in steady-uniform inflow as expected by the scaling law. (...)

The objective of the *Hybrid-Lambda Rotor* is to limit the stationary flapwise RBM 677 to the maximum value of the reference turbine in steady-inflow BEM 678 simulations. Thus, it is of special interest how much this type of loading 679 680 increases in transient aeroelastic simulations. The ultimate load from normal power production is indeed marginally increased compared to the load level of 681 the reference turbine from normal power production. But, if compared to the 682 load level of the reference turbine under extreme wind shear events, the 683 increase is only marginal. 684

• Lines 500-501, 504-505, (680-682,) 734 and 738: It is stated that the increase in 685 DLC 6.3 is significant compared to the reference turbine. If I'm correct, this is 686 confusing if not misleading, since DLC 6.3 is not assessed for the reference 687 wind turbine. After this observation of increased loading, it is nevertheless 688 claimed that the slender blade design shows benefits (= load reduction?) in 689 storm events. This is also confusing. Perhaps it is meant that the increase in 690 loading is not as large as it would have been in case no slender blade design 691 was used. However, this is not what is compared here (a Hybrid-lambda rotor 692 and a conventionally upscaled rotor). Along similar lines, on line 738, it is 693 concluded that the Hybrid-lambda rotor shows advantages in reducing loads. 694 Especially here, out of context, this seems somewhat misleading. In absolute 695 sense, the loads are not reduced. I probably agree with the point that might 696 have been intended, if it is about combating the load increase with the design. 697 Could this be rephrased? 698

As we show absolute values in the revised manuscript the addressed paragraphs are
rephrased. It now also gets clear, that the flapwise RBM increases for the storm events
compared to the reference turbine, but the absolute values are still below the load
level from DLC 1.5 and 1.6.

Lines 522-525: I agree with the effect of the longer tower (higher lever arm, for almost equal thrust). However, the second argument seems flawed to me. Soft towers have a lower dynamic amplification factor for excitation frequencies that are above the natural frequency. They can have larger displacements with the same or even lower (internal) moments, which is why they are 'soft' (low stiffness). Thus, the effect of softness is more complicated and can go either way (depending on the excitation frequencies).

710 We thank the referee for the rectification and removed the respective sentences:

The tower base fore-aft bending moment is increased for the Hybrid-Lambda Rotor in 711 the dynamic load cases although it is constant for the steady-inflow cases which 712 highlights the importance of investigating transient effects. The necessarily longer 713 tower and heavier tower top mass result in lower eigenfrequencies and the tower is 714 in general softer compared to the reference turbine. This leads to larger tower top 715 deflections in gust events like extreme wind shear. The larger tower top movements 716 result in higher tower base bending moments which might be mitigated by advanced 717 718 control applications.

Lines 574-576: This statement seems in line with visual observations from the graph. However, the lever arm is increased for the Hybrid-lambda rotor, while it decreases for the reference turbine. Doesn't that correspond to an increased contribution of the outer part?

We agree that ideally one would see a decrease in the non-dimensional lever arm also
for the *Hybrid-Lambda Rotor*. However, we would like to put emphasis on the reduced
loading and reduced load overshoot during the transient event. We changed the
wording accordingly:

For the *Hybrid-Lambda Rotor*, the characteristic kink in the force distribution leads to
lower maximum out-of-plane forces per unit length, even in the transient case. The
non-dimensional lever arm is only slightly increased during the event and still much
lower than for the reference turbine.

731 This shows that the low induction design of the outer part of the blade contributes732 less to the overshoot in the flapwise RBM.

Line 595: I suggest removing the reference to the aspect of market value here.
At this point (the model for) market value is not yet introduced to the reader.

As suggested, we removed the aspect of the market value from this paragraph sinceit is further mentioned in line 673.

Figure 19 shows the gross energy yield per wind speed bin together with the Weibull
distribution of the cluster-wake affected reference site and the market value of wind
power.

Line 611-612: To some extent the limitation of the flapwise RBM will oppose this effect of geometric scaling. Although I agree that the mass will increase stronger than for the Hybrid-lambda rotor, it doesn't seem fair to model the structure of the Hybrid-lambda rotor and only hypothesise for more conventional scaling. Furthermore, line 359 states that the mass of the new blade is only 14% lighter than that of a scaled blade. Is 14% considered to be 'strongly increased'? 747 Since this paragraph is about AEP and revenue and not about blade mass and costs,748 we removed the argument using the blade mass.

Considering the cluster-wake affected wind speed distribution, the AEP can be
 increased by 3% and the economic revenue by 4%. At first glance, this increase seems
 small. One should however consider that a geometrical up-scaling would strongly
 increase the blade mass and the blade loads. Therefore this is not regarded as a
 realistic alternative to the Hybrid-Lambda Rotor.

Figure 18: Why are results shown for the non-optimised tower? The optimised design seems to be the only sensible design, which fulfils the constraints with the actual (quasi-steady) loads.

We apologize for the typo in the legend of Fig. 20. What is compared here is the IEA
15 MW, the initial blade and tower design and the optimized blade and tower design.
Thus, this graph should highlight how much of the benefits result from the application
of the "raw" *Hybrid-Lambda* design methodology and how much it can further be
improved by the structural optimization. As suggested in a previous comment, we
further added LCOE to the Figure.

- 763 **Technical corrections**
- Overall: 'Sec.', 'Sect.' and 'section' are used, without consistency. Same for
 'Fig.' and 'Figure'.
- 766 We follow the author guidelines for WES journal papers (https://www.wind-energy-767 science.net/submission.html):
- 768 <Cite>

"The abbreviation "Fig." should be used when it appears in running text and should be
followed by a number unless it comes at the beginning of a sentence, e.g.: "The results are
depicted in Fig. 5. Figure 9 reveals that...".

- The abbreviation "Sect." should be used when it appears in running text and should befollowed by a number unless it comes at the beginning of a sentence.
- 774 <end cite>
- 775 We corrected the abbreviation Sec. to Sect.
- Line 135: Considering line 175-176, probably 'adjusted' is meant here. 'Adopt' implies that it is kept the same (in dimensionless spanwise coordinates).
 Alternatively, it could have been meant that the same 'order' was adopted, instead of the distribution.
- 780 The airfoil distribution is adopted (kept the same in dimensionless spanwise781 coordinates) in a first step and then optimized in the structural optimization process.

As the *Hybrid-Lambda Rotor* is compared with the IEA 15 MW reference turbine, the
same airfoil family is used and the airfoil distribution along blade span is adopted in
a first step. The airfoil position is later optimized as described in Sect. 2.3.

Line 170: The use of 'maximum' is confusing here (especially for a low-induction rotor, which doesn't operate at maximum power coefficient in design conditions). Is it meant at TSR 11 (and at which pitch)?

788 We are referring to the maximum power coefficient for the given turbine design over789 all TSR and pitch (in this case at TSR=11 and at fine pitch=-0.8°).

The wind speed at which the transition from the light wind to the strong wind mode should start is calculated first. This is done by finding the operational point at maximum power coefficient for the given turbine design (at TSR = 11 and *fine pitch* = -0.8°) when the limiting flapwise RBM is first reached.

Line 187: 'choice for' would be more appropriate than 'assumption of'. The authors are not addressing an unknown aspect here.

As the main focus of this paper is the aerodynamic rotor concept, the simple
 assumption choice of a monopile foundation was made ...

- Line 201: 'planed' -> 'planned'.
- 799 ... further simulations are planed planned using ...
- Line 213 and 215: 'blade design' -> 'aerodynamic blade design'.
- Line 220-221: 'which ... moments' would be more appropriate as an argument on line 151.
- Line 273: Probably 'that' is meant, instead of 'which'.
- 804 Incorporated the demanded changes from the three mentioned bullet points.
- Line 228 (Heading section 3.3): It is not the 'model' that is designed and optimised.
- 807 Changed the heading to:
- 808 Optimization of the structural blade and tower design
- Line 357: 'up' -> 'down'.
- 810 Thank you for pointing out this typo. We incorporated this important detail.
- Line 530: The unsteady event [add: starts after 200 seconds and] lasts for 12
 seconds, ...'.

813 The unsteady event starts at 200 seconds and lasts for 12 seconds with a maximum814 wind speed at the top of the rotor disc after 6 seconds.

815 Referee 2:

The manuscript presents a design and optimization methodology for a novel wind 816 turbine rotor concept the authors call 'Hybrid-Lambda'. The work aims to a design 817 rotor where (i) the outer part of the rotor is set to be optimal at low wind speeds 818 operating at high TSR, and the inner part is designed for higher wind speeds at a lower 819 TSR, and (ii) the increased loads are managed through a peak shaving controller close 820 to rated conditions. The authors target to achieve this while constraining the mean 821 blade flapwise bending moment loads below the max value of the reference turbine 822 (IEA 15MW). As stated by the authors, the economic motivation for the design is to 823 take advantage of energy pricing at low-wind conditions. 824

The work presented is scientifically significant and proves to challenge the 825 conventional design of horizontal axis wind turbine rotors. The motivation and 826 objectives of the work is presented clearly. But when presenting the methodology and 827 results the ideas/concepts/fundamentals are difficult to follow. I do acknowledge that 828 the body of work presented here is immense and there are a lot of moving parts to 829 the novel rotor design. Light restructuring of concepts will help the readers appreciate 830 the value of the manuscript. As an example, moving the controller strategy outlined 831 in section 3.2 and figure 6 to line-125 would strengthen Section 2. 832

- 833 We thank the referee for the constructive and positive feedback.
- We moved Fig. 2 to Sect. 2 and added two descriptive paragraphs to Sect. 2.1 toexplain the rotational speed schedule and the peak shaving up front.

The transition between the operating modes introduces a new control region since 836 837 the switching of the TSR is not a sudden change rather than a continuous reduction in TSR. In this paper, it is realized with a constant rotational speed (rpm) in region 2.2 838 as shown in Fig. 2. The reduction in TSR alone (with a constant rpm) is not enough to 839 limit the loads. On the contrary, it is part of the design methodology to combine 840 pitching to feather and a reduction in TSR for load limitation as further analysed in 841 Sec. 3.1. Consequently, the so-called strong wind mode cannot be described with a 842 constant pitch angle. With increasing wind speed the pitch angle is gradually increased 843 844 towards feather to limit the flapwise RBM. This action will be referred to as peakshaving in the following. 845

Note, that the transition of TSRs could also be realized in different ways (e.g. reduction 846 or gentle increase in rpm). In fact, the optimal combination of TSR and pitch for the 847 transition region can be found by constraining the flapwise RBM and searching for the 848 optimum in the power coefficient. These optimization routines resulted in a gently 849 increasing rpm until rated wind speed. However, for all wind speed bins, the increase 850 in the power output was never larger than a tenth of a Megawatt compared to the 851 constant rpm solution presented here. Consequently, the aforementioned alternative 852 853 for the transition region is not presented in this paper.

854 Overall, the manuscript is well structured and provides significant work that will be 855 valuable to the broader wind energy community. Detailed comments and minor 856 corrections are shared below:

- 857 Detailed Comments:
- 858
 1. Section 1, line 58-59: Similarity to Wobben's work is presented, but it is not clear
 859 how the current work differentiates from itself until section 4. Please include
 860 details on how this work sets itself apart from previous works in the
 861 introduction.
- 862 We moved the description to the introduction, as proposed.

This concept follows the objective of reducing unintended stall effects on the blade of
a variable-speed turbine in gusty winds. It was not used to enable large rotors with
low specific ratings, as pointed out with the *Hybrid-Lambda* concept.

- Section 1, line 63-64: I do not agree with the terminology "zero pitch" used inlieu of "fine pitch". Typically, the blade tip is set to a pitch angle of zero and is a
 reference orientation for the geometric twist of the blade. "Fine Pitch" is the
 additional pitch offset added during operation such that the tip of the blade is
 at the optimal design twist. Please make the necessary changes here and
 through the manuscript.
- We added the definition of the term fine pitch and made the necessary changes throughout the manuscript. The term zero pitch should indicate only that the pitch angle is at zero degrees. In many blade design studies the so called fine pitch, that leads to the maximum power coefficient at design TSR, can deviate slightly from zero degrees, as it does in our study. We clarified this and added to the description of Fig. 2:

From $4 m s^{-1}$ on, the rotor operates at the high TSR of 11 in the light wind mode and a fine pitch angle of -0.8° which leads to the maximum power coefficient. This pitch angle is called fine pitch since the pitch angle for optimal c_p was derived after the blade design was concluded.

- 3. Section 2.1, line 119-120: The concept of peak-shaving is introduced but it isnot clear what the procedure entails. Please provide a brief description.
- 883 We added a definition of the term peak shaving as printed in Sect. 2.1.

The transition between the operating modes introduces a new control region since 884 the switching of the TSR is not a sudden change rather than a continuous reduction 885 in TSR. In this paper, it is realized with a constant rotational speed (rpm) in region 2.2 886 as shown in Fig. 2. The reduction in TSR alone (with a constant rpm) is not enough to 887 limit the loads. On the contrary, it is part of the design methodology to combine 888 pitching to feather and a reduction in TSR for load limitation as further analysed in 889 890 Sec. 3.1. Consequently, the so-called strong wind mode cannot be described with a constant pitch angle. With increasing wind speed the pitch angle is gradually increased 891

towards feather to limit the flapwise RBM. This action will be referred to as peak-892 shaving in the following. 893

894 895

4. Please comment on how peak shaving influences the design of the blade. Reading though the manuscript, it feels like a control strategy and not something influencing the aerodynamic design of the rotor. 896

We carried out an additional study and applied the *Hybrid-Lambda* control strategy to 897 a conventionally scaled blade. This breaks up to what extend the benefits result from 898 the adjusted control strategies and to what extend they result from the adjusted blade 899 design. In fact, they go hand in hand. A major part results from the control 900 optimization and the TSR will be reduced over a wide range of wind speeds. Thus, it 901 makes sense to account for that fact in the blade design. We added the results to Fig. 9 902 and added a descriptive paragraph: 903

The green dashed line indicates the power curve of the reference blade that is 904 geometrically scaled by the same factor and conventional peak shaving is applied to 905 limit the flapwise RBM. This means only the pitch angle is set to a higher value to 906 constrain the flapwise RBM while the rpm follows the design TSR. In contrast, the black 907 908 dotted line represents the same blade (geometrically scaled IEA 15 MW) but peak shaving is applied in a similar manner as for the Hybrid-Lambda Rotor. This means 909 for $v > v_{shift,start}$ the rpm is kept constant until the operational TSR is reduced from 9 910 to 7. For $v > v_{shift.end}$ the rpm schedule follows the TSR of 7 which is an arbitrary 911 choice in this case and should be optimized in a detailed design study. In addition, the 912 913 pitch angle is set for $v > v_{shift,start}$ in order to limit the flapwise RBM. In short, we are applying the Hybrid-Lambda control strategy to a conventional blade design. The 914 results show that the power output can be greatly increased if the TSR is lowered in 915 region 2.2 and 2.3 (compare green dashed and black dotted line in Fig. 9). Thus, peak 916 917 shaving should not only be accomplished by increasing the pitch angle, but also by optimizing the operational TSR with respect to the load constraint (as also indicated 918 by Madsen et al. (2020)). Since the results show that a reduction of the operational 919 TSR is beneficial in the peak shaving region it makes sense to account for this fact 920 already in the blade design which is integrated in the Hybrid-Lambda design 921 methodology. Indeed, the Hybrid-Lambda Rotor enables even lower power losses in 922 the peak shaving region since the TSR reduction is already accounted for in the blade 923 design (compare solid red and dotted black line in Fig. 9). The turbine concept reaches 924 its rated power at 10.2 $m s^{-1}$, which is 0.4 $m s^{-1}$ lower than the reference turbine. 925

5. Section 2.3: In this section the free variables are defined as chord, twist, radial 926 airfoil positions, and spar cap thickness. But in section 2.1, the transition 927 position and rotor radius are also discussed as design variables. Please clarify 928 in the manuscript which variables are set/pre-determined and which ones are 929 free variables. 930

931 We clarified this by adding the type of variable (optimized, fixed...) to Table 2 and 932 additionally provide a design flow chart in the revised manuscript which clarifies the 933 design workflow.

The overall design and optimization workflow is illustrated in Fig. 3. The process can 934 be explained in four steps: An aerodynamic blade optimization, an aero-structural 935 optimization of the blade, a structural optimization of the tower and the aero-servo-936 elastic simulations. In the first step (aerodynamic optimization), the design variables 937 are the transition point between the inner and outer blade section, the design TSRs, 938 the design axial induction factors, the twist offset and the design angle of attacks. 939 Once a reasonable design is established the influence of the rotor radius is 940 investigated. In the second step (the aero-structural optimization), the design 941 variables are the airfoil positions and the spar cap thickness. When this step is 942 converged the aerodynamic optimization is re-calculated once with the new airfoil 943 944 positions. As a third step, the tower and monopile are optimized for a fixed rotor design. The resulting turbine design is then investigated in aero-servo-elastic 945 simulations. 946

947
6. Section 2.3: The load case for the optimization is defined at a wind speed of
948
949
949
949 was selected. If the rotor radius is a free parameter, then, the inflow for the
950
950 load case is going to be a function of radius as the TSR is set to 11, this is
951 confusing. How was this predetermined?

- 952 The operational parameters for the design load case are re-calculated for every design
 953 iteration in WISDEM (with the code changes applied by the authors). We added this
 954 description to the methodology:
- 955 For each iteration the schedule of rpm, pitch, power, thrust and flapwise RBM over 956 wind speed is re-calculated. The considered load case for the constraints is a steady 957 inflow at the strongest wind speed in the light wind mode $v_{shift,start}$, as calculated for 958 each design iteration (in this case $v = 6.9 \frac{m}{s}$, TSR = 11, $\beta = -0.8^{\circ}$).
- 959
 7. Section 2.3, line 204: OpenFAST provides a large set of options in its aerodynamic module AeroDyn. Please elaborate on what aerodynamic options were used when carrying out the aero-elastic simulations. Was it the same as the reference wind turbine? This will help guide discussing the load comparisons.
- We added this information to Sect. 2.3 and further plan to provide the simulation
 model of the *Hybrid-Lambda Rotor* once the manuscript is published. The aerodynamic
 model was chosen the same way for the reference turbine.

967 The aerodynamic modelling includes the effects of tower shadow and the
968 aerodynamic loading on the tower, as well as the Minemma/Pierce dynamic stall
969 model, as described by Damiani et al. (2019).

970 8. Section 3.1, line 216-217: It is not clear how the specific rating and rotor
971 diameter is determined? Was it a design variable? If so, please define in Section
972 2.2/2.3. If not, please clarify on how this was determined.

973 The influence of the rotor diameter is investigated in a subsequent design loop once 974 an initial chord and twist distribution is established. This is also marked in the newly 975 added design flow chart. The influence of the rotor diameter as a design variable is 976 discussed in line 279 of the revised manuscript. To simplify the understanding, the 977 concept can only be shown for one specific rotor diameter in the given paper. To 978 clarify this, we classified the rotor diameter as a design variable in Table 2 and added 979 an explanation to the beginning of Sect. 3.

In this chapter, we focus on the given use-case of the 15 MW offshore wind turbine, 980 no longer generalizing the concept, in order to simplify the understanding. This means 981 only one specific turbine diameter is presented here, although the influence of the 982 rotor radius as a design variable was investigated and is further described below. We 983 first address the resulting blade design and the influence of certain design variables. 984 Table 2 summarizes general turbine parameters. The second part deals with loads, 985 axial induction, angle of attack and power generation under steady and uniform 986 inflow conditions. This is followed by the results of the structural design and the aero-987 servo-elastic investigations. 988

989
9. Section 3.1, line 221-222: I find it difficult to follow the need for the twist offset
990 in the inner section of the blade. The discussion related to this in previous and
991 future sections feel fragmented. Please try re-organizing and better explain the
992 need for the twist offset.

993 Indeed, the arguments and explanations were fragmented over several sections. We 994 re-organized the description of the aerodynamic behaviour and the change in the 995 angle of attack distribution to bundle the arguments. We moved the description of 996 the change of the inflow angle distribution due to the change in TSR (lines 338-353 997 and Fig. 7), to Sect. 3.2 (next to Fig. 8). Like this, the description of inflow angle change 998 and angle of attack distribution follow up on each other and are easier to understand.

999 Regarding the twist offset, we added a description to Sect. 2.1.

1000 Furthermore, we design the blade in a way that peak shaving is applied more efficiently. The inner section is designed with a twist offset towards stall. This comes 1001 with several advantages. The inner section does not operate in the design point in the 1002 low wind regime. As it is twisted towards stall and operated at a higher TSR than it was 1003 designed for, a fairly conventional induction factor of 0.33 can be reached, which leads 1004 to an increase in the power coefficient in the low wind regime. The angle for the twist 1005 offset is derived iteratively in stationary blade element momentum (BEM) simulations 1006 1007 to reach the desired axial induction factor of 0.33 in the inner section at the high TSR. Using the twist instead of the chord length as a tool for this increase in the axial 1008 induction factor allows to use smaller chord lengths which leads to more slender, 1009 lighter and possibly cheaper blades. Hence, the twist offset defines the difference of 1010

1011 the axial induction factor between the light and strong wind mode for the inner part of the blade and it further influences the pitch angle at $v_{shift,end}$ that is needed to limit 1012 the loads. In fact, the pitch angle of 2.2° at $v_{shift,end}$ almost perfectly counterbalances 1013 the twist offset of -2.5°. Hence, the inner part of the blade operates in it's optimal lift 1014 to drag ratio at this wind speed, although the entire blade is already pitched to feather 1015 for load reduction. When peak shaving is applied, pitching shifts the inner section to 1016 operate at its aerodynamic optimum rather than moving away from it. It reaches its 1017 design point (an induction factor of 0.21 at the low TSR), which is beneficial for load 1018 reduction. In contrast, the outer section is now operated in a "pitched-to-feather-1019 condition" and is greatly relieved. The limits to this methodology are negative lift and 1020 1021 the stall angle. The latter is also plotted in Fig. 8.

10. Section 3.1, line 254-269: This paragraph emphasis and extensively discusses
 the rotor radius as a varying parameter, this leads the reader to believe that it
 is a design parameter, but it has not been highlighted as such in Section 2.3.

1025 We classified the rotor diameter as a design variable in Table 2 and added an1026 explanation to the beginning of Sect. 3.

In this chapter, we focus on the given use-case of the 15 MW offshore wind turbine, 1027 no longer generalizing the concept, in order to simplify the understanding. This means 1028 only one specific turbine diameter is presented here, although the influence of the 1029 rotor radius as a design variable was investigated and is further described below. We 1030 first address the resulting blade design and the influence of certain design variables. 1031 1032 Table 2 summarizes general turbine parameters. The second part deals with loads, axial induction, angle of attack and power generation under steady and uniform 1033 inflow conditions. This is followed by the results of the structural design and the aero-1034 servo-elastic investigations. 1035

1036 11. Section 3.1, line 276: This is the first time the transition point for lambda is
presented as a design choice and not a free variable. There are a lot of variables
and moving parts in the optimization to follow. Presenting the
optimization/design workflow in a flow diagram would help guide the reader
through the whole optimization process better, in fact it will help the authors
be more clear in their discussion of the optimization process. Using XDSM
(eXtended Design Structure Matrix) might be a good approach.

1043 We thank the referee for the idea of visualizing the workflow in a design flow chart.1044 We included this in Sect. 2.1 and added a descriptive paragraph.

The overall design and optimization workflow is illustrated in Fig. 3. The process can be explained in four steps: An aerodynamic blade optimization, an aero-structural optimization of the blade, a structural optimization of the tower and the aero-servoelastic simulations. In the first step (aerodynamic optimization) the design variables are the transition point between the inner and outer blade section, the design TSRs, the design axial induction factors, the twist offset and the design angle of attacks. Once a reasonable design is established the influence of the rotor radius is

- investigated. In the second step (the aero-structural optimization) the design variables
 are the airfoil positions and the spar cap thickness. When this step is converged the
 aerodynamic optimization is re-calculated once with the new airfoil positions. As a
 third step the tower and monopile are optimized for a fixed rotor design. The resulting
 turbine design is then investigated in aero-servo-elastic simulations.
- 1057 12. Section 3.2, line 289: Presenting figure 6 in section 2.1, around line 125 would
 1058 help the readers better understand the unique speed and pitch schedule, and
 1059 peak shaving that is discussed extensively up until line 289.
- 1060 We moved Fig. 2 to Sect. 2.1, as suggested and added a description of the TSR-1061 transition.

The transition between the operating modes introduces a new control region since 1062 1063 the switching of the TSR is not a sudden change rather than a continuous reduction 1064 in TSR. In this paper, it is realized with a constant rotational speed (rpm) in region 2.2 as shown in Fig. 2. The reduction in TSR alone (with a constant rpm) is not enough to 1065 limit the loads. On the contrary, it is part of the design methodology to combine 1066 pitching to feather and a reduction in TSR for load limitation as further analysed in 1067 Sec. 3.1. Consequently, the so-called strong wind mode cannot be described with a 1068 constant pitch angle. With increasing wind speed the pitch angle is gradually increased 1069 towards feather to limit the flapwise RBM. This action will be referred to as peak-1070 shaving in the following. 1071

1072 13. Section 3.2: Please discuss the limitations of using BEM specifically for the hybrid-lamda rotor. Given the step change in induction at the 70% blade span.
1074 Does using higher fidelity method like free-vortex or CFD change the load distribution near the 70% blade span?

We used free-vortex wake methods to investigate to what extend the assumption of 1076 independent blade elements in the BEM theory is violated. This is addressed in a 1077 1078 separate publication which is accepted but not published yet (Ribnitzky, Bortolotti, Branlard, Kühn: Rotor and wake aerodynamic analysis of the Hybrid-Lambda concept - an 1079 offshore low-specific-rating rotor concept, JoP conference series, 2023). The FVW 1080 investigations support the design principles of the Hybrid-Lambda Rotor that were 1081 originally identified using the BEM theory. The integrated rotor quantities (power and 1082 thrust) are in very good agreement for the two methods. For the light-wind mode, the 1083 aerodynamic power exactly matched, whereas the FVW code computed about 0.5% 1084 higher thrust. For the strong-wind mode, the FVW code computed 1.5% higher power 1085 and 0.75% higher thrust. For the radially resolved variables, discrepancies are most 1086 distinct when the gradients along the blade span are large. In the light-wind mode, 1087 differences of about 0.03 in the axial induction factor distribution are observed 1088 between BEM and FVW. In the strong-wind mode, the deviations are less prominent, 1089 1090 as the gradients along the blade span are reduced. We included the citation in the beginning of Sect. 3.2: 1091

Note, that due to the gradients along the blade span the assumptions made in the BEM theory can reach their limit. We used free-vortex wake methods to investigate to what extend the assumption of independent blade elements in the BEM theory is violated. Results show good agreements for rotor integrated quantities (power and thrust), although some differences are noticeable in the radius resolved variables when the gradients along the blade span are large in the light wind mode. The interested reader is referred to Ribnitzky et al. (2023).

- 1099 14. Section 3.3, Line 350: In addition to presenting the relative thickness and the spar-cap thickness, it would be valuable to compare the flapwise and edgewise 1100 stiffness, and mass distribution of the blade vs the IEA 15MW. The rapid 1101 transition in stiffness at the 70% location of the blade will be a point of concern 1102 especially for extreme loads. The optimization routine uses a steady inflow 1103 condition at relatively low wind speeds (as discussed in Section 2.3) this will not 1104 be representative of the stiffness distribution at the TSR transition region of the 1105 blade. 1106
- 1107 We added a plot, comparing the mass and stiffness distribution of the *Hybrid-Lambda*1108 blade and the IEA 15 MW:
- The resulting mass and stiffness distributions are compared to those of the IEA 15 MW
 in Fig. 13, clearly showing the steeper gradient in the flapwise stiffness in the transition
 area of *the Hybrid-Lambda* blade.
- 1112 15. Section 3.3, Line 361-362: Using an exponent of 3 for geometrically scaling the
 1113 reference blade for comparison is unfair. More recent publications (Griffith
 1114 2014, SNL100-03) have shown that the mass scaling exponent is realistically
 1115 between 2.1 to 2.5.
- 1116 We added a note with the suggested citation:

Note, that the reference exponent of 3 is only derived by geometric considerations.
Griffith and Richards (2014) summarize recent trends for commercial and research
blades and state mass scaling exponents of 2.5 for moderately innovative blades and

- 1120 2.1 for highly innovative designs.
- 1121 16. Section 3.3, Line 366: What is the tower design driver for the IEA 15MW turbine?
 1122 How does that contrast to the design driver for the current design? The
 1123 reduction in tower diameter from 10m to 8.54m is significant especially given
 1124 the 13% increase in blade mass (based on Line 362).

The optimization algorithm reduced the tower diameter but increased the wall thickness (as described in line 442) in order to meet the constraints for buckling, maximum stress and eigenfrequencies. Furthermore, we increased the partial safety factor for loads (see line 230), to account for the simplified load analysis. We further want to point out that the thrust of the *Hybrid-Lambda* turbine is lower than for the IEA 15 MW in all DLCs (as can be seen in Fig. 15). Nevertheless, the increased rotor-

- 1131 nacelle-assembly mass, the resulting dynamic loads and the storm loads will lead to a
- challenging load set, that needs to be taken care of when deriving a sophisticatedtower design. We would like to focus on the rotor design in this paper and chose to
- 1134 present a very simplified tower design. We added to line 223:
- As the main focus of this paper is the aerodynamic rotor concept, we only present a
 preliminary tower design and the simple choice of a monopile foundation was made,
 although...
- 1138 17. Section 3.4.1, Line 390: The equation is typically used for a constant Cp region.
 1139 Since this value is not unique for the hybrid-lambda rotor how is the generator
 1140 torque determined?
- 1141 We implemented the desired c_p value as a function of rotational speed which is 1142 derived from steady state simulations. We added the dependency in the equation and 1143 added:

1144
$$M_g = \frac{\pi R^5 \rho c_p(\omega)}{2\lambda^3} \omega^2$$

1145 Note, that there is no unique c_p in region 2.3 since the pitch angle is a function of wind 1146 speed. Hence, the desired c_p from steady state simulations is implemented as a 1147 function of rotational speed.

- 1148 18. Section 3.4.2: Can you comment on the increased pitch activity due to the newer controller as compared to the reference? This will be important when determining the scaling of components (like pitch bearing/pitch actuator) costs
 1151 for the final cost function.
- 1152 In the initial manuscript, we missed to comment on the pitch activity and included a1153 statement in line 494:

1154 In this way, the amplitude of load variations can be drastically reduced and load 1155 overshoots are less severe. Nevertheless, the increased pitch activity needs to be 1156 considered when sizing the actuators and bearings which will influence the resulting 1157 cost function.

19. Section 3.4.3: Does 'quasi-steady loads' refer to the loads experienced by the
turbine due to steady inflow? If so please replace with 'steady state loads' or
'steady-inflow loads'.

Yes, with quasi steady loads we want to describe the loads from simulations with steady and uniform inflow, including elastic deformations of the structure. We changed the wording to "steady-inflow loads" throughout the manuscript. If only rigid structures are considered, this is additionally mentioned.

116520. Section 3.4.3, Line 504-505: In storm cases, it is not only the slenderness of the1166blade that determines the load or reduction in loads. It is the complex

interactions arising due to the blade geometrical twist, azimuthal angle, and
 yaw error that determines the loading of the turbine. Attributing the lower
 storm loads to planform area is assuming the inflow to the blades are primarily
 in at 90-deg to the airfoils, this is far from the case.

1171 We do agree that this formulation was misleading, so we reformulated it.

In the storm events, the slender blade design shows additional benefits. The shorter
chord length reduces the lift forces arising from the complex interaction of blade twist,
azimuthal position and yaw error.

- 1175 21. Section 3.5: Generally, any discussions regarding CapEx increases/decreases in components other than blade/rotor and tower are neglected. It will add value if the authors share why CapEx change of other components are significant (or not) to COVE.
- We caried out an additional study on the component costs using the cost modelsimplemented in *WISDEM*. We added the description of the methodology to Sect. 2.3:

The cost model implemented in WISDEM based on the work from Fingersh et al. (2006) 1181 1182 was used to create a breakdown of the costs of major wind turbine components. The model includes a rather detailed estimation of the blade costs, as described by 1183 Bortolotti et al. (2019), including assumptions for materials, labour, tooling and many 1184 more aspects. On the contrary, the costs for parts like the pitch system and the hub 1185 are implemented as simple functions of the rotor diameter or the blade mass. The 1186 assumption of the direct drive generator costs was adjusted since the original model 1187 only takes the machine rating as an input. In our case, the rated power remains 1188 constant but the rated torque increases since the maximum rpm is reduced (constant 1189 maximum blade tip speed). According to Fingersh et al. (2006), the generator mass 1190 scales with $M_{q,rated}$ ^{0.606}, with $M_{q,rated}$ being the rated generator torque. We accounted 1191 for the mass increase in the cost estimation, assuming that the costs increase linear 1192 with the mass. Overall, the cost model can serve to point out trends in the 1193 development of costs when increasing the turbine size, but absolute values should be 1194 handled with care. 1195

We added a new bar chart with the cost breakdown to Sect. 3.5 and described theresults:

A breakdown of the costs for the most important turbine components is shown in 1198 Fig. 21. Obviously, the largest increase in costs compared to the reference turbine is 1199 seen for the blades, since this is the part that increased the most in terms of size and 1200 1201 complexity. In fact, the costs of a blade increased by a factor of 2.8 (equals $n^{3.37}$). Related to the much heavier blades and the increased aerodynamic loading also the 1202 pitch system needs to be sized properly. Hence, the pitch system (plotted for all three 1203 blades) sees the second highest increase with a factor of 1.8, compared to the 1204 reference turbine. The tower costs increased by a factor of 1.2. The costs for the direct 1205 drive generator have the largest share of the total turbine costs and the derived 1206

1207 generator costs for the reference turbine are comparable with the findings of Barter 1208 et al. (2023). For the *Hybrid-Lambda Rotor*, they increased by a factor of 1.22 since the 1209 rated generator torque increased. These numbers should only indicate an 1210 approximate trend of the cost breakdown, since the cost model in *WISDEM* relies on 1211 simplified scaling rules coupled to empirical datasets. For more insights, sophisticated 1212 models need to be set up for components like the pitch and yaw system or the 1213 generator.

- 1214 22. Section 4: This section generally reads well.
- 1215 23. Section 4, 656: The authors contrast their work to that of Wobben, please
 1216 consider moving this discussion to the literature review to make a stronger
 1217 argument about the novelty of the Hybrid-Lambda rotor.
- 1218 We moved the description to the introduction, as proposed.

1219 This concept follows the objective of reducing unintended stall effects on the blade of 1220 a variable-speed turbine in gusty winds. It was not used to enable large rotors with 1221 low specific ratings, as pointed out with the *Hybrid-Lambda* concept.

- 1222 24. Section 4, 665-666: what does "way more than 100m length" mean in this1223 context? Is it a mis-phrased sentence?
- 1224 We re-phrased the sentence:

1225 Thus, we want to raise the question of whether controlling one degree in the angle of 1226 attack is at all feasible in a real application of a blade with 158 m length.

1227 25. Section 4, 685-670: Yes, I strongly agree with the authors the value of
1228 considering the torsional degree of freedom for the blade. Especially given its
1229 slender nature. Consequently, the aero-elastic stability of the blade will be
1230 interesting given how close to stall the inner part of the blade is at certain
1231 operational conditions.

We do agree with the referee. Unfortunately, we feel that considering blade torsion in the analysis will only make sense in combination of a major redesign of the blade since the torsional deflection needs to be accounted for in the blade design. Further, a full aero-elastic stability analysis would go beyond the scope of this paper which aims on providing the conceptual idea and the methodology to design very low-specific rating wind turbines.

1238 26. Section 5, lines 710-712: After reading the paper it is not yet clear to me how
1239 the peak-shaving is integrated into the design process of the rotor, or how the
1240 aerodynamic parameters are influenced by it. The aforementioned flow
1241 diagram for the design/optimization process will help guide the reader to this
1242 conclusion.

- As suggested, we included the design flow chart in the revised manuscript. Further, the additional study on applying the *Hybrid-Lambda* control strategies to a conventionally scaled blade will provide more evidence that the peak shaving control strategies and the changes in the blade design go hand in hand.
- 1247 Minor corrections:
- 1248 1. Line 157: Citation for Buhl might be missing.
- 1249 We added the respective citation:

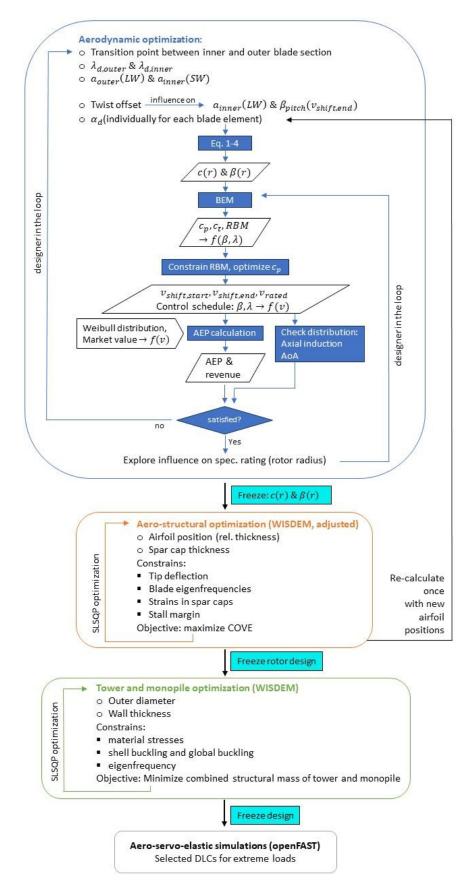
Buhl, L.: A New Empirical Relationship between Thrust Coefficient and Induction
Factor for the Turbulent Windmill State, National Renewable Energy Laboratory,
NREL/TP-500-36834, 2005.

- 1253 2. Line 170: The source code as described in the following (sections).
- 1254 We changed the wording:
- 1255 ... as described here.
- 1256 3. Line 201: Typo, 'planed'
- 1257 We corrected the typo.
- 1258 4. Section 3 title: 'Design and optimization of the blade structure'?
- 1259 Section 3 covers all the results and the following subsections:
- Aerodynamic blade design
- Aerodynamics, loads and power under steady-inflow BEM simulations
- Optimization of the structural blade and tower design
- Aeroelastic load simulations
- Techno-economic evaluation
- 1265 We therefore would like to keep the very generalized heading of "Results" for Sect. 3.
- 1266 5. Line 451: avoid using the word 'slight' when discussing quantitative values like1267 RBM.
- 1268 We replaced the word "slight" with "minor" or "marginally" throughout the manuscript.
- 1269 6. Line 593: 'Figure' is used to reference figure 17, whereas in the previous1270 sections 'Fig. XX' has been used. Please maintain consistency.

1271 We follow the author guidelines for WES journal papers as further described in the1272 respond to the first reviewer (first comment in "technical corrections"). Figure and1273 Section are not abbreviated if it comes at the beginning of a sentence.

- 1274 7. Line 599: 'Sect. 1' is used to refer to a Section, whereas 'Sec. XX' was used
 1275 previously. It is clear that different authors have contributed to the sections,
 1276 hence the change in style, but please maintain constancy throughout the
 1277 manuscript as it is a single body of work.
- 1278 We replaced the abbreviation "Sec." with "Sect." to follow the author guidelines for1279 WES journal papers.

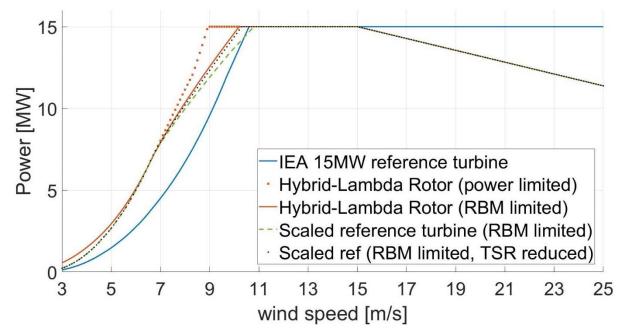
1280 Appendix:



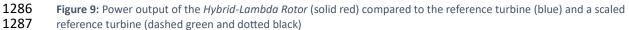
1281

Figure 3: Design and optimization work flow of the *Hybrid-Lambda* concept, round bullet points: Free design variables,
 squared bullet points: Constraints, diamonds: Outputs, f(...) : As a function of (...), LW: Light wind, SW: Strong wind











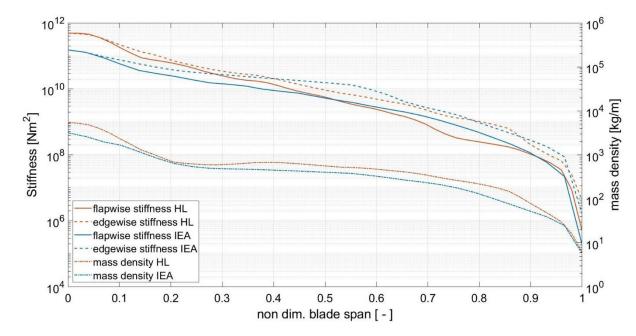
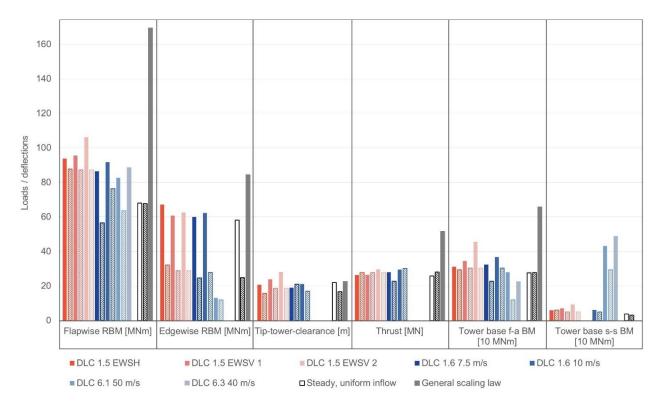




Figure 13: Mass and stiffness distribution for the optimized Hybrid-Lambda blade (red) and the IEA 15 MW (blue)





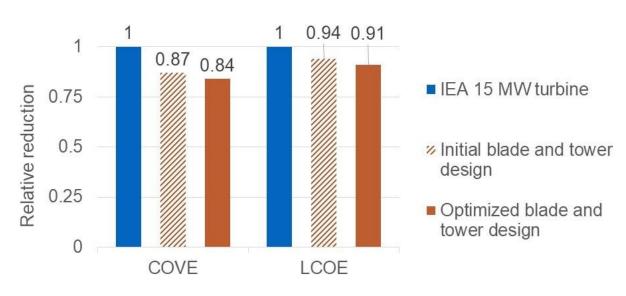
1292 Figure 15: Ultimate loads in solid bars for the *Hybrid-Lambda Rotor* and in hatched bars for the IEA 15 MW reference

1293 turbine, only critical loads are displayed, EWSH = extreme wind shear horizontal, EWSV = extreme wind shear vertical, f-a

1294 BM = fore-aft bending moment, s-s BM = side-side bending moment



1296





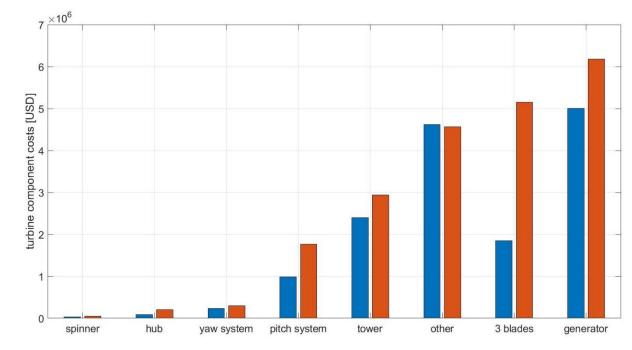


Figure 21: Estimation of turbine component costs for the IEA 15 MW (blue) and the optimized *Hybrid-Lambda* turbine (red)

References:

Burton, T., Jenkins, N., Sharpe, D., and Bossanyi, E.: Wind Energy Handbook, Wiley,Chichester, 2nd edn., 2011.

Further references mentioned in the blue citations can be found in the revised manuscript.