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Dynamic Response of Offshore Wind Turbine Structure under Multi-load Coupling Based on DEM and FEM Joint Analysis

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7 Abstract: The structural dynamic characteristics of offshore wind turbines are directly related to the operational 8 safety and equipment reliability of these turbines in service. However, due to the complex working conditions, a 9 single load analysis fails to accurately reflect the structural dynamic characteristics during actual operation. In this 10 study, we focus on the 5MW offshore wind turbines and establish a three-dimensional turbulent flow field model 11 at sea using the Kaimal wind speed spectrum. Additionally, we incorporate the Kärnä ice force spectrum to 12 develop a mathematical model for floating ice. By combining multiple working conditions through permutation 13 and combination techniques, we replicate the actual operating environment of offshore wind turbines. Leveraging 14 OpenFAST's open computing capabilities and EDEM's discrete element analysis method, we investigate the 15 dynamic response characteristics of wind turbines under separate and coupled effects of wind load, wave load, 16 and ice load across different offshore working conditions. Our findings indicate that under coupling effects from 17 wind-wave-ice loads, lateral and longitudinal displacement at the tower top as well as lateral and longitudinal 18 bending moment at the tower foundation are greater compared to individual loads; however, cumulative fatigue 19 damage caused by coupling loads on wind turbines is less than that resulting from individual loads. 20 Keywords: Offshore wind turbine, wind-wave-ice load, coupling, dynamic response

21 0 Introduction

22 In recent years, China has witnessed the extensive construction of offshore wind farms. The safe running of 23 offshore wind turbines in the northern sea is affected by intermittent floating ice and turbulent winds, which are 24 unique working conditions they must confront. The intermittent impact of floating ice presents a distinctive 25 marine environment challenge for offshore wind power development, as it induces long-lasting and relatively stable vibrations on the overall structure due to ice load coupled with ocean current movement (LIU Weimin et al., 26 27 2019 and ZHANG Dayong et al., 2018). Such vibrations can lead to fatigue damage and continuous structural strain accumulation under sustained exposure to ice load. Additionally, the wind load exerts significant vibrational 28 29 effects on both the tower foundation and mud surface line position of the unit's platform structure. These loads and 30 their coupling effects alter aeroelasticity and structural dynamic response of wind turbines, making it crucial to 31 investigate their influence on operational status under different marine environmental conditions. Considering 32 various installed capacities and structural types of wind turbines nowadays, it becomes evident that annual ice 33 formation characteristics in the northern Gulf of China pose a substantial threat to operational safety in this region 34 (HUANG Yan et al., 2016). Therefore, studying wind load, ice load, wave load along with their interactions holds 35 great engineering significance for advancing offshore wind power development in China. 36 Shi Wei et al. (2021) employed ANSYS/AQWA to establish a hydrodynamic numerical model for a semi-

37 submersible floating foundation and conducted dynamic response analysis of a 10 MW offshore floating wind





- turbine under the influence of wind and waves. Hu Xuan et al. (2019) developed the Kane multi-body dynamic
 model for wind turbines, utilized the asynchronous Matlock model to simulate ice-induced vibration, and
- 40 investigated the tower's dynamic response to turbulent wind loads and asynchronous ice-induced vibrations.
- 41 Yang Dongbao et al. (2021) employed a discrete element model with bond-crushing capability to characterize the damage and failure behavior of level sea ice, while utilizing the DEM-FEM coupling approach to simulate the 42 43 interaction process between single-pile wind turbines and level ice under varying ice velocities and thicknesses. 44 Wang Guojun et al. (2022) conducted a statistical analysis on the relationship between sea ice fracture length and 45 sea ice thickness, and utilized a modified deterministic ice force function to calculate the structural response of the 46 ice under measured conditions. Huang Yan et al. (2016) simulated the dynamic characteristics of wind power 47 structures with significant differences in their master-slave structure, and performed transient dynamic analysis 48 throughout the entire time domain. Zhang Lixian et al. (2019) carried out dynamic response analysis on single-pile 49 offshore wind turbines subjected to floating ice using FAST coupling numerical analysis. Ba Yueqiao (2019) 50 conducted fatigue analysis on offshore wind turbines in icy areas, and proposed a discrete element analysis method for determining ice loads on offshore wind turbines. 51
- 52 Based on ABAQUS finite element numerical analysis software and FAST numerical analysis software, 53 Heinonen et al. (2008) conducted a dynamic characteristic analysis of single-pile wind turbines under the action of 54 floating ice. The results showed that joint mathematical model analysis could improve the reliability of offshore 55 wind turbine structural design. Wang et al. (2015) analyzed the fatigue characteristics influencing factors (ice load 56 and wind load) of offshore wind turbines using Kärnä ice force spectrum model; Wei Shi et al. (2016) combined with semi-empirical structural action model of sea ice to analyze the dynamic response of single-pile wind turbine 57 structures under different ice velocities and thicknesses' actions by ice cones. Matlock et al. (1971) added spring 58 damping during loading process modeling analysis for floating ice, while Kärnä et al. (1999) proposed self-excited 59 vibration response calculation method for marine structures based on actual measurement data from sea ice, which 60 61 was calculated with sawtooth-shaped ice force function.

62 Currently, there is a limited number of studies investigating the impact of multi-load and ice load coupling on 63 the fatigue life and safe running of offshore wind turbines. Considering the presence of floating ice in the northern 64 seas of China and the national economic development's demand for offshore wind power, it is imperative to 65 examine the dynamic response of offshore wind turbines under the combined influence of multi-load and ice load. 66 In this study, numerical analysis using discrete element method and turbulent spatial coherence model was 67 conducted to investigate the dynamic response of NREL 5 MW offshore wind turbines subjected to wind-wave-ice 68 load coupling. The effects of different durations, ice excitation, and coupled load excitation on ultimate dynamic 69 response and fatigue life were explored. Additionally, frequency domain response analysis was performed for 70 large-scale offshore wind turbines under single action from ice load as well as coupled excitation from multiple 71 loads. Cumulative effect on structural fatigue response due to various combinations of fatigue damage was





- 72 considered, while relative errors in fatigue loading caused by individual actions (wind-wave-ice) versus coupling
- 73 effects were calculated. These findings aim to provide valuable insights for future construction projects involving
- 74 offshore wind power in cold regions within China.

75 1 Load Calculation

76 1.1 Calculation Theory of OpenFAST Wind Load

The aerodynamic load calculation of wind turbines primarily relies on the blade element momentum theory and the generalized dynamic inflow theory to accurately describe wind loads. In this study, we employ the blade element momentum theory to discretize wind turbine blades during load calculations. By iteratively calculating stress and moment acting on each blade element along the span, a closed-loop solution is established between lift and resistance coefficients and the induction factor. This approach ensures both engineering applicability and calculation accuracy.

83 (1) Kaimal turbulent wind spectrum model

84 The turbulent wind spectrum mathematical model is established using the Sandia method in TurbSim

85 (VERITAS D N, 2010), and is generated based on the time series of Kaimal wind spectrum (IEC 61400-1, 2005) . The

86 longitudinal (u), lateral (v), and vertical (w) directions of the fluid domain are defined with respect to the tower

87 top coordinate system of the wind turbine, as illustrated in Figure 1



88 89

Fig. 1 Coordinate system at the top of the wind turbine tower

90 The mathematical formulation of the fluctuating wind velocity spectrum is presented.

91
$$S_{K}(f) = \frac{4\sigma_{K}^{2}L_{K}/\bar{u}_{hub}}{\left(1 + 6fL_{K}/\bar{u}_{hub}\right)^{\frac{5}{3}}}$$
(1)

92 Where, K represents the directions of the fluid domain, namely u, v, and w; f denotes the circulation frequency; $L_{\rm K}$

93 is defined as the integral scale parameter.





94 $L_{K} = \begin{cases} 8.10\Lambda_{U}, & K = u \\ 2.70\Lambda_{U}, & K = v \\ 0.66\Lambda_{U}, & K = w \end{cases}$ is turbulence scale parameter, $\Lambda_{U} = 0.7 \text{min}(60\text{m}, \text{HubHt})$, HubHt is the hub

- height. The standard deviation of different directions is defined as: $\sigma_v = 0.8\sigma_v$, $\sigma_v = 10.5\sigma_v$, and the turbulent
- 96 wind load spectrum at the wheel hub of the wind turbine is formed, as shown in Figure 2.



97 98

Fig. 2 Turbulent wind load spectrum at t hub (Kaimal wind spectrum)

In practical working conditions, the standard deviation of the *u* component in the Kaimal wind spectrummodel undergoes certain variations due to spatial coherence influences.

101 (2) Spatial Coherence Model

102 To ensure consistency between calculation and actual conditions in establishing a three-dimensional

103 pulsating fluid field, the wind speed distribution across the entire swept area of the wind turbine cannot be

104 calculated using a single-point wind load spectrum alone; rather, consideration must be given to the mutual

105 relationship between various streamlines in space, which can be expressed through a spatial coherence model. The

106 IEC defines this relationship as the flow direction component fluid field coherence function (IEC 61400-3, 2001).

107
$$Coh_{i,j} = \exp\left(-a\sqrt{\left(\frac{f_r}{\overline{u}_{hub}}\right)^2 + \left(0.12\frac{r}{L_c}\right)^2}\right)$$

108 Where, r is the distance between any point i and j on the grid; f is the frequency; L_c is the coherent scale

109 parameter; $\overline{u_{hub}}$ is the average wind speed at the wheel hub. The wind speed distribution in the fluid domain was

110 calculated, as shown in Figure 3.









112

Fig. 3 Wind speed distribution in the computational fluid domain

113 **1.2 Calculation theory of EDEM ice load**

114 In contrast to other marine engineering structures, offshore wind turbines are characterized by their tall and 115 flexible design. When floating ice interacts with the tower in the presence of ocean currents and when the 116 frequency of ice loads matches the natural frequency of the overall wind turbine structure, it can lead to severe 117 structural response. This study employs the discrete element method along with Matlock single-tooth model and 118 asynchronous failure model to describe the interaction process between ice loads and wind turbine structures. The 119 Matlock single-tooth numerical calculation model assumes that each ice tooth undergoes linear elastic 120 deformation upon contact with the structure until reaching maximum deformation, after which point either no 121 longer contacting or breaking results in zero ice force. Thus, the expression for ice force is as follows:

122
$$F = \begin{cases} K_{ice}\Delta_i, & 0 < \Delta_i < \Delta_{\max} \\ 0, & \Delta_i \le 0, \Delta_i = \Delta_{\max} \end{cases}$$
(3)

123 Where, K_{ice} is ice tooth stiffness, N; Δ_i is the deformation of the *i*th ice tooth, m; Δ_{max} is the maximum

124 deformation of ice teeth, m. The floating ice calculation domain is shown in Figure 4.



125 126

Fig. 4 Calculation domain of floating ice impact of wind turbine tower





In the asynchronous failure model, the ice tooth stiffness is determined based on the uniaxial compressive
strength of ice. Once the quasi-static ice force acting on the failure zone *i* reaches its limit, failure of the
corresponding ice element occurs. The total ice load at time *t* can be calculated as the summation of local ice loads
and can be expressed as follows:

131
$$F = \begin{cases} K_{ice} \left[y + V_{ice}t - L(n-1) \right], & 0 < \Delta \le \Delta_L \\ 0, & \Delta \le 0 \end{cases}$$
(4)

132 To enhance the precision of load calculation on wind turbine tower post floating ice impact, it is crucial to

133 emphasize the bonding bond (i.e., connection bond) among particles while establishing an ice model. The bonding

134 strength in the bonding bond is determined by the physical and chemical compositions of ice, ultimately

135 determining its structural type as depicted in Figure 5.



136 137

Fig. 5 Ice floe model

138 2 Working condition simulation

139 Wind turbines running in cold regions are subjected to a complex working environment, where wind load,

140 wave load, and ice load act together on the tower of wind turbines. The schematic diagram illustrating the

141 coupling of these loads is presented in Figure 6. In the calculation of wind load, a turbulent fluid domain is

142 established based on the Kaimal wind speed spectrum, and the momentum-leaf element theory is employed to

143 determine the wind load acting on offshore wind turbines.



144



145



Fig. 6 Schematic diagram of loading of offshore wind turbines

146 2.1 Turbulent fluid domain

147 In the natural environment, air flow exhibits spatial non-uniformity and temporal unsteadiness. To capture

148 the statistical characteristics of wind speed time series in three directions, this study employs TurbSim, an open-

149 source turbulent wind stochastic simulator developed by NREL. The wind speed power spectrum in the frequency

150 domain is transformed into the time domain using inverse Fourier transform, enabling generation of three-

151 dimensional turbulent wind data for multiple points within the flow field domain based on spatial coherence

152 function. The structural dynamic response analysis and calculation of wind turbines are facilitated by calling the

153 wind data file during computation. Taking the NREL 5MW wind turbine as a case study, its key structural

154 parameters are presented in Table 1.

155

Tab. 1 Main parameters of NREL 5MW wind turbine

Parameter	Value	Parameter name	Value
Rated power	5MW	Cut-in wind speed	25 m/s
Tower height	87.6m	Cut-out wind speed	3 m/s
Hub height	90m	Rated wind speed	11.4m/s
Hub diameter 3m		Rotor quality	1.11×10 ⁵ kg
Rotor diameter 126m		Engine room mass	2.4×10 ⁵ kg
Control system Synchronous pitch		Tower mass	3.48×10 ⁵ kg

156 In the calculation process of OpenFAST, the fluid calculation domain's coverage area encompasses the entire

157 range of the wind turbine and tower. Therefore, the fluid calculation domain is divided into regions based on the

158 hub point. Considering the structural size data of the wind turbine and ensuring accurate calculations for

159 maximum tower deformation, a calculation interval within a 145m×145m range at hub center height is

160 determined. This interval is further divided into 15 grid regions for individual calculations. The flow field

161 calculation area's grid division can be seen in Figure 7, while maintaining the wind turbine's tower top coordinate

162 system as the basis for calculating coordinates.









Fig. 7 Regional division of flow field

165 With the hub center as the reference point, based on relevant offshore wind resource data (LI Guanghua et al., 166 2018), the time-domain average wind speed at the reference calculation point was determined to be 12m/s, with a 167 calculation time of 600s and a time step of 0.05s. By applying an inverse FFT transform to the NWTCUP wind 168 spectrum model (LI Chuangdi et al., 2019) and considering spatial coherence, we obtained the wind speed variation 169 characteristics at each grid node, which are depicted in Figure 8 showcasing the wind speed distribution within the 170 turbulent flow field calculation domain. As illustrated in Figure 8, it is evident that the wind speed within this flow 171 field undergoes iterative changes over time, exhibiting noticeable variations in vertical direction due to wind 172 shear.





Fig. 8 Three-dimensional wind velocity distribution in the turbulent flow field calculation domain

175 2.2 Selection of working conditions

176 The effect of ice load on the tower foundation of wind turbines is primarily determined by various factors,

177 including ice speed, ice thickness, ice drift direction, and the movement of floating ice with ocean currents.





178	Therefore, the calculation of ice load involves considering wave load in a coupled superposition analysis. In line
179	with the research objectives of this study, we initially assume that wind load and ice load are independent from
180	each other. Additionally, we assume that the wind flow direction aligns with the drift direction of the ice and that
181	the running speed of ocean currents carrying ice is a key influencing factor for failure modes related to loads. The
182	failure modes associated with ice load can be categorized into three forms: intermittent extrusion fracture,
183	frequency self-locking fracture, and continuous extrusion fracture failure. Typically, when the ocean velocity in an
184	area covered by floating ice is less than or equal to $0.02 \text{m} \cdot \text{s}^{-1}$, intermittent extrusion fractures occur. When the
185	velocity falls within $(0.02 \text{m}\cdot\text{s}^{-1}, 0.04 \text{m}\cdot\text{s}^{-1})$, frequency self-locking extrusion fractures are observed in floating ice
186	conditions; whereas velocities exceeding $0.04 \text{m} \cdot \text{s}^{-1}$ result in continuous extrusion fractures in floating ice
187	scenarios. The calculation conditions for wind turbines under coupling effects between wind and ice loads are
188	presented in Table 2.

189

Tab. 2 Calculation conditions of offshore wind turbines

No.	Ice load breaking type	Ice speed /m·s ⁻¹	Ice thickness /mm	Wind speed at hub height / m·s ⁻¹
1	/	/	/	12.0
2	Intermittent crushing	0.01	12.5	/
3	Frequency self- locking crushing	0.021	12.5	/
4	Continuous crushing	0.05	12.5	/
5	Intermittent crushing	0.01	12.5	12.0
6	Frequency self- locking crushing	0.021	12.5	12.0
7	Continuous crushing	0.05	12.5	12.0

According to the conditions in the northern sea area of China (HUANG Lin et al., 2013), an ice thickness of h=0.0125m was specified in the ice load boundary file. For different operational scenarios, values of $v_{ice}=0.01$ ms⁻¹ 1, 0.021ms⁻¹, and 0.05ms⁻¹ were assigned for ice and ocean current velocities respectively. The structure diameter D was set at 4m, indentation coefficient I_{km} at 2.7, ice brittleness strength $\sigma=5$ MPa, ice teeth spacing P=1m, and maximum elastic deflection $\Delta_{max}=1$ m. Figure 9 illustrates the time history curve depicting the variation in ice load under these three distinct working conditions.









198 **3 Engineering example calculation**

199 **3.1 Coupling effect of wave-ice load**

- 200 This paper focuses on investigating the impact load and unit load of wind turbine towers subjected to
- 201 floating ice, while analyzing the influence of different running speeds and thicknesses of floating ice on these
- towers. Figure 10 illustrates the occurrence of broken ice when the floating ice thickness is 12.5mm and the ice
- 203 speed is respectively 0.01m/s and 0.05m/s. Table 3 presents the impact load and unit load of wind turbine towers
- for varying floating ice running speeds, namely, 0.01m/s, 0.021m/s, and 0.05m/s.











209 **3.2 Coupling effect of wind-wave-ice load**

210 The longitudinal displacement of the wind turbine tower top under the coupling effect of wind-wave-ice load, 211 as shown in Fig.11a, is significantly greater than that under the coupling effect of wave-ice load alone. Moreover, 212 the amplitude (displacement) of the tower top under the combined effect of all three loads is larger and more 213 intense. Notably, when subjected to ice load alone, the vibration at the tower top fluctuates around its centerline 214 with a rapid decay in amplitude from maximum to minimum after 80s. Conversely, under wind load alone or 215 coupled with all three loads, the vibration equilibrium point at the tower top shifts approximately 0.3m along its 216 longitudinal direction (i.e., x-direction in terms of tower top coordinate system). This indicates that when exposed 217 to wind load, bending occurs along the flow direction and cannot be effectively recovered within this period. 218 Additionally, when ice and wave loads are combined, there is an offset between their respective bending 219 directions and resulting vibration equilibrium points at the tower. As depicted in Fig.11b regardless of variations 220 in magnitude or direction for wind load conditions observed here, the vibration equilibrium point for longitudinal 221 bending moment remains consistent with geometric shape center across different scenarios. Moreover, coupling 222 effects among wind-wave-ice loads result in significantly greater vibrations compared to individual loading 223 conditions. Furthermore, the shape and trend exhibited by longitudinal loading curves at foundation level remain 224 consistent across these three working conditions, and it's worth noting that vibrational amplitude changes are 225 considerably higher when all three loads are coupled compared to individual loading effects.











Fig. 12 Load changes of offshore wind turbines

243 The lateral vibration amplitude of the support platform of wind turbines is generally greater than the

244 longitudinal vibration amplitude under various working conditions, as depicted in Figure 13. Moreover, the





- 245 longitudinal vibration amplitude remains small and exhibits overall stability, while the lateral vibration
- 246 displacement shows significant variability. Considering the impact of individual wind loads or ice loads, it is
- 247 observed that ice load has a higher effect compared to wind load. Furthermore, when considering coupling loads,
- 248 their effect surpasses that of any single load in terms of both tower amplitude after ice load and tower amplitude
- 249 attenuation frequency.





250

Fig.13 Amplitude of offshore wind turbine platform under load

253 The findings in Figure 14 demonstrate that, regardless of the running conditions of offshore wind turbines,

254 the vibration pattern and trend of the tower support platform align with those observed for tower vibration

255 displacement. Moreover, both in terms of amplitude and frequency, lateral load vibrations surpass longitudinal

256 vibrations.



257 258



259 3.3 Fatigue damage estimation





260	The fatigue damage of wind turbines under the single action of wind load, ice load, and the coupling effect of
261	wind-wave-ice three loads is calculated in the time domain to analyze their long-term effects on load
262	accumulation. This study compares and analyzes the fatigue damage of offshore wind turbines under these three
263	working conditions using both the quadratic superposition method and DNV method for damage combination
264	calculation. As shown in Table 4, regardless of whether the quadratic superposition method or DNV method is
265	used for analysis and calculation, it is evident that the fatigue damage caused by ice load alone is lower than that
266	caused by wind load alone, while the fatigue damage under coupling loads is lower than that under single loads.
267	During wind-wave-ice coupling, results from the quadratic superposition method yield smaller calculations
268	compared to those from DNV's superposition method which yields larger but more accurate results suitable for
269	engineering applications in assessing fatigue damage of offshore wind turbines.



Tab.4 Fatigue damage of offshore wind turbines under different working conditions and different algorithms

Wind load	Ice load	Load coupling	Quadratic superposition method	DNV method
7.43×10 ⁻⁸	5.23×10 ⁻⁸	4.11×10 ⁻⁸	9.12×10 ⁻⁸	5.02×10-7

271 4 Conclusion

The present study investigates the dynamic response of offshore wind turbines subjected to wind load, wave load, ice load, and their coupled effects. Additionally, an estimation of fatigue damage is conducted. The obtained results demonstrate that:

275 (1) Under the separate action of wind load and ice load, the horizontal and longitudinal bending moments at 276 the tower foundation of wind turbines are essentially identical. When wind-wave-ice load is coupled, the 277 horizontal and longitudinal bending moments at the tower foundation of wind turbines exceed those under 278 individual loads. Additionally, due to wind load participation, the equilibrium point for tower vibration in wind 279 turbines shifts along the direction of the wind load vector, with a linear translation corresponding to the calculated 280 wind speed. Under isolated ice load conditions, both horizontal and longitudinal amplitudes at the top of wind 281 turbines are smaller compared to those under isolated wind load conditions. This can be attributed to a longer 282 duration of action for wind loads despite their lower instantaneous impact force when compared to ice loads; thus 283 resulting in more pronounced cumulative effects. When considering coupled wind-wave-ice loading scenarios, 284 although similar trends are observed as seen under individual actions from winds and ice alone, there exist 285 significant differences in amplitude and attenuation rate. The displacement offset experienced by support 286 platforms in response to combined loading is greater than that caused by either winds or ice alone; moreover, 287 lateral displacement significantly exceeds longitudinal displacement on these platforms. Furthermore, it should be 288 noted that compared with its influence on tower foundations from winds alone, ice loads have a more noticeable 289 effect on displacements. 290 (2) When analyzing the impact of ice load on standalone wind turbines, the discrete element method is





- 292 accuracy in calculating the tower's impact load caused by ice, the mutual influence resulting from changes in 293 floating ice composition is achieved through designing numerical values for bonding bonds between particles. 294 This approach helps narrow the gap between simulation calculations and actual working conditions. The analysis 295 and calculation results reveal that the velocity at which floating ice moves significantly affects wind turbine 296 towers. Therefore, in practical projects, a well-designed tower foundation platform structure can be implemented 297 to reduce the speed at which floating ice moves, thereby mitigating damage caused by both floating ice and other 298 objects to supporting platforms of wind turbines while enhancing their structural stability and reliability. 299 (3) The fatigue damage value of wind load and ice load on wind turbines under separate action is greater than 300 the effect of coupling load, as the direction and magnitude of wind load are uncertain. This uncertainty helps to 301 balance the fatigue damage caused by ice load and wave load on wind turbines to some extent. In the calculation 302 process, the DNV method yields a higher damage value through superposition calculation compared to the
- 303 calculation result considering load coupling effects. From an engineering design and evaluation perspective, this
- 304 indicates a higher safety factor for the DNV method. Therefore, it is recommended to utilize the DNV method in
- 305 practical engineering applications for evaluating the fatigue life of offshore wind turbines under coupling loads.

306

307 **Competing interests :** The contact author has declared that none of the authors has any competing interests.

308 Reference

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