



# A large-eddy simulation analysis of collective wind-farm axial-induction control in the presence of blockage

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Abstract. Over the past few years, numerous studies have shown the detrimental impact of flow blockage on wind-farm power production. In the present work, we investigate the benefits of a simple collective axial-induction control strategy on power maximization and load reduction in the presence of blockage. To this end, we perform a series of large-eddy simulations (LES) over a wind-farm consisting of 100 IEA 15MW turbines, and build the wind-farm power and thrust coefficient curves for three different conventionally neutral boundary layer profiles. We show that the wind-farm power and thrust coefficient curves are much flatter than those of an isolated turbine. As a result, the wind-farm thrust coefficient becomes significantly more sensitive to the selected operating point than the power coefficient. Consequently, we find that the optimal wind-farm operating point considerably differs from the Betz limit in practice, particularly under high-blockage conditions. At the optimal point, the results reveal a minor power increase, accompanied by a load reduction of about 5%, simultaneously. More interestingly, we show that in some cases the loads can be reduced by up to 19%, at the expense of a power decrease of only 1%.

#### 1 Introduction

Due to various constraints related to infrastructure costs, land regulations and grid connection, wind turbines are often gathered in wind farms. However, such configuration introduces non-negligible coupling between the turbines as upstream rows shed wakes on their downstream counterparts. This results in a large proportion of turbines in the farm facing lower incoming velocities and higher levels of turbulence intensity. Therefore, the design of an optimal wind farm operating strategy has been the focus of numerous research works (Steinbuch et al., 1988; Gebraad, 2014; González et al., 2015; Fleming et al., 2017; Annoni et al., 2017). To date, those control strategies essentially consist in adjusting either the thrust coefficients (Axial Induction Control) or the yaw angles (Wake Redirection Control) of the turbines in the farm.

Although many studies on optimal farm operating points have shown promising results, the majority of them build upon low-fidelity engineering models, in which only the wake interactions are represented. However, recent research has highlighted the excitation of gravity waves by the farm on a much larger scale, with non-negligible impacts on the total power production of the farm (Allaerts and Meyers, 2018; Lanzilao and Meyers (2022, 2024)). This is associated with an unfavorable pressure gradient that is established at the inlet of the farm, leading to the so-called blockage effect.

To represent the wind-farm-induced pressure effects on the upstream flow, Allaerts and Meyers (2019) developed an atmospheric perturbation model. With this model, they built the farm-averaged power-coefficient curve for two sets of flow

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conditions. In both cases, they observed a significant drop with respect to the predictions of the wake-only models. In the work of Allaerts and Meyers (2019), only homogeneous distributions of the thrust coefficient were considered. Later, Lanzilao and Meyers (2021) proposed a more-advanced optimization procedure of the wind-farm thrust set-point under specified flow conditions. The authors leveraged the analytical form of the model of Allaerts and Meyers (2019) to derive its adjoint gradient, with which they retrieved the optimal thrust coefficient distributions over the farm. Overall, they observed power gains larger than 4% in the majority of the tested cases. More generally, their work emphasized the important part played by gravity-wave-induced blockage effects in the design of an optimal wind farm thrust set-point. However, the approach proposed by Lanzilao and Meyers (2021) relied on a box-function wind-farm force, with which the interactions between the turbines could not be accurately described. Using coupled wake-blockage models, Bossanyi and Bleeg (2024) recently pointed out that axial-induction control could reduce blockage and wake effects simultaneously.

In this context, the present work aims at providing solid evidence of the benefits that can possibly be achieved through collective wind-farm axial-induction control. For that purpose, we build the power-coefficient and thrust coefficient curves of a large wind-farm using high-fidelity LES. In this analysis, we investigate the impact of the atmospheric conditions on the shape of the curves by considering three sets of flow conditions. Due to their high computational cost, LES data of full wind-farm flows are scarce. Therefore, to the best of our knowledge, no similar study has been performed before.

The remainder of this paper is organized as follows. In Sec. 2, we introduce the set of governing equations, the boundary conditions, the numerical specifications as well as the different tested cases. Sec. 3 then provides details on the precursor and spin-up phases preceding the actual simulations. The results of those last simulations are discussed in Sec. 4, in terms of the flow fields and the wind-farm performances.

## 45 2 Methodology

In this section, we introduce the equations governing the LES simulations and we give a brief description of the flow solver used (Sec. 2.1). We then discuss the characteristics of the turbines and their representation in the numerical frame (Sec. 2.2). The boundary conditions selected in the scope of this study are described in Sec. 2.3 and further details about the numerical set-up are provided in Sec. 2.4. Eventually, the different atmospheric conditions and turbine thrust set-points investigated in this work are summarized in Sec. 2.5. We emphasize that the methodology described below is, to a large extent, inspired by the one followed by Lanzilao and Meyers (2024).

## 2.1 Governing equations

Throughout the present work, the three-dimensional filtered velocity field is described by the incompressible Navier-Stokes equations. The Boussinesq approximation is used, and we employ a transport equation for the filtered potential temperature. An in-depth description of these equations can be found in Allaerts and Meyers (2017).

Within this paper, we focus on barotropic flows, in which a constant background pressure gradient across the domain balances the Coriolis force above the capping inversion, resulting in a geostrophic wind in the free atmosphere that is constant with





height. Moreover, the forcing exerted by the turbines on the flow (see Sec. 2.2) is accounted for through an actuator disc model (ADM). With regards to the subgrid-scale model, we use the stability-dependent Smagorinsky model developed by Stevens et al. (2000). The corresponding Smagorinsky coefficient is set to  $C_s = 0.14$  and damped near the wall, following the approach of Mason and Thomson (1992).

In order to solve the set of equations, we use the in-house SP-Wind solver (see, e.g., Calaf et al. (2010), Goit and Meyers (2015), Allaerts and Meyers (2017, 2018), Munters and Meyers (2018) and Lanzilao and Meyers (2022, 2023)). This software relies on a classical fourth-order Runge-Kutta scheme with a Courant-Friedrichs-Lewy number of 0.4 to integrate the system in time. At every stage of the numerical scheme, the Poisson equation is solved to ensure continuity. Further, SP-Wind provides pseudo-spectral Fourier schemes that are used to discretize the equations along the streamwise and spanwise directions. We note that aliasing errors are prevented thanks to the 3/2 dealiasing rule of Canuto et al. (1998). Finally, the vertical direction is discretized following an energy-preserving fourth-order finite difference scheme, as discussed in Verstappen and Veldman (2003). The reader can refer to, e.g., Delport (2010) for further details about the discretization employed.

#### 2.2 Wind turbine characteristics

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In this paper, we model the performances of the IEA 15-Megawatt offshore turbine detailed by Gaertner et al. (2020). It is equipped with a rotor of diameter  $D=240\,\mathrm{m}$  located at hub height  $z_H=150\,\mathrm{m}$  that delivers a rated power of  $P_r=15\,\mathrm{MW}$ .

We model the turbine rotor as a non-rotating actuator disc, similarly to the LES studies of Allaerts and Meyers (2015), Goit and Meyers (2015), Lanzilao and Meyers (2022, 2023, 2024), among others. In the actuator disc model, the turbine acts as an infinitely thin disc that extracts momentum from the flow. However, in order to prevent numerical instabilities associated with abrupt gradients of forces, we smooth out the force distribution by means of a Gaussian filtering operation (Calaf et al., 2010; Meyers and Meneveau, 2010). We define the three dimensional Gaussian filter as

$$G(\mathbf{x}) = \left(\frac{6}{\pi \Delta_f^2}\right)^{3/2} \exp\left[-6\frac{x^2 + y^2 + z^2}{\Delta_f^2}\right],\tag{1}$$

where  $\mathbf{x}$  denotes the coordinate vector and  $\Delta_f$  is the filter width. In SP-Wind, the filter width relates to the grid spacing through  $\Delta_f = \max\{f_x \Delta x, f_y \Delta y, f_z \Delta z\}$ , with  $f_x = f_y = f_z = 1.5$  the filter parameters, and  $\Delta x, \Delta y$  and  $\Delta z$  the cell dimensions discussed in Sec. 2.4. Consequently, the footprint for a turbine centered at  $\mathbf{x_t}$  corresponds to (Meyers and Meneveau, 2010)

$$\mathcal{R}(\mathbf{x}) = \iiint_{\Omega} G(\mathbf{x} - \mathbf{x}') \, \delta[(\mathbf{x}' - \mathbf{x_t}) \cdot \mathbf{e}_{\perp}] \, \mathcal{H}(D/2 - ||\mathbf{x}' - \mathbf{x_t}||_2) d\mathbf{x}', \tag{2}$$

with  $e_{\perp}$  the unit vector orthogonal to the turbine and  $\Omega$  the three dimensional space. In Eq. 2, the symbols  $\delta$  and  $\mathcal{H}$  represent the Dirac delta distribution and the Heaviside function, respectively.

Then, the velocity at the location of the  $k^{th}$  rotor and perpendicular to it is computed as the spatial average over the footprint:

$$u_{d,k} = \frac{M}{A} \iiint_{\Omega} \mathcal{R}(\mathbf{x}) \,\mathbf{u} \cdot \mathbf{e}_{\perp} d\mathbf{x},\tag{3}$$





where  $A = \pi D^2/4$ , and M is the velocity correction factor (Shapiro et al., 2019). For coarse grids, the filtering operation may lead to a power over-estimation as the rotor diameter appears to be artificially increased. Therefore, we use the velocity correction factor M proposed by Shapiro et al. (2019) as a function of the filter width  $\Delta_f$  and the disc-based thrust coefficient  $C'_T$ :

$$M = \left(1 + \frac{C_T'}{2} \frac{1}{\sqrt{3\pi}} \frac{\Delta_f}{D}\right)^{-1}.\tag{4}$$

Furthermore, the magnitude of the thrust force exerted by the  $k^{th}$  rotor,

$$F_k = \frac{1}{2}\rho_0 C'_{T,k} u_{d,k}^2 \frac{\pi}{4} D^2, \tag{5}$$

is distributed over the turbine footprint as done by Meyers and Meneveau (2010),

$$f_k(\mathbf{x}) = F_k \,\mathcal{R}(\mathbf{x}),\tag{6}$$

where  $\rho_0$  is the reference air density and  $u_{d,k}$  the local disc-averaged velocity (Eq. 3). Further, the force intensity is set through the disc-based thrust coefficient  $C_T'$ , the value of which is given as input to SP-Wind (see Sec. 2.5). Similarly to Allaerts and Meyers (2017) and Lanzilao and Meyers (2024), we employ a simple yaw controller that maintains the actuator disc perpendicular to the flow direction measured one diameter upstream. Consequently, we emphasize that the total force  $F_k$  (Eq. 5) is the magnitude of a vector that generally has components along both the spanwise and streamwise directions. Finally, the total power the  $k^{\text{th}}$  turbine extracts from the flow, denoted  $P_k$ , is computed as follows:

$$P_k = F_k u_{d,k} = \frac{1}{2} \rho_0 C'_{T,k} u_{d,k}^3 \frac{\pi}{4} D^2.$$
 (7)

## 2.3 Boundary conditions

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The boundary conditions of the numerical domain are specified as follows. On the bottom face, we model the development of shear stresses by means of the classic Monin–Obukhov similarity theory for neutral boundary layer (Moeng, 1984; Allaerts, 2016), for which a surface roughness  $z_0 = 1 \times 10^{-4}$  m representative of offshore conditions is selected.

Further, both the streamwise and spanwise lateral sides of the domain are assigned periodic boundary conditions. This allows to model an infinitely wide domain, provided that no farm-induced effects reaches the edge of the domain. The choice of an appropriate domain size is discussed in Sec. 2.4. Along the streamwise direction, we employ the wave-free fringe region technique developed by Lanzilao and Meyers (2023), in which a body-force is applied to ensure that the desired inflow conditions are imposed at the front of the domain. This method is used together with a concurrent precursor approach, from which the fully developed turbulent flow field can be imposed (see Sec. 3.1).

At the top of the domain, a rigid-lid condition ensures zero shear stress, zero vertical velocity and a fixed potential temperature. Without particular treatment, however, this boundary condition significantly reflects gravity-waves. Therefore, we use a Rayleigh-damping layer (RDL) to curtail this wave reflection effect, similarly to Allaerts and Meyers (2017) and Lanzilao and Meyers (2023, 2024), among others. The method consists in applying a body force in the upper part of the free atmosphere, with an intensity proportional to the difference between the local velocity field and the geostrophic wind. https://doi.org/10.5194/wes-2024-110

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#### 2.4 Numerical set-up

Prior to simulating the flow in the wind farm, we run a precursor simulation in which the turbulent flow fully develops and reaches a statistically steady behaviour. When running the wind farm simulation, the precursor is concurrently advanced in time so as to provide the inflow, as discussed in Sec. 2.3. In the scope of this work, we select a precursor domain with dimensions  $L_x^p = L_y^p = 10 \text{ km}$  and  $L_z^p = 3 \text{ km}$ , as done by Allaerts and Meyers (2017, 2018) and Lanzilao and Meyers (2024). Next, we set the dimensions of the main domain on the basis of the observations of Lanzilao and Meyers (2024). We note that in the latter study, the authors consider a wind farm about 4 km longer but 2 km narrower than the one investigated in the present work (see Sec. 2.5). Therefore, we use the same main domain length and height, but increase the domain width by 10 km so that the main domain has dimensions  $L_x \times L_y \times L_z = 50 \times 40 \times 25 \text{ km}^3$ . The farm is symmetrically positioned along the spanwise direction, resulting in a distance of  $L_{\text{side}} = 14.3 \text{ km}$  between the edges of the farm and the lateral sides of the domain. Eventually, the distance upstream of the farm is taken equal to  $L_{\text{ind}} = 18 \text{ km}$  to allow for a full representation of the induction zone (Lanzilao and Meyers, 2024).

Because the fringe region spans over the entire width of the main domain, the tiling technique of Sanchez Gomez et al. (2023) is employed to extend the precursor field along the y-direction, allowing for a smaller effective size of the precursor. Additionally, we artificially extend the height of the precursor field by imposing the geostrophic flow field from 3 km to 25 km, i.e. in the region where the flow field can reasonably be assumed laminar. The resulting concurrent precursor field has dimensions  $L_x^{cp} \times L_y^{cp} \times L_z^{cp} = 10 \times 40 \times 25 \,\mathrm{km}^3$ .

Furthermore, the grid resolution is identical to that selected by Lanzilao and Meyers (2024), that is,  $\Delta x = 31.25\,\mathrm{m}$ ,  $\Delta y = 21.74\,\mathrm{m}$  along the streamwise and spanwise directions, respectively. This corresponds to  $N_x^p = 320$  and  $N_y^p = 460$  grid points along the x and y axes of the precursor domain. In the main domain, the selected resolution leads to  $N_x = 1600$  and  $N_y = 1840$  points. Contrary to the regular grid spacing adopted in the horizontal plane, we use a height-dependent vertical discretization to reduce the computational cost. Firstly, a relatively fine constant spacing  $\Delta z = 5\,\mathrm{m}$  is retained below 1.5km, with which the velocity gradients can be accurately captured. Consequently, the turbine rotor encompasses 11 and 48 grid points along the spanwise and vertical directions, respectively. We note that those values align with that of other recent similar studies (Calaf et al., 2010; Wu and Porté-Agel, 2011; Allaerts and Meyers, 2017; Lanzilao and Meyers, 2024). Secondly, the grid is smoothly stretched over 180 points in the region between 1.5km and 15km. Lastly, an additional stretch is applied over 10 grid points from 15 km to 25 km. Overall, a total of  $N_z = 490$  grid points is used along the vertical direction. Note that the same vertical discretization, trimmed to  $L_z^p = 3\,\mathrm{km}$ , however, is adopted for the initial precursor simulation.

In Sec. 4.2, the power production of an isolated turbine is compared to that of the wind farm for reference. Therefore, it is necessary to perform simulations of an identical turbine operating in stand-alone conditions. The horizontal dimensions of the corresponding main domain are the same as that of the precursor simulation, so that only the vertical extension from 3 km to 25 km, is required.

Finally, as the wind-farm setup and the domain size considered here are very similar to that of Lanzilao and Meyers (2024), the same settings are selected for the Rayleigh-damping-layer and for the fringe region. The corresponding values are summa-





**Table 1.** Magnitude  $(\nu^{ra})$ , growing rate  $(s^{ra})$  and thickness  $(L_z^{ra})$  of the Rayleigh-damping layer. Parameters values are set following Lanzilao and Meyers (2024).

Parameter	$\nu^{ra}$	$s^{ra}$	$L_z^{ra}$
Value	5.15	3	10
Unit	-	-	km

**Table 2.** Starting  $(x_s^h)$  and ending  $(x_e^h)$  points of the fringe region and corresponding smoothness coefficients  $(\delta_s^h, \delta_e^h)$ . Starting  $(x_s^d)$  and ending  $(x_e^d)$  points of the momentum-damped region and corresponding smoothness coefficients  $(\delta_s^d, \delta_e^d)$ . Fringe-region strength  $(h_{\text{max}})$ . Parameters values are selected following Lanzilao and Meyers (2024).

$x_s^h$	$x_e^h$	$\delta_s^h$	$\delta_e^h$	$x_s^d$	$x_e^d$	$\delta_s^d$	$\delta_e^d$	$h_{\max}$
44.5	47.2	0.4	0.4	44.5	50	2.5	3	0.3
km	km	km	km	km	km	km	km	$s^{-1}$

rized in Table 1 and Table 2, respectively. In Table 1, the first two parameters denote the magnitude and the growing rate of the RDL, whereas  $L_z^{ra}$  is the thickness of the layer. The first four parameters in Table 2 refer to the starting and ending points of the fringe region, and the corresponding smoothness coefficients, respectively. The following four parameters denote the same quantities, however related to the momentum-damped region. Eventually,  $h_{\rm max}$  characterizes the strength of the fringe function. For further details, we refer to Lanzilao and Meyers (2024).

#### 2.5 Wind farm operating conditions

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The wind farm examined in the current work consists of 100 IEA 15 MW turbines arranged in a 10-by-10 configuration. The spacing between each turbine is set to  $S_x = S_y = 5\,D$  in both the spanwise and streamwise directions. We introduce an offset of  $S_y/2$  between every downstream row to obtain a staggered layout. Given the turbine diameter specified in Sec. 2.2, the resulting power density is  $P_r/(S_xS_y) \simeq 10.42\,\mathrm{MW\,km^{-2}}$ . Overall, the wind farm, starting at  $L_{\mathrm{ind}} = 18\,\mathrm{km}$  (Sec. 2.4), is  $L_x^f = 10.8\,\mathrm{km}$  long and  $L_y^f = 11.4\,\mathrm{km}$  wide, leading to the following ratios:  $L_{\mathrm{ind}}/L_x^f = 1.67$ ,  $L_x/L_x^f = 4.63$  and  $L_y/L_y^f = 3.51$ . A sketch of the numerical domain is depicted in Fig. 1.

In order to explore the potential for power optimization and load reduction using axial induction control, different disc-based thrust coefficients (Eq. 5) are tested. In particular, we select a sample of four distinct values, ranging over a set of realistic possibilities:  $C_T' = \{0.50; 1.25; 2.0; 2.75\}$ . Following classical momentum theory (Allaerts, 2016), the corresponding values of the thrust coefficient are  $C_T = \{0.40; 0.73; 0.89; 0.97\}$ . We emphasize that in all the simulations, the considered  $C_T'$  value is constant throughout the wind plant, representing the choice of a collective control set point. This allows to restrict the number of parameters in the study.

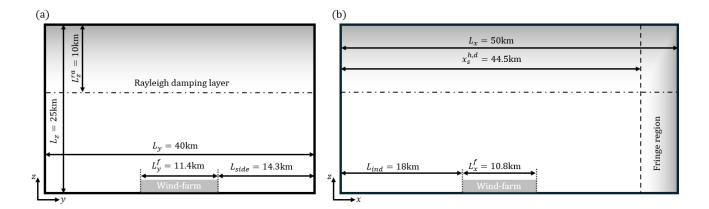
To initialize the precursor simulation, a potential temperature profile is defined following the model of Rampanelli and Zardi (2004) for conventionally neutral boundary-layers. We denote the height of the capping inversion by H and set the constant





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**Figure 1.** Scaled representation of the front (a) and side (b) views of the domain set-up employed in the wind-farm simulations. The Rayleigh damping layer and the fringe region introduced in Sec. 2.4 are shown on the figure.

potential-temperature below it to  $\theta_0 = 288.15\,\mathrm{K}$ . Further, we refer to the strength and thickness of the capping inversion as  $\Delta\theta$  and  $\Delta\mathrm{H}$ , respectively. Above the capping inversion, the potential-temperature profile is controlled by the rate  $\Gamma$  in the free atmosphere.

Based on the observations of Lanzilao and Meyers (2024), we select a first set of parameters,  $\{H=150, \Delta\theta=8, \Gamma=1\}$  (referred to as H150- $\Delta\theta$ 8- $\Gamma$ 1), for which strong blockage effects are expected to have a substantial influence on the wind farm efficiency. Lanzilao and Meyers (2024) reported a non-local efficiency lower than 0.3, however partially counter-balanced by a strong favorable pressure gradient within the farm, with which the wake efficiency becomes larger than one. In spite of this, the H150- $\Delta\theta$ 8- $\Gamma$ 1 case was observed to result in a low farm efficiency of about 32%. Secondly, we consider the scenario H300- $\Delta\theta$ 5- $\Gamma$ 1, in which blockage effect is attenuated due to a weaker capping inversion positioned at a higher altitude. Finally, we investigate the combination H500- $\Delta\theta$ 5- $\Gamma$ 4, for which Lanzilao and Meyers (2024) observed a beneficial impact of the thermal stratification on the farm efficiency. Note that for all three sets of atmospheric conditions, the capping inversion thickness is initialized to  $\Delta$ H = 100 m.

Moreover, for all the simulations performed in this analysis, we set the geostrophic wind speed to  $G=10~{\rm m\,s^{-1}}$ , as done by Lanzilao and Meyers (2024). We remark that this speed is slightly lower than the rated speed of the IEA 15-MW turbine reported by Gaertner et al. (2020). Consequently, this choice of geostrophic speed allows to analyze the turbine performances in the region where it typically operates at maximum thrust coefficient when following a greedy control approach. Finally, we set a latitude  $\phi=51.6\deg$ , resulting in a Coriolis frequency  $f_c=1.14\times10^{-4}\,{\rm s}^{-1}$ .

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#### 3 Boundary-layer initialization

The precursor phase performed to initialize the boundary-layer flow is described in Sec. 3.1. Then, the wind-farm set-up introduced in Sec. 2.5 is added to the main domain and a spin-up phase is conducted until the flow reaches a quasi-steady state. The transient behavior of the flow during this second phase is discussed in Sec. 3.2.

#### 3.1 Precursor phase

The precursor phase is carried out to obtain a statistically steady, fully developed turbulent flow over the domain. For that purpose, the initial velocity profiles are defined following the approach of Allaerts and Meyers (2015), that is, a boundary-layer flow with friction velocity  $u_* = 0.26 \,\mathrm{m\,s^{-1}}$  connected to a laminar geostrophic wind above the capping inversion. Turbulence is initiated by mean of divergence-free fluctuations of amplitude G/10 introduced up to an altitude of 100 m. The initial potential temperature profiles are generated using the Rampanelli and Zardi (2004) model together with the sets of parameters,  $H, \Delta\theta$  and  $\Gamma$ , detailed in Sec. 2.5. For each atmospheric condition, the precursor simulation is performed over 20 hours. The resulting flow quantities are then time-averaged over the last 4 hours of simulation and displayed in Fig. 2.

Figure 2 shows the velocity (a) and the potential temperature profiles (d) averaged over the horizontal planes, together with the corresponding shear stress profiles (b) and wind directions (c). From Fig. 2 (a), it can be seen that the presence of the capping inversion limits the boundary layer growth, leading to the development of a super-geostrophic jet towards the top of the ABL. As observed by Lanzilao and Meyers (2024), the amplitude of the jet increases as the capping inversion is located closer to the ground. Figure 2 (d) shows that for the cases H150- $\Delta\theta$ 8- $\Gamma$ 1, H300- $\Delta\theta$ 5- $\Gamma$ 1, H500- $\Delta\theta$ 5- $\Gamma$ 4 the origin of the capping inversion moves to an altitude of 195 m, 325 m and 510 m, respectively, over the twenty-hour-long spin-up. Below it, the Ekman spiral forms so that the wind-direction angle  $\Phi_d$ , measured with respect to the axis perpendicular to the farm, varies with the altitude (Fig. 2 (c)). The angles  $\Phi_d$  values in Fig. 2 (c) are normalized by the largest value of  $|\alpha|$ , where  $\alpha$  is defined as the angle between the geostrophic wind and the velocity vector right above the ground. As reported by Allaerts and Meyers (2017) and Lanzilao and Meyers (2024),  $|\alpha|$  is observed to be larger for lower capping inversions. Note that the wind-direction controller designed by Allaerts and Meyers (2015) is employed during the precursor phase to rotate the geostrophic wind so as to ensure no spanwise velocity components at hub height, i.e.  $\Phi(z_{hub}) = 0^{\circ}$ .

## 3.2 Wind farm spin-up phase

The flow field generated at the last time step of the precursor phase is tiled over the concurrent-precursor domain and over the main domain described in Sec. 2.4. Then, we place the wind farm introduced in Sec. 2.5 in the main domain and we advance the simulation in time so that the flow adapts to the presence of the farm. Simultaneously, the concurrent-precursor flow evolves and is imposed in the fringe region following the methodology detailed in Sec. 2.3.

In the current work, we focus on obtaining accurate power estimations for a limited number of test cases. Therefore, first we check the convergence of the farm power. In Fig. 3, the evolution of the instantaneous wind-farm power calculated over the two simulation phases is represented for all the considered conditions. We show the normalized difference with respect



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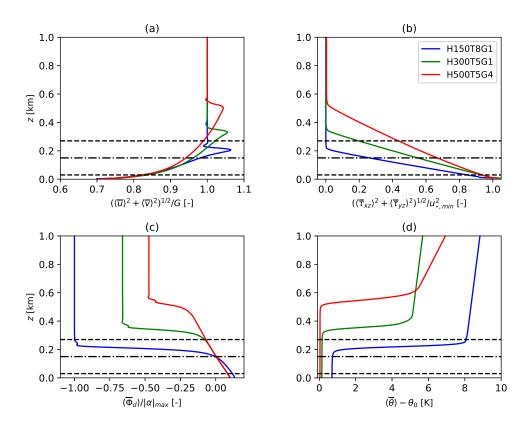


Figure 2. Vertical profiles of the velocity magnitude (a), the total shear stress (b), the wind direction (c) and the potential temperature (d). The space-averaged profiles are computed over the last 4 hours of simulations for the three sets of atmospheric conditions and normalized by  $G = 10 \,\mathrm{m\,s^{-1}}$ ,  $u_{\star,\mathrm{min}} = 0.276 \,\mathrm{m\,s^{-1}}$ ,  $|\alpha|_{\mathrm{max}} = 18.55^{\circ}$  and  $\theta_0 = 288.15 \,\mathrm{K}$ , respectively. For all quantities, the top bar and the angle brackets represent time and horizontal averages, respectively.

to the time-averaged power  $\overline{P}_a$  obtained in the second phase only, i.e. the last 60 minutes depicted in Fig. 3. From this same phase, we retrieve the standard deviation of  $P_a$  for each set of atmospheric conditions and operating conditions. This quantity is denoted  $\sigma_a$  and is represented in Fig. 3 to assess convergence. For all the considered cases, the normalized deviation  $\sigma_a/\overline{P}_a$  is of the order of  $10^{-2}$ , and appears to slightly increase with  $C'_T$ .

Figure 3 shows that, beyond 90 minutes, any remaining trend appears to be of the order of the power fluctuations, for all the operating regimes and the atmospheric conditions. Interestingly, we note that the statistically steady-state is attained more rapidly for low-blockage conditions. Nevertheless, we retain a spin-up duration of 90 minutes, after which the final phase of the simulation is performed over one hour. The power and flow quantities are measured during this last phase, referred to as the actual simulation. Note that the wind-direction controller is still employed during the spin-up phase but turned off prior to



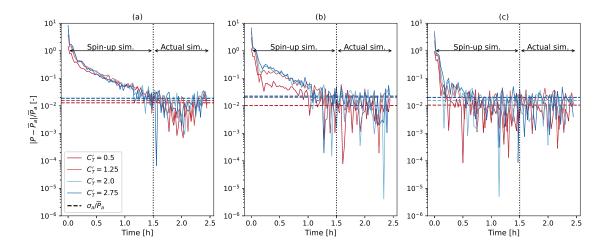


Figure 3. Evolution of the normalized power difference  $|P-\overline{P}_a|$  measured during the two simulation phases for the four tested operating regimes. Figures (a), (b) and (c) correspond to conditions H150- $\Delta\theta$ 8- $\Gamma$ 1, H300- $\Delta\theta$ 5- $\Gamma$ 1 and H500- $\Delta\theta$ 5- $\Gamma$ 4, respectively. For each case, the quantities  $\overline{P}_a$  and  $\sigma_a$  are the time-averaged power and corresponding standard deviation measured during the second phase only.

starting the actual simulation. Eventually, the same procedure is applied to the corresponding single wind-turbine cases over the small domain, as discussed in Sec. 2.4.

#### 4 Results

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The results of the one-hour long actual simulations are discussed in this section. First, we provide an insight of the velocity fields in Sec. 4.1, pointing out the importance of the farm-induced effects. The corresponding power estimations are then analyzed in Sec. 4.2 to assess the potential of the collective axial-induction control strategy.

## 4.1 Comparison of the farm-scale velocity fields

The instantaneous streamwise velocity field is provided in Fig. 4 for the three tested atmospheric conditions and the four operating regimes introduced in Sec. 2.5. Comparing cases with identical  $C_T'$  values, the flow appears to significantly vary with the atmospheric conditions. This observation stresses the need to account for the potential temperature profile in the design of an efficient large-scale control strategy. In particular, a large blockage effect is visible in the form of a bow-wave in Fig. 4 (a–d). Even though this effect was anticipated due to the low and strong capping inversion above the farm, we observe a significant decrease in amplitude of this feature when  $C_T'$  is decreased. The same pattern can be seen, though to a lesser extent, in the case H300- $\Delta\theta$ 5- $\Gamma$ 1 Fig. 4 (e–h). Under the set of conditions H500- $\Delta\theta$ 5- $\Gamma$ 4 (Fig. 4 (i–l)), almost no blockage is seen, in which case we expect a variation of  $C_T'$  to essentially impact wake effects at the turbine-scale level. In Fig. 4 (i–l), it is interesting to notice that a lower  $C_T'$  value is associated with a weaker but more persistent wake whereas high-thrust operating



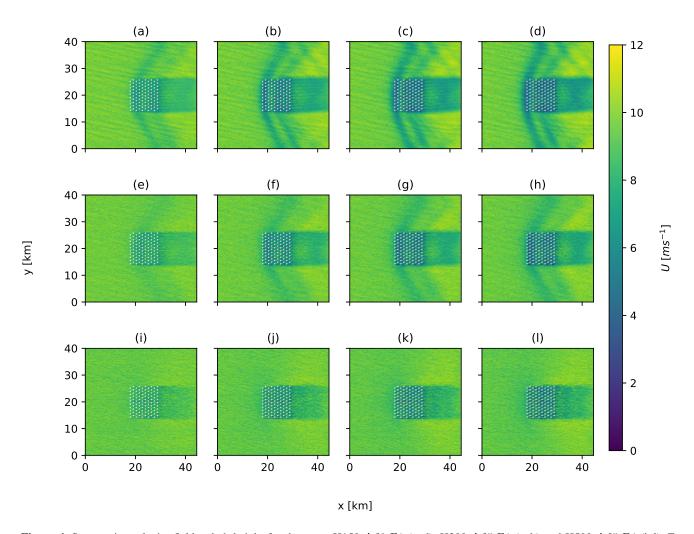


Figure 4. Streamwise velocity field at hub height for the cases H150- $\Delta\theta$ 8- $\Gamma$ 1 (a–d), H300- $\Delta\theta$ 5- $\Gamma$ 1 (e–h) and H500- $\Delta\theta$ 5- $\Gamma$ 4 (i–l). Four operating regimes are considered:  $C_T'=0.50$  (a, e, i),  $C_T'=1.25$  (b, f, j),  $C_T'=2.0$  (c, g, k) and  $C_T'=2.75$  (d, h, l). The white markers indicate the turbine locations.

regimes lead to a stronger mixing, which in turn enhances the wake recovery. This can be visualized comparing Fig. 4 (1) and Fig. 4 (i), where the wind-farm wake slightly contracts downstream of the farm in the former case but remains straight in the later case.

Eventually, observations of the vertical velocity field provided further evidence of the development of wind-farm-induced effects (not shown). In all the considered cases, the displacement of the capping inversion was seen to trigger internal gravity-waves, yet to different degrees depending on the value of  $C_T'$ . Thus, stronger and weaker waves were observed for the cases

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where the farm operates at high and low  $C'_T$  values, respectively. We refer to Lanzilao and Meyers (2024) for a further-detailed analysis of this phenomenon.

#### 4.2 Momentum extraction distribution across the farm

In order to assess the intensity of the thrust force exerted by the  $k^{th}$  turbine on the flow and its corresponding power, we define the time-averaged thrust and power coefficients (denoted  $C_{T,k}$  and  $C_{P,k}$ , respectively) as follows:

$$C_{T,k} = \frac{\overline{F}_k}{\frac{1}{2}\rho_0 A \overline{U}_{\infty}^2}$$
 and  $C_{P,k} = \frac{\overline{P}_k}{\frac{1}{2}\rho_0 A \overline{U}_{\infty}^3}$ . (8)

In these expressions,  $A=\pi D^2/4$  is the disc area and  $\overline{F}_k$  and  $\overline{P}_k$  are the time-averaged turbine thrust (Eq. 5) and power (Eq. 7), respectively. Further,  $U_{\infty}$  is the reference wind speed computed as the streamwise velocity averaged over a layer of thickness D spanning the disc-precursor domain. More specifically, we use a vertically dependent weighted average where the weights are given by the chord length at the considered altitude. For the cases H150- $\Delta\theta$ 8- $\Gamma$ 1, H300- $\Delta$ 5- $\Gamma$ 1 and H500- $\Delta\theta$ 5- $\Gamma$ 4, the reference speeds averaged over the last 4 hours of the precursor simulation are equal to  $\overline{U}_{\infty}=9.61\,\mathrm{m\,s^{-1}}$ , 9.55 m s<sup>-1</sup> and 9.35 m s<sup>-1</sup>, respectively. We note that the two expressions in Eq. 8 can be re-written as  $C_{T,k}=C_T'(\overline{u}_{d,k}/\overline{U}_{\infty})^2$  and  $C_{P,k}=C_T'(\overline{u}_{d,k}/\overline{U}_{\infty})^3$  using Eq. 5 and Eq. 7, with  $\overline{u}_{d,k}$  the time average of  $u_{d,k}$ .

The distribution of the local thrust coefficient  $C_{T,k}$  over the farm is normalized by the disc-based coefficient, and represented in Fig. 5. We note that, as the disc-based coefficient is common to each turbine, the distribution corresponds to that of  $(\overline{u}_{d,k}/\overline{U}_{\infty})^2$ . When operating at  $C_T' = 0.5$  (Fig. 5 (a,e,i)), wake interference between the turbines dominates, which results in a region of higher thrust values over the first two rows of turbines, followed by a quasi-uniform distribution across the rest of the farm. However, the bow-wave pattern described in Fig. 4 is associated with a favorable pressure gradient that leads to thrust coefficients greater at the fourth than at the third row in the case H150- $\Delta\theta$ 8- $\Gamma$ 1 (Fig. 5 (a)). As  $C_T'$  increases, the velocity at the farm entry decreases so that the row of minimal thrust coefficient is shifted towards the front of the farm (Fig. 4 (d)). Interestingly, Fig. 5 (i–l) show that for a high capping inversion, blockage essentially affects the first two rows. Consequently, it is possible to select the value of  $C_T'$  common to all turbines so that the front-localized blockage and the wake effects downstream lead to a close-to-uniform thrust distribution across the farm (Fig. 5 (l)). More generally, provided that the operating regime can be set independently for each row of turbine, Fig. 5 indicates that the thrust distribution could be homogenized by either increasing or decreasing  $C_T'$  at the front of the farm subject to high-blockage (e.g., Fig. 5 (d)) or low-blockage conditions (e.g., Fig. 5 (i)), respectively.

## 4.3 Wind-farm thrust and power coefficient curves

We define the wind-farm thrust and power coefficients, denoted  $C_{T,f}$  and  $C_{P,f}$  respectively, as the average values of  $C_{T,k}$  and  $C_{P,k}$  over all the turbines in the farm. Combining Eq. 5 and Eq. 7 to Eq. 8, the farm thrust and power coefficients are thus

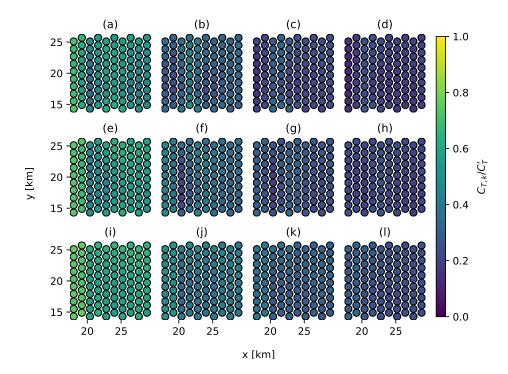


Figure 5. Ratio of the local (Eq. 8) and the disc-based thrust coefficients for each turbine in the farm for the cases H150- $\Delta\theta$ 8- $\Gamma$ 1 (a–d), H300- $\Delta\theta$ 5- $\Gamma$ 1 (e–h) and H500- $\Delta\theta$ 5- $\Gamma$ 4 (i–l). Four operating regimes are considered:  $C_T'=0.50$  (a, e, i),  $C_T'=1.25$  (b, f, j),  $C_T'=2.0$  (c, g, k) and  $C_T'=2.75$  (d, h, l).

expressed as:

$$C_{T,f} = \frac{\sum_{k}^{N_{t}} \overline{u}_{d,k}^{2}}{N_{t} \overline{U}_{\infty}^{2}} C_{T}' \quad \text{and} \quad C_{P,f} = \frac{\sum_{k}^{N_{t}} \overline{u}_{d,k}^{3}}{N_{t} \overline{U}_{\infty}^{3}} C_{T}'$$
(9)

The analysis is further enriched by considering the farm efficiency  $\eta_f$ , which can be written in the form of a product of the non-local and the wake efficiencies, denoted  $\eta_{nl}$  and  $\eta_w$ , respectively (Allaerts and Meyers, 2018). We therefore write

$$\eta_f = \eta_{nl} \, \eta_w, \quad \eta_{nl} = \frac{\overline{P}_1}{\overline{P}_{co}}, \quad \eta_w = \frac{\overline{P}_a}{N_t \, \overline{P}_1},$$
(10)

where  $\overline{P}_a$  is the total farm power measured during the actual simulation and  $N_t$  the number of turbines in the farm. The notation  $\overline{P}_1$  refers to the power per turbine, averaged over the most upstream row in the farm. Finally,  $\overline{P}_{\infty}$  is the power of the turbine operating in isolation. All the quantities in Eq. 10 are time-averaged over the one-hour long simulations.

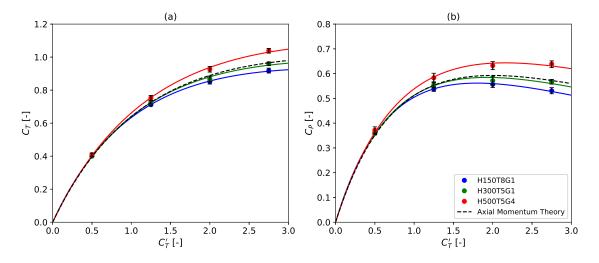
In Fig. 6, we show the thrust coefficients and the power coefficients of an isolated turbine computed following Eq. 8. The results are compared to the expressions,

$$C_T = \frac{16C_T'}{(C_T' + 4)^2}$$
 and  $C_P = \frac{64C_T'}{(C_T' + 4)^3}$ , (11)

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**Figure 6.** Thrust coefficients (a) and power coefficients (b) as a function of their disc-based counterparts for the stand-alone wind-turbine. The results obtained under the three sets of atmospheric conditions are compared to the predictions of axial momentum theory. The 95% confidence intervals obtained with the moving-block bootstrap method are shown in black.

obtained from axial momentum theory (AMT) (Allaerts, 2016). Given the time-dependent nature of the thrust and power values collected with a sampling period  $T_s = 100 \, \text{s}$ , the time averages and the 95% confidence intervals shown in Fig. 6 are computed over the one-hour-long actual simulations using a moving block bootstrap method. We follow the procedure described by Bon and Meyers (2022) with overlapping blocks consisting of  $n_b = 3$  samples over a total of B = 1000 bootstrap iterations. We performed a sensitivity study, not discussed here, to motivate the selected values for  $n_b$  and B.

As anticipated, the LES results exhibit the same behaviour as the theoretical predictions (Eq. 11), in particular at low operating regimes for which close agreement is observed in Fig. 6 (a) and Fig. 6 (b). Above  $C_T' = 1.25$ , the LES values significantly deviate from the AMT for the cases H150- $\Delta\theta$ 8- $\Gamma$ 1 and H500- $\Delta\theta$ 5- $\Gamma$ 4, showing under-prediction and over-prediction, respectively. Plausible causes of the deviations with respect to the classical axial momentum theory include the presence of shear, veer and turbulence in the simulations. It is however unclear whether the over-prediction obtained in the case H500- $\Delta\theta$ 5- $\Gamma$ 4 has a physical explanation. In Shapiro et al. (2019), the authors report that the velocity correction factor leads to slight overestimations at large disc-based thrust coefficients. For instance, we observe a difference of 7% at  $C_T' = 2.0$  in the present study (Fig. 6 (b)), which aligns with the discrepancy of the order of 5% retrieved from the results of Shapiro et al. (2019) at the same  $C_T'$  value. Moreover, we emphasize that the expression of the velocity correction factor was obtained for a uniform flow (Shapiro et al., 2019), therefore possibly resulting in larger discrepancies with respect to the AMT when employed in a non-uniform flow. As a matter of fact, the over-prediction between the AMT and the results of an uniform-flow simulation performed at  $C_T' = 1.44$  was found to be about half that observed with the case H500- $\Delta\theta$ 5- $\Gamma$ 4 (not shown). The validity of the velocity correction factor employed with non-uniform profiles could be the topic of future works. The results of LES simulations relying on a higher fidelity turbine representation, e.g. Actuator Line Model, should be used as a reference.



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Table 3. Values of the three fitting parameters of the single turbine thrust and power coefficient curves in Fig. 6.

Case	$\alpha_t$	$\alpha_p$	β
H150- $\Delta\theta$ 8-Γ1	67.3605	16.5490	1.1104
H300- $\Delta \theta$ 5-Γ1	65.5639	16.2539	1.0382
H500- $\Delta\theta$ 5-Γ4	64.3789	16.0565	0.9263

**Table 4.** Values of the six fitting parameters of the wind-farm thrust and power coefficient curves in Fig. 7.

Case	$\alpha_{t,f}$	$\delta_{t,f}$	$\gamma_{t,f}$	$\alpha_{p,f}$	$\delta_{p,f}$	$\gamma_{p,f}$
H150- $\Delta\theta$ 8-Γ1	0.5586	0.5482	0.9080	0.4158	0.5383	1.3484
H300- $\Delta \theta$ 5-Γ1	0.7506	0.8190	0.9820	0.6655	0.8288	1.4803
H500- $\Delta\theta$ 5-Γ4	0.9983	1.0969	1.0040	0.9929	1.0885	1.4982

In Fig. 6 (a) and Fig. 6 (b), we introduce a simple heuristic fit in which the parameters  $\alpha_t, \alpha_p$  and  $\beta$  of the laws

$$C_T = \alpha_t C_T' / (\beta C_T' + 4)^2$$
 and  $C_P = \alpha_p C_T' / (\beta C_T' + 4)^3$  (12)

are fitted to the LES data points using the least squares method. The corresponding values are given in Table 3. The three parameters allow to account for the impact of shear, veer and turbulence, disregarded in the classical AMT. A thorough analysis of the minimum number of degrees of freedom required to capture the curve behaviour motivated the use of these three parameters introduced above.

At the wind-farm scale, we compute the thrust and power coefficients,  $C_{T,f}$  and  $C_{P,f}$ , following Eq. 9. Similarly to the single wind turbine case, we employ the moving block bootstrapping method. However, because the confidence intervals do not exceed  $\pm 1\%$ , only the time-averaged values of  $C_{T,f}$  and  $C_{P,f}$  are represented in Fig. 7. The corresponding curves are fitted using laws of the form

$$\alpha_{t,f} C'_T / (C'_T + \delta_{t,f})^{\gamma_{t,f}} \quad \text{and} \quad C_P = \alpha_{p,f} C'_T / (C'_T + \delta_{p,f})^{\gamma_{p,f}},$$
(13)

where six degrees of freedom are introduced. In contrast to the single turbine case (Eq. 12), the additional parameters are necessary to properly fit the LES data points. The values of the six fitting parameters determined with the least squares method are tabulated in Table 4.

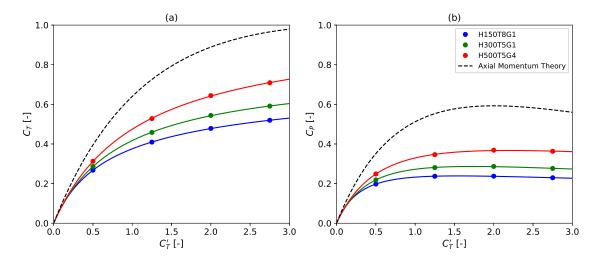
The wind-farm thrust and power coefficient curves shown in Fig. 7 can be discussed in parallel to the efficiency curves obtained from Eq. 10 and represented in Fig. 8. First, in Fig. 7 (b), we notice that the evolution of  $C_{P,f}$  with  $C_T'$  is much flatter than in the single wind-turbine situation (Fig. 6 (b)). In Fig. 8 (c), the farm efficiency is essentially constant above  $C_T' \simeq 1.25$ . This results in a region of nearly constant  $C_{P,f}$  values, the maximum of which is offset towards  $C_T'$  values lower than in the stand-alone configuration (Fig. 6 (b)). In the remainder of this analysis, the maximum power coefficient and the corresponding  $C_T'$  and  $C_{T,f}$  are denoted  $C_{P,f}^{\star}$ ,  $C_T'^{\star}$  and  $C_{T,f}^{\star}$ , respectively.



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**Figure 7.** Wind-farm thrust coefficients (a) and power coefficients (b) as a function of their disc-based counterparts. The results obtained under the three sets of atmospheric conditions are compared to the predictions of the Axial Momentum Theory for a single turbine.

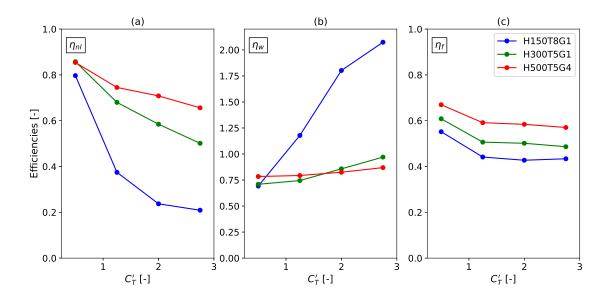
Moreover, the inspection of Fig. 8 (a) reveals that the ability of the turbines to generate more power by increasing  $C_T'$  towards its Betz optimal value ( $C_T'=2$ ) is considerably harmed by the inevitable blockage effect that accompanies large  $C_T'$  values. This phenomenon appears to be clearly amplified for inflows with a low capping inversion (H150- $\Delta\theta$ 8- $\Gamma$ 1). In Fig. 8 (b), we notice that the wake efficiency is a growing function of  $C_T'$  that reaches values significantly greater than one under specific conditions. This observation is explained by the physical meaning of  $\eta_w$ , which should be interpreted as the ratio between the performances of the farm and that of the first row. Consequently, the values  $\eta_w > 1$  in Fig. 8 (b) correspond to cases where the downstream rows, although waked, extract more power than the first one. This is explained by a large pressure increase before the first row, followed by a favorable pressure gradient that accelerates the flow deeper into the farm, as previously visualized in Fig. 4.

Below  $C_T'\simeq 1.25$ , the farm poses so little resistance to the flow that only minor blockage effects occur. Simultaneously, we note that this range of operating regimes exhibits high sensitivity to blockage. This is visible in Fig. 8 (a), where the non-local efficiencies of the case H150- $\Delta\theta$ 5- $\Gamma$ 1 is initially close to that of the cases H300- $\Delta\theta$ 5- $\Gamma$ 1 and H500- $\Delta\theta$ 5- $\Gamma$ 4 but drastically decreases as  $C_T'$  increases. This results in a slightly higher farm efficiency at low  $C_T'$  values (Fig. 8 (c)), in turn causing the shifting of the curve maximum towards the left in Fig. 7.

Eventually, Fig. 7 (a) shows a strong decrease of the farm thrust coefficient values when compared to those of the isolated turbine (Fig. 6 (a)). However, each wind-farm thrust coefficient curve remains much steeper than its power counterpart (Fig. 7), supporting the idea that load can be effectively reduced with only a limited impact on power.







**Figure 8.** Non-local (a), wake (b) and global wind-farm (c) efficiencies computed from Eq. 10 for all the operating points under the three sets of atmospheric conditions. The vertical axis in (b) is extended for the sake of readability.

# 4.4 Performance assessment of the collective axial-induction strategy

In this section, we leverage the nature of the wind-farm thrust and power coefficient curves (Fig. 7) to explore three basic collective axial-induction control approaches. The corresponding gains are visualized in the  $C_{P,f} - C_{T,f}$  coordinate system shown in Fig. 9.

A first method consists in operating each turbine in the farm at  $C_{T}^{\prime\star}$ , so that the peak of the farm power coefficient curve, i.e.  $C_{P,f}^{\star}$ , is achieved. This operating regime is denoted by a black star in Fig. 9. An alternative that could be of interest is allowing for a decrease of  $C_{P,f}$  compared to the standard operating regime  $C_T^{\prime}=2$ . Here, we consider for instance a decrease of 1% in  $C_{P,f}$ . This choice is somewhat arbitrary but aligns with the difference in power observed when operating an isolated IEA 15MW turbine at the design thrust-set-point and at the rated thrust-set-point (Gaertner et al., 2020). Last, the third method further explores the potential for thrust reduction by allowing for a power decrease of 10%. For the sake of clarity, we denote by  $\hat{C}_{T,f}$  and  $\hat{C}_{P,f}$ , respectively, the coefficients  $C_{T,f}$  and  $C_{P,f}$  evaluated at  $C_T^{\prime}=2$ . From the three fitted curves shown in Fig. 9, we retrieve the  $C_{T,f}$  value at which 99% of  $\hat{C}_{P,f}$  is achieved in each case and we denote it  $C_{T,f}^{\star}$ . We refer to the corresponding farm power coefficient as  $C_{P,f}^{\star}$  and we denote the disc-based thrust coefficient by  $C_T^{\prime}$ . Similarly for the third approach, we denote by  $C_T^{\prime}$  the disc-based thrust coefficient and by  $C_{T,f}^{\bullet}$  the thrust and power coefficients, respectively. Then, we compare the relative gains in terms of power and thrust force with respect to the standard operating regime. For that purpose, we define the relative thrust and power differences as  $\varepsilon_T = (C_{T,f} - \hat{C}_{T,f})/\hat{C}_{T,f}$  and  $\varepsilon_P = (C_{P,f} - \hat{C}_{P,f})/\hat{C}_{P,f}$ .





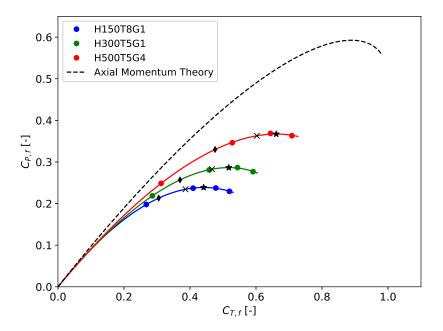


Figure 9. Wind-farm power coefficient as a function of the wind-farm thrust coefficient. For each of the three sets of conditions, the tested operating points  $(C_{T,f}^{\star}, C_{P,f}^{\star})$ ,  $(C_{T,f}^{\times}, C_{P,f}^{\times})$  and  $(C_{T,f}^{\bullet}, C_{P,f}^{\bullet})$  are indicated with star, cross and diamond symbols, respectively.

**Table 5.** Operating parameters selected in the first control approach and corresponding gains with respect to the classical operating point. The disc-based thrust coefficient is set to  $C_T^{\prime\star}$  to maximize power extraction.

	H150- $\Delta\theta$ 8-Γ1	H300- $\Delta \theta$ 5-Γ1	H500- $\Delta \theta$ 5-Γ4
$C_T^{\prime\star}$ [-]	1.55	1.73	2.18
$C_{P,f}^{\star}$ [-]	0.24	0.29	0.37
$C_{T,f}^{\star}$ [-]	0.44	0.52	0.66
$\varepsilon_P$ [%]	0.83	0.35	0.13
$\varepsilon_T$ [%]	-7.64	-4.59	3.07

In Table 5, we show that operating the farm at  $C_T^{\prime\star}$  to maximize power extraction leads to very slight power increments. This is the case, however, provided that the blockage effect is strong enough, i.e. H150- $\Delta\theta$ 8- $\Gamma$ 1 and H300- $\Delta\theta$ 5- $\Gamma$ 1. More interestingly, we observe for those two cases that the power increase, although negligible, is associated with a load reduction of the order of 5%. The results obtained with the second control strategy are listed in Table 6. From this table, we conclude that substantial load reduction can be achieved at the expense of a minor power loss. In particular, the wind-farm thrust coefficients in Table 6 are observed to decrease by up to 19% under significant blockage. For the same atmospheric conditions, the results





**Table 6.** Operating parameters selected in the second control approach and corresponding gains with respect to the classical operating point. The disc-based thrust coefficient is set to  $C_T^{\prime \times}$  so that 99% of  $\hat{C}_{P,f}$  is achieved.

	H150- $\Delta\theta$ 8-Γ1	H300- $\Delta\theta$ 5-Γ1	H500- $\Delta\theta$ 5-Γ4
$C_T^{\prime \times}$ [-]	1.07	1.30	1.69
$C_{P,f}^{\times}$ [-]	0.23	0.28	0.36
$C_{T,f}^{\times}$ [-]	0.39	0.47	0.6
$\varepsilon_P$ [%]	-1.0	-1.0	-1.0
$\varepsilon_T$ [%]	-19.16	-14.01	-6.1

**Table 7.** Operating parameters selected in the third control approach and corresponding gains with respect to the classical operating point. The disc-based thrust coefficient is set to  $C_T^{\bullet}$  so that 90% of  $\hat{C}_{P,f}$  is achieved.

	H150- $\Delta\theta$ 8-Γ1	H300- $\Delta \theta$ 5-Γ1	H500- $\Delta\theta$ 5-Γ4
$C_T^{\prime igothample}$ [-]	0.64	0.78	1.01
$C^{igothample}_{P,f}$ [-]	0.21	0.26	0.33
$C_{T,f}^{igothambol{\phi}}$ [-]	0.31	0.37	0.48
$\varepsilon_P$ [%]	-10.0	-10.0	-10.0
$\varepsilon_T$ [%]	-36.11	-31.84	-25.81

of the third approach tabulated in Table. 7 indicate a load reduction of 36%. This decrease is limited to 25% for the case H500- $\Delta\theta$ 5- $\Gamma$ 4, however. More generally, we show that axial-induction control strategies for load reduction are particularly effective for small power reductions relative to the classical operating regime, that is, in the region where the slope of the curves in Fig. 9 is slight. We believe this constitutes an important conclusion, upon which more sophisticated wind-farm control strategies can be developed.

#### 5 Conclusions

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We investigated the potential of collective axial induction control in large wind farms to mitigate the effects of blockage. For that purpose, a series of large-eddy simulations of a large farm of 100 15MW turbines placed in a staggered configuration were performed. Each turbine was represented by an actuator-disc with adjustable disc-based thrust coefficient. Overall, the study covered three different conventionally neutral boundary-layer conditions, for which little to strong blockage effects were expected. Alongside varying the flow conditions, the disc-based thrust coefficient of each turbine in the farm was successively set to four values, uniformly over the farm. Consequently, a total of twelve simulations were carried out.

First, a precursor simulation was run for each of the three flow conditions, after which a spin-up simulation was performed for every operating regime. A convergence analysis on the farm power motivated the use of 90-minute-long spin-up phases. In each case, thrust and power measurements were subsequently collected over a one-hour-long simulation.

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The streamwise velocity fields provided evidence of the significant meso-scale effects induced by the presence of the farm and shed light on the conditions that foster it. For low capping inversion cases, a low-velocity region was observed to develop upstream of the farm in the form of a bow wave. However, we showed that this blockage effect was significantly attenuated for low values of the disc-based thrust coefficients. Next, the analysis of the thrust distribution throughout the farm indicated strong heterogeneities caused by the simultaneous effects of wakes and blockage.

The results were then discussed in terms of the wind-farm thrust and power coefficients, together with the wind-farm, wake and non-local efficiencies. For all the tested conditions, the non-local efficiency decreased when increasing  $C_T'$ , with a significant drop observed for the case H150- $\Delta\theta$ 8- $\Gamma$ 1, particularly. For the same conditions, wake efficiencies greater than one further indicated the presence of a favorable pressure gradient throughout the farm. For values of the disc-based thrust coefficient larger than 1.25, the farm efficiency was found to be essentially constant with  $C_T'$  but strongly dependent on the atmospheric conditions. As a result, we observed a flattening of the farm power coefficient curve with respect to its single-turbine counterpart. Finally, we proposed three approaches to address thrust and power trade-offs. We found that operating the turbines below the optimal Betz point could simultaneously maximize power extraction and reduce the loading by more than 7% under strong blockage. We further concluded that enabling a 1% power reduction could result in a load decrease of 6% to 19%, depending on the conditions. The same factor was seen to reach between 25% and 36% at the expense of a power decrease of 10%, however.

In the future, we plan on expanding the study to other values of the capping inversion parameters. More generally, a similar analysis performed for stable and unstable boundary-layers profiles could be of interest. Finally, we intend to investigate the benefits of more advanced control strategies, for instance by considering non-uniform  $C_T'$  distributions over the farm.

405 *Author contributions.* TD and JM jointly defined the methodology and the simulation set-ups. The simulations and post-processing steps were carried out by TD. TD and JM jointly wrote the manuscript.

Competing interests. At least one of the (co-)authors is a member of the editorial board of Wind Energy Science. The authors have no other competing interests to declare.

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