Estimating the technical wind energy potential of Kansas that incorporates the atmospheric response for policy applicationseffect of regional wind resource depletion by wind turbines

Jonathan Minz^{1,4}, Axel Kleidon¹, and Nsilulu T. Mbungu^{2,3}

¹Biospheric Theory and Modelling Group, Max Planck Institute of Biogeochemistry, Jena, Germany ²Department of Electrical Engineering, Tshwane University of Technology, Pretoria, South Africa ³Department of Electrical Engineering, University of Sharjah, Sharjah, United Arab Emirates ⁴Institute of Physics and Meteorology, University of Hohenheim, Stuttgart, Germany

Correspondence: Jonathan Minz (jminz@bgc-jena.mpg.de)

Abstract. Energy scenarios require estimates of realistic realistic estimates of technical wind energy potentialsto evaluate the integration of large scale wind energy into the electrical grid, estimates for how much electricity can be generated by wind turbines given a certain level of deployed capacity within a region. These are estimated using a typical approach which we eall Standard. In it the turbines' power curves are forced with hub-height wind speeds. It fixes generation losses to 10%.

- 5 However, it has been previously shown that the standard approach overestimates technical potentials relative to those derived using numerical models of the atmosphere with interactive wind farm parameterizations because the kinetic energy extracted by wind turbines reduces wind speeds or depletes wind resource typically obtained using observed wind speeds, neglecting the depletion of the wind energy resource with increasing deployment at the regional scale. Here, we test the extent to which regional wind resource depletion impacts on technical potential can be captured by using our KE use the Kinetic Energy Budget
- 10 of the Atmosphere (KEBA) approach over Kansas (USA) for a range of hypothetical deployment scenarios. KEBA estimates wind resource depletion impacts by accounting for the kinetic energy (KE) removed by the turbines from the boundary layer budget. We first test its ability to replicate Miller et al. (2015) Weather Research and Forecasting (WRF) model simulations of diurnal variations in wind resource depletion and then the change in technical potential. KEBA captures the diurnal variations in mean wind speeds estimated by WRF to within 5 and 22% during day and night, respectively. The standard approach
- 15 overestimates WRF by 41 to 116% during day and night, respectively. Nighttime variation is underestimated by KEBA due to stability effects. Overall, KEBA is able to reproduce the WRF technical potential estimate of Kansas to within 10%, with the WRF potential itself being around 50% lower than the standard approach. Despite this, the technical potential of Kansas remains about 3 to 5 times the total energy consumed in the state in 2018. KEBA is a simple yet adequate approach to estimating technical potentials, and highlights the wind resource depletion effects that will occur from regional-scale wind deployment.
- 20 to evaluate the importance of this resource depletion effect for the technical potential of Kansas. To do so, we first apply the KEBA approach to a previously conducted set of numerical simulations with the WRF model. This set simulated the resource depletion effect for a range of different levels of wind turbine deployments within Kansas, which we use to test the KEBA approach. We specifically test the approach for nighttime and daytime conditions to capture the different mixing regimes of

the atmospheric boundary layer. We find that KEBA can adequately capture the effect for both settings. We then extend our

25 analysis by using ERA-5 forcing to the climatological scale. We find that this resource depletion effect increases almost linearly with the level of wind turbine deployment. Compared to previously published estimates for the technical potential for Kansas, the resource depletion effect lowers capacity factors by a third to a half. Since this resource depletion effect increases with installed capacity within a region, it is policy relevant even at relatively low deployment levels.

1 Introduction

- 30 Estimates of technical wind energy potential potentials are important for the design of energy transition pathways towards a future sustainable energy system (Prakash et al., 2019; Ruijgrok et al., 2019; GEA, 2012; IEA, 2021). They are inputs to integrated assessment models that deploy large scale large-scale wind and solar and evaluate the impact of the integration of these variable sources into the electrical grid (Eurek et al., 2017). Technical potentials are defined as theoretical estimates of electrical generation from hypothetical regional scale wind turbine deployments while accounting for areas that are actually available for
- 35 wind energy development, wind turbine characteristics and -losses arising from inter-turbine interactions and energy conversion (Hoogwijk et al., 2004; McKenna et al., 2022; Manwell et al., 2010). The actual area available for wind energy development pertains to that over which wind turbines can be installed after accounting for technical, ecological and social constraints (McKenna et al., 2022). It should be noted that the capacity deployments assumed here for estimating technical potentials can range from realistic to extreme i.e. from a few tens of Giga-Watts (GW) to a couple of Tera-Watts (TW). These are reasonable
- 40 assumptions since the aim is to quantify the maximum technically feasible future generation by covering the whole area available for generation with wind turbines (Adams and Keith, 2013; Jacobson and Archer, 2012; Eurek et al., 2017; Enevoldsen et al., 2017; . Despite this, technical potentials are highly policy relevant (McKenna et al., 2022). A significant part of the policy relevance stems from the fact that technical potentials are a significant control on the economic costs of wind energy development (Blanco, 2009; Ragheb, 2017).
- These resource potential estimates are especially distinct from the resource estimation performed for wind park planning and layout. The large scale at which these are estimated, typically spanning thousands of square kilometers with hundreds of GWs in deployed capacity, means that the detailed approaches used in wind park planning and layout such as the Weather Research Forecasting (WRF) (Blahak and Wetter-Jetzt, 2010; Fitch et al., 2012; Volker et al., 2015; Boettcher et al., 2015), Computational Fluid Dynamical (Wu and Porté-Agel, 2015) and engineering wake models (Katic et al., 1986; Frandsen et al.,
- 50 2006; Pedersen et al., 2022) are not employed. The use of such comprehensive, numerical models in energy scenario analysis is impeded by their need for high performance computing infrastructure and subject matter expertise (Staffell and Pfenninger, 2016). Thus, the typical approach for estimating technical potential potentials for application in energy scenario analyses and integrated assessment modelling is relatively straightforward in comparison to the more comprehensive approaches mentioned above. In this analysis, we use the term standard approach "standard approach" to refer specifically to the this approach and
- 55 not those employed for resource assessment for wind park planning and layout, which is outside the scope of this study.

The standard approach to estimating technical potential is to force a single wind turbine's power curve with observed or modelled time series of hub-height wind speeds. The potential then is a function of regional wind resource or wind speeds, turbine power curve and the total number of wind turbines within the deployment area (Hoogwijk et al., 2004; Archer and Jacobson, 2005; Lu et al., 2009; Schallenberg-Rodriguez, 2013; Eurek et al., 2017; Enevoldsen et al., 2019). This approach

- 60 differs from those typically employed in high resolution evaluations of wind park planning and layout, primarily, in its handling of energy generation and conversion losses. The standard approach fixes these losses to typically to a value of about 10% (Hoogwijk et al., 2004; Schallenberg-Rodriguez, 2013; Eurek et al., 2017). This stems from the implicit assumption that large scale_large-scale wind energy generation minimally impacts the regional wind resource. This leads to an expectation of a linear relationship between technical potential and capacity deployed. Further, it is implied that the efficiency of the wind turbine
- 65 deployment measured in terms of the capacity factor or the ratio of actual to rated wind turbine generation remains constant relative to the size of the deployed capacity. Therefore, larger deployed capacities at the regional scale with better turbines are expected to always lead to a proportionate increase in <u>the</u> technical potential (Wiser et al., 2016).

However, meso-meso- and synoptic scale simulations of technical potentials from regional scale deployments using numerical models of the atmosphere like WRF and Global Circulation models General Circulation Models (GCMs) show that the

- 70 standard approach significantly overestimates technical potential potentials and capacity factors when wind energy is intensively used at large scales (Adams and Keith, 2013; Miller et al., 2015; Miller and Kleidon, 2016; Volker et al., 2017; Agora Energiewende et al., 2020; Kleidon and Miller, 2020; Jacobson and Archer, 2012). These studies highlight that technical potential potentials and capacity factors do not scale linearly or remain constant , respectively, with the deployed capacity. This sub-linear increase in technical potential and the erosion of the capacity factor results from the depletion of regional wind re-
- 75 source (Miller et al., 2015; Miller and Kleidon, 2016; Kleidon and Miller, 2020; Kleidon, 2021) because wind turbines remove kinetic energy (KE) from the boundary layer winds to generate electricity.

Wind resource depletion, or the reduction in wind speeds within and behind wind turbine deployments, has been observed in a variety of measurement data from currently operating wind farms (Rajewski et al., 2013; Bodini et al., 2017; Lundquist et al., 2014; Hasager et al., 2015; Platis et al., 2018; Ahsbahs et al., 2020; Nygaard and Newcombe, 2018; Nygaard et al., 2020).

- 80 Referred also to as wakes, wind speed reductions can extend up to 50 km behind operating wind farms (Cañadillas et al., 2020; Lundquist et al., 2018). The reduced wind speeds interact with and reduce the electrical generation from downstream wind farms (Méchali et al., 2006; Schneemann et al., 2020; Maas and Raasch, 2021; Akhtar et al., 2021). Numerical simulations of this phenomenon also compare reasonably well with the observations (Mirocha et al., 2015; Aitken et al., 2014; Siedersleben et al., 2018; Fischereit et al., 2021). Thus, it can be assumed that the impact of wind resource depletion on wind energy
- 85 generation will persist as the scale of wind turbine deployment is increased and, thus, must be incorporated into energy scenario analyses. For these

For this depletion effect to be incorporated in to into energy scenario modelling, it is necessary to scale the impacts up to the proposed regional deployment scales while balancing the constraints on computational complexity and ease of implementation highlighted by Staffell and Pfenninger, as highlighted by Staffell and Pfenninger (2016). This means that the key physics

90 that shape the regional wind resource depletion and its impact on technical potential be understood. For this we need to look at

how kinetic energy is generated and transported towards the surface before it can be extracted by wind turbines - or is dissipated by friction or wake dissipation.

The winds To start, the wind energy of the large-scale circulation and KE associated with their mean flow are predominantly generated in is predominantly generated within the free atmosphere by differences in potential energy due to differential solar

- 95 radiative heating (Peixoto and Oort, 1992; Kleidon, 2021). The free atmosphere is defined as the part of the atmosphere that is above the planetary boundary layer and is not impacted by surface friction (AMS, 2024). KE from the free atmosphere is transported vertically downwards into the boundary layer by vertical mixing, the lowest layer of the atmosphere where most of the KE is dissipated (Stull, 2009). The turbines extract some of the KE which would otherwise have been dissipated by surface friction. Since the rate at which KE is transported into the boundary layer is limited, it leads to a fixed KE budget being
- 100 available for driving movement motion within the boundary layer (Kleidon and Miller, 2020). This means that the extraction of KE by a large number of wind turbines leads to less KE being available for the motion of the winds and comes at the expense of the dissipation by surface friction. Put another way, wind turbines generate electricity by depleting the boundary layer KE resource even though this resource is renewed at a constrained rate. As a result, larger rates of KE extraction from a fixed KE budget input causes slower winds and reduced capacity factors (Miller et al., 2011). This-
- 105 This wind reduction effect is supported by mesoscale WRF simulations, which show that technical potentials from onshore deployments larger than 100 km² are limited to yields of about 1.1 W m⁻² for very intensive high turbine densities (Adams and Keith, 2013; Miller and Kleidon, 2016; Jacobson and Archer, 2012; Marvel et al., 2012; Gustavson, 1979; Wang and Prinn, 2010, 2011; Volker et al., 2017), which contrast with standard estimates ranging. This is in contrast with the standard approach, in which estimates range from 2-6 W m⁻²(Jacobson and Delucchi, 2011; Jacobson and Archer, 2012; Lu et al., 2009; Archer
- and Jacobson, 2005; Edenhofer et al., 2011; Capps and Zender, 2010). At the maximum potential, wind speeds are estimated to slow down by 42%, while capacity factors reduce by $\sim 50\%$ relative to the standard estimate (Miller et al., 2015; Volker et al., 2017). A mean of 1.1 W m⁻² implies electricity generation of ~ 900 - 1900 TWh yr⁻¹ if all the available area for wind energy in a windy area like Kansas (100,000 - 200,000 km⁻²) is covered with wind turbines. These generation potentials are about a third lower than the standard expectation of 2000 - 3000 TWh yr⁻¹ (Brown et al., 2016; Lopez et al., 2012)
- 115 (<u>?Lopez et al., 2012</u>). Thus, the standard approach to technical potential estimation in energy scenario analyses needs to incorporate the effects of wind speed reductions arising from limitations imposed by the atmospheric KE budget.

A simple yet physical approach to deriving technical potential estimates that includes the effects of KE removal on wind speeds is to constrain the wind speeds and turbine yields with an explicitly defined KE budget of the atmospheric boundary layer. In this approach, known as the Kinetic Energy Budget of the Atmosphere (KEBA, Kleidon and Miller (2020)), first the

- 120 budget available to the deployment is estimated from the sum of the vertical and horizontal KE fluxes over the deployment region. The vertical component represents the KE input into the boundary layer from the free atmosphere while the horizontal component represents the boundary layer wind flow. Both rates can be estimated from wind speed observations, but also depend on boundary layer height and surface friction. The reduction in wind speeds is estimated by accounting for the removal of KE from the budget. The slower wind speeds are then used to estimate turbine yields (Kleidon and Miller, 2020). This approach
- 125 has previously been shown to compare well against numerical weather forecasting simulations of wind turbine deployments

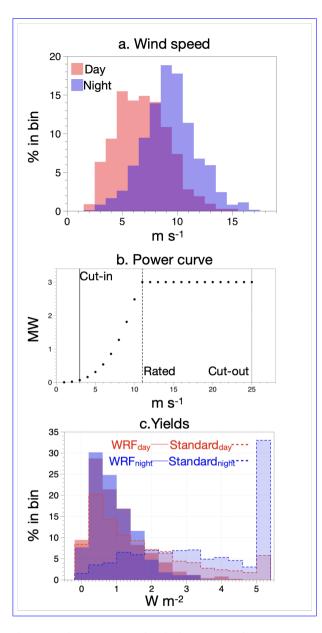


Figure 1. (a) Distribution of wind speeds averaged over a prospective deployment area in Kansas, central US, for daytime (red) and nighttime (blue) in the absence of wind turbines. (b) The power curve for a Vestas V112 3MW wind turbine used in this study. It does not generate electricity for wind speeds below the "cut-in" (3 m s⁻¹, solid black line) and above the "cut-out" wind speed (25 m s⁻¹, dotted black line). Yields vary with the cube of wind speeds below the "rated" wind speed (11 m s⁻¹, stippled black line) but remain at capacity above it. (c) Deployment yields during daytime (red) and nighttime (blue) for the 5 MW km⁻² scenario from an interactive WRF simulation (solid, "WRF"), and using the standard approach (dashed outlines). WRF estimates that the total deployment yield during the day (WRF_{day} = 63 GW) is higher than nighttime (WRF_{night} = 42 GW), while the standard estimate yields higher potentials and the opposite response (Standard_{day} = 109 GW, Standard_{night} = 159 GW). Data taken from Miller et al. (2015).

in idealized onshore weather conditions (Kleidon and Miller, 2020) and in real weather conditions in offshore areas in the German Bight of the North Sea (Agora Energiewende et al., 2020). The goal here is to test this approach further in a realistic onshore region to understand the limits of its application for it to be used in technical potential estimation for energy scenario analyses. In this study we will extend the regimes over which KEBA has been studied by applying it under realistic weather conditions in a prospective onshore region of Kansas.

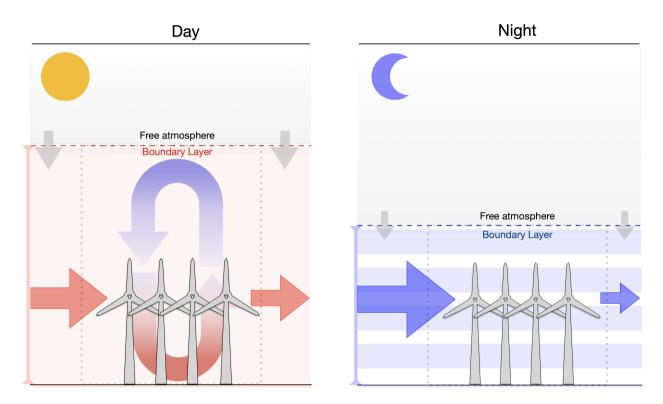


Figure 2. Differences between daytime (left) and nighttime (right) boundary layer conditions <u>- Gray downward arrows represent and their</u> <u>effect on the downward flux renewal</u> of kinetic energy from the free atmosphere <u>into the boundary layer(grey arrows) and convective mixing</u> (<u>blue/red arrow in left panel</u>). The red and blue arrows represent the horizontal kinetic energy in- and outflow (from left to right) through the boundary layer volume bounding the regional scale wind turbine deployment (dotted box) during day and night, respectively. The free atmosphere represents the part of the atmosphere in which large-scale motion is generated in the absence of friction.

In this study, we will use this approach to evaluate a seemingly counter-intuitive result (Fig. 1) reported by We first evaluate the KEBA approach with a set of previous numerical simulations of hypothetical large-scale wind turbine deployment scenarios in Kansas, central US , under realistic weather conditions (Miller et al., 2015). These simulations (Miller et al., 2015). One interesting aspect of these simulations is the different response of resource depletion during night and day, presumably due to the different boundary layer mixing regimes. The simulations showed that wind speeds are typically 40% lower during the day than at night (Fig. 1a), but overall daytime electrical yields were about 50% higher than nighttime (Fig. 1c). This is an

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important result to evaluate with KEBA since the standard approach would estimate the opposite, higher nighttime yields due to higher wind speeds.

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- The result can be understood when one accounts for the effect of lower boundary layer heights and reduced mixing at night, which reduces the size of the kinetic energy budget (Fig. 2). As a result, the KE removal by the wind turbines has a stronger effect on wind speed reductions at night (Fitch et al., 2013a; Abkar et al., 2015). During the day, because the KE budget is larger, this depletion effect is proportionally smaller. Solar insolation drives vertical convection and the vertical growth of the boundary layer, resulting in higher downward replenishment of KE from the free atmosphere and a larger reservoir of KE in the boundary layer. The absence of solar-driven convection at night leads to stratified or stable conditions that restricts vertical
- 145 KE replenishment. This leads to greater reduction in wind speeds at night compared to the the day, and therefore lower yields, despite higher incoming, undisturbed wind speeds. Thus, the differences in boundary layer characteristics during day and night will affect wind resource potentials of regional deployments of wind turbines.

Our goal in this paper is twofold: (1) to evaluate the effect just described to demonstrate the importance of a broader accounting of the atmosphere in technical potential estimation, and (2) to quantify the role of KE removal in shaping technical

- 150 potentials This is an important test to evaluate with KEBA since the standard approach would estimate the opposite, higher nighttime yields due to higher wind speeds than during daytime, and therefore have a bias in technical potentials. The ability of KEBA to capture this counter-intuitive effect at the regional scale . To accomplish our first goal, we will explicitly evaluate this difference between day and night by evaluating separate daytime and nighttime budgets in the KEBA approach. This is accomplished by prescribing different boundary layer heights in KEBA, which changes the size of the KE resource. Note
- 155 that the will clarify the relevance of KE removal at regional scales of wind turbine deployment and applicability of KEBA in regional wind energy resource assessment.

To identify the policy relevance of this depletion effect, we then extended our analysis to the climatological scale, using ERA-5 reanalysis forcing (Hersbach et al., 2020). Because KEBA is computationally not expensive, we can then quantify the effect of reduced turbulent mixing within the boundary layer is not accounted for. To accomplish our second goal, we use the

- 160 scenarios of the previously published study for Kansas (Miller et al., 2015). We use the wind speeds of their control simulation without deployment to evaluate wind resource potentials using the standard approach as well as KEBA, and compare these to the estimates derived from the interactive model simulations. wind resource depletion on different levels of installed capacity over a whole climatological period. By comparing these effects against the previously studies of the wind energy potential of Kansas (Lopez et al., 2012; ?), we can then establish the policy relevance of this effect.
- In the following section, we provide a brief description of the <u>KE budget KEBA</u> approach, the turbine deployment scenarios, and the model parameters used . We then present the <u>KE budgetsdiagnosed</u> from the simulations for the different scenarios, the reductions in wind speeds with greater wind energy use, and describe the effects on yield estimates to test KEBA for the regional numerical WRF simulations over Kansas before we describe the setup with ERA-5 reanalysis to extrapolate the insights to the climatological scale. The results section then diagnoses the kinetic energy budgets, the wind
- 170 speed reductions for nighttime and daytime conditions, and evaluates the effect on the wind turbine yields and capacity factors. We also evaluate the importance of accounting for different boundary layer heights for the estimates continue with an evaluation

of the role of the boundary layer height in the estimates and discuss the limitations of the KEBA aproach. We then present the results of the extension to the climatology, compare our estimate for the technical wind energy potential for Kansas to previously published estimates (Lopez et al., 2012; ?), before we discuss the broader-scale implications of our analysis. After

175 a brief account of limitations, we then re-evaluate the wind resource potential and compare it to previously-published estimates (Lopez et al., 2012; Brown et al., 2016). We close with a discussion-brief summary and conclusions.

2 Methods

We use the

- To estimate the technical wind resource potential with the resource depletion effect, we first use KEBA together with the wind fields of the numerical WRF simulation for Kansas without any wind turbines (Miller et al., 2015). Different scenarios of wind turbine deployments are then used in KEBA and compared to the respective WRF simulation, separately for nighttime and daytime conditions. We also compare these simulations to the standard approach, that is, the estimate of the technical wind resource potential that uses wind fields and the turbine power curve, but does not include the resource depletion effect. In the second step, we then apply KEBA to the wind speeds, scenarios, and yield estimates from Miller et al.'s WRF simulations (Miller et al., 2015). We use these simulations as the reference in which the effects of wind turbines on the atmosphere
- are fully accounted for, and refer to the yield estimate as the "WRF" estimate. The simulations were performed with the WRF-ARW v3.3.1 regional weather research and forecasting model (Skamarock et al., 2008) to simulate different levels of hypothetical deployments of wind turbines over 112 · 10³ km² in Kansas (Central US) using atmospheric conditions from May 15 to September 30, 2001. The deployment area is similar to that assumed in Lopez et al. (2012) and Brown et al. (2016) The
- 190 time period isrepresentative of the typical summer season over Kansas typified by a near-neutral El Niño southern oscillation (ENSO) phase and an average Great Plains low-level jet and summer soil moisture content(Miller et al., 2015). The WRF model adequately captures the horizontal and vertical variations in wind speeds over this period ((Miller et al., 2015). Wind turbines are parameterized as elevated momentum sinks and sources of additional Turbulent Kinetic Energy (TKE) (Fitch et al., 2013b) . The large, idealized deployments simulated a range of installed turbine capacity densities from 0.3125 to 100 MW km⁻²
- 195 which were equally distributed within the expansive wind farm area. Here we restrict the comparison to a maximum installed capacity density of 10 MW km⁻², yielding a total installed capacity of 35 GW to 1.1 TW over the region. Even though the 0.5 and 1.1 TW deployment scenarios can be considered extreme for Kansas, they are consistent with assumptions in published technical potential evaluations for the state (Lopez et al., 2012; Brown et al., 2016). The turbinecharacteristics and wind park scenarios, as well as the symbols used in the following, fields of the ERA-5 reanalysis to quantify the resource depletion effect

at the climatological scale. 200

We first describe the standard and KEBA approaches, followed by the WRF simulations and the scenarios that are considered. All approaches need information for the power curve of the wind turbines being deployed. For this, we use the 3 MW Vestas V112 turbine, as in the simulations by (Miller et al., 2015). The characteristics of the turbine are summarized in Tables 1 and 3. It should be noted that the Miller et al. (2015) simulations set the choice of parameter values in Table 1 and the turbine type Table 1. Turbine characteristics of a Vestas V112 3 MW turbine, as in Miller et al. (2015).

Description	Symbol	Value	Units
Hub-height	$H_{ m hub}$	84	m
Rotor diameter	D	112	m
Rotor area	$A_{\rm rotor}$	9852	m^2
Rated power	$P_{\rm el,max}$	3.075	MW
Cut-in wind speed	v_{\min}	3	${\rm m~s^{-1}}$
Rated wind speed	v_{rated}	11.5	m $^{-1}$
Cut-out wind speed	v_{\max}	25	${\rm m~s^{-1}}$
Power coefficient (max.)	$\eta_{ m max}$	0.42	-

(Fig 1b) used here Table 1. The last part of the methodology describes how the climatological resource potentials are derived 205 using KEBA in combination with ERA-5 wind fields.

Scenarios of large-scale deployment of wind turbines in Kansas, Central US, evaluated here. Based on (Miller et al., 2015) - Description Symbol Value Units Width W 360 · 10³ m Length L 312 · 10³ m Capacity density - 0.3125 - 10 MW km⁻² Number of turbines $N \cdot 11.7 \times 10^3 - 3.7 \times 10^5$ - Deployment area $A_{\text{farm}} \cdot 1.12 \times 10^{11} \text{ m}^2$

The "standard " and "KEBA" yield, or electricity, estimates were then calculated using hourly time series of wind speeds, 210 vin, from the WRF Control simulation, i.e., without any wind turbines present. The "

2.1 The standard approach

The standard "estimate replicates the standard approach used in existing policy side evaluations. It is based on the power curveof the turbine, approach estimates the technical resource potential from the power curve, multiplied by the number of turbines in the scenario, and the wind speeds, v_{10} . The electricity yield of the standard approach, $P_{el,sid}$, is estimated from the

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turbine's power curve (Fig. 1b) by wind turbines N being considered:

$$P_{\rm el,std} = N \cdot \min(P_{\rm el,max}, \frac{\rho}{2} \cdot \eta_{\rm max} \cdot A_{\rm rotor} \cdot v_{\rm in}^3) \tag{1}$$

where N is the number of turbines, $P_{el,max}$ is the rated capacity of the turbine, ρ is the air density (we used $\rho = 1.2 \text{ kg m}^{-3}$), η_{max} is the maximum power coefficient, and A_{rotor} is the rotor-swept area, and v_{in} is the wind speedfrom the WRF Control

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simulation (Table 1). This estimate assumes that the effects of the KE removal by the wind turbines is fully compensated for by the inter-turbine spacing, which allows wind speeds and capacity factors to be unaffected by presence of a wind turbine deployment in the region, and the different turbine characteristics given in Table 1. The deployment scenarios are described in the WRF subsection below.

The "KEBA" estimate is derived from the KEBA model (Kleidon and Miller, 2020) augmented with information about day-225 and nighttime boundary layer heights derived from the WRF simulations. The budgeting-

2.2 The KEBA approach

The KEBA model (Kleidon and Miller, 2020) represents a budget of the KE fluxes of the boundary layer over the deployment regionresults. Different levels of deployment alter the effective velocity v_{eff} within the region, and result in a reduction factor f_{red} . This factor encapsulates the effect of KE removal from the wind by the turbines on wind speeds and turbine yields. In KEBA, the wake loss term is fixed as half of the deployment yield after the work of Corten(Corten, 2001). First, f_{red} reduction factor is applied to the WRF control wind speeds (v_{in}) to quantify the reduction in the wind speeds (v_{eff}), standard approach to

account for the resource depletion effect.

 $v_{\rm eff} = f_{\rm red}^{\frac{1}{3}} \cdot v_{\rm in}$

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Then, v_{eff} is applied to the standard estimate (Equation ??) instead of v_{in} to derive the KEBA estimate of deployment yields 235 ($P_{\text{el,keba}}$). This results in the following expression for deployment yield (see Kleidon and Miller (2020) for detailed derivation)

$$P_{\text{el,keba}} = N \cdot \min(P_{\text{el,max}}, f_{\text{red}} \cdot \frac{\rho}{2} \cdot \eta_{\text{max}} \cdot A_{\text{rotor}} \cdot v_{\text{in}}^3)$$

The reduction factor f_{red} is directly derived from the KE budget. It is represented by

$$f_{\rm red} = \frac{H + 2C_d \cdot L}{H + 2C_d \cdot L + \frac{3}{2} \cdot \frac{N}{W} \cdot \eta_{\rm max} \cdot A_{\rm rotor}}$$
(2)

for wind speeds v_{in} above the cut-in velocity v_{min} and below the rated velocity v_{rated} when the turbine output is proportional to the incoming wind speeds (Fig. 2b); and

$$f_{\rm red} = 1 - \frac{3}{2} \cdot \frac{1}{H + 2C_d \cdot L} \cdot \frac{H}{L} \cdot \frac{N \cdot P_{el,max}}{J_{in,h}} \tag{3}$$

for v_{in} greater than the rated velocity v_{rated} , but below the cut-out velocity, v_{max} . For this case, f_{red} is computed only to simulate the effect of wind speed reduction for comparison. Note that the case of $f_{red} = 1$, KEBA represents the standard approach.

In these the equations for f_{red} , H is the height of the boundary layer (Table 2), C_d is the aerodynamic drag coefficient of the surface (Table 2), L and W the length and width of the deployment (Table 3), and $J_{in,h}$ the horizontal kinetic energy flux in the boundary layer ($\rho/2 \cdot v_{in}^3 \cdot WH$). The values for daytime and nighttime mean boundary layer heights are provided in Table 2. They were derived by comparison of the vertical velocity profiles of the WRF simulations with and without the wind turbine deployment, yielding mean values of about 2000m (day) and 900m (night) (see Appendix A).

250 It should be kept in mind the length and width of the deployment used in Eqn. 3 can vary with wind direction, and this affects the equations for f_{red} . This implies that the reduction factor, f_{red} , can vary with wind direction. However, near hub-height winds

in Kansas typically come from the South (Fig. E1) meaning that most of the KE is transported from the South. Thus, we only use the dimensions that are relevant to this direction. The values of these parameters are specific for our analysis and may need to be adjusted for application elsewhere.

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From this reduction factor, the resource potential is estimated similar to the standard approach (Eq. 1), except for including the reduction factor f_{red} :

$$P_{\text{el,keba}} = N \cdot \min(P_{\text{el,max}}, f_{\text{red}} \cdot \frac{p}{2} \cdot \eta_{\text{max}} \cdot A_{\text{rotor}} \cdot v_{\text{in}}^3)$$
(4)

where v_{in} is the unaffected wind speed in the absence of wind turbines. Associated with this reduction is the effective velocity, which is given by

 $260 \quad v_{\rm eff} = f_{\rm red}^{\frac{1}{3}} \cdot v_{\rm in}$

(5)

Note that the case of $f_{red} = 1$, KEBA represents the standard approach. This means the standard approach assumes that wind speeds remain unaffected by the large scale extraction of kinetic energy (Eqn. 5) and therefore that the electrical yield is proportional to the cube of the unaffected wind speeds (Eqn. 1).

- The kinetic energy budgets for the different scenarios are diagnosed from the time series of the velocity v_{in} and f_{red} and then averaged, with the different terms estimated as in Kleidon and Miller (Kleidon and Miller, 2020)Kleidon and Miller (2020). The budget is defined for the boundary layer air volume enclosing the deployment of wind turbines, given by the dimensions Wand L (Table 3), as well as the height of the boundary layer H (Table 2). The magnitude of the budget is set by the influx of kinetic energy, which is determined by the horizontal ($J_{in,h} = WH \cdot \frac{\rho}{2} v_{in}^3 J_{in,h} = WH \cdot \frac{\rho}{2} v_{in}^3$) and vertical ($J_{in,v} = WL \cdot \rho C_d v_{in}^3 J_{in,v} = WL \cdot \rho C_d$
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) tion, dissipated by wake turbulence, or exported downwind.

It should be noted that KEBA budgets the KE fluxes in the boundary layer over the entire wind turbine deployment with the aim of estimating the atmospheric response impacts on energy yield and wind speeds at the scale of a regional deployment. It does not attempt to model the horizontal or vertical variation of wind speeds or energy yield yields within the deployment. Therefore, the only forcing input needed are the wind speeds at the turbine's hub height, v_{in} . This suffices because

- 275 the the turbine yields are a function of the hub-height wind speeds (Fig 1b). Wind speed data used here is sourced from Miller et al. (2015) but observed wind speeds can also be used. The budget constraints on the boundary layer KE fluxes allow for the estimation of the wind speed reduction over the whole deploymentor effective wind speeds, as expressed by the effective wind speed (v_{eff}) to be estimated. The reduced wind speeds can be thought of as that which the deployment effectively operates at when the KE flux budget constraints are accounted for. This approach is fit for our study despite being a
- 280 simplified representation of the boundary layer and the atmosphere turbine interactions. This is because we are only interested in evaluating the impacts of the atmospheric response on energy yield yields and wind speeds at the aggregate scale of the deployment. The evaluation of the finer variation within the deployment is not within the scope of our study. Further, it is also

important to keep in mind that KEBA is simple in its formulation only compared to WRF. It is significantly more sophisticated in its representation of atmospheric physics relative to the Standard standard approach.

Description	Symbol	Value	Units	Comments
Boundary layer height-Day	H_{day}	2000	m	Mean, fixed
Boundary layer height-Night	H_{night}	900	m	Mean, fixed
Drag coefficient	C_{d}	0.001	-	Mean, fixed

 Table 2. Atmospheric and environmental specifications needed for the KEBA estimate.

285 3 Results & Discussion

2.1 WRF simulations

We posited that the KE budget is central to capturing the reduction in wind speeds with increased installed capacity to understand the difference between daytime and nighttime yields as shown in Fig. 1use a set of sensitivity simulations with the WRF numerical weather simulation model (Miller et al., 2015). These simulations were performed with the WRF-ARW v3.3.1

- 290 model (Skamarock et al., 2008) to simulate different levels of hypothetical deployments of wind turbines over 112 · 10³ km² in Kansas (Central US) using atmospheric conditions from May 15 to September 30, 2001. The time period is representative of the typical summer season over Kansas typified by a near-neutral El Niño southern oscillation (ENSO) phase and an average Great Plains low-level jet and summer soil moisture content(Miller et al., 2015). The WRF model adequately captures the horizontal and vertical variations in wind speeds over this period ((Miller et al., 2015). Wind turbines are parameterized as
- 295 elevated momentum sinks and sources of additional Turbulent Kinetic Energy (TKE) (Fitch et al., 2013b). The large, idealized deployments simulated a range of installed turbine capacity densities from 0.3125 to 100 MW km⁻² which were equally distributed within the expansive wind farm area. The associated number of wind turbines is given in Tables B2 and B3.

We use these simulations twofold. First, we use the wind speeds from the simulation without turbines as inputs to the Standard and KEBA approaches to estimate resource potentials, using the same set of scenarios. We then use the scenarios as

300 the reference in which the effects of wind turbines on the atmosphere are comprehensively accounted for, and refer to the wind resource estimate as the "WRF" estimate.

We restrict the comparison to a maximum installed capacity density of 10 MW km^{-2} , yielding a range of the total installed capacity of 35 GW to 1.1 TW over the region. Even though the 0.5 and 1.1 TW deployment scenarios can be considered extreme for Kansas, they are consistent with assumptions in published technical potential evaluations for the state (Lopez et al., 2012; ?)

305 . The turbine characteristics and wind park scenarios, as well as the symbols used in the following, are summarized in Tables 1 and 3. It should be noted that the Miller et al. (2015) simulations prescribe the choice of parameter values in Table 1 and the turbine type (Fig 1b) used here.

Table 3. Scenarios of large-scale deployment of wind turbines in Kansas, Central US, evaluated here. Based on (Miller et al., 2015).

Description	Symbol	Value	Units
Width	\widetilde{W}_{\sim}	$360 \cdot 10^3$	m
Length	$\stackrel{L}{\sim}$	$312 \cdot 10^3$	$\stackrel{\text{m}}{\sim}$
Capacity density	- ~	0.3125 - 10	$MW \text{ km}^{-2}$
Number of turbines	$\overset{N}{\sim}$	$\underbrace{11.7\times10^3}_{-} - \underbrace{3.7\times10^5}_{-}$	÷.
Deployment area	$\underline{A_{\text{farm}}}$	$\underbrace{1.12\times10^{11}}_{}$	$\underset{\sim}{\overset{m^2}{\longrightarrow}}$

2.2 Climatological resource potentials

We estimate wind energy resource potentials at the climatological scale by using the hourly 100m wind speed product from the

- 310 ERA-5 reanalysis data set (Hersbach et al., 2020). Wind speeds from Kansas state over a 20 year period from the years 2000 to 2020 were selected for the analysis. The 100m wind speed product has been chosen since it is close to the wind turbine hub height. The 20-year mean wind speed is around 6.7 m s⁻¹, which is lower than the 4.5 month mean wind speeds estimated from the WRF simulation (Fig. E3). The ERA-5 data set also shows that wind speeds come for almost 70% of the time from the South, making it the predominant wind direction (Fig. E1), so that we did not consider wind-direction dependent values for
- 315 *W* and *L*. These wind speeds are used to force the KEBA and standard approaches to estimate wind resource potentials and the depletion effect at the climatological scale.

We only estimate the technical potential and capacity factors for the 2.5 and quantifying the resulting technical potential -5 MW km⁻² scenarios, which are the ones that are consistent with the previous estimates from ? and Lopez et al. (2012). The KEBA setup for this calculation remains similar to that for the diurnal inter-comparison with the exception that only

320 a single diurnal average boundary layer height of 1268m is used (Miller et al., 2015). It should be kept in mind that ERA-5 based KEBA and standard estimates are likely to be lower than the WRF-based estimate because the ERA-5 wind speeds are lower (Fig. E3). However, this does not significantly affect our analysis since we are interested primarily in estimating the wind resource depletion effect and its policy relevance.

3 Results & Discussion

- 325 We posited that the KE budget is central to understand resource depletion effect of large-scale deployment of wind turbines, including its manifestations in wind speed reductions and reduced capacity factors, and that this depletion effect differs between daytime and nighttime conditions. Therefore, we start by showing the KE budgets during day and night for the different scenarios, the regional reduction in evaluating the KE budget, the effects on regional wind speeds, before we and then describe the estimated yields and capacity factors. We then perform a sensitivity analysis to boundary layer height to evaluate the effects of the day night differences and compare these to the general effect of reduced wind speeds with greater installed appearities.
- 330 of the day-night differences and compare these to the general effect of reduced wind speeds with greater installed capacities.

We end then discuss the limitation of KEBA method before we re-evaluate the technical resource potential of Kansas. We close this section with a discussion on the limitations, the resulting resource potential estimate, and the of the broader implications.

3.1 Kinetic Energy budgets

The KE budget of the boundary layer volume enclosing the deployment is central to KEBA estimates, with the magnitude of 335 the budget defining the wind speed reductions and limiting deployment yields. This budget is shown in Fig. 3(a). The horizontal influx accounts for a larger share of the KE budget than the vertical input: 76% during daytime and 60% during nighttime. The combination of lower daytime wind speeds ($v_{dav,mean} = 6.8 m s^{-1}$) and higher boundary heights (H_{day} = 2000m) and higher nighttime wind speeds ($v_{night,mean} = 9.5 \text{ m s}^{-1}$) and lower boundary layer heights ($H_{night} = 900\text{m}$) lead to similar influxes of kinetic energy of about 150 GW in the mean. The 150 GW budget sets the overall magnitude of the bars in Fig. 3(a), with the distribution among the different terms changing due to the different deployment scenarios. 340

Within the boundary layer volume, KEBA determines the partitioning between the KE influx into frictional dissipation (red in Fig. 3(a)), wind turbine yields (dark blue) and wake losses (light blue), and the downwind export of KE out of the deployment volume (light red). The KE extracted by wind turbines powers electricity generation (Pel,tot), with the wakes being dissipated by the mixing behind the turbines (D_{wake}) . KE extraction econsumes utilizes KE that would have otherwise been dissipated at the surface by friction or exported downwind. Thus, the increase in capacity density increases yields and wake losses at the

345 expense of KE in downwind export and surface friction frictional dissipation. Since individual turbine yields depend on wind speeds, higher nighttime mean wind speeds lead to higher per turbine yield compared to the daytime. Consequently, about 2% more KE is extracted by the turbines from the budget at night than during the day (Fig. 3(a)).

3.2 Wind speeds

350 The depletion of the KE budget with increased wind turbine deployment is associated with a reduction in wind speeds. This reduction is shown in Fig. 3(b), which shows how the mean wind speed over the deployment region (v_{eff}) reduces with the amount of KE extracted by the wind turbines (in W m^{-2} of surface area). The KE extracted by the wind turbines is represented by the total yield of the deployment. Although the reduction in mean wind speeds with KE removed is not strictly linear, we utilise linear fits. The linear fit makes it easier to highlight key first order effects i.e that reductions in mean wind speeds are 355 higher when more KE is extracted and that reductions are steeper at night than during the daytime. Thus, the choice of linear fits emphasizes the first-order effects and eases the comparison between WRF, KEBA and the Standard approach.

Figure 3(b) shows these wind speed reductions for the WRF estimate (red) and the KEBA estimate (blue), while the standard approach (grey) assumes no change in wind speeds. The rates of reduction can be quantified by the slope, m, of the linear regressions (dashed lines). The slope is represented by units of $(m \cdot s^{-1})(W \cdot m^{-2})^{-1}$. Nighttime wind speed reductions $(m_{\text{KEBA,night}} = -6.21)$ are almost twice as strong as during the day $(m_{\text{KEBA,day}} = -3.89)$. These reduction rates are similar in 360 the WRF estimates ($m_{wrf,night} = -10.53, m_{wrf,day} = -3.15$). Note that despite the faster rate of reduction in nighttime means, the wind speeds are nevertheless higher in magnitude than during the daytime. Compared to the WRF simulations, KEBA

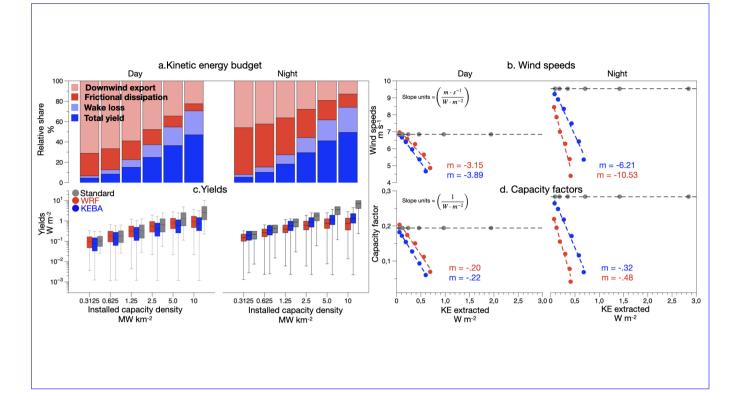


Figure 3. (a) Daytime (left) and nighttime (right) KE budgets, with total yields (dark blue), wake loss (light blue), frictional dissipation (red) and the downwind export (light red). (b) Estimates of wind speeds over the deployment region against the KE extracted by the wind turbines for the "standard" (grey), "WRF" (red) and "KEBA" (blue) estimates. (c) Wind turbine yields as a function of installed capacity density using a logarithmic scale for the "standard" (grey), "WRF" (red) and "KEBA" (blue) estimates. (d) Capacity factors against the rate of KE extraction for the "standard" (grey), "WRF" (red) and "KEBA" (blue) estimates. Dashed lines in (b) and (d) denote linear fits. *m* denotes the slopes obtained from linear regression. The units for the slope in (b) and (d) are $(m \cdot s^{-1})(W \cdot m^{-2})^{-1}$ and $(W \cdot m^{-2})^{-1}$, respectively.

slightly overestimates daytime and underestimates nighttime wind speeds. Thus, the difference in daytime and nighttime wind speed reductions can be directly linked to the lower boundary layer height used in the nighttime KE budget in KEBA.

(a) Daytime (left) and nighttime (right) KE budgets, with total yields (dark blue), wake loss (light blue), frictional dissipation (red) and the downwind export (light red). (b) Estimates of wind speeds over the deployment region against the KE extracted by the wind turbines for the "standard" (grey), "WRF" (red) and "KEBA" (blue) estimates. (c) Wind turbine yields as a function of installed capacity density using a logarithmic scale for the "standard" (grey), "WRF" (red) and "KEBA" (blue) estimates. (d) Capacity factors against the rate of KE extraction for the "standard" (grey), "WRF" (red) and "KEBA" (blue) estimates.
370 Dashed lines in (b) and (d) denote linear fits. *m* denotes the slopes obtained from linear regression. The units for the slope in

(b) and (d) are $(m \cdot s^{-1})(W \cdot m^{-2})^{-1}$ and $(W \cdot m^{-2})^{-1}$, respectively.

3.3 Deployment yields

Figure 3(c) shows the variation in the wind turbine yields with increasing installed capacity density. Since KEBA models yields as a function of the reduced wind speeds (v_{eff}) rather than the prescribed Control, unaffected wind speeds (v_{in}), its

375 estimates (blue) are lower than the standard estimates (gray). KEBA estimates lower additional increments in yields with the increase in installed capacity during both, day and night. Thus, the diminishing increments in yields with added turbines can be attributed directly to the reduced wind speeds shown in Fig. 3(b). While KEBA estimates of nighttime yields are higher than day, WRF estimates of yield (red) are lower at night than during the day. KEBA captures the trends in yields increments but

380

overestimating nighttime yields by 20 to 75%. The standard estimate overestimates yields by up to 180% during daytime and up to 600% at night compared to the WRF estimates. The bias in KEBA estimates of yield compared to WRF can be attributed to higher nighttime KEBA wind speed estimates.

does not estimate the lower-than-daytime yields at night. It underestimates WRF's mean daytime estimates by 8 - 15% while

3.4 Capacity Factors

- The lower increments in deployment yields with increased installed capacity indicates that more turbines within the deployment 385 region lowers the mean efficiency of individual turbines. This can be shown by directly looking at the capacity factors, as displayed in Fig. 3(d). Like Fig. 3(b), capacity factors are plotted against the KE extracted by the turbines. The relationship between capacity factors and extracted KE is linear and therefore the slope $(W \cdot m^{-2})^{-1}$ shows that the generation efficiency reduces as more KE is extracted from the atmosphere.
- Both, KEBA (blue) and WRF (red) estimates show that increasing KE extraction leads to lower capacity factors. The standard estimate (grey), however, assumes no change because no reduction in wind speeds is considered. The slopes of the linear regression show that turbine efficiencies reduce almost twice as fast during the night ($m_{\text{KEBA,night}} = -.32$) than during the day ($m_{\text{KEBA,day}} = -.22$), which is similar to the WRF estimates ($m_{\text{wrf,night}} = -.48$) and ($m_{\text{wrf,day}} = -.20$). While KEBA, again, underestimates the strength of the reduction at night, the close match of KEBA estimates with the WRF estimates highlights that the removal of KE from the boundary layer is the main effect that results in reduced turbine efficiencies and wind turbine yields. KEBA is able to capture a large part of this trend because of the separate definition of day and night KE budgets as
 - opposed to a single KE budget for the whole day.

3.5 Role of diurnal variations in boundary layer height

To evaluate how important the variation in boundary layer height is for estimating yields between day and night, we performed an additional estimate with KEBA in which the boundary layer height is fixed to the mean value of H = 1268m (as in Miller et al. (2015)). This comparison is shown in Fig. 4. Although the KEBA estimate with a single mean boundary layer height represents a substantial improvement over the standard estimate, it shows a greater discrepancy to the WRF estimate. Nighttime yields are overestimated by 20 to 107% while daytime yields are underestimated by 12 to 31%. The addition of diurnal variations in boundary layer height improves the estimates relative to WRF estimate, reducing the daytime bias to 10 to 17% and nighttime bias to 20 to 60%. The improvement is more pronounced for the nighttime conditions.

405 Defining different day and nighttime budgets separately is thus an improvement over neglecting this variation. It captures more of the underlying mechanism because the daytime solar insolation drives convective motion and higher mean boundary layer heights. The absence of these motions at night lead to much lower boundary layer heights. The difference in the amount of mixing between day and night differentially affects the wind speeds and deployment yields during day and night (Fitch et al., 2013a; Abkar et al., 2016). With all other variables in the KEBA model being fixed, a fixed boundary layer height in KEBA

results in a 58% lower daytime and 30% higher nighttime KE budget compared to a variable boundary layer height.

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Although the bias is not entirely compensated for by including the varying boundary layer heights in the KEBA estimates, this information clearly reduces the bias in the direction of the WRF estimate. However, the effect of these diurnal variations at the daily 24 hour scale is relatively muted. This is because the higher day and lower nighttime generations largely compensate for each other implying that it is mainly the role of KE removal that needs to be incorporated in the policy focused estimation of technical potentials.

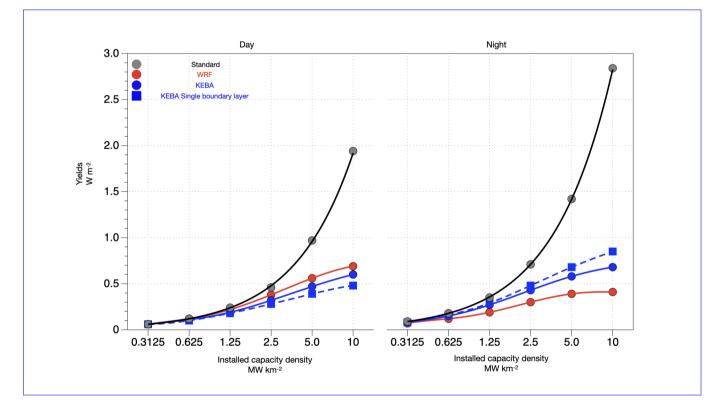


Figure 4. Daytime (left) and nighttime (right) total yields estimated by WRF (red \bigcirc), KEBA with (blue \bigcirc) and without (blue \Box) diurnal variations in boundary layer height, and the standard approach (grey \bigcirc).

3.6 Limitations

This comparison between KEBA and the WRF estimates highlights some of the weaknesses of the KEBA approach. Although KEBA captures day and night trends produced by WRF better than the standard approach, it is unable to reproduce the lower-than-day, nighttime wind turbine yields from WRFfull magnitude of the day-night difference. This is likely because

- 420 KEBA does not account for stability conditions within the boundary layer (Kleidon and Miller, 2020). Since it only budgets the KE fluxes, it implicitly assumes that KE anywhere within the boundary layer is instantaneously available to the turbine. However, the real atmosphere transports KE via air masses which means that the movement of KE to the turbine can be quick or slow depending on the stability conditions. Then, conceptually, KEBA can be thought of as being closer to the highly unstable condition than to the highly stable condition.
- 425 This assumption is valid during the day when the convective boundary layer is well mixed. At night, however, stable conditions prevent vertical mixing because the insolation-driven convective motions are absent. The intensity of mixing within the boundary layer is thus an additional control on the rate at which the KE deficit behind wind turbines is replenished within the boundary layer. The less-mixed nighttime boundary layer slows the replenishment rate, leading to a steeper decline in wind speeds, capacity factors and wind turbine yields (Fitch et al., 2013a; Abkar et al., 2016).
- 430 This interpretation is supported by observations of velocity deficits, or wakes, behind operating offshore wind farms that persist longer when the vertical mixing is lower (55km) than when it is higher (35km) (Cañadillas et al., 2020; Christiansen and Hasager, 2005). Longer wakes during less mixed less-mixed conditions imply lower downward replenishment than better mixed better-mixed conditions, leading to slower recovery of wind speeds.
- Reported The simulated day- and nighttime mean wind speed reductions of 10 and 30% (Fitch et al., 2013a) from Kansas are similar to the estimates of Miller et al. of Miller et al. (2015) of 17% and 43%. WRF estimates for wind turbine yields during day (-42% lower than standard) and night (-73% lower) are consistent with other simulations of idealized deployment yields over a full diurnal cycle, which found that reductions were twice as high at night (57%) than daytime (28%) (Abkar et al., 2016). Thus, it is likely that the differences between WRF and KEBA could be reduced by accounting for stability effects, which could be taken up as a part of future work.
- Additionally, the impact of improving more modern wind turbine technologies i.e higher turbines with larger capacities, on KEBA estimates has not been explicitly evaluated in our study. However, it is expected that our results remain largely similar in spite of improvements in turbine technology. An analysis of German Bight potentials the resource potential of the German Bight (Agora Energiewende et al., 2020) showed that KEBA 's estimates of capacity factor factors were within 15% of WRF those obtained by WRF simulations even when taller and larger turbines were assumed (150m, 15 MW). That said more
- 445 analyses in different geographical regions with a range of turbine types need to be performed. turbines with 150m hub height). Although KE fluxes are dependent on wind direction and these would have an effect on KEBA estimates, they have not been

explicitly incorporated in our analysis. This was justified by the conditions in Kansas, which are dominated by winds from the south (see Fig. E1). In other regions, this may not be the case, and KE fluxes come from more than one, dominant direction.

This case could be represented in KEBA by using direction-dependent values of W and L, which would affect the reduction

450 factor. This was, however, not tested here.

Despite these limitations, KEBA represents a significant improvement over the standard approach , especially with greater installed capacities over the region. Its nighttime because on average it captures the impact of atmospheric response on yields during day and night times in a more comprehensive manner (Fig. 4). For the typical 2.5 and 5 MW km^{-2} scenarios, KEBA's day and night time yield estimates are within a factor of 2 of the WRFestimate. The ~20 and ~50% of WRF, respectively.

- 455 In contrast, the standard approach overestimates WRF yields by up to 6 times. ~75 and ~265% during day and respectively (Appendix tables B2, B3). This means that KEBA is more suitable for application at the climatological scale than the standard approach. Our results highlight the eritical role of boundary layer information, in terms of height and mixing/stability, in determining KE budgets that shape the extent to which wind speeds, turbine efficiencies and deployment yields are affected by the removal of KE. Thus, the KEBA estimate KEBA appears to be a suitable tool to evaluate Kansas's technical wind energy potential.
 - 3.7 Reevaluating Kansas's technical potential

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To illustrate quantify the relevance of these KE removal effects, we compare our estimates to the existing technical potentials for Kansas (Lopez et al., 2012; Brown et al., 2016). This comparison put the WRF and our KEBA estimates in context with previously published estimates of the technical potential of Kansas (Lopez et al., 2012; ?). This is summarised in Table 4. Previous studies estimate potentials of 3101 TWh yr⁻¹ and 1877 TWh yr⁻¹ for capacity densities of 5 and 3 MW km⁻² over $1.9 \cdot 10^5$ km² and $1.6 \cdot 10^5$ km², respectively, which include a fixed, 15% loss in array efficiency. This results in capacity factors of 37% and 45%. Expressed in terms of yields, these estimates imply 1.86 W m⁻² and 1.36 W m⁻² of generated

electricity per unit surface area. Multiplied by the deployment areas, these yield technical potentials of 3101 TWh $\frac{1}{4}$ -yr⁻¹ and 1877 TWh $\frac{1}{4}$ -yr⁻¹ for Kansas in these previous studies.

- 470 We first compare our standard estimate to these resource estimates, using our scenarios with use the standard approach combined with the WRF and ERA-5 wind fields to estimate the resource potential to show that these are consistent with the estimates from the previous studies. We use installed capacity densities of 5 and 2.5 MW km⁻². For these scenarios, the yield is on average as in our WRF simulations, which are similar to the installed capacity densities used in Lopez et al. (2012) and ? . These result in average yields of 2.39 W m⁻² and 1.19 W m⁻², with a capacity factor of -48% (see also Table B4). We reduce
- 475 these estimates by the same 15% loss as in the previous studies, which reduces the yields to 2.03 W m⁻² and 1.02 W m⁻² with a 41% capacity factor. Multiplied by the deployment areas used in Lopez et al. (2012) and ?, these yield technical potentials of 3379 TWh /a-yr⁻¹ and 1410 TWh /a-yr⁻¹, which are close to the published estimates. Applying the standard approach to the ERA-5 wind fields results in lower average yields of 1.71 and 0.85 W m⁻² with a capacity factor of 34%. The corresponding technical potentials are 2846 and 1176 TWh yr⁻¹, which are within ±25% of the published estimates. 8% and 37% lower than
- 480 the previous estimates. These estimates are lower because 20-year ERA-5 wind speeds are on average lower than the WRF wind speeds (see Fig. E3).

Table 4. Comparison of previously published estimates of the technical wind energy potential of Kansas by (Lopez et al., 2012; Brown et al., 2016) Lopez et al. (2012) and ? with the estimates from this study. There are two sets of estimates from this study, one based on WRF wind fields and the other using winds from ERA5. For the comparison, we used the scenarios with installed capacity densities of 2.5 and 5 MW km⁻², which are close to these-the 3 and 5 MW km⁻² installed capacity densities used in the previous estimates. A 15% array efficiency reduction was applied to the Standard estimate.

Lopez et al. (2012) Standard KEBA WRF Deployment area (km²) 190 000 Capacity density (MW km⁻²) 5 5 5 5 Capacity factor (%) 37 41 21 19 Yield (W m⁻²) 1.86 2.03 1.05 0.95 Technical potential (TWh yr⁻¹)3101 3379 1748 1581 Difference (%) +9.0 -43.6 -49.0 Brown et al. (2016) Standard KEBA WRF Deployment area (km²) 157 890 Capacity density (MW km⁻²) 3 2.5 2.5 2.5 Capacity factor (%) 45 41 31 27 Yield (W m⁻²) 1.36 1.02 0.75 0.68 Technical potential (TWh yr⁻¹)1877 1410 1037 941 Difference (%) -24.9 -44.8 -49.9

		,	WRF based			based
		(May -	September	(2000 - 2020)		
	Lopez et al. (2012)	Standard	KEBA	WRF	Standard	KEBA
Deployment area (km ²)	190,000	190,000	190,000	190,000	190,000	190,000
Capacity density (MW km ⁻²)	5	5	5	5	5	5
Capacity factor	37	41	21	19	34	14
Yield (W m^{-2})	1.86	2.03	1.05	0.95	1.71	0.70
Technical potential (TWh yr^{-1})	3101	3379	1748	1581	2846	1165
Difference (%)		+9.0	-43.6	-49.0	-8.0	-62.0
	Brown et al. (2016)	Standard	KEBA	WRF	Standard	KEBA
Deployment area (km ²)	157,890	157,890	157,890	157,890	157,890	157,890
Capacity density (MW km ⁻²)	3	2.5	2.5	2.5	2.5	2.5
Capacity factor	45	41	31	27	34	20
Yield (W m^{-2})	1.36	1.02	0.75	0.68	0.85	0.49
Technical potential (TWh yr^{-1})	1877	1410	1037	941	1176	692
Difference (%)		-24.9	-44.8	-49.9	-37.3	-63.1

KEBA estimates lower We next demonstrate the relevance of the resource depletion effect. To do so, we use the KEBA and WRF estimates from above, but apply these to the deployment areas of the previous studies. The KEBA estimate yields of 1.05 W m⁻² and 0.75 W m⁻² for the two scenarios, with capacity factors reduced to 21% and 31%, respectively. These reductions estimates compare well with the WRF estimates of 0.95 and 0.68 W m⁻² and associated capacity factors of 19% and 27% from

485 estimates compare well with the WRF estimates of 0.95 and 0.68 W m⁻² and associated capacity factors of 19% and 27% from Miller et al.. Multiplied by the deployment areas, these yield (see also Miller et al. (2015)). These result in technical potentials of 1748 TWh /a-yr⁻¹ and 1037 TWh /a using yr⁻¹ for KEBA, and 1581 TWh /a-yr⁻¹ and 941 TWh /a using yr⁻¹ for WRF. These estimates for the technical potentials are lower by 40-50% due to the reductions in wind speeds. similar, demonstrating the ability of KEBA to reproduce the WRF results, but they are substantially lower than those reported by Lopez et al. (2012).

490 and ?. However, these apply only to the restricted time period of May-September 2001.

Wind speed reductions are thus likely to play a substantial role in lowering regional-scale When we finally apply KEBA to the ERA-5 wind climatology, we obtain yields of 0.70 W m^{-2} and 0.49 W m^{-2} for the two scenarios, with associated capacity factors of 14% and 20%. These values are lower than for the WRF-based estimates because the climatological wind speeds are lower than those of the period considered with WRF. These result in technical resource potentials than those that use prescribed wind speeds. Note that the potential is nevertheless 3 to 5 times the total energy consumed by the state in 2018

495 use prescribed wind speeds. Note that the potential is nevertheless 3 to 5 times the total energy consumed by the state in 2018 (kan (2018)) of 1165 TWh yr⁻¹ and 692 TWh yr⁻¹, which are more than 60% lower than the previously published estimates by Lopez et al. (2012) and ?...

This re-evaluation and comparison to previous estimates demonstrates how significant the resource depletion effect is for adequately estimating the wind resource potential of a region, but that this effect can be accounted for in a relatively simple 500 way as represented by KEBA.

3.8 Implications for technical wind energy potential estimation

The reduced technical potentials derived using KEBA At the broader level, the reduction effects considered here are consistent with previous elimate (GCM) and weather modelling (WRF) estimates. This is simulations by global and regional model simulations, and have implications for the economic potential of wind energy. In the following, we first put our results with

505 the resource depletion effect in the context of other studies before we estimate the consequences for the levelized costs of electricity.

These simulations generally show that when more wind turbines are deployed within a region, the technical potential increases less than what would be expected from a linear scaling. This less-than-linear response is due to the resource depletion effect. What it implies is that when the installed capacity within a region is, for instance, doubled, the expected yield will

- 510 be less than twice the previous yield. This is shown in Fig. 5 in which the variation of technical potential in Kansas in terms of the mean generated electricity per unit area is plotted against the capacity density deployed. KEBA estimates are represented in blue, standard estimates in black and numerical estimates are shown in red. Broadly, the black colour represents the exclusion of KE removal effects while blue and red represent partial (KEBA) and complete inclusion (WRF and GCM), respectively. The stars represent results from this study while the black square (Lopez et al., 2012) and circle
- 515 (Brown et al., 2016) represent previously published estimates of Kansas 's potential The diagram summarizes our estimates

based on KEBA, WRF and ERA-5 as well as previous studies that estimated the technical potential of Kansas that do not account the resource depletion effect (Lopez et al., 2012; ?) as well as numerical model studies that accounted for the effect regionally (Adams and Keith, 2013; Volker et al., 2017), and globally (Miller et al., 2011; Jacobson and Archer, 2012; Miller and Kleidon,

. The black dotted lines represent the variation in potential linked to the standard estimates from this study (black stars

- 520 (Miller et al., 2015)). The red stars (Miller et al., 2015) and pentagons (Volker et al., 2017) represent previous WRF-based estimates of Kansas. The dotted red line shows the peak average potential from large deployments in Central USA as estimated by Adams and Keith (Adams and Keith, 2013). Similarly, the red circles (Jacobson and Archer, 2012) and squares (Miller and Kleidon, 2016) represent the trends over global land (26% of global area) derived using GCMs. The blue band highlights the range of peak average global potentials estimated previously (Miller et al., 2011; Jacobson and Archer, 2012; Miller and Kleidon, 2016; Marvel et al., 201
- 525 . The red circle with a blue outline represents an observations based study of generation from currently operating onshore wind farms in the Central US(Miller and Keith, 2018). Numerical estimates in Kansas show that beyond -1.5 MW km⁻² of deployed capacity in Kansas leads to -50% lower potential(red) compared to the standard estimates. Even though potential increases with increasing capacity deployment, it is not linear as implied by the standard estimates. This sub-linear increase with installed capacity shows that capacity factors reduce with the increasing deploymentFig. 5 the capacity factors, as these
- translate the installed capacity density into the mean technical potential. The lines thus represent the effect of assuming fixed capacity factors, so an increase in installed capacity translates into a proportionate increase in technical potential. What the various simulations show is that the resource depletion effect results in a less-than-linear response, which translate into reduced capacity factors with greater deployments. All the numerically simulated estimates display similar variation-variations in potential with capacity and culminate near an average peak of -about 1.1 W m⁻². This variation is also consistent with global estimates over land, albeit higher, because Kansas is winder than most places (Miller et al., 2015). In line with these estimates,
- Miller and Keith, 2018) showed that the actual yield from an average estimated onshore US capacity density of 2.7 MW km⁻² is around 0.90 W m⁻². The agreement between estimates from independent numerical modelling studies and relevant observational data analysis points to the robustness of this trend. effect.
- The variation in KEBA estimates (blue) our KEBA estimates based on WRF and ERA-5 winds is consistent with these 540 trends. Although the match between KEBA and the numerical estimates is not exact, it highlights the significance of the effects of KE removal effects on technical potential. nevertheless captures the magnitude effect and reproduces the sub-linear scaling of technical potentials with installed capacity densities.

The comparison in Fig. 5 shows that the removal of KE is the predominant physical influence which shapes the technical potential of Kansas. The close agreement between KEBA, which only accounts for KE removal effects, and the WRF trends,

545 which includes effects arising from both KE removal and stability, highlights the the role of the KE removal as the predominant influence on technical potentials. The remaining difference indicates the secondary role of stability or the degree of mixing in the boundary layer.

Including just the KE removal effect leads to a significant improvement in estimates over the standard estimates. Although diurnal variations in stability lead to variations in wind speeds reductions, yields and capacity factors during day and night, the

550 effect of these on the estimated technical potential is marginal over the whole time period. Including additional boundary layer

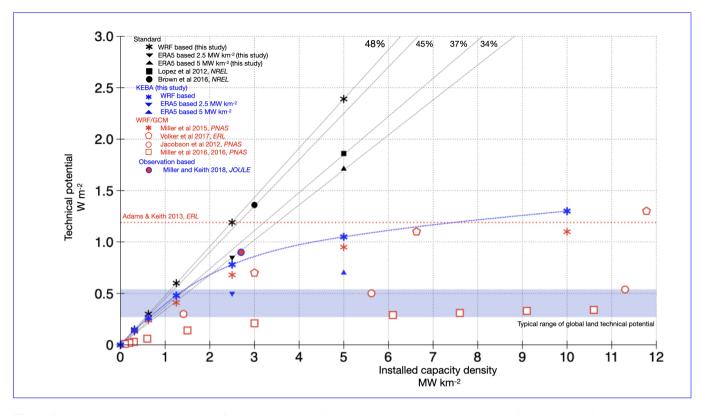


Figure 5. Technical potentials per unit surface area plotted against the capacity density and number of turbines (x-axis). Black symbols represent standard estimates (No no KE removal), while red symbols represent meteorological estimates with WRF or climate models (With with KE removal). Blue symbols represent the KEBA estimates from this study. ERA-5 based estimates are represented separately with upward (5 MW km⁻²) and downward (2.5 MW km⁻²) facing triangles. The blue band represents the range of average peak global potentials (Miller et al., 2011; Jacobson and Archer, 2012; Miller and Kleidon, 2016; Marvel et al., 2012; Wang and Prinn, 2010, 2011; Gustavson, 1979). The red dotted line represents the peak average potential of Kansas (Adams and Keith, 2013) while the dotted lines show the assumed capacity factors estimated without accounting for the removal of KE. Existing estimates of the Kansas resource potential are shown in black filled symbols (Brown et al., 2016; Lopez et al., 2012) (?Lopez et al., 2012). The red circle with the blue outline shows an observation-based estimate(Miller and Keith, 2018).

information into KEBA improves the agreement during day and night time but is unable to completely capture stability effects.

Nevertheless, KEBA still provides a straightforward physical framework through which the role of different physical influences on the resource potential, in this case diurnal variations, can be better understood and quantified.

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The reduced technical potentials and capacity factors significantly affect the economic potential of wind energy. This is commonly considered by evaluating the economic cost of wind energy using the Levelised Cost of Energy (LCOE) (Ragheb, 2017; Blanco, 2009). We use the estimates from above and plot these in terms of a relative increase in the LCOE in Fig. 6.

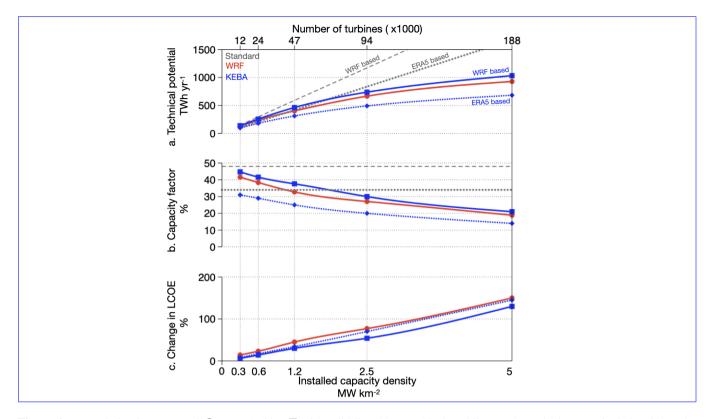


Figure 6. (a.) Variation in WRF (red \bigcirc) KEBA (blue \Box with solid line, blue \diamond with dotted line) and standard (gray stippled and dotted lines) estimates of technical potential,(b.) capacity factors, and (c.) % change in the Levelised Cost of Energy (LCOE) relative to the standard LCOE estimate plotted as a function of capacity densities (bottom) and number of turbines deployed (top). Standard and KEBA estimates based on WRF Control and ERA-5 data have been represented separately.

In the standard approach, based on its assumption of eonstant unaffected wind speeds, standard capacity factors remain constant while technical potential increases linearly. A-These are shown by the stippled and dotted gray lines depending on whether the estimate is based on WRF or ERA-5 (Fig 6a.). Despite the difference in the slopes, both lines show that doubling of capacity leads to a doubling of the potential. To estimate LCOE based on standard estimates, we assume that the cost of wind energy is only a function of the number of turbines. Then, the LCOE becomes an inverse function of the capacity (see supplementary materials for detailsfactor (see Appendix D). Thus, there is no change in the standard LCOE as the slopes of the line or the capacity factors remain unchanged(gray stippled line).

In the case of WRF (red circles) and KEBA (blue squares) resource depletion effect, however, technical potentials increase sub-linearly (Fig. 6a) and the capacity factors reduce (Fig. 6b). Each doubling of turbines from the lowest scenario to the 2.5 MW km⁻² scenario leads to an average of 70 - 75% stepwise increments in potential coupled with an average of 11 - 14% stepwise reduction in capacity factors. Each doubling in capacity beyond this leads to average stepwise increment of 27 - 31% in potential coupled with average reductions in capacity factors of 35 - 40%. Since we assumed that LCOE is only inversely

- ⁵⁷⁰ related to capacity factor, reductions in them lead to increases in LCOE capacity factor reductions lead to a LCOE increase, relative to the standard LCOE estimate. Thus, KEBA and WRF lead the resource depletion effect leads to estimates of LCOE that are on average $\frac{80-130}{1-120150\%}$ higher than the standard estimates at an installed capacity density of 5 MW km⁻². Fig 6 highlights another important insight of this effect at lower installed capacity densities. The lowest capacity densities evaluated in this study (0.3125 and 0.625 MW km⁻²) are associated with reductions of around 6 -12% relative to the standard.
- 575 These translate into corresponding relative increases of 6 23% in LCOE suggesting that the impacts of the resource depletion effect should be accounted for even in the case of sparser deployments.

Although the variation of technical potential, <u>CF capacity factors</u> and LCOE with increasing capacity densities shown in Fig. 6 is idealised, the trend does have implications for realistic scenarios. The relationships in Fig.6 provide a conceptual framework that quantitatively links the increase in generation from additional turbines with the degeneration of efficiency (<u>CFi.e.</u>, <u>capacity</u>)

580 factor) and cost (i.e., LCOE) arising from physical constraints imposed by the atmosphere. Despite its idealised nature, Fig 6 trends the trends shown in Fig 6 are consistent with real - world real-world analyses that show that CF the capacity factor is the most important physical control on LCOE (Cory and Schwabe, 2009). Currently energy scenario analyses anticipate only an improvement in LCOE driven largely by improvements in CF capacity factors due to better turbine technology (Wiser et al., 2016; Prakash et al., 2019; Blanco, 2009). Fig. 6 then motivates the evaluation of this expectation within the context of atmospheric limitations on KE availability for an improved estimate of LCOE.

Further, the trade-off between increased technical potentialand, CF-, capacity factors and LCOE provides a strong physical constraint on installed capacity densities which, at present, range from 3 to 24 MW km⁻² thought mainly to be constrained by land availability (Hoogwijk et al., 2004; Lopez et al., 2012; Brown et al., 2016; Eurek et al., 2017; Enevoldsen et al., 2019; Lütkehus et al. (Hoogwijk et al., 2004; Lopez et al., 2012; ?; Eurek et al., 2017; Enevoldsen et al., 2019; Lütkehus et al., 2013). The physical

590 constraint indicates that there is a likely region-specific optimum installed capacity density which balances technical potential, CF capacity factors and LCOE. Thus, even though Fig 6 represents idealised relationships, it still provides a physically consistent conceptual framework that encapsulates the non-trivial impacts of the atmospheric response wind resource depletion to large scale wind energy generation for application in energy scenario analyses. As we have shown, these impacts can be incorporated in energy scenario analyses almost completely by accounting for the KE removal effect.

595 4 Conclusions

We conclude that the impact of the KE removal effect on the technical wind energy potential of dense, regional scale wind turbine deployments is significant. Although day- and nighttime boundary layer heights and stability conditions affect the technical potential, it is the removal of KE from the wind that, primarily, by the wind turbines that primarily shapes the reduction in wind speeds and capacity factors. It leads to reduced potentials compared to the standard approach that have a significant impact on the economic potential of wind energy at the regional and larger scales.

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These impacts need to be assessed in policy evaluations of wind energy and the energy transition. For this KEBA is a viable alternative to the standard approach because it is simple to implement (Kleidon and Miller, 2020) and accounts for the effect of

the key atmospheric control on technical potentials. This is not to negate the use of more physically comprehensive, numerical methods like WRF and GCMs in policy analyses but to enable. Yet, it enables energy scenario modellers without a background

605 in meteorology to be able to incorporate the key physics without significantly increasing their models'-_____ computational complexity. The heavy computational requirements associated with physically accurate descriptions of the atmospheric circulations numerical weather simulation models have been reported to inhibit their widespread incorporation into policy side policy-side evaluations (Staffell and Pfenninger, 2016).

Lastly, despite these detrimental effects at larger deployment scales, KEBA's estimates agree with previous research that has shown that wind energy is <u>nevertheless</u> an abundant and renewable resource that can be <u>harvested used</u> to meet a significant part of the future energy demand through efficient, <u>large scale large-scale</u> deployment of wind turbines (Jacobson and Archer, 2012; Volker et al., 2017).

Code and data availability. Code and data related to this analysis is available through Max Planck Society's Open Research Data Repository (EDMOND). The associated doi is : https://doi.org/10.17617/3.78

615 *Author contributions*. AK, JM and NTM designed the study. AK supervised the study while JM and NTM performed the analysis. JM wrote the manuscript. AK and NTM reviewed and edited the manuscript.

Competing interests. The authors declare that they have no conflict of interest.

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620 Appendix A: Determining boundary layer heights for KEBA

A1 Determining boundary layer heights for initialising KEBA

The KEBA model estimates park yield and mean wind speed reduction through the application of conservation of energy ((Kleidon and Miller, 2020)). The kinetic energy (KE) generated in the atmospheric boundary layer is balanced by that consumed by the wind turbines within the wind park and its wake, dissipated at the surface, and that which powers the remnant wind. The KE conservation is applied to a hypothetical boundary layer volume which encompasses the wind turbine deployment and is mathematically represented as $J_{in,v} + J_{in,h} = P_{el,keba} + P_{wake} + D_{surface} + J_{out,h}$. The left hand side of this equation describes the horizontal and vertical flux of KE in to the boundary layer volume while the right hand side describes how this is partitioned within the volume. The vertical and horizontal KE fluxes into the volume can be expanded in to $J_{in,v} = WL \cdot \rho C_d \cdot v_{in}^3$ and $J_{in,h} = WH \cdot \frac{\rho}{2} \cdot v_{in}^3$.

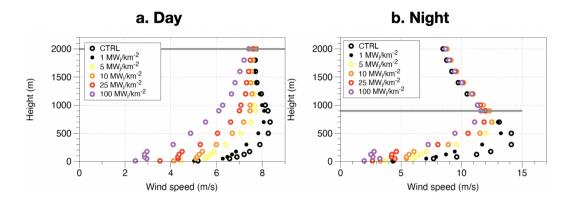


Figure A1. Day and night time wind vertical wind speed profiles estimated by Miller et al. (2015) which show that mean day-time boundary layer height is 2000m whereas that at night is 900m

- These expressions show that the KE budget available to the wind turbine deployment, is dependent on its geometry (cross-wind width W and downwind length L) and the height of the atmospheric boundary layer(H). In our analysis, the geometry of the deployment is fixed, therefore the only control on the KE budget is the boundary layer height. Changes in boundary layer height affect the horizontal input of KE flux (*J*_{in,h}). In line with the general definition of the atmospheric boundary layer as the layer which responds quickly to changes in surface forcing (Stull (2009)), the boundary layer from a KE perspective can be also defined as a layer, the kinetic energy content of which responds to changes in surface forcing i.e presence of large wind turbine deployments. Then the boundary layer height can be understood as the maximum height, up-till which the effects of kinetic energy removal by the turbines can be observed. Since the extraction of KE reduces mean wind speeds, changes in mean wind speeds induced by the turbines can be used estimate this height.
- The mean wind speeds over the region of interest, Kansas in this case, were extracted by Miller et al. (2015) from their 640 WRF simulations. Mean wind speeds were estimated per vertical model level over Kansas for the time period of simulation. 640 Such mean wind speed estimates were computed for all WRF simulations i.e those without wind parks (CTRL) and those with $(0.3125 - 100MW_i \cdot km^{-2})$. These mean wind speeds from the different models when plotted against model height (m) highlight the vertical variation of mean wind speeds or the vertical wind speed profiles. These are plotted for day and night separately in Fig. A1. In both the plots, the vertical wind speed profiles for the CTRL simulation represent the background
- 645 circulation in the absence of any wind turbines and hence represent the undisturbed circulation. Vertical wind speed profiles for other simulations deviate from the CTRL trend because turbines extract KE from the wind speeds, thus slowing them down. The larger the number of turbines within the wind park, the greater is the deviation from the CTRL or the undisturbed trend. The mean day and night boundary layer heights for initialising the KEBA model are then those at which the vertical profiles

derived from simulations with wind parks realign themselves with the undisturbed trend. Using this approach Miller et al 2015, estimated the day-time boundary layer height to be 2000m and the night-time boundary layer height to be 900m.

Appendix B: Tables with data used in figures

B1 Wind speed reductions

Here we tabulate (Table S1) the mean wind speed data simulated by Miller et al. (2015) without any wind parks or control (CTRL), and with the impact of wind parks with a range of turbine densities $(0.3125 - 10 MW_i km^{-2})$ split by day and night. Along with it we also provide the mean wind speed reductions estimated by KEBA with different day and night mean boundary laver heights.

Table B1. This table contains shows wind speed predictions by WRF and KEBA split by day and night. The column titled "standard" represents the CTRL wind speeds i.e with out the impact of reduced wind speeds. Since the standard approach predicts no change to mean wind speeds despite removal of kinetic energy. Thus day and night winds speeds remain constant and same as the CTRL wind speeds.

Capacity Density	Standard Day	WRF Day	KEBA Day	Standard Night	WRF Night	KEBA Night
$MW_i km^{-2}$	$m s^{-1}$	$m s^{-1}$	$m s^{-1}$	$m s^{-1}$	$m s^{-1}$	$m s^{-1}$
0.3125	6.85	6.96	6.85	9.54	8.45	9.22
0.625	6.85	6.86	6.67	9.54	7.86	8.91
1.25	6.85	6.57	6.40	9.54	7.00	8.35
2.5	6.85	6.27	5.96	9.54	6.29	7.49
5.0	6.85	5.65	5.37	9.54	5.39	6.43
10.0	6.85	4.86	4.67	9.54	4.40	5.36

B2 Park yield and capacity factors

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This section contains tables containing information about park yields and capacity factors estimated by Miller et al. (2015) (WRF) and by us using the standard approach and the 2 different implementations of KEBA i.e with a single boundary layer height (KEBA single) and another with 2 different average heights (KEBA variable) for day (2000m) and night(900m) for all the capacity density scenarios simulated considered in $(0.3125 - 10 \text{ MW km}^{-2})$. Tables S2 and S3 contain the data split between day and night time, respectively, whereas Table S4 contains the undifferentiated data.

 Table B2. Day-time averages:
 This table shows all the capacity density scenarios modelled, associated number of turbines, park yields

 (WRF)
 modelled by Miller et al. (2015). It also shows the park yields estimated in this study using the standard approach, KEBA with a single boundary layer height (KEBA single) and KEBA with different average bay and night-time boundary layer heights (KEBA variable).

 The computed capacity factors, represented as fractions, from all the approaches are also included.

day-time: This table shows all the capacity density scenarios modelled, associated number of turbines, park yields (WRF) modelled by Miller et al. (2015). It also shows the park yields estimated in this study using the standard approach, KEBA with a single boundary layer height (KEBA single) and KEBA with different average bay and night-time boundary layer heights (KEBA variable). The computed capacity factors, represented as fractions, from all the approaches are also included.

Capacity Density	Number of turbines	WRF	Standard	KEBA (fixed)	KEBA (variable)	WRF Capacity Factor	Standard Capacity Factor	KEBA fixed Capacity Factor	KEBA variable Capacity Factor
$MW_i km^{-2}$	-	$W_e m^{-2}$	$W_e m^{-2}$	$W_e m^{-2}$	$W_e m^{-2}$	-	-	-	-
0.3125	11700	0.06	0.06	0.06	0.06	0.20	0.19	0.19	0.18
0.625	23400	0.12	0.12	0.10	0.11	0.19	0.19	0.16	0.17
1.25	46800	0.22	0.24	0.18	0.19	0.17	0.19	0.14	0.15
2.5	93600	0.38	0.49	0.28	0.32	0.15	0.19	0.11	0.13
5.0	187200	0.56	0.97	0.39	0.47	0.11	0.19	0.08	0.09
10.0	374400	0.69	1.94	0.48	0.60	0.07	0.19	0.05	0.06

 Table B3. Night-time averages: This table shows all the capacity density scenarios modelled, associated number of turbines, park yields

 (WRF) modelled by Miller et al. (2015). It also shows the park yields estimated in this study using the standard approach, KEBA with a single boundary layer height (KEBA single) and KEBA with different average bay and night-time boundary layer heights (KEBA variable).

 The computed capacity factors, represented as fractions, from all the approaches are also included.

night-time: This table shows all the capacity density scenarios modelled, associated number of turbines, park yields (WRF) modelled by Miller et al. (2015). It also shows the park yields estimated in this study using the standard approach, KEBA with a single boundary layer height (KEBA single) and KEBA with different average bay and night-time boundary layer heights (KEBA variable). The computed capacity factors, represented as fractions, from all the approaches are also included.

Capacity Density	Number of turbines	WRF	Standard	KEBA (fixed)	KEBA (variable)	WRF Capacity Factor	Standard Capacity Factor	KEBA fixed Capacity Factor	KEBA variable Capacity Factor
MW_ikm^{-2}	-	$W_e m^{-2}$	$W_e m^{-2}$	$W_e m^{-2}$	$W_e m^{-2}$	-	-	-	-
0.3125	11700	0.07	0.09	0.08	0.08	0.22	0.28	0.26	0.26
0.625	23400	0.12	0.18	0.16	0.16	0.20	0.28	0.26	0.25
1.25	46800	0.19	0.35	0.29	0.27	0.16	0.28	0.22	0.22
2.5	93600	0.30	0.71	0.48	0.43	0.12	0.28	0.20	0.17
5.0	187200	0.39	1.42	0.68	0.58	0.08	0.28	0.14	0.12
10.0	374400	0.41	2.84	0.85	0.68	0.04	0.28	0.05	0.07

Table B4. Daily averages: This table shows all the capacity density scenarios modelled, associated number of turbines, park yields (WRF) modelled by Miller et al. (2015). It also shows the park yields estimated in this study using the standard approach, KEBA with a single boundary layer height (KEBA single) and KEBA with different average bay and night-time boundary layer heights (KEBA variable). The computed capacity factors from all the approaches is also included.

Undifferentiated: This table shows all the capacity density scenarios modelled, associated number of turbines, park yields (WRF) modelled by Miller et al. (2015). It also shows the park yields estimated in this study using the standard approach, KEBA with a single boundary layer height (KEBA single) and KEBA with different average bay and night-time boundary layer heights (KEBA variable). The computed capacity factors from all the approaches is also included.

Capacity Density	Number of turbines	WRF	Standard	KEBA (fixed)	KEBA (variable)	WRF Capacity Factor	Standard Capacity Factor	KEBA fixed Capacity Factor	KEBA variable Capacity Factor
$MW_i km^{-2}$	-	$W_e m^{-2}$	$W_e m^{-2}$	$W_e m^{-2}$	$W_e m^{-2}$	-	-	-	-
0.3125	11700	0.13	0.15	0.14	0.14	0.42	0.48	0.45	0.45
0.625	23400	0.24	0.30	0.26	0.26	0.39	0.48	0.42	0.42
1.25	46800	0.41	0.60	0.46	0.47	0.33	0.48	0.37	0.37
2.5	93600	0.68	1.19	0.78	0.75	0.27	0.48	0.30	0.31
5.0	187200	0.95	2.39	1.05	1.05	0.19	0.48	0.21	0.21
10.0	374400	1.10	4.78	1.30	1.28	0.11	0.48	0.13	0.13

B3 Comparison with published numerical weather model-based estimates of technical wind energy potential

Appendix C: Comparison with published numerical weather model-based estimates of technical wind energy potential

- In Fig. 5, we have compared KEBA estimates of technical potential from our analysis with those performed independently by others over comparable regional and global scales using different numerical modelling approaches. For comparison in Kansas and Central USA we used the studies performed by Adams and Keith; Miller et al. and Volker et al. (Adams and Keith, 2013; Miller et al., 2015; Volker et al., 2017). From Volker et al., we only use their estimates for their largest deployment scenario $(10^5 km^2)$ in Central US. This was the most pertinent case for our analysis.
- All three of these studies use a version of WRF to model the wind turbine yields and parametrize the wind turbines as momentum sinks. This means that they account for the fact that turbines extract momentum and kinetic energy from the wind thereby lowering wind speeds.While Miller et al. and Adams and Keithuse a variation of the Fitch scheme (Fitch et al., 2013b), Volker et al. use the extended wake parameterization or EWP scheme (Volker et al., 2015). The main difference between the Fitch scheme, its variation and the EWP is the while the latter does not include an explicit term to account for the Turbulent
- 675 Kinetic Energy (TKE) generated by the turbine, the former 2 do. The different schemes lead to differences in the amount

of mixing generated withing the boundary layer due to the turbine action. The Fitch scheme estimates more and the EWP relatively less, even though their estimates of wind speeds largely agree with each other(Volker et al., 2015).

It is important to appreciate these differences because Archer et al. (Archer et al., 2020) highlighted two bugs in implementation of the Fitch scheme in WRF versions prior to v4.2 that affect the Miller et al. study (Fischereit et al., 2021). It was shown that the additional term in the Fitch scheme adds excessive TKE and a coding bug prevents the TKE from being advected properly. Although preliminary analyses have shown that the two errors actually compensate for each other giving rise to TKE estimates that agree with observations (Archer et al., 2020; Larsén and Fischereit, 2021), it would be useful to briefly evaluate

any potential implications on our results and conclusions.

First, according to a review by Fischereit et al. the conclusions of neither of the 3 studies based used in this study,(Adams and Keith, 2013; Miller et al., 2015; Volker et al., 2015) are affected by the identified bug. Secondly, were these studies affected by the bug or the impact significant one would have expected a more prominent deviation between the Fitch based studies and the EWP based study. This is because the EWP schemes does not use the explicit TKE addition term with which the bug was related. Instead, it is observed that the different studies exhibit a similar trend of technical potential with installed capacity that culminates to a peak average of 1.1 W m^{-2} .

- Further, the WRF trends in Kansas and Central US are consistent with previous studies that estimate global potentials. Relevant estimates of global land from Jacobson and Archer and Miller and Kleidon are shown in Fig. 6. These estimates and trends have been derived using global circulation models (GCMs) Jacobson and Archer used the GATOR-GCMOM model (Jacobson, 2001) while Miller et al. used the Planet Simulator model (Fraedrich et al., 2005). These are also unaffected by the errors in the Fitch scheme. These trends show the same variation in potentials as the WRF trends i.e. sub linear increase in potential beyond 1.5 MW km⁻² and culmination to a peak global average range of 0.2 0.6 W m⁻² Miller et al. (2011); Miller and Kleidon (2016); Jacobson and Archer (2012); Wang and Prinn (2010, 2011); Marvel et al. (2012). The agreement between
- all the independent trends and regional and global scale highlights that the impact of the errors in the Fitch scheme are unlikely to affect the insights and conclusions generated from this study.

C1 Technical Potential, Capacity Factors and Levelized Cost of Energy (LCOE)

700 Appendix D: Technical Potential, Capacity Factors and Levelized Cost of Energy (LCOE)

Figure 6b shows that as the number of turbines deployed over the hypothetical wind farm area increases, the removal of kinetic energy (KE) reduces the capacity factor. This means that with the increasing deployed capacity, each turbine produces less energy than what it would have, had it been operating in isolation. The reduction in per turbine efficiency increases with increasing turbines. When the KE removal is neglected, the capacity factor remains unchanged (dotted gray line). While the

705 addition of turbines generally increases the technical potential, the step-wise increments in generation reduce as the turbine numbers increase Fig. 6a. The lower increments are driven by the reductions in capacity factors Fig. 6b. The effect of this variation in capacity factors can be used to investigate their economic impacts using a standard economic cost metric known as the levelized cost of energy or LCOE (Ragheb, 2017). LCOE is represented by the following formula (Ragheb, 2017):

$$LCOE_{wind} = \frac{\sum_{t=1}^{n} (I_t + O\&M_t - PTC_t - D_t + T_t + R_t) \cdot \frac{1}{(1+i)^t}}{CF \cdot \sum_{t=0}^{t=n-1} P_t}$$
(D1)

- In this equation, I_t and $O\&M_t$ refer to the capital and operations cost while PTC_t , D_T , T_t and R_t represent the credits, levies, taxes and royalties, respectively. The term $\frac{1}{(1+i)^t}$ is the present value factor which is used to account for the time value of money with a discount factor, *i*, over the lifespan of a wind farm. *t* represents a year within the operational period of a wind farm. *CF* is the capacity factor, which in this calculation would be different for different scenarios for WRF and KEBA but same for the standard approach. Since we are interested in simply illustrating only the economic impact of reductions in
- 715 capacity factors due to KE removal, we can simplify Eq.(D1) such that LCOE is only a function of capacity factor. For this, we ignore tax related terms and assume that all costs and installed capacity terms (P_t) are sunk and installed at the once at the beginning of the operational life. The time factor also remains constant for all scenarios. It should be noted that this calculation is meant only to illustrate that capacity factor reduction arising from KE removal result in non-trivial increases in LCOE which highlights their inclusion into the policy design. In reality, turbine installation will occur over many years and so will the cost
- 720 investments. A real LCOE calculation would need specific and quality controlled inputs about the timing and values of costs, levies and discount rates. With the simplification, Eq.(D1) would take the following form.

$$LCOE_{scenario} = \frac{1}{CF_{scenario}} \times constant$$
 (D2)

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In D2, the LCOE for each capacity density scenario is inversely related to the capacity factor. As the cost and installed capacity terms are same for the standard and the WRF and KEBA approaches, the percent change relative to the standard approach for each scenario can be calculated. These values for each of the installed capacity density scenarios are plotted for both WRF and KEBA estimates. For example, for the 2.5 MW km⁻² case the standard approach assumes a 0.48 capacity factor while KEBA and WRF estimates 0.31 and 0.27. Then, to estimate the percent change relative to the standard approach the following approach is used:

$$\% change in LCOE_{2.5} = \frac{LCOE_{2.5, KEBA/WRF} - LCOE_{2.5, standard}}{LCOE_{2.5, standard}}$$
(D3)

730 These values are tabulated in the table below.

Table D1. Tabulation of capacity factors estimated by KEBA, WRF and the standard approach along with the estimated change in LCOE (%) due to KE removal relative to the standard approach.

Capacity Density	Number of turbines	WRF Capacity Factor	Standard Capacity Factor	KEBA variable Capacity Factor	WRF LCOE Change	KEBA variable LCOE Change
MW_ikm^{-2}	-	-	-	-	%	%
0.3125	11700	0.42	0.48	0.45	14	6
0.625	23400	0.39	0.48	0.42	23	14
1.25	46800	0.33	0.48	0.37	45	30
2.5	93600	0.27	0.48	0.31	77	54
5.0	187200	0.19	0.48	0.21	150	130
10.0	374400	0.11	0.48	0.13	430	270

The change in LCOE is only calculated for the installed capacity range from 0.3125 to 10 MW km⁻² because this is the range that is typically assumed in wind energy policy scenarios. They show that as the capacity factor reduces the economic cost of wind energy goes up because each of the turbines performs less efficiently.

Appendix E: KEBA and Standard estimates based on ERA-5 100m wind speeds from 2000 - 2020

735 I would like to thank Dr. Lee M. Miller for providing key scientific insights during the editing of the manuscript that have contributed greatly to improving it quality.

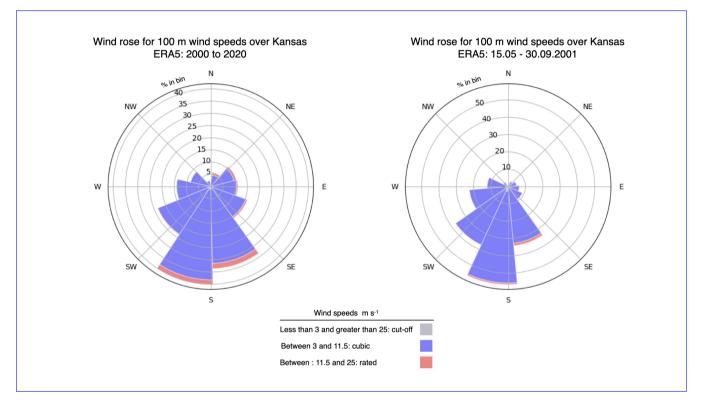


Figure E1. Wind directions for 100m wind speeds over Kansas from ERA-5 over the 2000-2020 period.

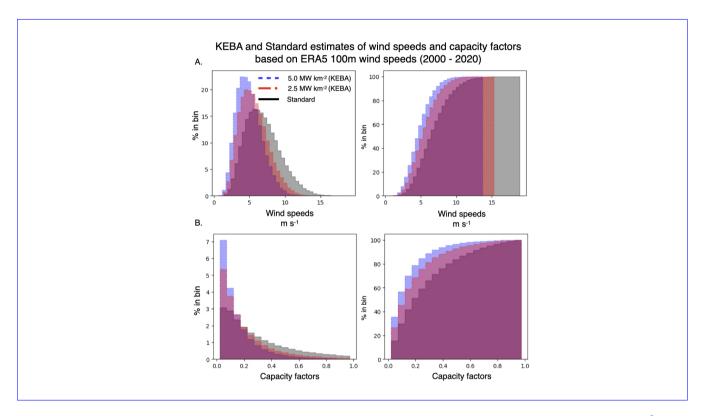


Figure E2. Wind speed (A) and capacity factor (B) distributions for standard and KEBA approaches for the 2.5 (red) and 5 MW km⁻² (blue) deployment scenarios calculated using ERA-5 100m wind speeds over the 2000-2020 period.

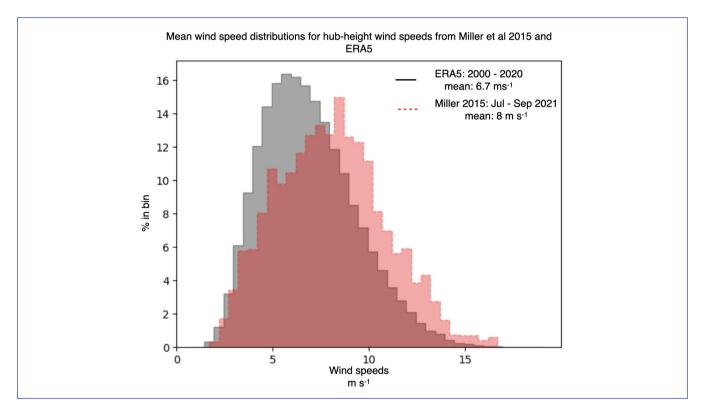


Figure E3. Comparison of near hub-height wind speed distributions from Miller et al. (2015) (4.5 months, red) and ERA-5 (20 years, grey).

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