

# Fully Coupled High-Resolution Atmosphere-Ocean-Wave

## Simulations of Hurricane Henri (2021): Implications for Offshore

### Load Assessments

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~~**Abstract.** This study presents a fully coupled modelling system that integrates atmospheric, ocean, and wave models to simulate interactions during tropical cyclones and assess their implications for offshore infrastructure. The system is evaluated using Hurricane Henri (2021), chosen for its distinctive track along the U.S. northeast coast, an area with densely populated regions and offshore wind energy zones. The event is supported by extensive observations, including airborne Doppler radar, dropsondes, sea surface temperature, and ocean surface wave measurements. Three experiments with increasing complexity in atmosphere-ocean-wave coupled processes are conducted to examine their impact on storm intensity and development. Compared to atmospheric-only and atmosphere-ocean coupled simulations, the fully coupled model reduces intensity overestimations and improves the wind structure from near the surface to the upper troposphere. These improvements are due to realistic representation of complex feedback loops between the atmosphere, ocean, and waves. Wave-induced cooling of sea surface temperatures and reduced surface enthalpy flux mitigate intensity overestimation. Additionally, wave-driven surface roughness, reflected in realistic surface roughness length and drag coefficients, enhances the radial and vertical profiles of hurricane boundary layer winds. The fully coupled simulation shows promising potential for assessing risks to offshore infrastructure, featuring a more stable atmospheric boundary layer, weaker surface roughness, and lower turbulent kinetic energy. These factors allow wind veer to persist and align more closely with observations. The system also captures wind-wave misalignment, emphasizing the importance of incorporating ocean and wave components for accurate risk assessments in offshore infrastructure, such as wind turbine operations.~~

~~A new fully coupled modeling system, integrating atmosphere, ocean, and wave models, is presented to simulate intricate interactions during tropical cyclones and explore their potential implications for offshore infrastructure. The system is~~

30 evaluated on Hurricane Henri (2021), chosen for its distinctive track along the U.S. northeast coast, an area of densely  
31 populated regions and offshore wind energy zones. Three simulation setups are compared: atmosphere-only, atmosphere–  
32 ocean, and a fully coupled atmosphere–ocean–wave model. Among them, the fully coupled model produces the most realistic  
33 results, improving not only the storm intensity near the surface but also the wind structure from the near surface to the upper  
34 atmosphere. Waves enhance ocean surface cooling with an additional 0.5 K reduction via non-breaking wave-induced vertical  
35 mixing and modify wind interactions through wave-driven surface roughness. This more realistic representation of coupled  
36 heat and energy exchanges between the atmosphere and ocean yield improved wind field patterns, which are critical for  
37 comprehensive risk assessment pertaining to offshore wind turbines. Furthermore, the coupled system reasonably captures  
38 wind-wave misalignment during the storm, with the greatest misalignment in the left-front and rear-left quadrants, while  
39 alignment occurs on the right side of the storm due to storm motion enhancing wave growth. These spatial variations highlight  
40 the need to accurately model atmosphere-ocean–wave interactions for reliable wind load assessments.

## 43 **1 Introduction**

44 Tropical cyclones (TCs) are among the costliest and deadliest natural hazards in the U.S., responsible for pose a serious threat  
45 to society, bringing destructive winds, large waves, storm surges, heavy rainfall, and compound flooding. Over the past four  
46 decades (1980–2019), TCs have led U.S. disaster records, causing the highest economic losses (\$945.9 billion) in damages and  
47 fatalities (6,502 from 1980 to 2019) among all natural hazards (Smith, 2020). Although track forecasts have improved over  
48 recent decades, the ability to predict TC intensity remains. Climate warming further complicates TC behaviour, adding  
49 uncertainties: while TC frequency may remain stable or decline, TC intensity is likely to increase in many coastal areas,  
50 exacerbating coastal hazards and offshore energy harvesting (Knutson et al., 2010, 2019, 2020; Walsh et al., 2015, 2016; Wang  
51 et al., 2024a,b). Consequently, accurate TC prediction has become increasingly essential for effective risk analysis, disaster  
52 prevention, and infrastructure design.

54 Forecasting TC tracks has improved substantially over the past few decades, while predicting TC intensity remains challenging  
55 and has shown only limited progress (DeMaria et al., 2014; Rappaport et al., 2009; Yamaguchi et al., 2017; Zao et al., 2022).  
56 A key limitation lies in the incomplete representation of atmosphere-ocean interactions in models, particularly storm-induced  
57 sea surface temperature (SST) cooling. Previous studies suggested that insufficient consideration of the physical processes and  
58 their subsequent heat and momentum exchanges at the atmosphere–ocean interface might be responsible for the slower progress  
59 in improving TC intensity forecasts compared to track forecasts over the decades (DeMaria et al., 2007; Zhao et al., 2017,  
60 2022). This cooling is primarily driven by vertical mixing processes caused by strong TC-generated waves, intense upper-

61 ocean shear, and upwelling associated with divergent ocean currents (Emanuel, 1986; Schade and Emanuel, 1999; Wu et al.,  
62 2016).

63 While many studies have explored TC dynamics using fully coupled atmosphere-ocean-wave models (e.g., Chen et al., 2007;  
64 Fan et al., 2009; Zhao et al., 2017, 2022), few have extended these frameworks to the domain of offshore wind energy risk  
65 assessment. Despite the significant impact of air-sea interactions on surface fluxes and hurricane wind structure, they are often  
66 overlooked in applied wind energy assessments and design studies conducted under hurricane conditions (e.g., Arthur, 2021;  
67 Chen et al., 2024; Roldán et al., 2023; Sanchez Gomez et al., 2023). This simplification can result in inaccurate representations  
68 of wind loading and an underestimation of structural vulnerabilities.

69 One critical gap in current hurricane-focused offshore wind assessments is the impact of wind-wave misalignment on structural  
70 loads and potential damage. Such misalignment can significantly increase side-to-side turbine deflections and lead to  
71 underestimations of fatigue loads by as much as 50% in floating systems. For instance, a recent study by Shanahan and  
72 Fitzgerald (2025) found that wind-wave misalignment in floating offshore wind turbines can exceed 30° during hurricanes and  
73 reach up to ~58° along exposed western coastal zones of Ireland. Additionally, Ma and Sun (2023) used large-eddy simulations  
74 to model the coupled wind-wave loading on fixed-bottom offshore turbines, finding that under extreme events, such as  
75 hurricanes, aerodynamic loading increases: the mean bending moment at both tower and monopile rises by ~6%, the standard  
76 deviation of shear force increases by up to ~45%, and the bending movement variability increases by ~27%. These findings  
77 highlight the need for dynamic, coupled modeling approaches that can capture the evolving interactions among wind, waves,  
78 and currents, especially under extreme events, such as hurricanes (e.g., Chen et al., 2013; Barr and Chen., 2025). However,  
79 most current modeling frameworks used for wind energy risk assessment fall short in this regard. Uncoupled atmospheric  
80 models typically calculate surface roughness solely based on wind speed (e.g., via Charnock formulations), neglecting wave-  
81 induced momentum fluxes (e.g., Sanchez Gomez et al., 2023), which can bias near-surface wind fields, shear profiles, and  
82 wind veer under extreme forcing. Statistical-parametric models, while efficient for probabilistic loss estimation, represent  
83 hurricane winds using idealized radial wind profiles—such as studies that use the classic Holland model (Arthur, 2021), the  
84 two-parameter Holland formulation (Chen et al., 2024), or recent asymmetric extensions (Roldán et al., 2023). By neglecting  
85 the evolving feedback among wind, waves, and ocean currents, these approaches can underpredict or overpredict extreme gusts  
86 and rapid directional shifts that critically drive turbine loading. For example, Mogensen et al. (2017) and Wei et al. (2017)  
87 suggest that sea surface temperature (SST) cooling feedback process in TC modelling is a major factor contributing to bias in  
88 TC intensity forecasts. The storm extracts energy from the ocean through exchanges of heat, moisture, and momentum fluxes  
89 at the atmosphere and ocean interface. TC-driven high winds and waves enhance turbulent mixing in the upper ocean, resulting  
90 in SST cooling—often called "cold wakes"—along the storm's path. This process acts as negative feedback on storm energetics  
91 by reducing the surface enthalpy flux supply (Bender et al., 1993; Cavaleri et al., 2012; Cione and Uhlhorn, 2003; Fan et al.,  
92 2010; Schade and Emanuel, 1999). For instance, Zhu and Zhang (2006) found that cooling in SST, averaging 1.3 °C near the  
93 TC centre and along its track, statistically weakens hurricane intensity by about 25 hPa. This feedback mechanism between  
94 the atmosphere and ocean is primarily driven by vertical mixing of cooler waters from beneath the seasonal thermocline,

95 induced by TC-generated strong waves, large upper-ocean shears, and upwelling from divergent ocean currents (Emanuel,  
96 1986; Schade and Emanuel, 1999; Wu et al., 2016). The reduced surface heat exchange then weakens the moist enthalpy flux  
97 from the ocean to the atmosphere, thereby diminishing TC intensity. Therefore, to realistically capture TC-induced SST  
98 cooling, the atmospheric forecast model must be coupled with a three-dimensional ocean model (e.g., Yablonsky and Ginis,  
99 2009).

100  
101 To address these limitations,

102 ~~Another key ocean element in TC evolution involves ocean surface waves, which significantly affect both atmospheric and~~  
103 ~~ocean dynamics. As for the impact of the waves on atmospheric dynamics, on the one hand, ocean surface waves characterize~~  
104 ~~surface roughness, influencing the structure of atmospheric and marine boundary layers. This, in turn, affects atmosphere-~~  
105 ~~ocean momentum and heat exchanges, upper-ocean mixing, sea spray production, and albedo (Cavaleri et al., 2012). Liu et al.~~  
106 ~~(2011) found that their satellite-based latent heat flux data (XseaFlux) performed significantly better in capturing TC-~~  
107 ~~associated latent heat flux by incorporating sea surface wave features such as wave breaking, wave orbital motion (non-~~  
108 ~~breaking waves), and sea spray. Chen et al. (2007, 2013) emphasized the importance of wind-wave feedback under extreme~~  
109 ~~conditions through directional wind-wave coupling, which enhances simulations of hurricane-induced surface winds and~~  
110 ~~hurricane structure. Similarly, Zhao et al. (2017, 2022) found that wave-induced processes, including mixing and sea spray~~  
111 ~~production, reduce biases in TC intensity forecasts, underscoring the essential role of ocean surface waves in atmosphere-~~  
112 ~~ocean enthalpy flux exchanges and TC evolution. On the other hand, breaking waves also generate sea spray, which enhances~~  
113 ~~atmosphere-ocean heat and moisture flux exchanges under tropical cyclone (TC) conditions, potentially intensifying TCs~~  
114 ~~(Perrie et al., 2004, 2005; Richter and Stern, 2014; Zhao et al., 2022). However, Prakash et al. (2019) found that incorporating~~  
115 ~~sea spray has only a marginal effect on storm intensity, suggesting that wave impacts on surface roughness may play a more~~  
116 ~~significant role in the coupling process. This relative importance, however, requires further investigation with additional storm~~  
117 ~~eases, as will be discussed in Section 6.~~

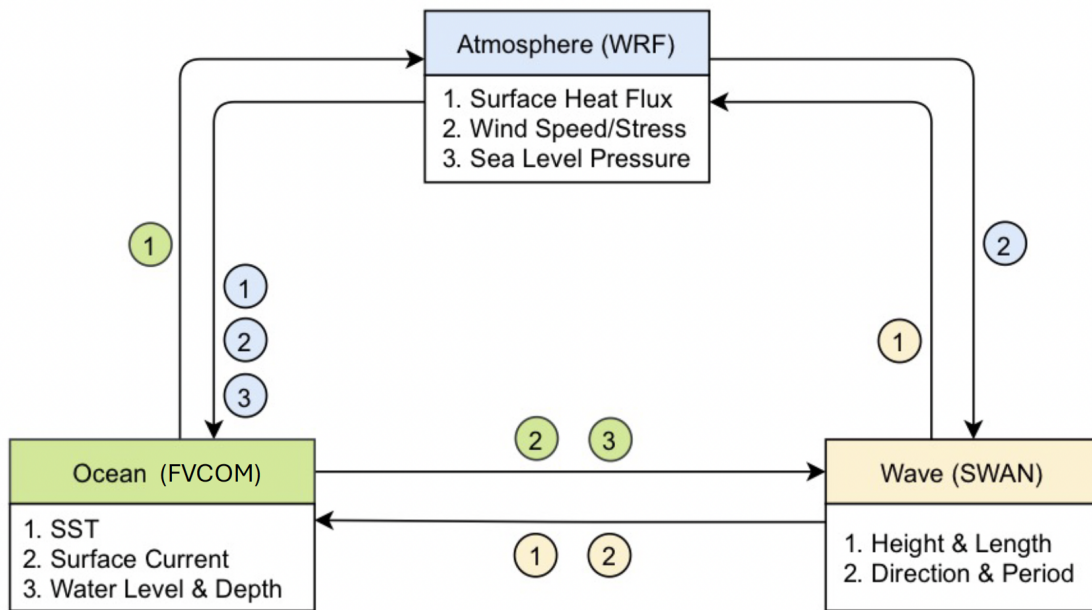
118  
119 ~~As for the impact of the waves on ocean dynamics, wave-induced mixing—driven by both breaking and non-breaking waves~~  
120 ~~plays a key role in modulating SST, which is critical for TC development and intensity (Babanin, 2006; Qiao et al., 2004;~~  
121 ~~Sullivan and McWilliams, 2010). Breaking waves create surface level turbulence, but kinetic energy dissipates quickly with~~  
122 ~~depth, limiting their influence on SST and surface heat fluxes (Craig and Banner, 1994; Zhang et al., 2007). In contrast, non-~~  
123 ~~breaking waves penetrate deeper, significantly affecting SST and mixed-layer depth, both of which are essential for TC~~  
124 ~~intensity modification (Babanin et al., 2009; Qiao et al., 2004; Zhang et al., 2022). Additionally, waves influence the bottom~~  
125 ~~boundary condition for TCs through wave-current interactions, affecting sea surface currents (Lane et al., 2007; Mellor, 2016;~~  
126 ~~Olabarrieta et al., 2010; Smith, 2006) and SST through mechanisms such as radiation stress, Stokes drift, and vertical mixing~~  
127 ~~(Wang et al., 2024b). Coupled wind-wave-ocean models underscore the significant impact of wind-wave-current interactions~~  
128 ~~on atmosphere-ocean momentum flux and ocean responses in TCs (Fan et al., 2009).~~

129  
130 Grid spacing is another critical factor being continually addressed as computational resources increase over time. Resolving  
131 the inner core of a TC with a grid spacing of 4 km or less has enabled the explicit representation of deep convection, leading  
132 to a more accurate depiction of TC structure in the atmospheric component (e.g., Gentry and Lackmann, 2010). In an  
133 atmosphere-ocean coupling framework, Tsartsali et al. (2022) emphasized that optimal results require higher resolutions in  
134 both ocean and atmospheric models, specifically at least eddy-permitting (~25 km) and better eddy-resolving (~8 km) ocean  
135 resolution, along with comparable atmospheric resolution, for reliable atmosphere-ocean coupling along the Gulf Stream.  
136 Additionally, Zhang et al. (2023) employed the Community Earth System Model at very high spatial resolutions (up to 3 km  
137 for the ocean and 5 km for the atmosphere) to capture major weather and climate extremes, highlighting the importance of  
138 convection-permitting resolution and sub-mesoscale ocean eddies in modelling TC dynamics and eddy-mean flow interactions.

139  
140 This study introduces a newly developed atmosphere-ocean-wave coupled modelling system that integrates a regional  
141 atmospheric climate model with ocean and surface wave models, both of which operate on a high-resolution unstructured  
142 mesh. This framework, while sharing similarities with the Coupled Ocean Atmosphere Wave and Sediment Transport  
143 (COAWST, Warner et al., 2010), is distinguished by several key enhancements. First, it supports regional mesh refinement,  
144 allowing ultra-high resolution ocean grids over targeted areas such as offshore wind farms. This feature provides more  
145 localized and detailed oceanic information, improving the system's utility for site-specific assessment. Second, our framework  
146 explicitly includes the effects of non-breaking wave processes in the coupling system. These processes, which require custom  
147 implementation (e.g., Xu et al., 2023), are not part of the standard COAWST model but are essential for realistically  
148 representing atmosphere-ocean-wave interactions, especially under extreme wind conditions such as those associated with  
149 TCs. -model in its coupled components, distinguishes itself through its ability to provide regional refinement over areas of  
150 interest (e.g., offshore wind farms). Its capability to generate ultra-high spatial resolution for the ocean mesh allows for more  
151 detailed and localized information, enhancing its applicability for site-specific analyses. In addition, we incorporate the impacts  
152 of non-breaking waves into the coupling system to enable more realistic interactions between the atmosphere, ocean, and  
153 waves. Utilizing this fully coupled system at a very high resolution (3 km for the atmosphere and 3 km for the ocean and wave  
154 models near the U.S. Northeast Coast), we investigate the effects of atmosphere-ocean-wave three-way feedback on tropical  
155 cyclone (TC) development and demonstrate its relevance in assessing potential TC-induced risks for offshore wind  
156 infrastructure. The model presented here provides a more realistic depiction of the complex interactions between the ocean,  
157 waves, and atmosphere compared to many existing statistical-parametric models (e.g., Arthur, 2021; Chen et al., 2024; Roldán  
158 et al., 2023) and idealized TC models (e.g., Sanchez-Gomez et al., 2023), which often analyse wind and wave interactions  
159 separately or exclude them altogether. These limitations may result in incomplete risk assessments, as wave dynamics play a  
160 substantial role in TC behaviour and evolution, as previously discussed.

162 We apply this fully coupled system at high resolution (3km for both atmospheric and oceanic components near the U.S.  
 163 Northeast Coast) to evaluate the impact of intercomponent coupling feedback on TC development, with a focus on the near-  
 164 surface and lower boundary layer wind field. In this study, we selected Hurricane Henri (2021) to demonstrate the capabilities  
 165 of the coupled system, providing insights into the role of wave dynamics in atmosphere-ocean modeling and their influence  
 166 on storm wind structure, with a particular focus on processes at the air-sea interface and within the planetary boundary layer.  
 167 Henri was a Category 1 storm that made landfall in Rhode Island on 22 August 2021. Despite its weak intensity, Henri caused  
 168 heavy rainfall, flooding, and power outages across the densely populated Northeast U.S., including New York and Boston. It  
 169 also passed through the offshore wind energy lease area on the northeast continental shelf. Extensive observations, including  
 170 airborne Doppler radar and dropsonde data near the eyewall, enable direct comparison between modeled and observed storm  
 171 structures to assess model performance and atmosphere-ocean-wave coupling.

173 The development of the model, including detailed information on each model component and the coupler, is described in  
 174 Section 2. Section 3 describes the experimental design and data used for model validation using Hurricane Henri (2021) as a  
 175 working example. In Section 4 and 5, we present results and analysis, followed by the summary and discussions. ~~Implications~~  
 176 ~~for potential risks to offshore wind energy is discussed in Section 5, followed by the summary and discussions~~ in Section 6.



178 **Figure 1. Schematic of coupled atmosphere-ocean-wave system and modelling used in this study.**  
 179

180

181 **2 Model Description**

182 The coupled atmosphere-ocean-wave ~~modeling-modelling~~ system consists of three components: the Weather Research and  
 183 Forecasting (WRF) model for atmospheric processes (WRF V4.5.1; Skamarock et al., 2019), the Finite Volume Community  
 184 Ocean Model (FVCOM) for ocean circulations (V4.3.1; Chen et al., 2003, 2013) ~~for ocean circulations, and~~ the third-  
 185 generation Simulating WAVes Nearshore (SWAN) ~~model~~ for wave dynamics (Booij et al., 1999), ~~with data and a coupler to~~  
 186 ~~exchanged via a coupler data fields~~ (Fig. 1). Hereafter, we refer to the coupled WRF-FVCOM-SWAN model as C-WFS. These  
 187 components run in parallel and interact through the OASIS3-MCT coupler (Craig et al., 2017). Details on each model, recent  
 188 improvements, and the coupling strategy are provided in Sections 2.1–2.2.  
 189 ~~Hereinafter, we refer to the coupled WRF-FVCOM-SWAN model as C-WFS. In the three-way coupled framework of C-WFS,~~  
 190 ~~the model components are executed in parallel, exchanging information through the Ocean-Atmosphere-Sea-Ice-Soil3~~  
 191 ~~(OASIS3) Model-Coupling-Toolkit (MCT) coupler (Craig et al., 2017). We describe each component, improvements made to~~  
 192 ~~them, and the approach to coupling in Sections 2.1–2.2.~~

193 **2.1 Model Components**

194 WRF is a nonhydrostatic, quasi-compressible atmospheric model featuring boundary layer physics and various with boundary  
 195 layer physics schemes and a variety of physical parameterizations of sub-grid scale parameterizations to simulate processes  
 196 for predicting meso- and macroscale motions of motion. In this study, we WRF has been extensively used for operational  
 197 forecasts as well as for realistic and idealized research experiments. We have modified the WRF code to incorporate the enable  
 198 the wave slope-based sea surface roughness formulation from Taylor and Yelland (2001) into several surface schemes,  
 199 including several surface schemes (MYNN (Nakanishi and Niino, 2009; Olson et al., 2019),) and both the original and revised  
 200 MM5 schemes (Dyer and Hicks, 1970; Jimenez et al., 2012; Paulson, 1970; Webb, 1970):

201

$$202 \quad Z_0 = 1200H_s \left( \frac{H_s}{L_p} \right)^{4.5} + \frac{0.11\nu}{u_*} \quad Z_0 \leq 0.00285 \quad (1)$$

203

204 where  $Z_0$  is the surface roughness length,  $H_s$  is the significant wave height,  $L_p$  is the wavelength at the peak of spectrum,  $\nu$  is  
 205 kinematic viscosity, and  $u_*$  is the friction velocity. Although C-WFS includes alternative Other wave-based formulations (e.g.,  
 206 Drennan et al., 2003; 2005), our tests showed that the are also available in C-WFS but in our testing we found that the capped  
 207 Taylor and Yelland (2001) method yielded the optimal gave the best performance for our case study.

208 The ocean ~~model~~ component, FVCOM (V4.3.1), is a prognostic, free surface, 3-D, free-surface, Dprognostic-primitive  
 209 equation coastal ~~ocean~~ circulation model solves the primitive equations on that is numerically solved over an unstructured  
 210 triangular grid using the finite-volume method. It enables dynamic interaction between ocean and atmospheric conditions

211 throughout the simulation. In this study, we ~~Version 4.3.1 of FVCOM is used in this study, allowing ocean hydrodynamic~~  
212 ~~conditions to interact freely with atmospheric conditions throughout the simulation period. We modified the FVCOM code to~~  
213 ~~include~~ ~~corporate~~ vertical mixing ~~induced by~~ ~~effects induced by~~ non-breaking waves, ~~by adding a wave-related term to the~~ .  
214 ~~Non-breaking wave induced mixing is added to the~~ turbulence eddy diffusivity  $B_v$ , ~~following~~ ~~included in the ocean model~~  
215 ~~and is expressed as~~ (Ghantous and Babanin, (2014a,b) ~~and~~ ; Aijaz et al. (2017):

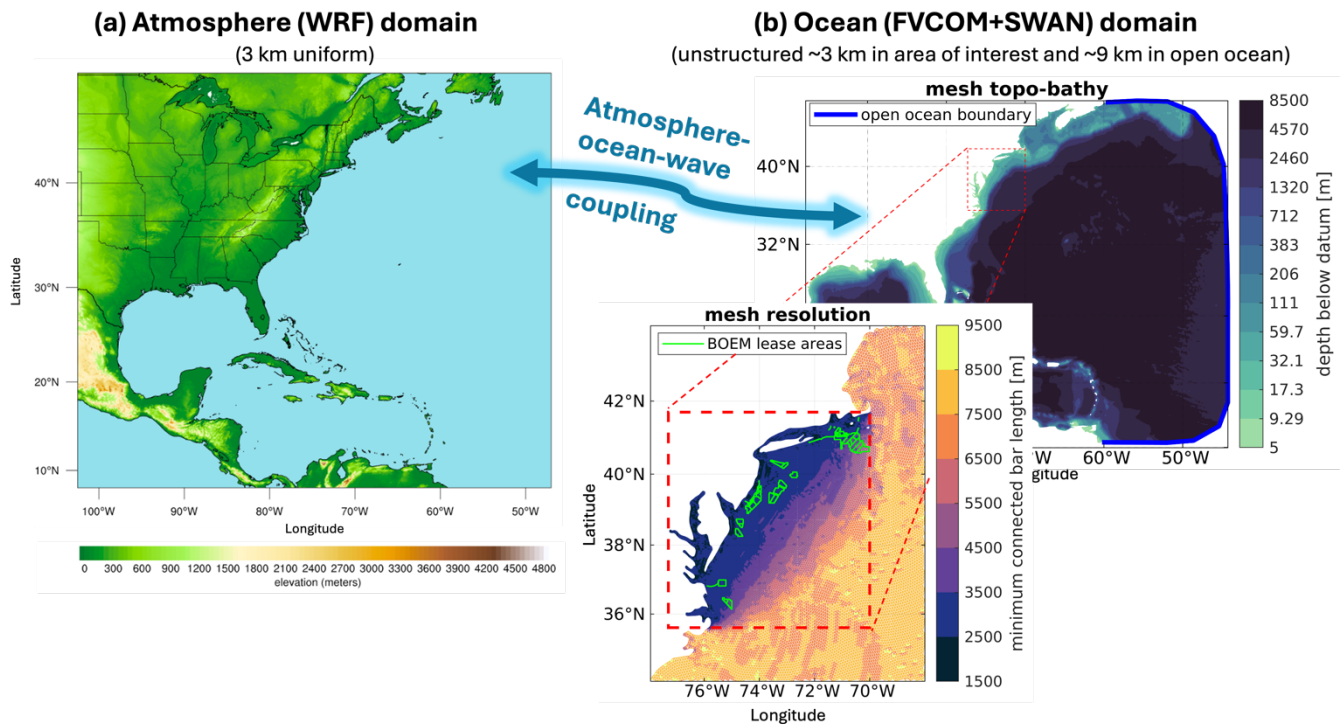
$$B_v = \alpha A^3 \kappa \sigma e^{3\kappa z} \quad (2)$$

216  
217  
218 where  $\alpha = 0.1$ ,  $A$  = wave amplitude ( $H_s/2$ ),  $\kappa$  = wave number ( $2\pi/L$ ),  $\sigma$  = peak wave frequency ( $1/T_p$ ),  $z$  is water depth.

219 The wave model component, SWAN v41.01, is a third-generation spectral wave model developed at Delft University of  
220 Technology that computes random, short-crested wind-generated waves in coastal regions and inland waters  
221 (<http://swanmodel.sourceforge.net/>). It solves the evolution equation of wave action density in space time, frequency and wave  
222 direction dimensions (Pringle and Kotamarthi, 2021). Various wave energy sources and sinks are modelled, including wave  
223 generation by wind, wave decay due to whitecapping, bottom friction, depth-induced wave breaking, and energy redistribution  
224 through nonlinear wind-wave interactions.  
225

## 226 2.2 Coupler and Coupling

227 OASIS3-MCT is a parallelized coupler that ~~synchronizes~~ ~~enables the simultaneous coupling of 2-D and 3-D field~~ ~~exchanges~~.  
228 Figure 1 ~~outlines the~~ ~~provides a schematic illustration of the~~ C-WFS ~~coupling framework and~~ , ~~detailing the quantities~~  
229 ~~exchanged~~ ~~variables within the coupling framework~~. WRF provides FVCOM with surface forcing—including friction velocity,  
230 ~~winds, sea level pressure, heat fluxes, and radiation fluxes—while receiving SST from FVCOM as over-ocean boundary~~  
231 ~~conditions. WRF also supplies wind fields to SWAN for wave simulations. In return, SWAN sends significant wave height~~  
232 ~~and peak wavelength to WRF, which uses them to calculate sea surface roughness based on Equation 1. FVCOM uses wave~~  
233 ~~fields from SWAN to compute radiation stress gradients, Stokes velocities, wave-enhanced bottom stresses, and non-breaking~~  
234 ~~wave-induced mixing; breaking wave mixing is included via stress gradients. FVCOM also provides surface currents to~~  
235 ~~SWAN, enabling Doppler shift effects from currents on wave behavior. This integrated coupling improves wave prediction~~  
236 ~~accuracy by capturing wave-current interactions more realistically.~~



**Figure 2. (a) WRF model domain with terrain height elevation, and (b) FVCOM and SWAN domain with bathymetric depths and a zoom-in to the refined mesh grid along the northern U.S. East Coast and BOEM offshore lease areas.**

The friction velocity, surface winds, sea level pressure, latent and sensible heat fluxes, and shortwave and longwave radiation fluxes predicted by WRF are transferred to FVCOM as surface forcing, while FVCOM provides sea surface temperature (SST) to WRF as over-ocean boundary conditions. WRF supplies wind fields to drive SWAN for wave simulation, while SWAN provides significant wave height and wavelength at the peak of the spectrum to WRF, which uses them to calculate sea surface roughness based on equation (1). The wave fields are used by FVCOM to compute radiation stress gradients, enabling wave-driven flows, Stokes velocities for mass flux transport, wave-enhanced bottom stresses, and non-breaking wave-induced mixing. Breaking wave induced mixing is incorporated as a part of radiation stress gradients. Additionally, FVCOM provides sea surface currents to SWAN, allowing for the inclusion of Doppler effects from background currents on surface waves. This integration enables SWAN to better account for how ocean current movement affects wave behaviour, resulting in more accurate wave predictions.

### 3 Application of C-WFS Modelling System

This section describes the C-WFS setup used to simulate Hurricane Henri (2021). Henri reached Category 1 on the Saffir-Simpson scale and made landfall in Rhode Island, U.S. on 22<sup>nd</sup> August 2021. Despite its relatively weak intensity, the storm brought very heavy rainfall over the Northeastern U.S., including New England, causing widespread flooding and power

254 outages in the densely populated regions, such as New York and Boston. Moreover, Henri is one of the recent TCs to pass  
255 through the offshore leased wind energy area in the U.S. northeast continental shelf. During this hurricane, comprehensive  
256 observation datasets were collected, including airborne measurements such as doppler radars and dropsondes that reached the  
257 eyewall and core. These conditions and datasets allow for direct comparisons between the modelled and observed TC  
258 structures, providing insights into model performance and the coupling effects due to atmosphere-ocean-wave interactions.

### 259 3.1 Experimental Design and Configuration

260 To ~~evaluate~~explore the integrated impact of effects of ocean and wave-ocean surface wave related physical processes on TC  
261 simulations, three experiments were a set of three model simulations is performed. Experiment ‘A’ (atmosphere only) uses  
262 WRF ~~standalone simulation is named as experiment ‘A’, in which the event is modelled using WRF alone with prescribed~~  
263 SST at with 6-hourly updated SST intervals. In experiment ‘AO,’ couples WRF is coupled with FVCOM, enabling atmosphere-  
264 ocean interaction but no wave effects. variable exchange as shown in Fig. 1, but without considering ocean surface wave-  
265 related physical processes. Experiment ‘AOW’ fully couples is a multi-way fully coupled experiment, in which WRF,  
266 FVCOM, and SWAN via exchange variables with each other every hour through the OASIS3-MCT, allowing hourly multi-  
267 way atmosphere-ocean-wave exchanges Coupler to allow direct and indirect atmosphere-ocean-wave interactions, as discussed  
268 in Section 2.

269 WRF is configured with a 3 km All simulations are initialized at 18:00 UTC on August 19, 2021, within a domain  
270 encompassing the western North Atlantic Ocean. The atmospheric domain features a horizontal resolution and 46 vertical  
271 levels (12 below 100 m), covering much of the North Atlantic basin of 3 km (Fig. 2a). It uses The ocean domain, which covers  
272 a substantial portion of the WRF ocean domain, employs an unstructured triangular grid with resolutions ranging from 3 km  
273 near the coast to 9 km in the open ocean, effectively resolving the complex coastline of the U.S. Northeast Coast (Fig. 2b).  
274 Initial and boundary conditions for the atmosphere model are obtained from the 6-hourly 0.25° NCEP (National Centers for  
275 Environmental Prediction) Global Forecast System (GFS; NCEP, 2015) analysis data for atmospheric initial and boundary  
276 conditions, with SSTs prescribed from GFS in ‘A’. The model employs .Note that the prescribed SST for experiment ‘A’ is  
277 provided by GFS at 6 hourly intervals. The atmosphere is represented by 46 stretched vertical levels topped at 50 hPa with 12  
278 layers below 100 metres. The physics selected for this study include the WRF single-moment 6-class microphysics scheme  
279 (WSM6 microphysics; (Hong and Lim, 2006), RRTM radiation the Rapid Radiative Transfer Model for GCMs longwave and  
280 shortwave schemes (Iacono et al., 2008), the Yonsei University PBL (Hong et al., 2006), and the Eta similarity surface layer  
281 scheme which is based on the revised MM5 Monin-Obukhov scheme (Jimenez et al., 2012). The land surface processes are  
282 modelled by the Noah (Chen and Dudhia, 2001). No cumulus parameterizations isare used, as in our WRF setup, as previous  
283 studies have demonstrated that a resolution of 4 km resolution or less supports is adequately convection-permitting simulations  
284 in WRF for simulating extreme events (Akisanola et al., 2024; Kouadio et al., 2020; Qing and Wang, 2021; Sun et al., 2016).  
285 The ocean domain (FVCOM) covers most of the WRF domain, with horizontal resolution ranging from ~9 km in the open  
286 ocean to ~3 km over the continental shelf. It uses 40 sigma vertical layers to capture steep coastal bathymetry. Vertical mixing

287 processes are simulated using the Mellor–Yamada level-2.5 (MY25) turbulence closure model (Mellor and Yamada, 1982),  
288 and horizontal diffusivity is computed using the Smagorinsky numerical formulation (Smagorinsky, 1963). Initial and  
289 boundary conditions for currents, temperature, salinity, and water level are provided by 1/12° HYCOM (Hybrid Coordinate  
290 Ocean Model) analysis data (Cummings and Smedstad, 2014).

291 The wave model domain matches the FVCOM domain, using ~12 km horizontal resolution. The wave spectrum is divided  
292 into 36 directional and 24 frequency bins (0.04–1 Hz). Wave physics include Komen et al. (1984) for growth and whitecapping,  
293 Madsen et al. (1988) for bottom friction, and a constant depth-limiting breaker index, all with default settings. Swell boundary  
294 conditions are omitted due to minimal impact at the eastern boundary, and the model is initialized from a quiescent state.

295 All experiments were initialized at 18:00 UTC on 19 August, 2021, and simulated for 102 hours. Nudging techniques were  
296 intentionally omitted to better isolate the effects of atmosphere–ocean–wave coupling on TC characteristics. Additional tests  
297 using various physics schemes and forcing datasets (e.g., ERA5) confirmed the robustness and low sensitivity of the results to  
298 model configuration choices, although the supporting results are not presented in this manuscript.

299 Initial conditions for the ocean model fields of currents, water level, salinity, and temperature and boundary conditions for  
300 currents, salinity, and temperature are derived from the (1/12)° × (1/12)° resolution HYbrid Coordinate Ocean Model  
301 (HYCOM; Cummings and Smedstad, 2014) analysis data (<http://hycom.org/dataserver/>) simulations. The ocean domain  
302 employs varying horizontal resolution of ~9 km in the open ocean down to ~3 km over the continental shelf in the area of  
303 interest (Fig. 2b). The ocean is represented vertically with 40 sigma layers, enabling the model to accurately reflect the abrupt  
304 changes in coastal bathymetry. Vertical mixing processes are simulated using the Mellor–Yamada level 2.5 (MY25) turbulence  
305 closure model (Mellor and Yamada, 1982), and horizontal diffusivity is computed using the Smagorinsky numerical  
306 formulation (Smagorinsky, 1963).

307 For this study, the wave model domain covers the same geographic extent as the FVCOM domain with approximately 12 km  
308 horizontal resolution. The wave spectrum is discretized into 36 directional bins and 24 frequency bins on the interval [0.04, 1]  
309 Hz. We use Komen et al. (1984) wave growth and whitecapping physics, Madsen et al. (1988) bottom friction, and a constant  
310 depth limiting wave breaker index, all with their default parameters. Lateral boundary conditions for swell are not applied due  
311 to their insignificance at the eastern boundary.

312 All experiments involved a 102-hour integration, initialized from the same conditions at 18:00 UTC on August 19, 2021.  
313 Following initialization, the simulations evolved freely throughout the entire 102-hour hindcast period without any technical  
314 interventions. While nudging techniques, such as spectral nudging of variables such as wind, air temperature, and geopotential  
315 height, are valuable for improving modelled tracks, they were intentionally not applied in this study. This decision reflects the  
316 focus on exploring the impacts of multi-factor coupling between the atmosphere, ocean, and waves on tropical cyclone (TC)  
317 characteristics. Applying nudging could complicate efforts to isolate the specific coupling effects of ocean and wave processes  
318 on TC behaviour.

319 Several additional simulations were conducted using different planetary boundary layer and microphysics parameterizations,  
320 as well as various forcing data (e.g., ERA5 reanalysis data, the fifth generation of ECMWF atmospheric reanalyses of the

global climate). The results consistently showed that the overall conclusions of this study remained unchanged, demonstrating the robustness of the findings and their low sensitivity to these configuration choices.

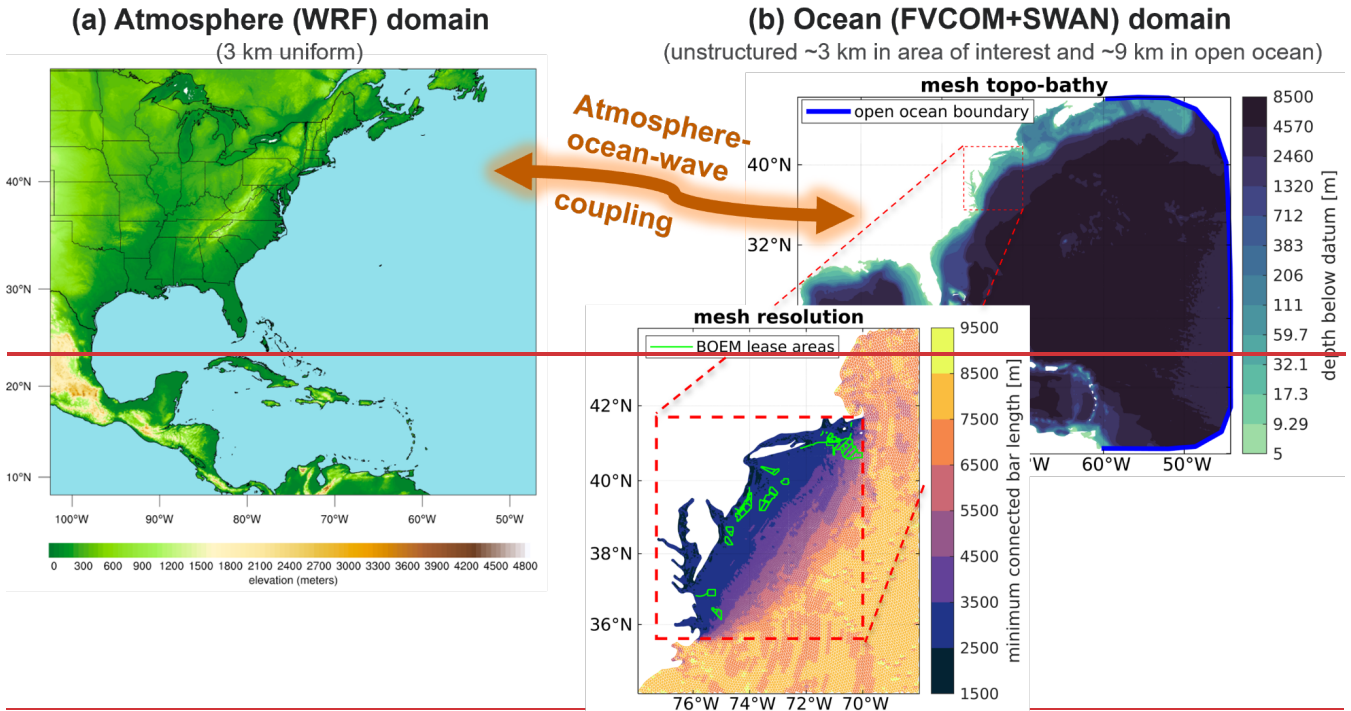


Figure 2. (a) WRF model domain with terrain height elevation, and (b) FVCOM and SWAN domain with bathymetric depths and a zoom-in to the refined mesh grid along the northern U.S. East Coast and BOEM offshore lease areas.

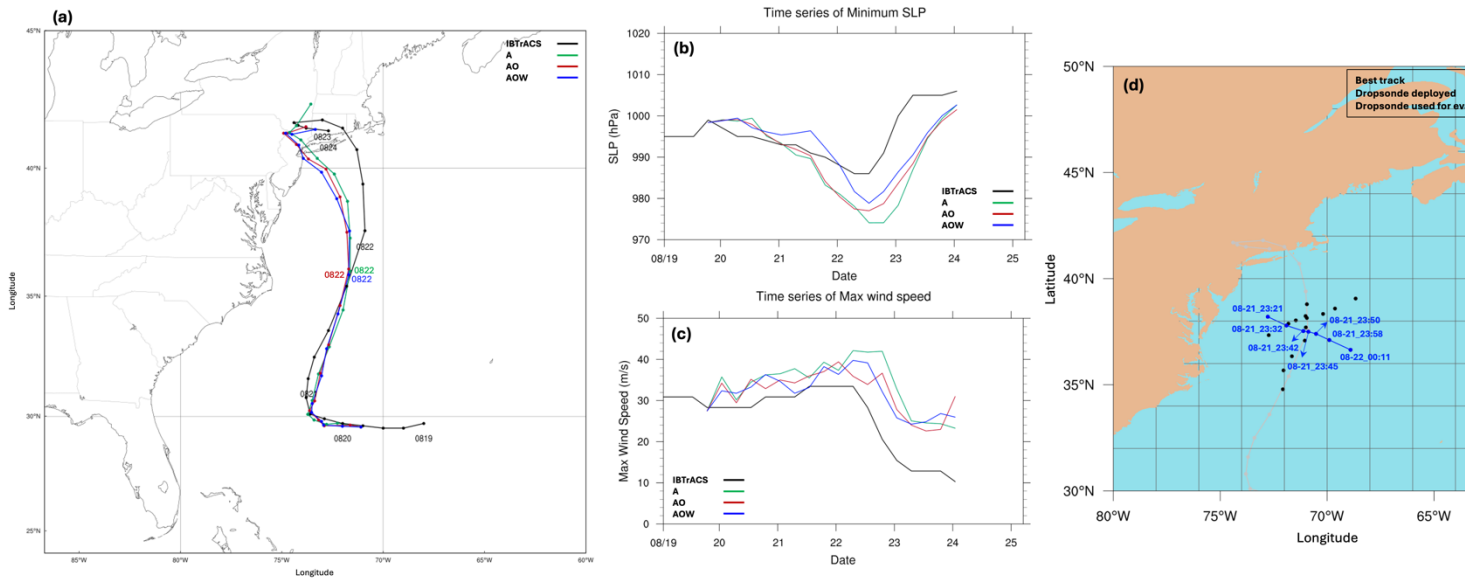
### 3.2 Method and Data

The model results are evaluated against several observations using multiple datasets, including International Best Track Archive for Climate Stewardship (IBTrACS; Knapp et al., 2010), which provides TC position, minimum sea level pressure (SLP), and maximum 10-m sustained winds at and airborne observations. IBTrACS is the most complete global collection of TCs, providing TC best position, minimum sea level pressure (SLP), maximum sustained wind speeds, and translation speed at mostly 6-hourly intervals, and airborne observations. The airborne observations data include the Tropical Cyclone Radar Archive of Doppler Analyses with Recentering (TC-RADAR; ) dataset (Fischer et al., 2022) and dropsondes from NOAA's Hurricane Research Division, aircraft provided by the National Oceanic Atmospheric Administration's (NOAA) Hurricane Research Division (HRD). TC-RADAR contains is a comprehensive database of airborne observations of TCs, featuring data from the X-band tail-Doppler radar data from NOAA's WP-3D aircraft, scanning. This radar scans in both front and back directions to produce, enabling detailed 3-D analyses of the inner-core structure of TC inner-core structure.s. Typically, each mission typically includes 3-4 center passes, with storm-centered "cecentering" techniques used to generated ridded analyses. through the centre of the storm. For each central pass, an analysis is created using a technique called "recentering," which

339 ~~provides analyses on storm-centered grids. Our simulations adopt the same storm-centered coordinates for direct comparison.~~  
340 ~~We utilize storm-centered coordinates for our simulations, aligning the grids of TC-RADAR to enable direct comparison of the~~  
341 ~~3-D storm structure between the simulations and TC-RADAR. To align with the storm-centered grids of the TC-RADAR~~  
342 ~~analyses, a  $300 \text{ km} \times 300 \text{ km}$  grid is centered on the grid cell with minimum SLP in each dataset. To fill the 0-box is centered~~  
343 ~~on the grid cell with the minimum SLP in each dataset. To provide seamless observations from the surface up to 0.5 km altitude~~  
344 ~~gap not captured by radar, we include dropsonde data. Due to slight differences in storm track and speed between the model~~  
345 ~~and —the range not covered by TC-RADAR— we use dropsonde data as well. In this study, the model generates tracks and~~  
346 ~~translational speeds that differ slightly from the observations (Fig. 3). Dropsonde positions are adjusted relative to the storm~~  
347 ~~center (e.g., Creasey and Elsberry, 2017). Seven dropsondes (shown in Fig. 3d) from a single flight across the storm center~~  
348 ~~were selected for evaluation; this flight crossed the storm from east to west within 50 minutes from from 23:21 UTC on 21 to~~  
349 ~~00:11 UTC on 22 August 2020 (with exact times indicated in Fig 3d), 12 hours before peak intensity.~~  
350 ~~Therefore, the positions of the dropsondes are adjusted relative to the storm centre rather than using their actual deployment~~  
351 ~~locations. Here, we selected the seven dropsonde observations shown as blue and colour dots in Fig. 3d for the assessment~~  
352 ~~because they were deployed from a single flight across the storm centre. This flight spanned from the eastern to the western~~  
353 ~~edge within 50 minutes, just 12 hours before the storm reached its peak intensity.~~

354 Modelled ocean surface waves are compared with observations from two National Data Buoy Center (NDBC; NDBC, 2008)  
355 buoys, 41001 and 41002, located on the left of the storm track on the continental slope. While there are more buoy locations,  
356 our focus is on the variation of storm-induced winds and waves along Henri's track. We exclude stations near the U.S Northeast  
357 Coast due the models' track bias after 22<sup>nd</sup> August (more discussion in Section 4). The buoy data provides surface wind and  
358 wave information, including surface wind speed, significant wave height, and peak wave period and direction. In addition to  
359 in-situ NDBC buoy measurements, we compiled a series of daily SST data from the Operational Sea Surface Temperature and  
360 Ice Analysis (OSTIA; Good et al., 2020) at  $0.05^\circ \times 0.05^\circ$  resolution to determine the pre- and post-storm environment as well  
361 as the difference between them.

362 The radius of maximum wind (RMW) defines the location of the maximum winds in a TC and is critical to understanding  
363 intensity change as well as hazard impacts. In this study, we azimuthally average the vertical profiles of the seven dropsondes  
364 and the simulations of wind speed relative to RMW to define the areas within and beyond the eyewall, allowing for a detailed  
365 comparison of the storm's inner- and outer-core regions.



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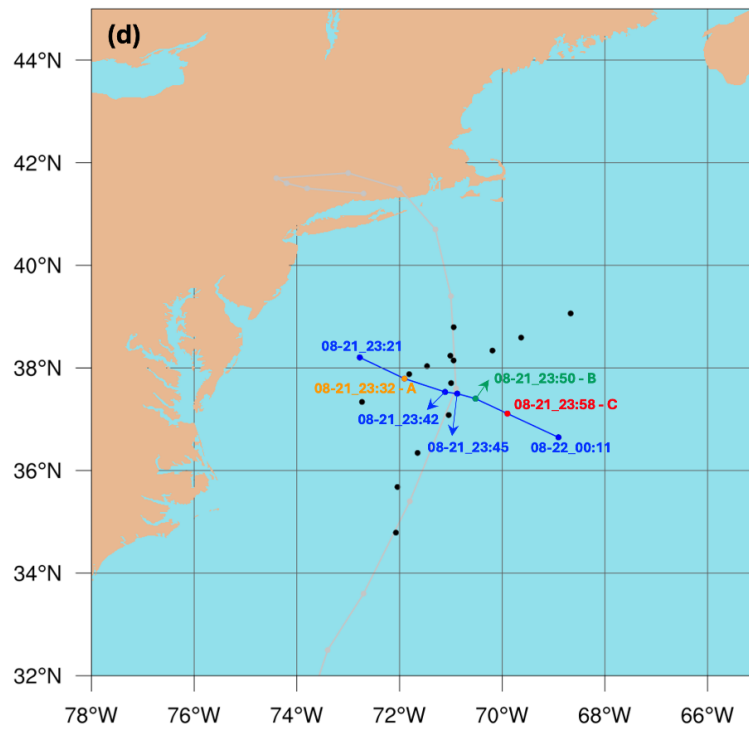
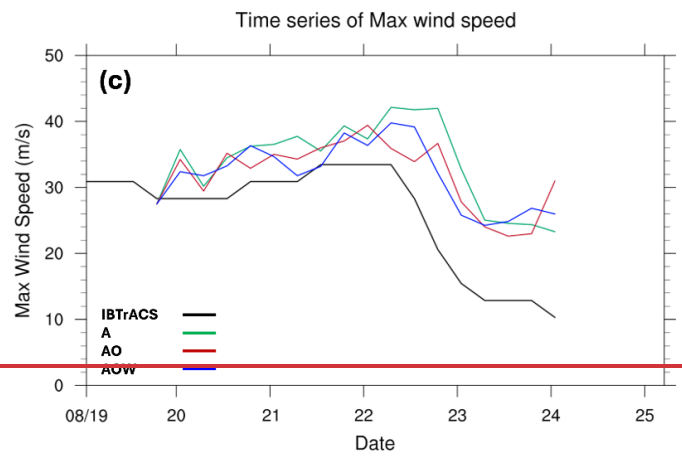
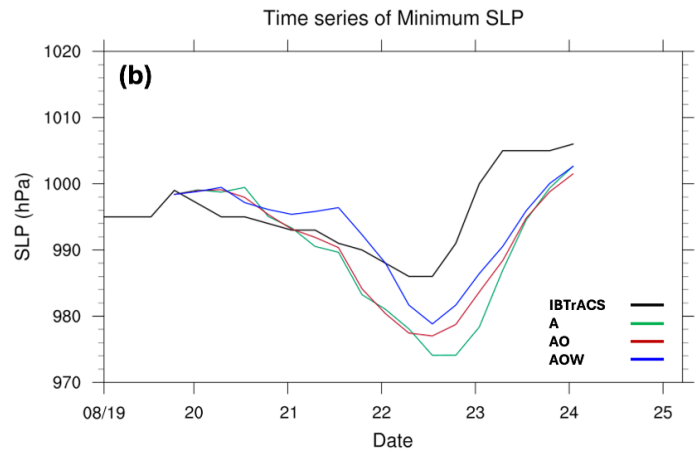
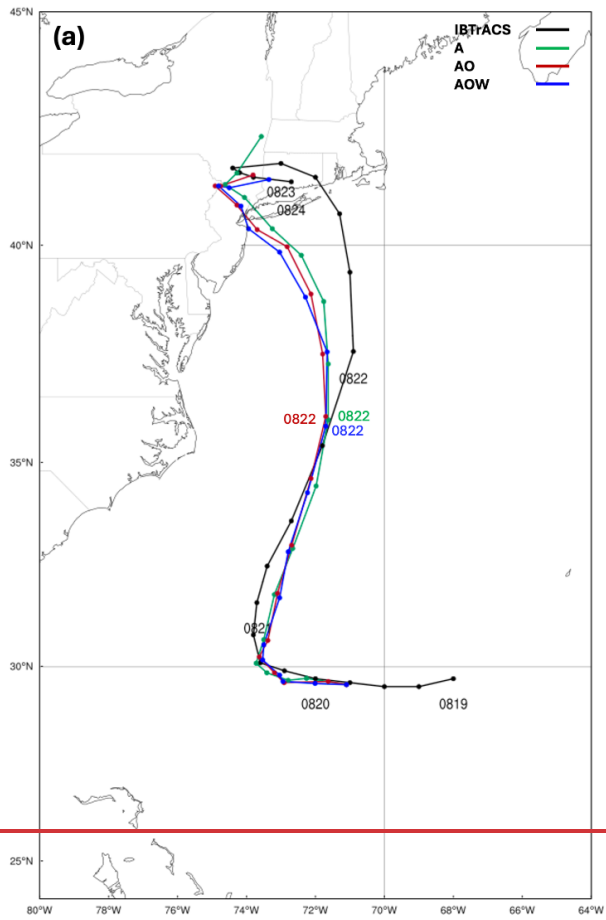
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**Figure 3. Comparison of simulated (a) track, (b) minimum sea-level pressure (SLP), and (c) maximum 10-m wind speed of Hurricane Henri with IBTrACS Best Track data from 18 UTC 19 to 00 UTC 24 August 2021. Black lines show IBTrACS data; green, red, and blue lines represent experiments ‘A,’ ‘AO,’ and ‘AOW,’ respectively. Panel (d) shows the IBTrACS track (grey) along with dropsonde positions (black and blue dots). The seven dropsondes shown in blue were released during a single NOAA WP-3D flight that traversed the storm center from east to west over approximately 50 minutes, with exact release times indicated in panel (d). These dropsondes were used to evaluate model performance.**



375 ~~Figure 3. Comparison of the simulated (a) track, (b) minimum sea-level pressure (SLP), and (c) maximum surface wind speed of~~  
376 ~~Hurricane Henri with the best track during the period from 18 UTC on 19 August to 00 UTC on 24<sup>th</sup> August 2021. Black lines~~  
377 ~~represent values derived from IBTrACS observations. Green lines indicate the experiment ‘A,’ red lines depict the experiment ‘AO,’~~  
378 ~~and blue lines show the experiment ‘AOW.’ Figure (d) describes IBTrACS best track (grey line and dots) and dropsonde-deployed~~  
379 ~~positions (black and colour dots) during Hurricane Henri. Seven dropsondes (blue and colour dots) along the blue line are selected~~  
380 ~~to assess model performance.~~

## 381 4 Model Validation

### 382 4.1 Track and Intensity, ~~and Storm Structure~~

#### 383 4.1.1 Track and Intensity

384 Figure 3 presents the tracks, SLP minima, and surface wind speed maxima derived from the three simulations alongside  
385 IBTrACS. The results indicate that variations in Henri's tracks across the three experiments are minimal (Fig. 3), consistent  
386 with previous findings suggesting that TC tracks are predominantly controlled by large-scale atmospheric circulation  
387 processes, rather than by atmosphere-ocean interactions at the temporal and spatial scales resolved in these models (e.g.,  
388 Zambon et al., 2014). The root-mean square error (RMSE, Table 1) of position indicates all three simulations have similar  
389 track errors, with values of 123.7 km for ‘A’, 119.4 km for ‘AO’, and 126.1 km for ‘AOW.’ Higher errors stem mainly from  
390 The relatively high error values are mainly due to significant deviations from the observed track after 00 UTC on 22<sup>nd</sup> August,  
391 likely due to . These deviations are likely linked to biases in midlatitude upper-level atmospheric wave patterns (e.g., such as  
392 troughs and ridges) affecting the storm , and their interactions with the storm, as the storms are deeply embedded in the  
393 baroclinic zone. Preliminary tests show spectral nudging can reduce track errors, that applying zonal and meridional nudging  
394 to winds, geopotential heights, and air temperature above the boundary layer can effectively improve track accuracy. However,  
395 as the study focuses on previously discussed, the primary objective of this study is to explore atmosphere-ocean-wave  
396 interactions in Hurricane Henri’s evolution, all simulations are conducted without nudging, and subsequent analyses reflect  
397 those unconstrained results, simulating the evolution and development of Hurricane Henri. Consequently, all results presented  
398 in the following sections are derived from simulations conducted without the use of any nudging techniques.

399  
400  
401 In terms of mMinimum SLP shows for the simulation of Henri’s intensity (Fig. 3b), noticeable differences between the  
402 modeled storms begin to emerge 12 hours after the simulation starts (Fig. 3b). While all three simulated storms show an  
403 overestimation throughout nearly the entire lifecycle of the storm, especially when they reach their peak at 12 UTC on 22<sup>nd</sup>  
404 August, the magnitude of this overestimation is reduced in ‘AO’ and ‘AOW’ experiments compared to ‘A.’ In ‘AOW’, the  
405 overestimation of minimum SLP is delayed until 00 UTC on 22<sup>nd</sup> August, after which it reaches the . It then reaches the  
406 weakest peak minimum SLP among the three. This results in -value, resulting in the lowest RMSE in minimum SLP (Table  
407 1), among the three simulations (Table 1). These temporal trends also apply to the maximum surface wind speed (Fig. 3c and  
408 Table 1), demonstrating a reduction in overestimation of maximum surface wind speed in both ‘AO’ and ‘AOW’ experiments

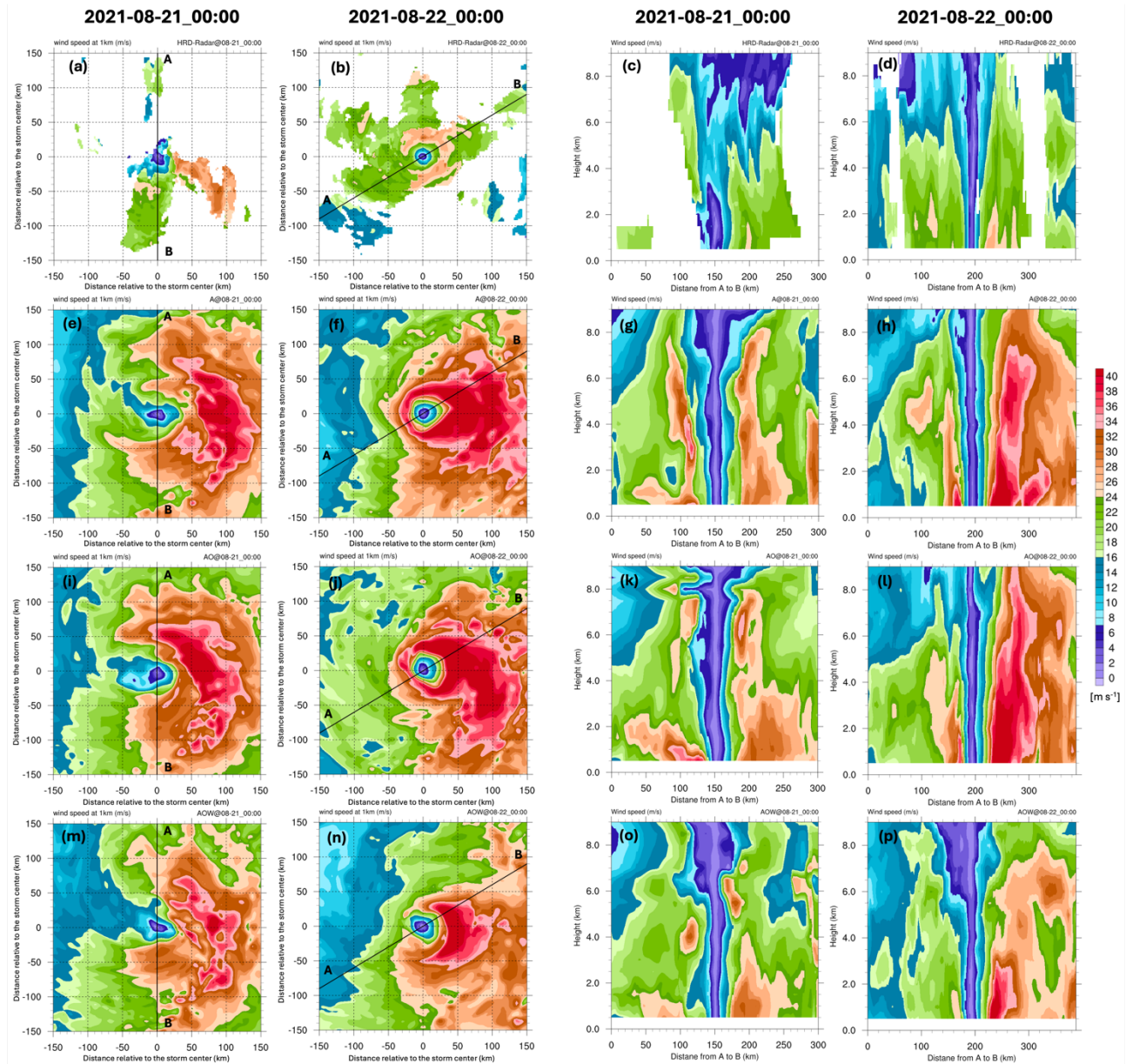
409 compared to ‘A.’ Between the experiments ‘AO’ and ‘AOW,’ while ‘AOW’ generally exhibits weaker wind speeds compared  
 410 to ‘AO,’ it becomes stronger as the storm approaches and reaches its peak intensity, in contrast to the findings for minimum  
 411 SLP. The potential physical processes underlying this discrepancy are discussed in Section 4.3. ~~It is important to note that the  
 412 maximum wind speeds recorded in the three simulations represent the peak values at a given time on an hourly basis, which  
 413 do not fully capture the sustained wind conditions or the broader characteristics of the simulated storms. On the other hand,  
 414 the observed maximum wind speeds are recorded at a single location and time step, which may not adequately reflect the full  
 415 extent of potential damage caused by high wind conditions. Therefore, a more thorough evaluation of storm structure, both  
 416 near the surface and across multiple altitudes, is necessary to provide a more complete assessment of the model's performance.~~

417  
 418 **TABLE 1. Root mean square error (RMSE) for each simulation in terms of minimum sea level pressure (hPa),**  
 419 **maximum surface wind speed (m s<sup>-1</sup>), and cyclone track (km).**

<u>Experiment</u>	<u>Minimum sea level pressure (hPa)</u>	<u>Maximum surface wind speed (m/s)</u>	<u>Cyclone track (km)</u>
<u>A</u>	<u>9.4</u>	<u>10.2</u>	<u>123.7</u>
<u>AO</u>	<u>7.9</u>	<u>8.7</u>	<u>119.4</u>
<u>AOW</u>	<u>6.4</u>	<u>8.3</u>	<u>126.1</u>

**TABLE 1. Root mean square error (RMSE) for each simulation in terms of minimum sea level pressure (hPa), maximum surface wind speed ( $m s^{-1}$ ), and cyclone track (km).**

Experiment	Minimum sea level pressure (hPa)	Maximum surface wind speed (m/s)	Cyclone track (km)
<b>A</b>	<b>9.4</b>	<b>10.2</b>	<b>123.7</b>
<b>A0</b>	<b>7.9</b>	<b>8.7</b>	<b>119.4</b>
<b>A0W</b>	<b>6.4</b>	<b>8.3</b>	<b>126.1</b>



425 Figure 4. NOAA WP-3D airborne Doppler radar (TC-RADAR) wind speeds at the 1-km level are shown in the top row, with model-  
426 simulated wind speeds from the ‘A’ (second row), ‘AO’ (third row), and ‘AOW’ (fourth row) simulations of Hurricane Henri (2021)  
427 at 00 UTC on 21 (first and third columns) and 22 August (second and fourth columns). Vertical cross-sections along the A–B line  
428 (marked in the left two panels) are shown in the right two columns. All horizontal fields are plotted in a 300 × 300 km storm-centered  
429 domain.

#### 431 4.4.2 Storm Wind Structure

432 Figures 4a–d show 1-km level wind speeds and vertical profiles from Here we examine the model’s performance in simulating  
433 the three-dimensional storm structure of Henri. Figures 4a–d show the airborne Doppler radar-observed (TC-RADAR) at wind  
434 speeds at 1-km level and vertical profiles in Henri at 00 UTC on 21<sup>st</sup> and 22<sup>nd</sup> August 2021, along the black lines shown in  
435 Figs. 4a–b. On 21 August, observations reveal a strongly asymmetric wind field, with the highest winds concentrated on the  
436 storm’s right side. This asymmetry results from the combination of Henri’s cyclonic circulation and its poleward motion, which  
437 enhances wind speeds on the right through additive forward momentum. The vertical cross-section (Fig. 4c) along the line A–  
438 B shows wind speeds >20 m s<sup>-1</sup> largely confined below 4 km on the southern side but extending to 8 km on the northern side.  
439 By 00 UTC on 22 August, 12 hours before its minimum central pressure, Henri’s wind field becomes more symmetric and  
440 compact, with a closed eyewall and winds exceeding 24 m s<sup>-1</sup> (Figs. 4b,d). A clear calm zone is evident within the eyewall,  
441 extending up to 9 km. Strong winds are more evenly distributed around the center, but remain strongest on the right.  
442 Corresponding model-simulated wind profiles and 1-km level horizontal wind fields at both times are shown in Figs. 4e–p.  
443 On 21<sup>st</sup> August, the storm shows a distinct asymmetric distribution of strong winds, primarily concentrated on the right side—  
444 a characteristic of a tropical storm transitioning into a hurricane (Fig. 4a). This asymmetry is largely due to the combination  
445 of the storm’s poleward movement and its cyclonic circulation. As Henri moves northward during this period, the winds on  
446 the right side effectively combine with the storm’s forward motion, leading to higher wind speeds. The vertical cross-section  
447 of wind speed along the line from A to B, shown in Fig. 4a, offers a different perspective by contrasting the winds between  
448 the northern and southern areas. It shows that wind speeds exceeding 20 m s<sup>-1</sup> are mostly concentrated below 4 km level in the  
449 southern part, while strong winds extend up to 8 km level in the northern part at this time (Fig. 4c). At 00 UTC on 22<sup>nd</sup> August  
450 —12 hours before reaching peak minimum SLP intensity, Henri exhibited a compact and nearly closed distribution of strong  
451 winds exceeding 24 m s<sup>-1</sup> along the eyewall. This demonstrates a more organized, symmetric appearance, while relatively  
452 weaker wind zones remain on the left side (Figs. 4b,d). The vertical cross-section clearly illustrates the structural changes that  
453 Henri underwent; it reveals a distinct calm wind zone within the eyewall, extending up to 9 km level. Areas of strong wind  
454 speeds exceeding 24 m s<sup>-1</sup> are relatively evenly distributed relative to the centre, with the strongest winds located on the right  
455 side. The corresponding simulated vertical profiles and horizontal distributions of wind speed at 1-km level at 00 UTC 21<sup>st</sup>  
456 and 22<sup>nd</sup> August 2021 are shown in Figs. 4e–p.

457 All three simulated storms reasonably capture Henri’s the structural evolution—from a broad, asymmetric wind pattern with  
458 strong right-side winds at 00 UTC 21 August (as seen in TC-RADAR) to a more compact, symmetric structure by 00 UTC 22

459 August, changes that Henri underwent, including the transition in wind distribution from a wide, open, asymmetric pattern  
460 with strong wind zones on the right side observed at 00 UTC on 21<sup>st</sup> in TC-RADAR to a more compact, closed, symmetric  
461 structure as it intensifies observed at 00 UTC on 22<sup>nd</sup> August in TC-RADAR. However, the simulations, especially ed storms  
462 noticeably overestimate intensity horizontally and vertically, especially the experiments ‘A’ and ‘AO<sub>2</sub>’ overestimate wind  
463 intensity both horizontally and vertically. The fully coupled runsimulation ‘AOW’ reduces this bias, producing more realistic  
464 radial wind profiles at 1 km along line A–B and achieving the highest Pearson correlations with TC-RADAR (r = 0.95 for  
465 horizontal distribution and 0.72 for vertical cross-section). To To assess wind distribution more comprehensively, we use  
466 probability density functions (PDFs) across all available TC-RADAR grid cells (0.5–9 km altitude within a 300 × 300 km  
467 domain centered on the storm). All simulations skew toward higher wind intensities, but ‘AOW’ shows improved performance,  
468 especially in the upper tail, suggesting reduced wind bias during storm intensification (Fig. 5).

469 notably mitigates the overestimation with better radial wind profiles at the 1-km level along the line from A to B for both times  
470 (Fig. A1), and higher Pearson correlation coefficients (r) of 0.95 and 0.72, respectively—the highest correlations among the  
471 three simulations at both times. For a more comprehensive examination, we assess the wind distribution using probability  
472 density function (PDF) considering all available observation grid cells horizontally and vertically within the 300 km x 300 km  
473 domain relative to the storm centre, from 0.5 km to 9 km above the ground provided by TC-RADAR (Fig. 5). The PDF  
474 distribution clearly shows that all three simulated wind distributions skew toward higher intensities compared to the observed  
475 data at both times. However, it is evident that ‘AOW’ reduces the overestimation, particularly in the upper tail, indicating that  
476 ‘AOW’ improves the wind bias during the storm’s development.

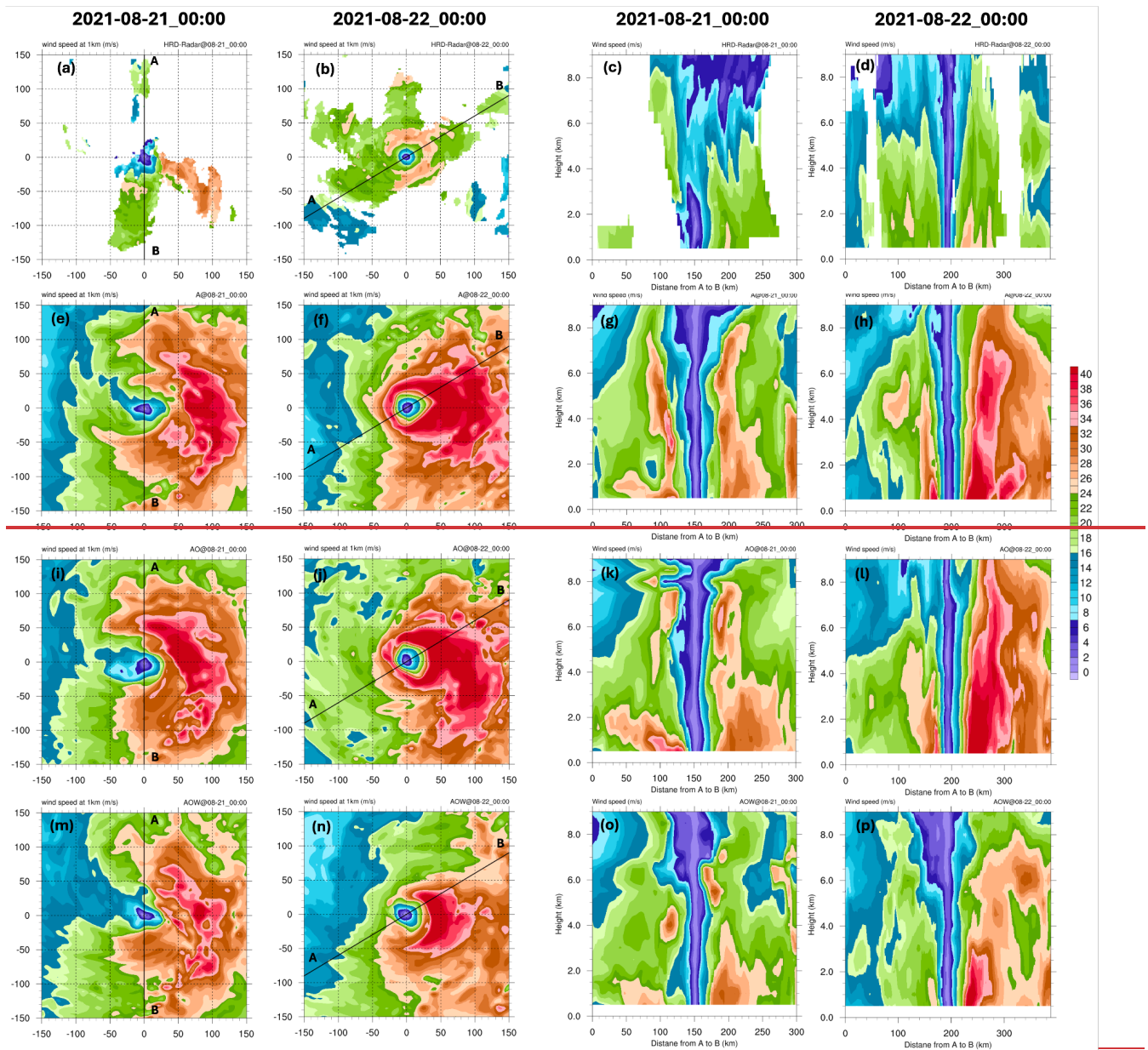
477 While the TC-RADAR provides rich comprehensive observations in both horizontal and vertical coverage, dimensions, it only  
478 samples above 0.5-km, limiting surface-level validation. Dropsonde data help the lowest level of TC-RADAR for this storm  
479 is 0.5 km above the ground. This height limits us to validate modelled winds at heights that hurricanes pose actual risks to  
480 offshore infrastructure and human activities. Dropsonde observations from aircraft can bridge this gap. Figure S2 6 shows the  
481 vertical cross-sections up to an altitude of 3.2 km along the blue line in Fig. 3d: consistent with TC-RADAR, strongest winds  
482 appear 10–30 km east of the center, while much weaker winds dominate the western side. These asymmetries are captured in  
483 all simulations, though generally overestimated.

484 A of observed and simulated wind speed along the blue line shown in Fig. 3d. Consistent with TC-RADAR observations at  
485 higher altitudes, the dropsonde observation also shows that the strongest winds are on the eastern side, approximately 10 to 30  
486 km from the storm centre, with intensity gradually decreasing toward the edge. On the other hand, much weaker speeds are  
487 observed on the western side, ranging from 10 to 200 km (Fig. 6a). The observed patterns are reasonably captured in all three  
488 simulations, though they are generally overestimated. The azimuthally averaged vertical profiles (Fig. 6) of simulated wind  
489 speeds in the inner-eyewall (defined as region within  $0.2 \leq r/RMW \leq 1$ ) and the outer-eyewall ( $2 \leq r/RMW \leq 2.5$ ) regions at the  
490 dropsonde locations further confirm that all simulations overestimate low-level winds (below 2 km), are also evaluated (Fig  
491 7). In both the inner and outer-eyewall regions, it is evident that all three simulations overestimate wind speeds in the low  
492 troposphere (below 2 km). However, ‘AOW’ aligns more closely with observations, particularly in the outer-eyewall. This

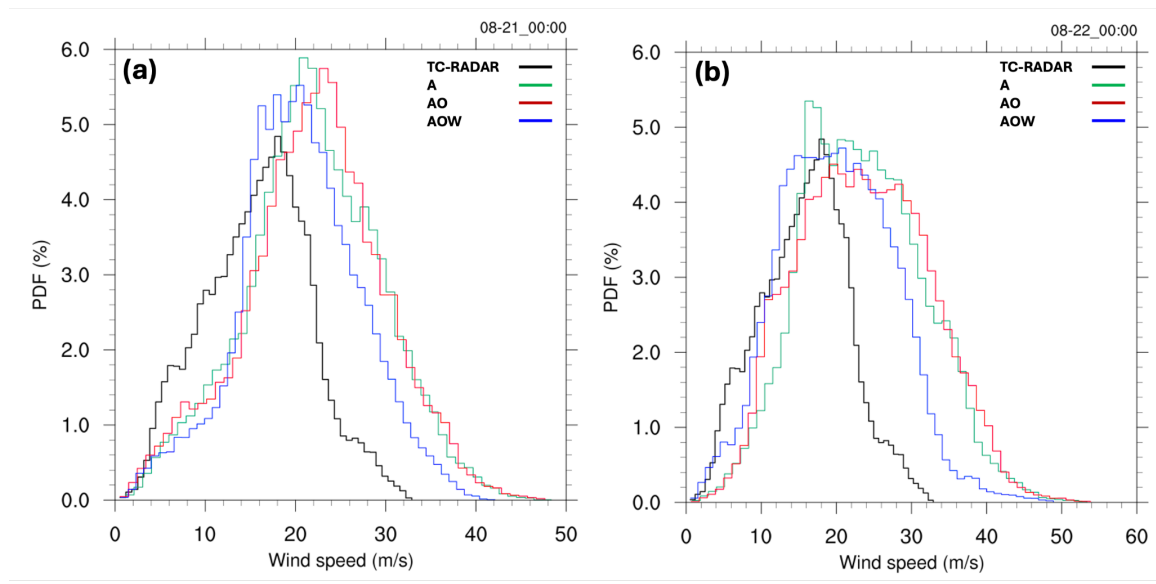
493 This improvement is critical for offshore wind energy, where accurate wind profiles near hub height are essential for turbine  
494 design, placement, and risk mitigation in storm-prone regions.

~~495 compared to the other two simulations in both the inner and outer eyewall regions. Notably, in the outer eyewall region,~~  
496 ~~'AOW' is much closer to the observed values. These insights are particularly relevant for offshore wind resources, as accurate~~  
497 ~~wind profiles at hub heights and below are crucial for optimizing turbine placement and enhancing energy generation efficiency~~  
498 ~~in storm-prone areas. A better representation of wind profiles, especially in the low troposphere and near the surface, not only~~  
499 ~~helps in predicting potential impacts on the turbines but also informs better design and operational strategies.~~

500



501  
 502 **Figure 4.** NOAA WP 3D airborne Doppler radar-observed (TC RADAR) wind speeds at the 1-km level are shown in the first row,  
 503 along with the corresponding model simulated wind speeds for Hurricane Henri (2021) from the ‘A’ simulation (second row), ‘AO’  
 504 simulation (third row), and ‘AOW’ simulation (fourth row) at 00 UTC on August 21 (first and third columns) and 00 UTC on August  
 505 22 (second and fourth columns). The vertical cross-sections of wind speeds along the line from point A to B, indicated in the leftmost  
 506 two columns, are presented in the rightmost two columns. All horizontal distributions are displayed in a 300 km × 300 km storm-  
 507 centred coordinate.



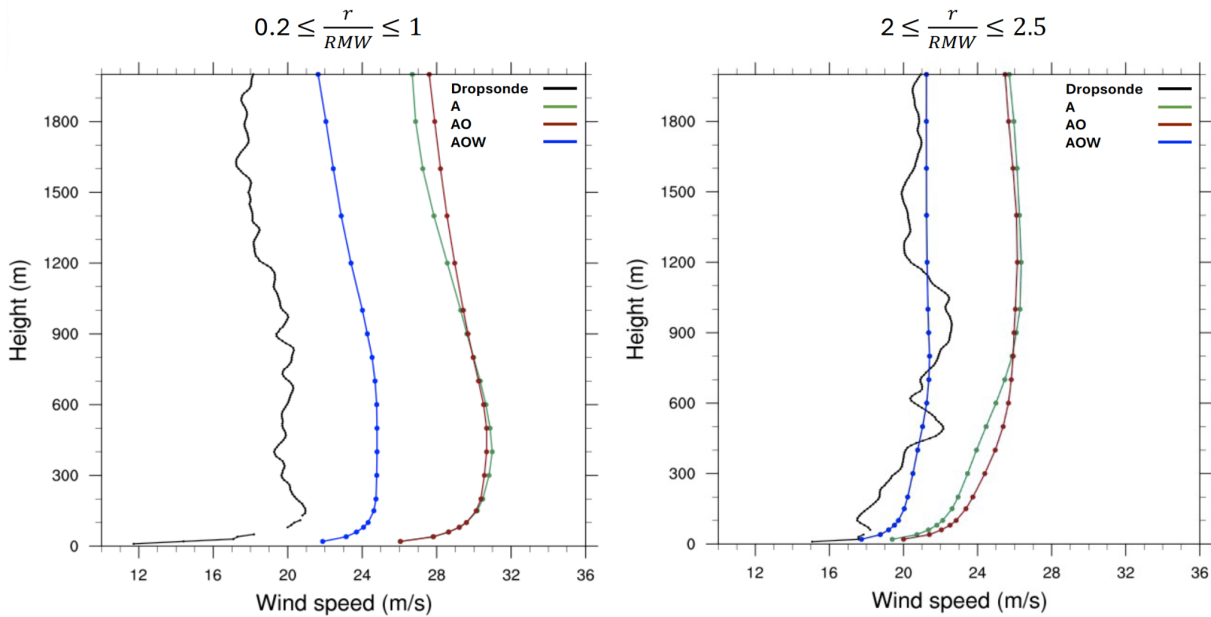
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**Figure 5: Probability density function of wind speed in a 300 km x 300 km storm-centered coordinate, considering vertical levels from 0.5 km to 9 km above the ground, for 00 UTC on 21 (a) and 22 August (b) 2021. The data are derived from TC-RADAR (black lines), experiment 'A' (green lines), experiment 'AO' (red lines), and experiment 'AOW' (blue lines).**



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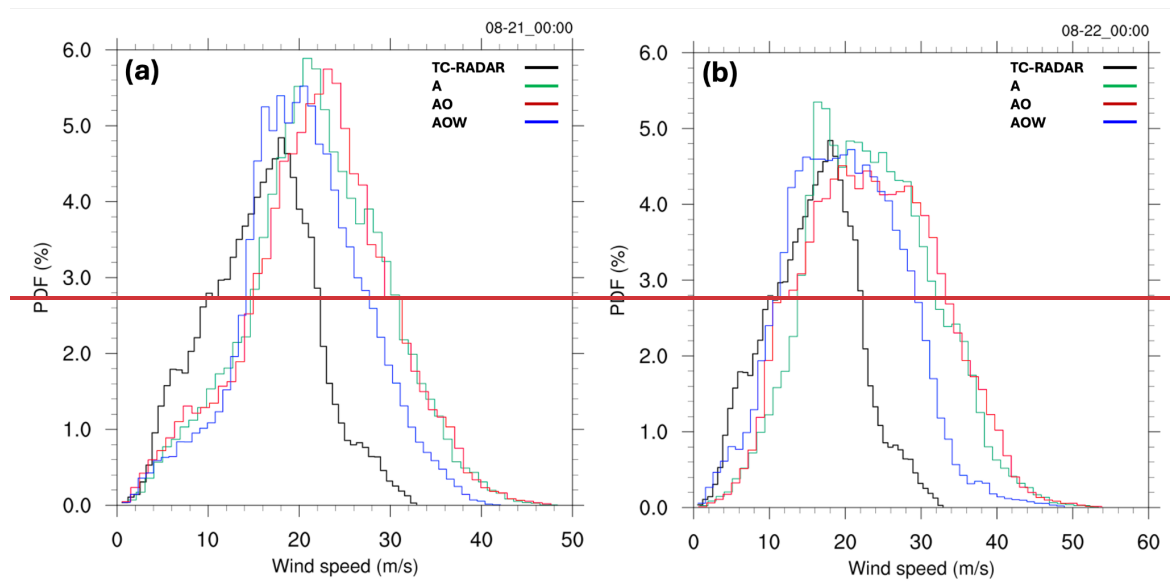
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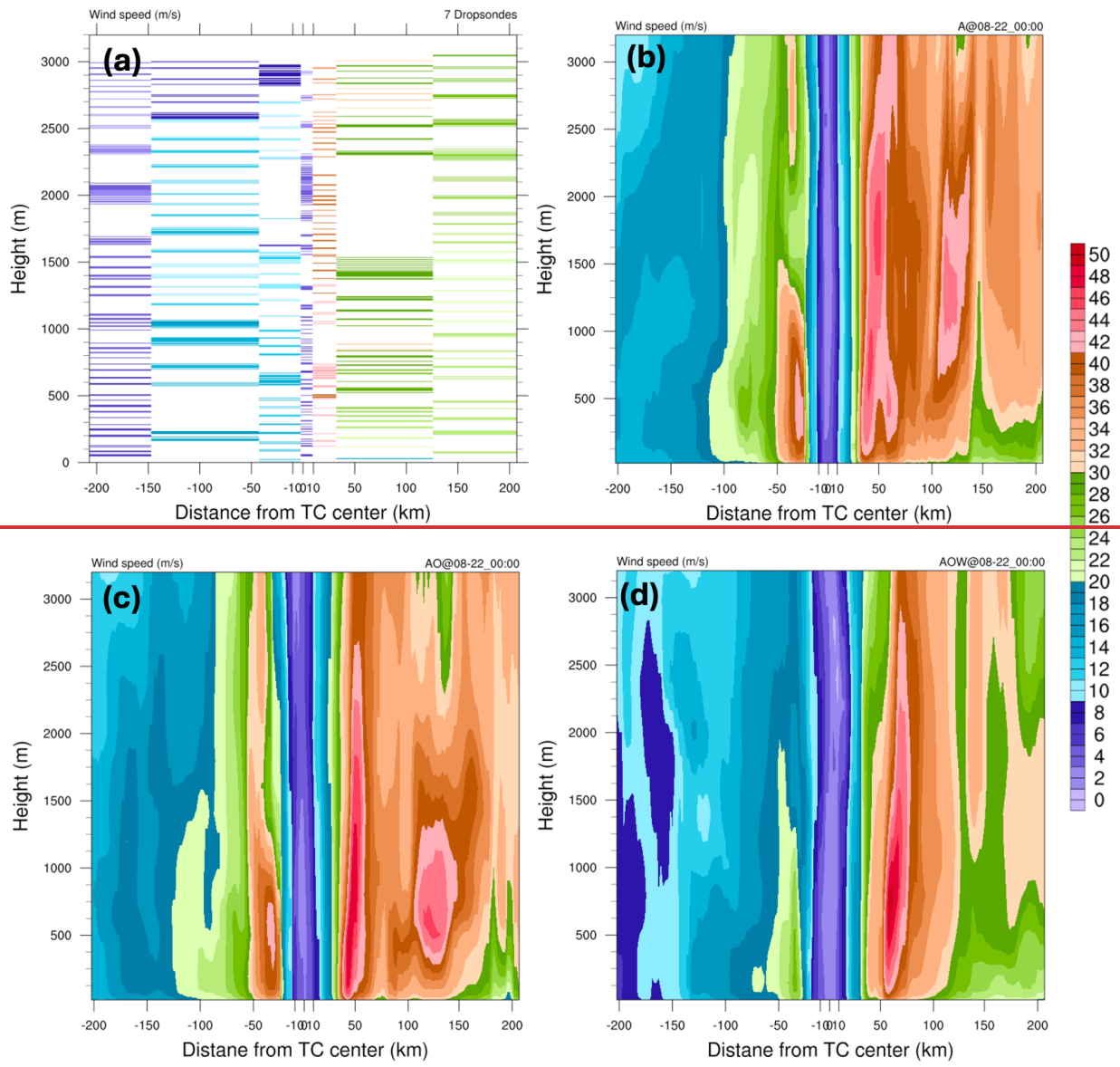
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**Figure 6. Vertical profiles of azimuthally averaged wind speed for dropsondes (black lines), experiment 'A' (green lines), experiment 'AO' (red lines), and experiment 'AOW' (blue lines). The vertical profiles are azimuthally averaged in the inner-eyewall region (left;  $0.2 \leq r/RMW \leq 1$ ) and the outer-eyewall regions (right;  $2 \leq r/RMW \leq 2.5$ ), based on the locations of the seven dropsondes highlighted in blue dots in Fig. 3d at 00 UTC on 22 August 2021. RMW indicates radius of maximum wind, and r shows radius relative to the storm center.**



518  
 519 **Figure 5. Probability density function of wind speed in a 300 km x 300 km storm-centered coordinate, considering vertical levels**  
 520 **from 0.5 km to 9 km above the ground, for 00 UTC on 21 August (a) and 22 August (b) 2021. The data are derived from TC-RADAR**  
 521 **(black lines), experiment 'A' (green lines), experiment 'AO' (red lines), and experiment 'AOW' (blue lines).**



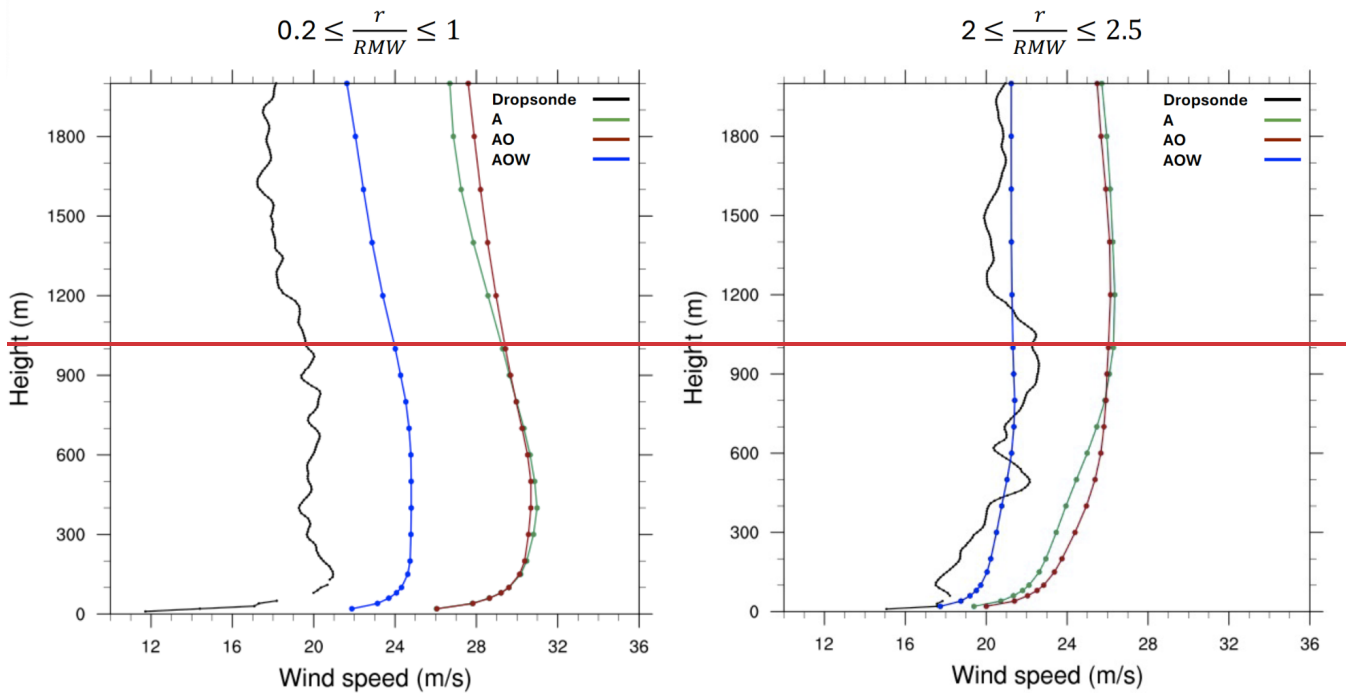
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**Figure 6. Vertical cross-sections of (a) observed wind speed along the locations of the seven dropsondes, shown as colored dots in Fig. 3(d). The times of the first and last dropsondes are 23:23 UTC on 21 August and 00:11 UTC on 22 August, respectively. Corresponding cross-sections from (b) experiment 'A', (c) experiment 'AO', and (d) experiment 'AOW' are shown.**



526

527 **Figure 7. Vertical profiles of azimuthally averaged wind speed for dropsondes (black lines), experiment ‘A’ (green lines), experiment**  
 528 **‘AO’ (red lines), and experiment ‘AOW’ (blue lines). The vertical profiles are azimuthally averaged in the inner-eyewall region (left;**  
 529  **$0.2 \leq r/RMW \leq 1$ ) and the outer-eyewall regions (right;  $2 \leq r/RMW \leq 2.5$ ) on 22<sup>nd</sup> August 2021.**

530

### 4.32 Sea Surface Temperature and Waves

531

#### 4.2.1 Sea Surface Temperature

532

532 From the ocean’s perspective, SST and surface roughness are key factors influencing TC intensity, as they directly affect the  
 533 exchange of heat, moisture, and momentum between the ocean and the storm. Several factors influence TC intensity, with SST  
 534 and ocean surface roughness being among the most important, as they directly affect the heat and moisture available to fuel  
 535 the storm (Zambon et al., 2014, 2021; Zhao et al., 2022). The main distinction among the three simulations lies in how SST  
 536 and ocean surface roughness are represented, influencing surface enthalpy and momentum fluxes through air-sea interactions.  
 537 Accordingly, SST is used both as an indicator of storm evolution and as a dynamic driver of intensity across the three  
 538 simulations. Therefore, evaluating how well the coupled model reproduces observed SST is critical for assessing its ability to  
 539 realistically capture ocean dynamics and storm–ocean interactions. In our model configurations, the primary difference between  
 540 the simulations lies in the treatment of SST and sea surface roughness, which ultimately impacts surface enthalpy and  
 541 momentum fluxes through atmosphere–ocean interaction feedback. Therefore, we use SST as both a primary indicator and a  
 542 driving mechanism of storm intensity to analyse the differences in Henri’s intensity modelled by the three experiments.

543

543 Figure 87 shows the SST distribution across the simulation domain for all three experiments, along with OSTIA observations  
 544 from 12 UTC on 20 to 12 UTC on 23 August 2020. Since OSTIA provides daily SST data, the statistics in Table 2 represents

544

545 an average over these four days. In experiment 'A', the SST is derived from GFS, and is technically driven by observed SST  
546 data. Therefore, it captures key large-scale features well, such as the Gulf Stream and warm waters along the Gulf Coast.  
547 However, it consistently underestimates SST across the domain during this period. In addition, its relatively low resolution  
548 (0.25°) limits its ability to capture small-scale SST patterns, contributing to the higher RMSE values shown in Table 2.

549 The ocean-coupled simulations ('AO' and 'AOW'), which are driven by oceanic initial and boundary conditions from the  
550 HYCOM analysis, successfully capture major SST features such as the Gulf Stream and Gulf Coast, with enhanced spatial  
551 detail. This improved representation contributes to lower RMSE values compared to the atmosphere-only simulation ('A')  
552 (Table 2). However, both 'AO' and 'AOW' tend to overestimate SSTs in the open North Atlantic and underestimate them near  
553 the northeastern U.S. coast (Figs. 7d, l, p), likely due to cold wakes generated by the simulated storms and deviations in their  
554 tracks from observations. Nevertheless, the ocean-coupled simulations reasonably reproduce the observed SST, with RMSE  
555 values of 0.564 and 0.577 for 'AO' and 'AOW', respectively—lower than that of 'A'—while maintaining comparable pattern  
556 correlation overall (Table 2).

557 To assess the potential influence of track biases on SST and MSLP, we first examined 'A' simulation. It exhibits  
558 overintensification of MSLP, a consequence of its simulated track failing to coincide with the observed cold wakes in the GFS  
559 data. This misalignment prevents the realistic capture of crucial atmosphere-ocean heat and moisture exchanges. Conversely,  
560 ocean-coupled simulations ('AO' and 'AOW') more accurately represent storm-induced modifications to surface energy and  
561 momentum fluxes. By explicitly modeling SST cooling along their simulated storm paths, these coupled runs achieve a more  
562 realistic depiction of storm intensity. In particular, 'AOW' simulation shows enhanced SST cooling around the time of peak  
563 intensity and thereafter, further contributing to a reduction in MSLP. Spatial and temporal averaging of SST within a 300 km  
564 × 300 km storm-centered domain from 12 UTC on 21 August to 12 UTC on 22 August indicates an SST of 299.7 K for 'AOW',  
565 compared to 300.2 K previously—representing a 0.5 K reduction and bringing it closer to the OSTIA value of 299.1 K (Table  
566 3). Details of the storm-centered SST distributions are provided in the Supplementary Information. This improved performance  
567 in 'AOW' can be attributed to the effect of wave-induced vertical mixing, which effectively brings cooler subsurface water to  
568 the surface, aligning with our previous discussion and prior research (e.g., Wada et al., 2010; Zambon et al., 2014)

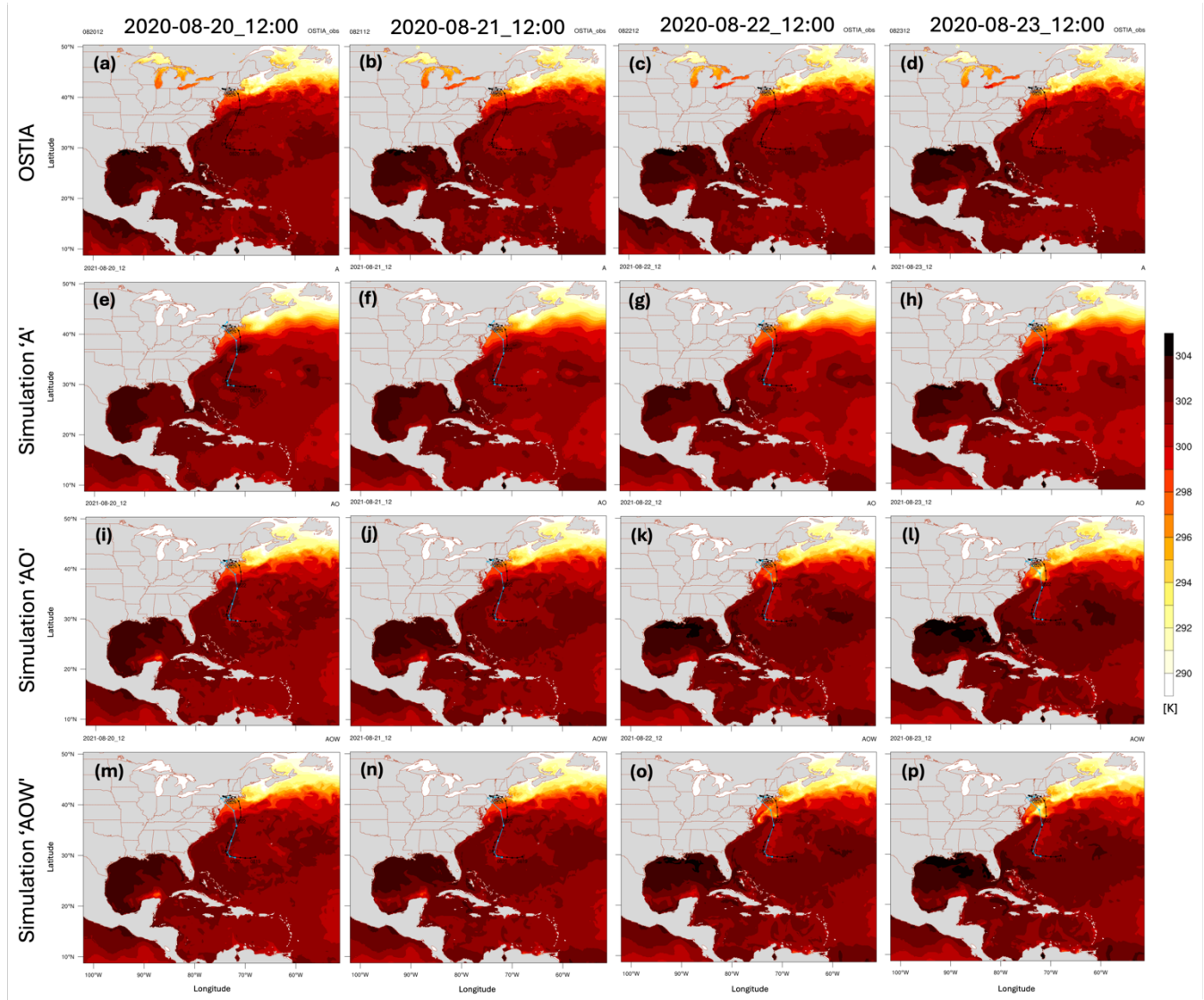
569  
570  
571 **Table 2. Temporally averaged root mean square error (RMSE) and Pearson product- moment coefficient of linear correlation (r)**  
572 **for SST in each simulation compared to OSTIA SST observations from 12 UTC on 20 to 12 UTC 23 August, 2021.**

<u>Experiment</u>	<u>RMSE</u>	<u>Pattern Correlation</u>
<u>A</u>	<u>0.631</u>	<u>0.992</u>
<u>AO</u>	<u>0.564</u>	<u>0.991</u>
<u>AOW</u>	<u>0.577</u>	<u>0.990</u>

573  
574 **Table 3. Spatially averaged SST (K) derived from A, AO, AOW, and OSTIA observation in a 300 km x 300 km storm-centered**  
575 **coordinate at 12 UTC 20, 12 UTC 21, and 12 UTC 22 August.**

<u>Experiment</u>	<u>12 UTC 20 August</u>	<u>12 UTC 21 August</u>	<u>12 UTC 22 August</u>
<u>A</u>	<u>302.01</u>	<u>301.81</u>	<u>299.37</u>
<u>AO</u>	<u>301.79</u>	<u>301.83</u>	<u>298.47</u>
<u>AOW</u>	<u>301.76</u>	<u>301.70</u>	<u>297.70</u>
<u>OSTIA</u>	<u>302.15</u>	<u>301.43</u>	<u>296.76</u>

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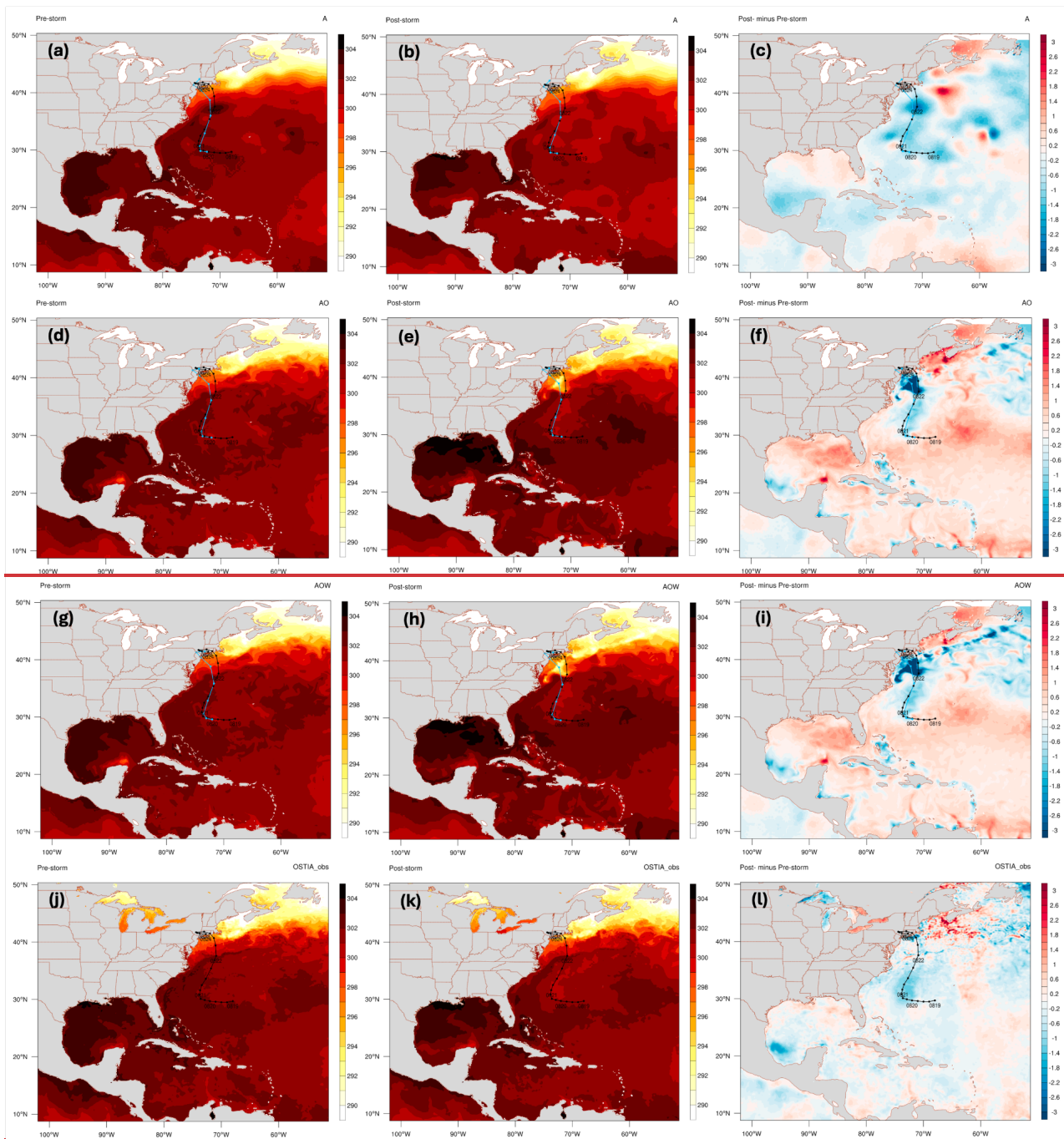
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**Figure 7. Vertical SST distribution (K) for OSTIA (top panel), 'A' (second panel), 'AO' (third panel) and 'AOW' (bottom panel) at 12 UTC on 20 (first column), 21 (second column), 22 (third column), and 24 August 2020 (fourth column). The black dots and lines indicate the best track derived from IBTrACS. The light blue dots and lines depict simulated storm locations and tracks.**

581

582 illustrates the distribution of SST over the entire simulation domain for all three simulations, along with OSTIA observation  
583 at 12 UTC on 20<sup>th</sup> August (pre-storm) and 12 UTC on 23<sup>rd</sup> August (post-storm). The figure also shows the differences of SST  
584 between post- and pre-storm periods. Overall, all three simulations reasonably represent the SST distribution in both pre- and  
585 post-storm periods, effectively capturing the intensity and spatial extent of the Gulf Stream and surrounding warm SST zones  
586 (Fig. 8). Notably, both ‘AO’ and ‘AOW’ simulations adequately resolve cooler SSTs along the storm tracks, with comparable  
587 RMSEs and pattern correlations in comparison with SST in ‘A’ (Table 2). However, the two coupled simulations tend to  
588 overestimate cooling as the storms approach the U.S. Northeast Coast (Fig. 8f,i,l).

589 Figure 9 displays the distribution of SST for the four datasets in a 300 km x 300 km storm-centred at 24-hour intervals from  
590 12 UTC on 20<sup>th</sup> August to 12 UTC on 22<sup>nd</sup> August. The area-averaged SST in the storm-centred coordinate is calculated and  
591 OSTIA and listed in Table 3. At 12 UTC on 20<sup>th</sup> August, Henri turned northward and continued to strengthen with the aid of  
592 relaxed wind shear and high SST associated with the Gulf Stream (Pasch, 2022; Fig. 9a). OSTIA well illustrates the warm  
593 SST, with values exceeding 302.2 K (29.05 °C) on the southern side of the storm (Fig. 10i). However, none of the three  
594 simulations accurately capture the southern warm SST distribution due to differences in their translation speeds and  
595 corresponding locations, as well as biases in SST (Fig. 3a and 8-9). In addition, the two coupled simulations overpredict the  
596 cold wakes on both southern and eastern sides of the storm (Figs. 9e-d), resulting in weaker minimum SLP compared to the  
597 observation at this time (Fig. 3b). As the storm moved north-northeastward, the Gulf Stream and the surrounding warm waters  
598 allowed it to deepen gradually, reaching its peak minimum SLP around 12 UTC 22<sup>nd</sup> August. It then weakened rapidly as it  
599 interacted with land masses, making landfall in Rhode Island (Figs. 3 and 8). While the warm waters and cold wakes associated  
600 with the storm are generally captured in all three simulations, the magnitude and spatial extent of the storm produced cold  
601 wakes are mostly weaker, leading to an over-intensification of all simulated storms compared to the observation until they  
602 make landfall (Figs. 9e-l). The overestimations are also partly due to the biases in track, making the simulated storms approach  
603 the coast along different paths, which affect their intensity and interaction with the surrounding environment. Note that SST  
604 in ‘A’ is updated by GFS reanalysis data at 6-hour intervals. Although the SST in GFS is derived from observation-based  
605 reanalysis data, its distribution and magnitude differ from those of OSTIA (Fig. 8). This discrepancy likely arises from  
606 variations in observational data sources and spatial resolution. OSTIA SST is generated using a combination of satellite  
607 observations and in situ measurements (e.g., buoys, ships), offering high-resolution analysis at a 1/20° grid. In contrast, GFS  
608 SST features a coarser resolution of 0.25° and predominantly relies on global ocean models and reanalysis datasets, which  
609 may not incorporate the same observational data sources as OSTIA. Although all three simulations overestimate the intensity  
610 of Henri, the experiment ‘AOW’ noticeably reduces the overestimation during the development as well as weakening stages,  
611 as previously discussed. This might be due to the greater cooling of SST associated with wave-induced vertical mixing,  
612 bringing cold water up to the surface consistent with prior studies (e.g., Wada et al., 2010; Zambon et al., 2014; Figs. 10d and  
613 h).



614  
 615 **Figure 8.** SST comparisons for various experiments: ‘A’ (SST updated by GFS at 6-hour intervals, first row), ‘AO’ (atmosphere-  
 616 ocean model coupling, second row), ‘AOW’ (atmosphere-ocean-wave model coupling, third row), and OSTIA SST observation  
 617 (fourth row). The first column shows SST at 12 UTC on 20<sup>th</sup> August (pre-storm), the second column displays SST at 12 UTC 23<sup>rd</sup>  
 618 August (post-storm), the third column presents change in SST between pre-storm and post-storm. The black dots and lines indicate  
 619 the best-track derived from IBTrACS. The light blue dots and lines depict simulated storm locations and tracks.

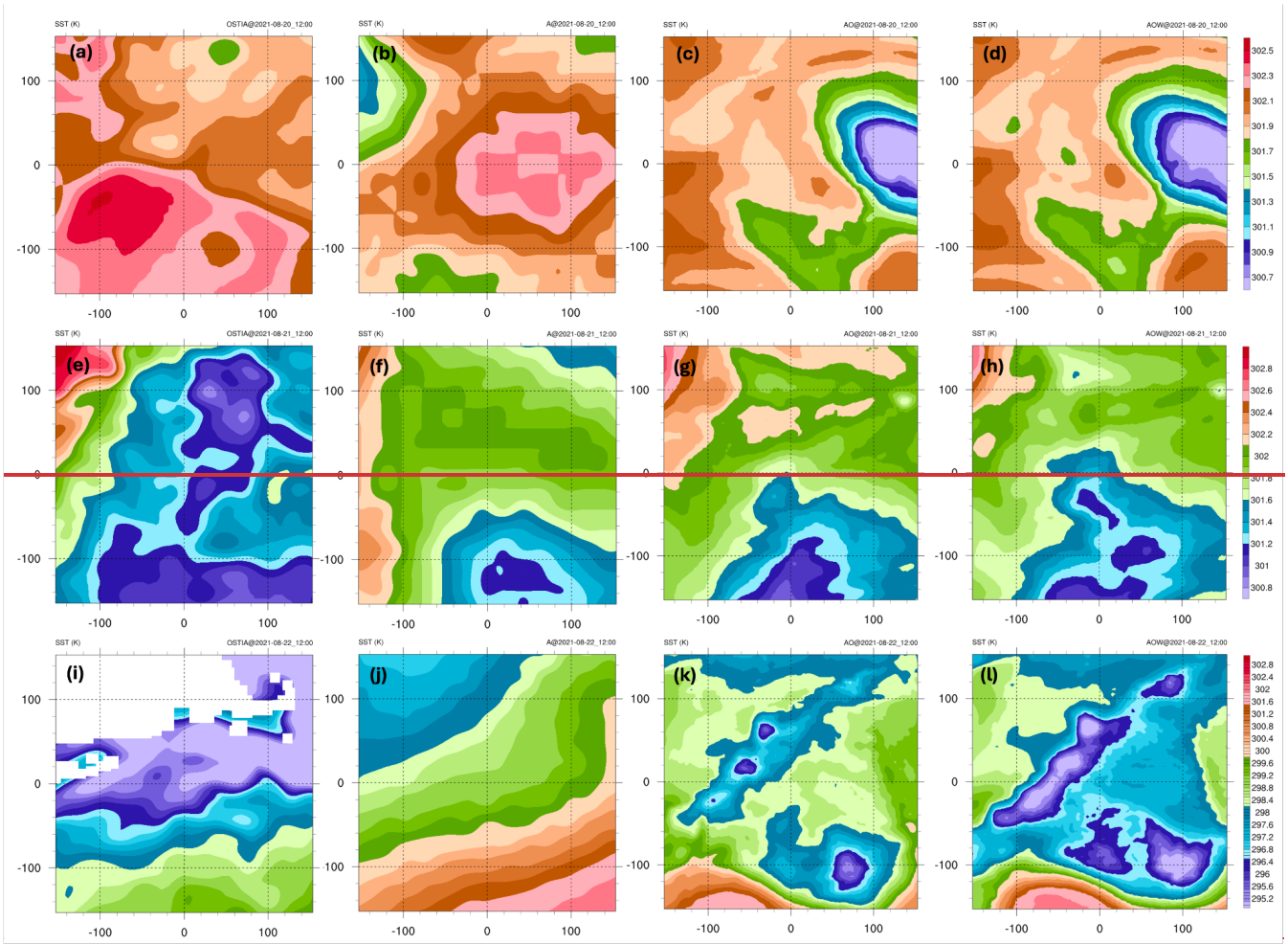


Figure 9. Distribution of SST in a 300 km  $\times$  300 km storm-centered coordinate at 12 UTC on August 20<sup>th</sup> (top row), 12 UTC on August 21<sup>st</sup> (middle row), and 12 UTC on August 22<sup>nd</sup> (bottom row). The first column shows SST derived from OSTIA, the second column presents SST values from ‘A’, the third column displays SST from ‘AO’, and the fourth column shows SST from ‘AOW’ simulation.

TABLE 2. Temporally averaged root mean square error (RMSE) and Pearson product-moment coefficient of linear correlation ( $r$ ) for SST in each simulation compared to OSTIA SST observations from 12 UTC on 20th August to 12 UTC 23rd August, 2021.

Experiment	RMSE	Pattern Correlation
A	0.631	0.992
AO	0.564	0.991
AOW	0.577	0.990

628 TABLE 3. Spatially averaged SST (K) derived from A, AO, AOW, and OSTIA observation in a 300 km x 300 km storm-centered coordinate  
 629 at 12 UTC 20 August, 12 UTC 21 August, and 12 UTC 22 August.

Experiment	08-20_12:00	08-21_12:00	08-22_12:00
A	302.01	301.81	299.37
AO	301.79	301.83	298.47
AOW	301.76	301.70	297.70
OSTIA	302.15	301.43	296.76

630 **4.2.24 Ocean Surface Waves**

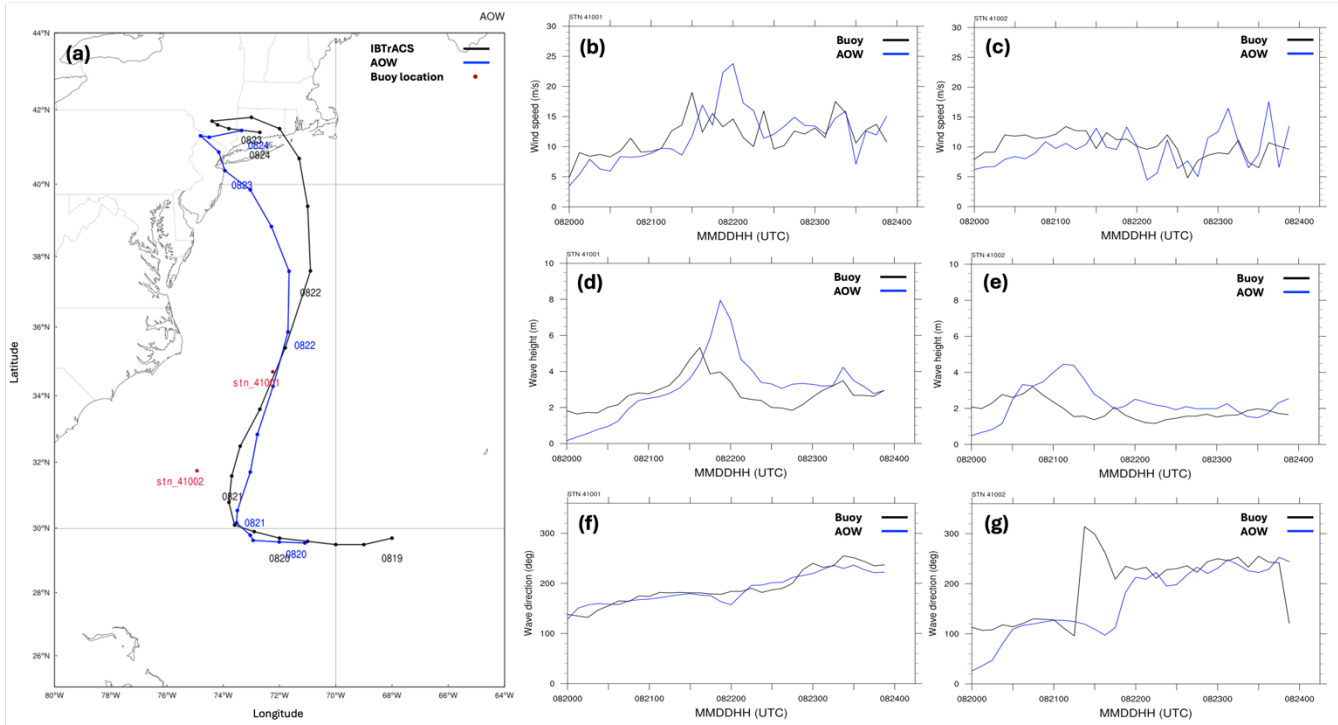
631 This section assesses how accurately our model simulates ocean surface waves. In this section, we examine the accuracy of  
 632 modelled ocean surface waves throughout the evolution of during Hurricane Henri at the two NDBC buoys' locations. During  
 633 Henri's primary development, the storm's main development stage, station buoy 41001 was directly in the paths of the eyewall,  
 634 while experienced the passage of the eye and the high winds and waves associated with the eyewall. Meanwhile, station 41002  
 635 was positioned approximately 120 km to the left of the storm's center during its earlier stages (Fig. 8a).  
 636 closest approach in the early development stage. The fully coupled experiment reasonably successfully captures the general  
 637 temporal trends of wind speed at both sites' locations (Figs. 408b-c). However, a slower simulated storm translation speed,  
 638 particularly between 06:00 and 12:00 UTC on 21 August, led to a delay of roughly 12 hours in both wind speed and wave  
 639 height peaks. Furthermore, the model overestimates significant wave height by about 1–2.5 m at both locations during peak  
 640 conditions (Figs. 8d–e). due to the slower translation speed of the modelled storm, particularly from 06 UTC to 12 UTC on 21<sup>st</sup>  
 641 August, the wind speed peaks are approximately 12 hours later than observed at the two locations. Consequently, the wave  
 642 peak times at both locations are similarly delayed. The modelled magnitude of significant wave height is about 1–2.5 m higher  
 643 than observed during peak times (Figs. 10d–e). At station 41001, this overestimation is primarily attributable to discrepancies  
 644 in wind speed. For station 41002, an e-difference in wind speed between observed and modelled ones generally accounts for  
 645 the difference in significant wave height. On the other hand, at station 41002, an additional contributing factor is the model's  
 646 faster simulated translation speed— that may contribute to the difference between observed and modelled significant wave  
 647 height is the faster translation speed following the storm's slow movement from 06 UTC on 20<sup>th</sup> to 00 UTC on 21<sup>st</sup> August.  
 648 Specifically, the translation speed of modelled storm is approximately 6.3 m s<sup>-1</sup> compared to the observed, whereas the  
 649 observed speed is approximately 4.8 m s<sup>-1</sup> between during the period from 00:00 UTC and to 06:00 UTC on 21<sup>st</sup> August. It is  
 650 well-established that increased forward motion enhances wind speed and wave growth on a storm's right side, leading to higher  
 651 waves (Chen et al., 2013). While the model accurately reproduces wave direction at station 41001, it fails to capture the sharp  
 652 directional shift observed at station 41002 between 06:00 and 09:00 UTC on 21 August (Figs. 8f-g). This discrepancy may  
 653 stem from the model's increased wave height and wavelength, which can suppress rapid directional changes. Despite these  
 654 biases in wave height magnitude and timing, the model generally provides a reasonable representation of wave behavior at  
 655 both locations and successfully captures key trends in storm-induced wave dynamics during Hurricane Henri.

656 Understanding the accuracy of modeled ocean surface waves, particularly their directional characteristics, is crucial because  
657 previous investigations into TC wind impacts on offshore wind turbines (e.g., Sanchez Gomez et al., 2023; Wei et al., 2017;  
658 Itiki et al., 2023) have often overlooked the complexities of wind–ocean–wave interactions. This oversight, common in  
659 atmosphere-only or empirical models, significantly limits accurate risk prediction for offshore infrastructure by failing to  
660 capture both direct and indirect impacts. For instance, Ma and Sun (2023) demonstrated that under extreme wind-wave  
661 conditions, such as those in hurricanes, coupling between wind and wave dynamics significantly increases the aerodynamic  
662 loads on offshore wind turbines. This coupling markedly amplifies the variability of those loads, suggesting that traditional  
663 decoupled models may underestimate the structural demands during such severe events.

664 Figure 9 shows that wind and wave alignment varies considerably over time, with periods of near co-alignment interspersed  
665 with misalignments. The directional divergence is site-specific, highlighting the influence of localized impact depending on  
666 locations relative to the storm center. ‘AOW’ captures key characteristics of directional interactions, reproducing the timing  
667 and magnitude of misalignment trends reasonably well (Figs. 9 bottom panel). Notably, the simulations reflect the directional  
668 sensitivity at both sites, suggesting fidelity in representing storm-induced wave generation and propagation, implying that the  
669 fully coupled modeling system provides a more realistic representation of the complex environmental loads on offshore wind  
670 turbines, particularly under extreme conditions like TCs.

671 Figure 10 illustrates simulated ocean surface wave conditions and 10-m wind vectors from 'AOW' experiment at 12:00 UTC  
672 on 22 August. Consistent with prior studies (e.g., Chen et al., 2013; Wright et al., 2001), TC-induced wave fields typically  
673 exhibit asymmetry, with the highest significant wave heights occurring in the front-right quadrant. This pattern is clearly  
674 evident in our simulation, as Henri moves northwest, producing the largest waves in its right and front-right quadrants (Fig.  
675 10a). The storm's motion further enhances wave growth on the right side due to a longer fetch (Figs. 10a-b). Significantly,  
676 directional misalignment between wind and waves is apparent across most storm quadrants, except on the right side where  
677 both wind and waves are aligned, also consistent with previous findings (Fig. 10d). This widespread misalignment as well as  
678 aligned directions highlight the complex atmosphere-wave interactions that necessitate careful consideration in offshore wind  
679 load assessments.

680 On the right side of the storm's path, the wind speed is amplified because the storm's forward motion adds to the wind speed.  
681 In this context, a faster moving storm can lead to stronger wind forcing, which increases wave energy and promotes greater  
682 wave growth, resulting in higher waves (Chen et al., 2013). While the fully coupled experiment effectively captures the wave  
683 direction at station 41001, it does not resolve the sharp directional change observed at station 41002 between 06 UTC and 09  
684 UTC on 21<sup>st</sup> August. This lack of sharp directional change could be due to the model's increased wavelength and height as  
685 previously described, which prevent the observed rapid shifts in wave direction. Despite some biases in wave features,  
686 including magnitude and timing of peak wave height, the overall performance of the modelled waves at the two NDBC  
687 locations are reasonable and demonstrates the model's ability to capture general trends in storm induced wave behaviour  
688 during Hurricane Henri.



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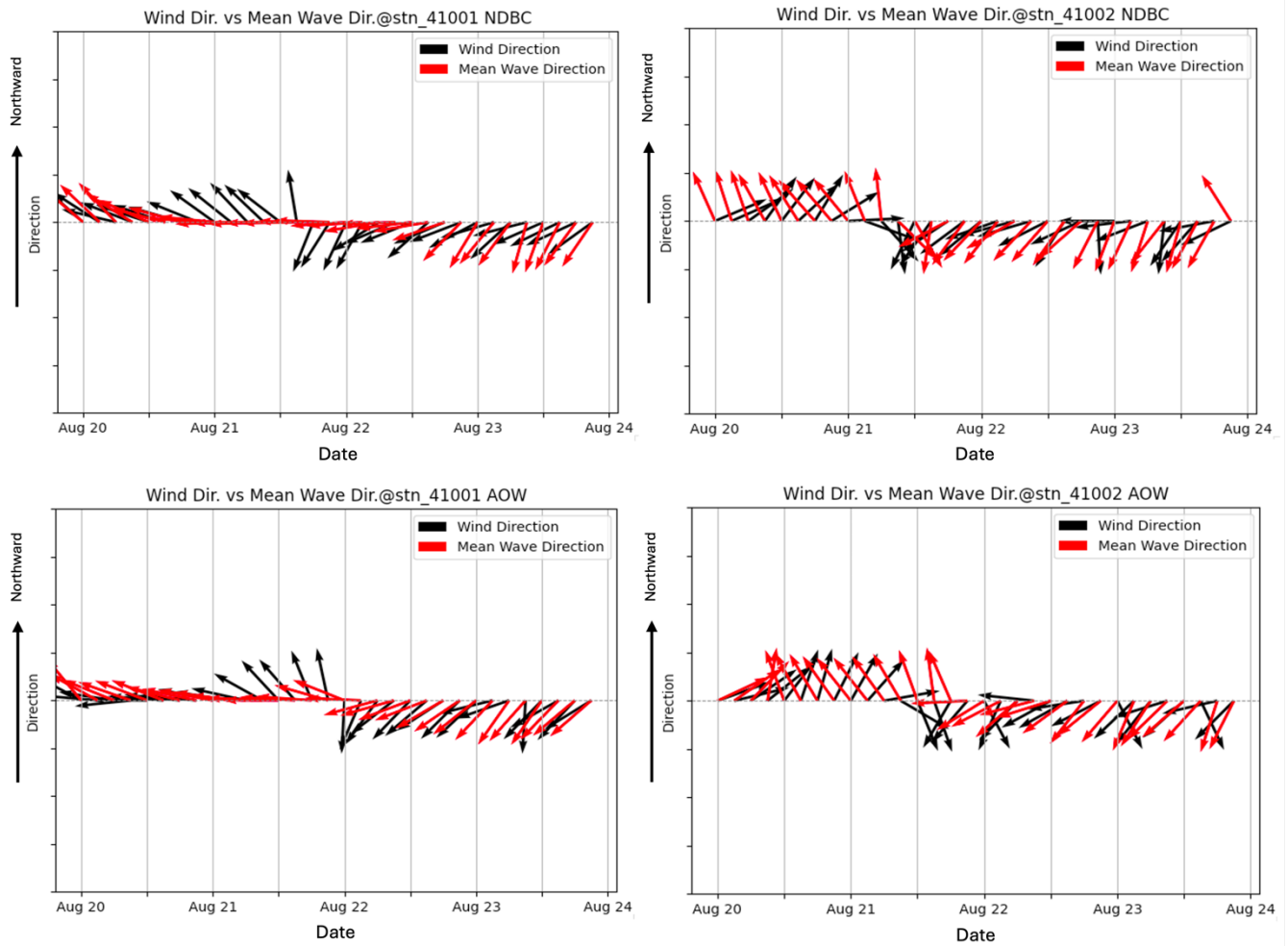
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**Figure 8. Comparison of the 'AOW' simulation (blue) with observations (black) for Hurricane Henri from 00 UTC 20 to 00 UTC 24 August 2021: (a) track, (b–c) wind speed (m/s), (d–e) significant wave height (m), and (f–g) wave direction. Panels (b), (d), and (f)**

694

show data from station 41001, while (c), (e), and (g) show station 41002.

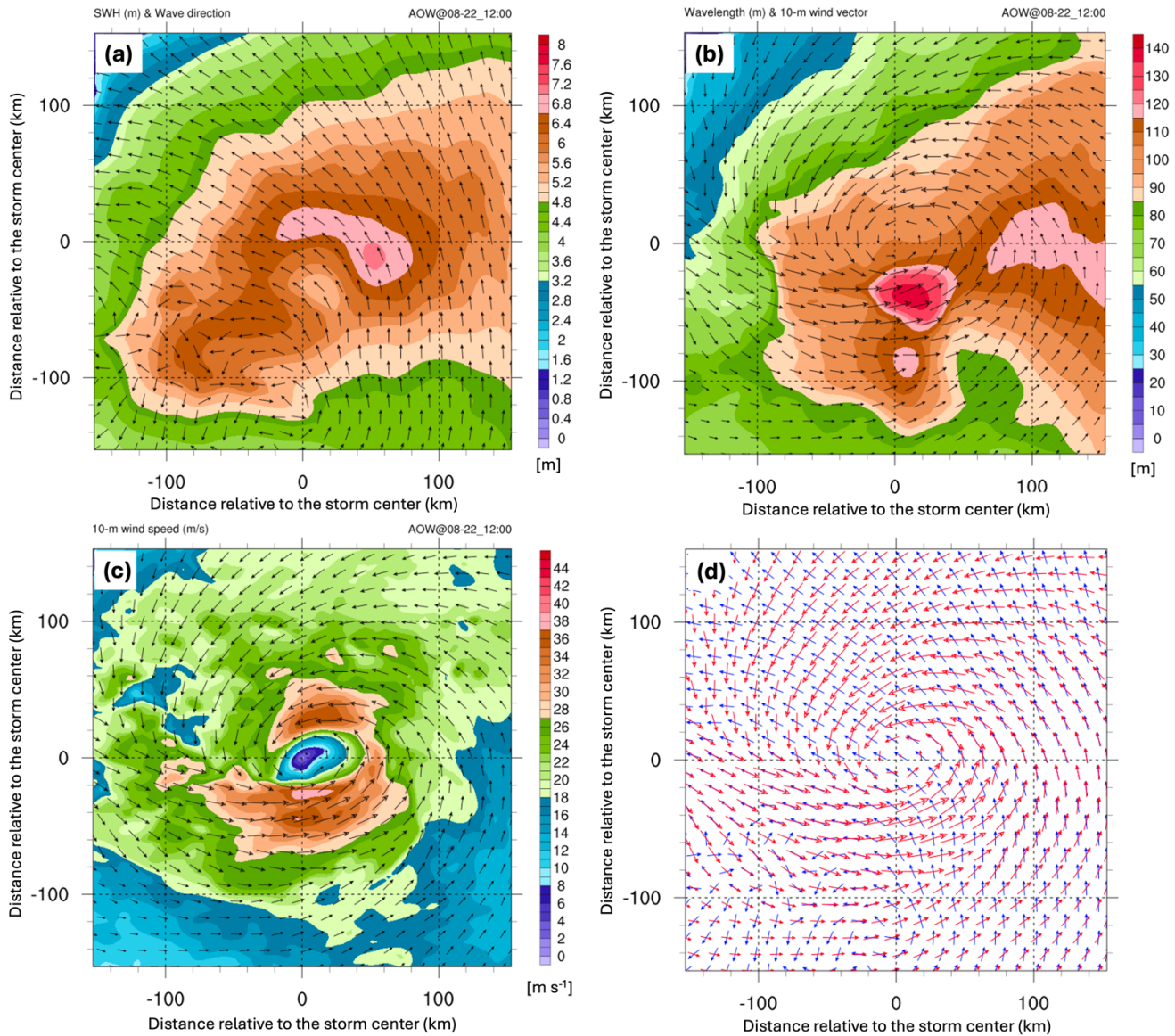


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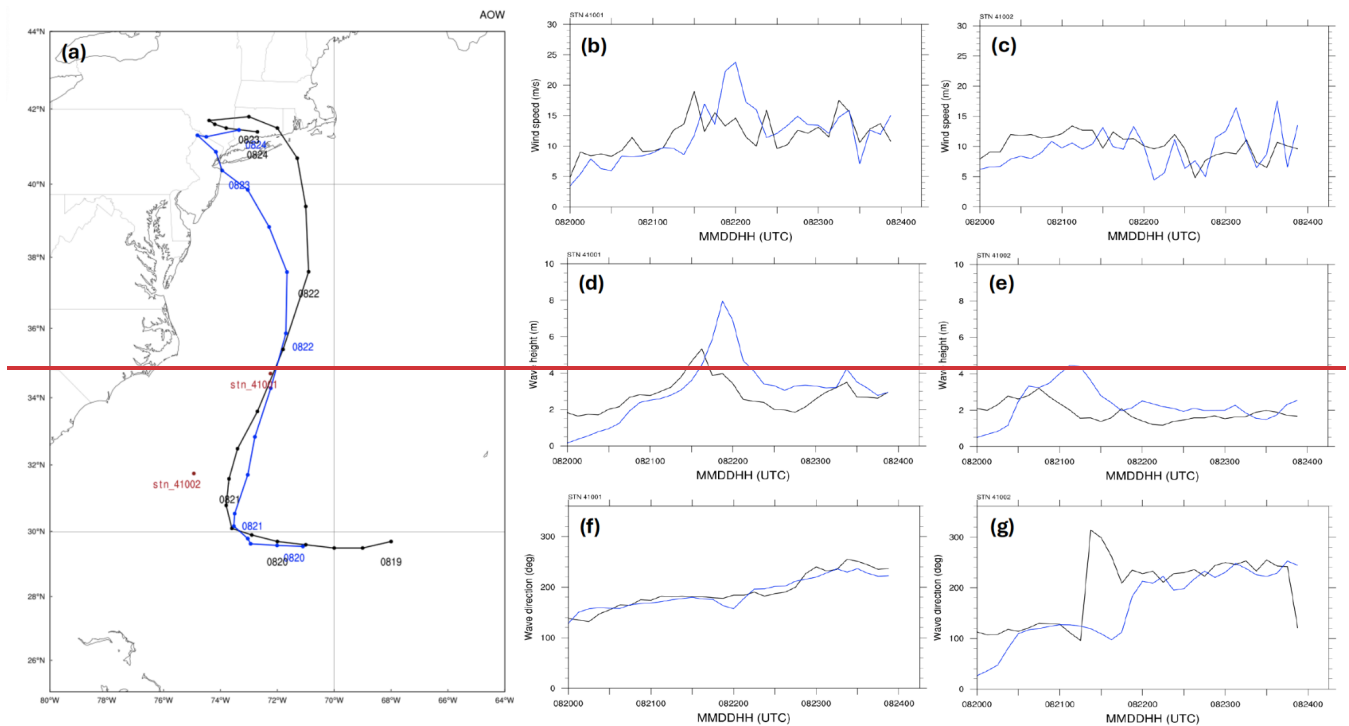
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**Figure 9. Time series comparison of surface wind direction and mean ocean surface wave direction at two NDBC buoy stations, 41001 (left column) and 41002 (right column), derived from NDBC buoys (top panel) and experiment 'AOW' (bottom panel).**



**Figure 10. The fully coupled model output at 12 UTC on 22 August 2021 includes: (a) significant wave height (shaded; in meters) and wave direction, (b) mean wavelength (shaded; in meters) and 10-m wind, (c) 10-m wind speed (shaded; in m/s) and vectors, and (d) wave (blue) and wind (red) vectors. All plots are in a 300 × 300 km storm-centered domain, with a 20 m/s reference wind vector shown in panels (b) and (c).**



**Figure 10. Comparison of ‘AOW’ simulated in blue (a) track, (b)–(e) wind speed (m/s), (d)–(e) significant wave height (m), and (f)–(g) wave direction of Hurricane Henri with the observations in black from station 41001 (b),(d),(f) and station 41002 (c),(e),(g) during the period from 00 UTC on 20 August to 00 UTC on 24<sup>th</sup> August 2021.**

### 5.4.3 Mechanisms Underlying the Improvement in the Fully Coupled Experiment

So far we have learned that, compared to experiments ‘A’ and ‘AO’, ‘AOW’ reduces the overestimation of storm intensity (not only reduces the overestimation of storm intensity (represented by the minimum SLP; Fig. 3) and but also improves the storm-scale wind structure (Fig. 4), PDF distribution and wind speed distribution (Fig. 5), and profiles (Fig. 6) compared to observations from the near surface to the upper troposphere, for Hurricane Henri (2021). To understand/examine the mechanisms behind these improvements, we analyze first analyze SST and surface enthalpy flux of in ‘AOW’ and versus ‘AO’ to assess the role of compare them with those of ‘AO’ to examine ocean surface-wave-induced processes in Henri’s evolution. Experiment ‘A’ is excluded since it is atmosphere-only and lacks and their influence on the evolution of Henri. We opted out of experiment ‘A’ in this analysis, as it is an atmosphere standalone simulation and does not consider atmosphere-ocean interactions.

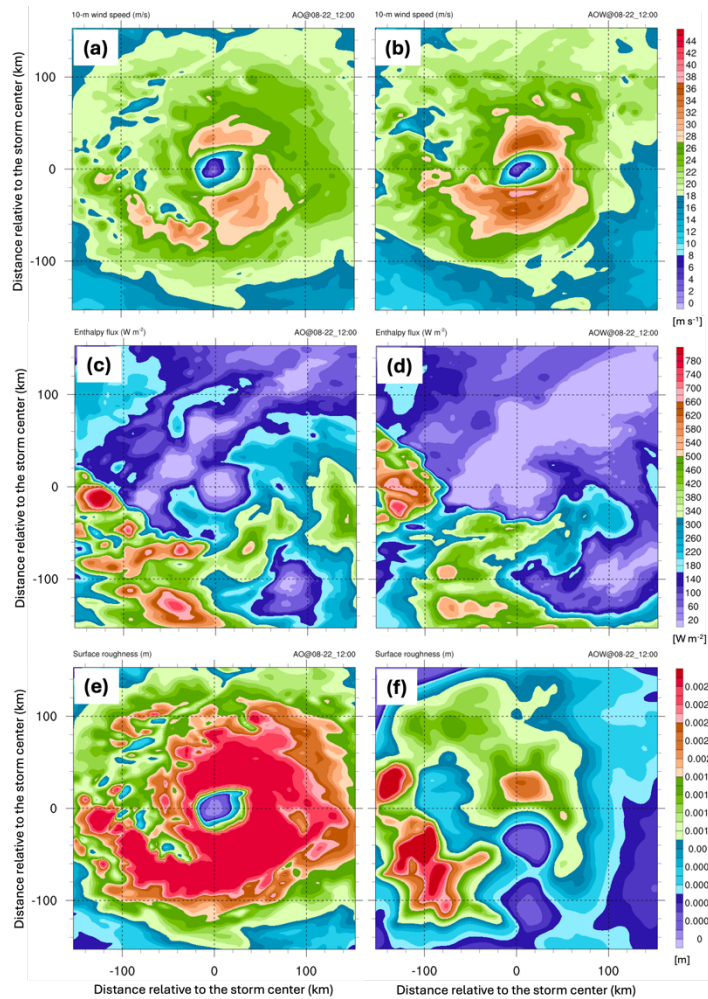
Because Since both ‘AO’ and ‘AOW’ simulations have very similar storm tracks and comparable translation speeds, we can are able to isolate surface processes—SST, enthalpy flux, and surface roughness length ( $Z_0$ )—to evaluate their impact on storm intensity and evolution. In ‘AOW’, ocean surface waves affect  $Z_0$ , which regulates momentum, heat, and moisture exchange at the air–sea interface. In uncoupled simulations like ‘AO’,  $Z_0$  depends solely on wind speed (e.g., via the surface properties,

722 including effects of SST, enthalpy flux, and surface roughness length on storm dynamics and evaluate how these factors  
723 contribute to differences in storm intensity and evolution. First, from a momentum transfer perspective, ocean surface waves  
724 characterize the surface roughness length ( $Z_0$ ) of the ocean and regulate the exchange of momentum, in addition to heat and  
725 moisture, between the atmosphere and ocean. Without coupling the waves and the atmosphere,  $Z_0$  or drag coefficient ( $C_d$ ) is  
726 calculated solely based on wind speed (e.g., Charnock formulation's formula where  $Z_0$  is solely relying on the surface wind  
727 speed), ignoring wave effects. This limits capturing dynamic air-sea interactions during TCs, where sea state significantly  
728 influences momentum transfer and storm development. Focusing on 12 UTC, 22 August—about 12 hours before landfall when  
729 both simulations reach peak minimum SLP—reveals notable differences in  $Z_0$  distribution (Fig. 11). In 'AO', the distribution  
730 and strength of  $Z_0$  closely follow the surface wind speed through the Charnock relation. In contrast, 'AOW' simulation shows  
731 a different  $Z_0$  pattern (e.g., Taylor and Yelland, 2001; Drennan et al., 2005; Shimura et al., 2017), with reduced values driven  
732 by wave dynamics, highlighting the significant role of waves in modulating air-sea momentum and energy exchange (Figs.  
733 11a–b, e–f). Prior studies have shown that drag coefficient ( $C_d$ ) saturates or even decreases once wind speeds exceed  
734 approximately  $30\text{--}35\text{ m s}^{-1}$ , largely due to wave processes which dampen momentum transfer to the ocean (e.g., Donelan et al.,  
735 2004; Powell et al., 2003). Since surface roughness length ( $Z_0$ ) is directly correlated with  $C_d$  via Monin-Obukhov theory, this  
736 saturation implies a corresponding weakening or plateauing of surface roughness.

737 The influence of ocean surface waves extends beyond modifying  $Z_0$ . Although 'AOW' exhibits stronger winds, it also shows  
738 lower SST and reduced surface enthalpy flux compared to 'AO' (Figs. 7l,p, and 11c-d). The primary driver of SST cooling  
739 under TCs is ocean vertical mixing. Storm-induced surface winds generate frictional stress, which drives upper-ocean currents  
740 and promotes evaporation. Vertical shear in these currents produces turbulence that mixes cooler subsurface water into the  
741 mixed layer, reducing SST (e.g., Zhou et al., 2023). This process occurs in both 'AO' and 'AOW'. However, 'AOW' introduces  
742 additional vertical mixing through wave dynamics. As surface winds generate waves, momentum is transferred into the ocean.  
743 Breaking waves inject momentum deeper, enhancing shear and mixing. Long period, large wave height non-breaking waves  
744 that are generated by TCs further deepen the vertical mixing, amplifying SST cooling. These wave-induced processes in  
745 'AOW' lead to cooler SSTs and lower enthalpy fluxes than in 'AO' (Fig. 11). Additionally, the reduced  $Z_0$  in 'AOW'  
746 corresponds to a lower  $C_d$  resulting in less surface roughness and higher near-surface wind speeds due to the inclusion of wave  
747 effects.

748 ). As a result, 'AO' might not accurately capture the dynamic interactions between the lower atmosphere and ocean surface  
749 during TCs where wave effects and varying sea surface conditions significantly impact momentum transfer and overall system  
750 evolution. We chose the time of 12 UTC on 22<sup>nd</sup> August 2021 to investigate these interactions in detail, focusing on how the  
751 inclusion of wave dynamics in the fully coupled experiment 'AOW' alters the estimate of surface roughness length and the  
752 subsequent effects on momentum and heat exchange during the hurricane's intensification phase. The timing is particularly  
753 significant, as it marks the moment when the simulated storms from both 'AO' and 'AOW' reached their peak minimum SLP,  
754 occurring about 12 hours prior to landfall. As shown in Fig. 11, 'AO' and 'AOW' simulate very different distributions of  $Z_0$ ,

755 clearly demonstrating that 'AO' is solely a function of surface wind speed, while the  $Z_0$  distribution of 'AOW' is distinct from  
756 the surface wind distribution. This implies that wave dynamics play a crucial role in shaping  $Z_0$  (Figs. 11a,b,e,f).  
757 The impact of including ocean surface waves is not limited to just the representation of  $Z_0$ . 'AOW' is associated with stronger  
758 winds, but lower SST, and surface enthalpy flux compared to 'AO.' The primary process responsible for cooling SST under  
759 TCs is ocean vertical mixing, as discussed. During such events, the storm's surface winds create stress on the ocean surface  
760 through friction, generating ocean currents in the mixed layer and momentum flux at the bottom of the atmosphere, leading to  
761 evaporation from the ocean surface. In addition to the evaporative cooling, vertical velocity shear of the currents in the upper  
762 ocean leads to turbulence, which mixes and entrains colder water from below the mixed layer, and reduces SST (e.g., Zhou et  
763 al., 2023). This process is represented in both 'AO' and 'AOW.' However, the inclusion of wave dynamics in 'AOW' adds  
764 additional vertical mixing through the following processes: the storm's surface winds build waves at the sea surface, and the  
765 momentum transfer from the atmosphere to the ocean grows and propagates these waves. When the waves break, momentum  
766 is transferred downward into the ocean currents, leading to vertical shear and thus vertical mixing. On the other hand, mixing  
767 induced by non-breaking waves penetrates much deeper, leading to a further reduction in SST, as previously discussed. This  
768 additional wave dynamics included in 'AOW' results in greater cooling in SST, leading to a reduced surface enthalpy flux  
769 compared with 'AO' (Fig. 11). The reduced  $Z_0$  in 'AOW' corresponds to a lower  $C_d$ , meaning that the roughness—primarily  
770 due to the inclusion of waves—is less, which leads to higher wind speeds at the surface.  
771



**Figure 11. Distribution of (a)-(b) 10-m wind speed ( $\text{m s}^{-1}$ ), (c)-(d) surface enthalpy flux ( $\text{W m}^{-2}$ ), and (e)-(f) surface roughness length (m) derived from the experiment ‘AO’ (left column) and the experiment ‘AOW’ (right column) at 12 UTC on 22 August 2021. All distributions are displayed in a  $300 \text{ km} \times 300 \text{ km}$  storm-centered coordinate.**

An important question remains regarding the discrepancy between minimum SLP and maximum wind speed in ‘AO’ and ‘AOW.’ simulations. Although ‘AO’ produces a lower minimum SLP, it shows weaker maximum wind speeds than ‘AOW’—despite higher surface enthalpy and momentum fluxes at peak intensity. Specifically, while ‘AO’ shows more intense (i.e., lower) minimum SLP than ‘AOW’, its maximum wind speed is weaker compared to ‘AOW’ at this time (12 UTC on 22<sup>nd</sup> August; Fig. 3b-c and Figs. 11a-b), despite being linked to greater surface enthalpy and momentum flux. As discussed, this is due in part to the ‘AO’ is linked to higher  $Z_0$  in ‘AO’, resulting from the absence of wave dynamics. . Increased  $Z_0$  leads to greater frictional drag, reducing near-surface wind speeds. This enhanced friction contributes to stronger subgradient winds, where actual wind speeds fall below those expected from gradient wind balance. The imbalance between forces in the boundary layer is described by the agradient force (AF), defined as:

785 due to the absence of active wave dynamics in the simulation, resulting in reduced surface wind speeds from increased  
 786 frictional drag. This can lead to stronger subgradient winds, where the actual wind speed is lower than what would be expected  
 787 from the gradient wind balance. The relationship between tangential circulation and radial inflow in the boundary layer is  
 788 described by the agradient force (Montgomery and Smith, 2014; Smith et al., 2009), which is defined as the difference between  
 789 pressure gradient force and the sum of centrifugal and Coriolis forces in the form of

$$791 \quad \text{Agradient Force (AF)} = -\frac{1}{\rho} \frac{\partial p}{\partial r} + \frac{V_t^2}{r} + fV_t \quad (3)$$

792  
 793 where  $p$  is pressure,  $r$  is the radial distance from the TC center,  $V_t$  is the tangential wind speed,  $\rho$  is air density, and  $f$  is the  
 794 Coriolis parameter. Near the surface, both ‘AO’ and ‘AOW’ deviate from gradient wind balance due to friction, which reduces  
 795  $V_t$ , weakening both the Coriolis and centrifugal forces. With the pressure gradient force unchanged, this creates a negative  
 796 agradient force ( $AF < 0$ ), driving radial inflow. This inflow forms part of the storm’s secondary circulation. Its strength can  
 797 indicate the degree of deviation from gradient wind balance—stronger inflow implies greater subgradient winds. Table 4  
 798 clearly shows that ‘AO’ is associated with a stronger surface pressure gradient force, which, along with a higher  $Z_0$ , creates  
 799 more favorable conditions for enhanced mass flux inflow. On the other hand, ‘AO’ exhibits weaker absolute angular  
 800 momentum (AAM), defined as:

$$801 \quad M = rV_t + \frac{1}{2} fr^2 \quad (4)$$

802  
 803 As shown in Equation 4, AAM is closely tied to the storm’s rotational wind structure. Therefore, to better understand the  
 804 discrepancy between ‘AO’ and ‘AOW’—specifically why ‘AO’ has a stronger pressure gradient but weaker winds—we  
 805 analyze the AAM budget, following Zhang and Marks (2015) and Zhao et al. (2022). The AAM budget equation used is:

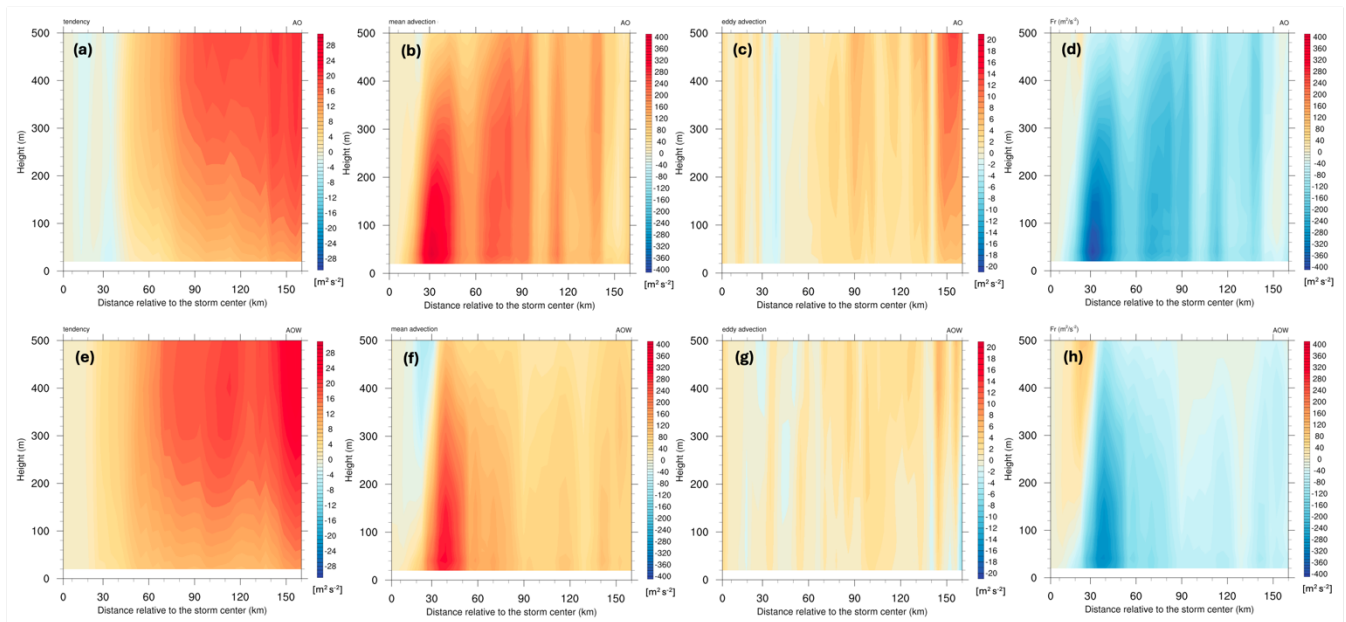
$$806 \quad \frac{\partial \langle M \rangle}{\partial t} = -\langle V_r \rangle \frac{\partial \langle M \rangle}{\partial r} - \langle w \rangle \frac{\partial \langle M \rangle}{\partial z} - \langle V_r' \frac{\partial M'}{\partial r} \rangle - \langle w' \frac{\partial M'}{\partial z} \rangle + F_r \quad (5)$$

807  
 808 where  $V_r$  and  $w$  denote radial wind speed and vertical wind component, respectively. Brackets  $\langle \rangle$  denote azimuthal averages,  
 809 and primes indicate deviations from the mean. The left-hand side represents the time tendency of azimuthally averaged AAM.  
 810 The right-hand side includes contributions from mean radial advection, mean vertical advection, radial eddy transport, vertical  
 811 eddy transport, and friction/residual term  $F_r$ . We hypothesize that the higher  $Z_0$  in ‘AO’—a result of the simplified Charnock  
 812 relation—enhances angular momentum dissipation and reduces wind speeds despite a stronger pressure gradient. Figure 12  
 813 presents the AAM tendency, mean radial advection, mean radial eddy transport, and  $F_r$  terms over the period from 00 UTC to  
 814 12 UTC on 22 August 2021, during which both storms underwent steady intensification. The results indicate that the mean  
 815 radial advection (Figure 12b, f) and  $F_r$  (Figure 12d, h) terms are particularly influential in determining the AAM tendency and  
 816

817 tend to oppose each other. Within 100 km of the storm center, substantial AAM dissipation is observed in the  $F_r$  term for both  
 818 simulations (Figure 12d, h), aligning spatially with regions of elevated  $Z_0$ . However, this dissipation is much more pronounced  
 819 in ‘AO’ (Figure 12d), suggesting that its higher  $Z_0$  values may unrealistically amplify angular momentum loss, leading to  
 820 weaker surface winds despite lower minimum SLP. These findings highlight the crucial role of air-sea interactions, particularly  
 821 those induced by waves and related processes, in accurately simulating the wind structure of TCs within the marine boundary  
 822 layer which impact offshore wind turbines.

825 **Table 4. Spatially averaged metrics within 10–60 km of the storm center, vertically integrated through the boundary layer up to 1.2**  
 826 **km above the ground level, at 12 UTC on 22 August 2021. PGF indicates pressure gradient force and is calculated at mean sea level.**

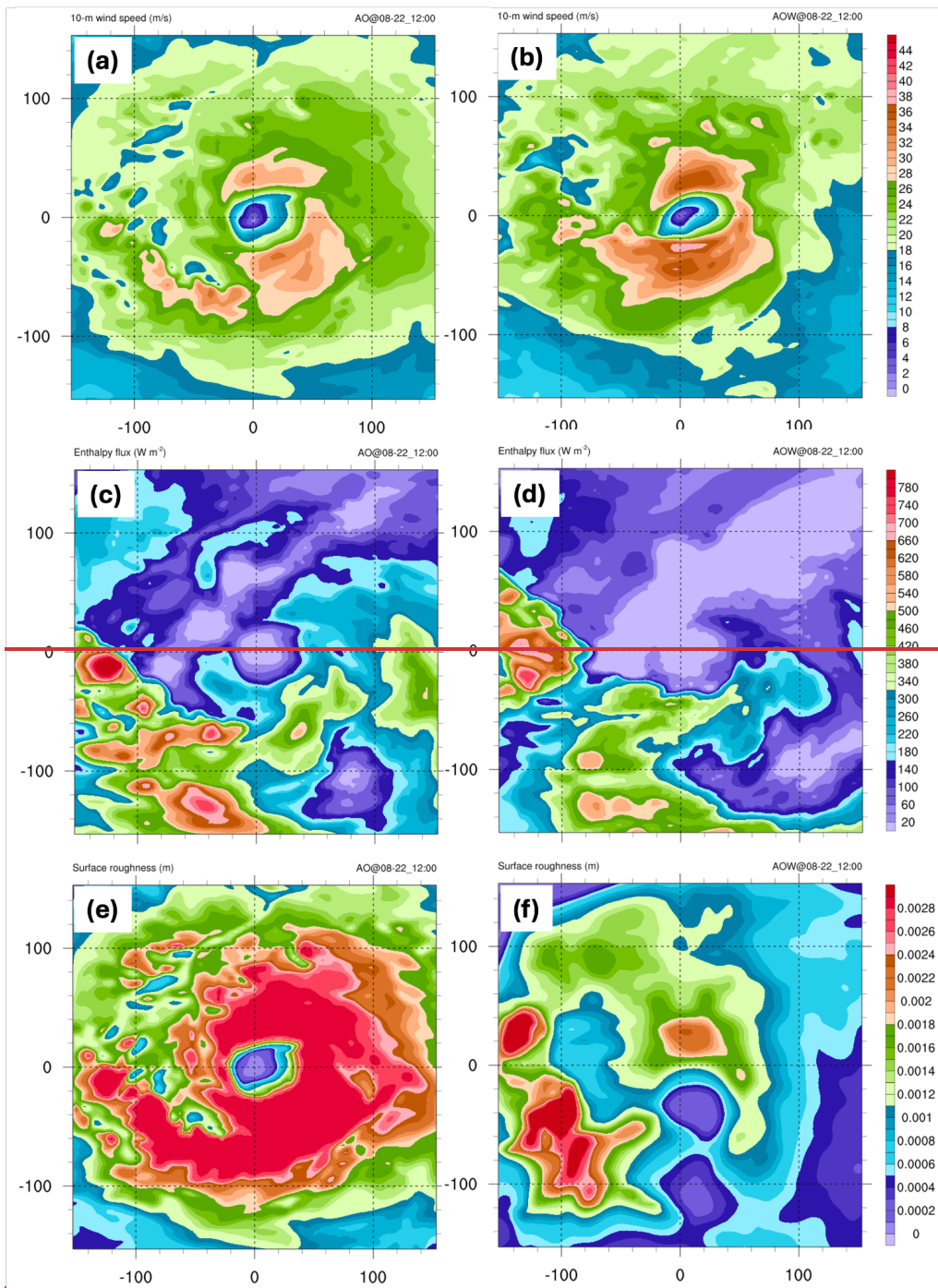
Experiment	BL inflow mass flux ( $\text{kg m}^{-3}$ )	BL vertical mass flux ( $\text{kg m}^{-3}$ )	BL AAM ( $\text{m}^2 \text{s}^{-1}$ )	PGF ( $\text{m s}^{-2}$ )
AO	$1.93 \times 10^4$	$1.34 \times 10^3$	$1.55 \times 10^{10}$	$-1.52 \times 10^2$
AOW	$1.80 \times 10^4$	$1.33 \times 10^3$	$1.61 \times 10^{10}$	$-1.46 \times 10^2$



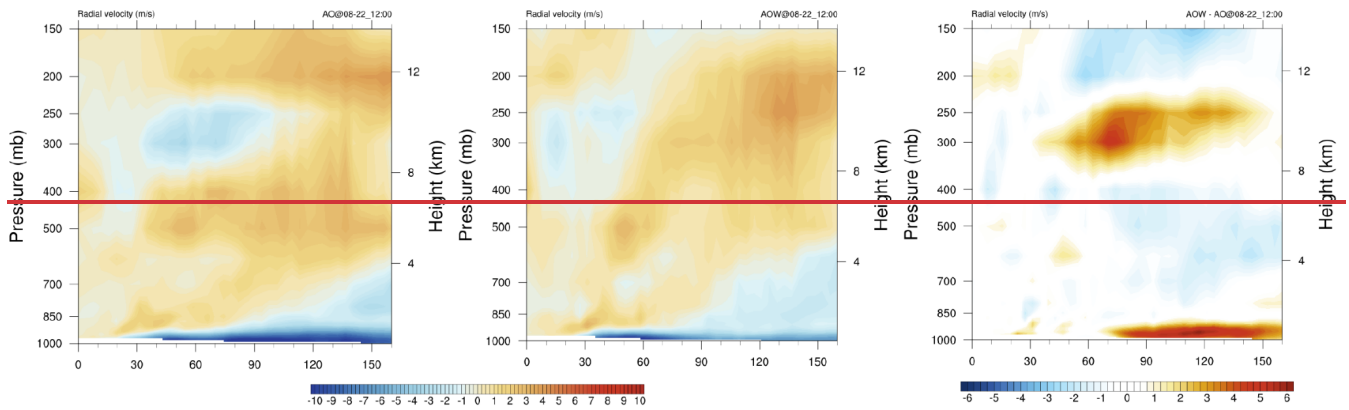
829 **Figure 12. The radius-height plots of the terms in azimuthally averaged absolute angular momentum budget (a) time tendency term,**  
 830 **(b) mean advection term, (c) eddy transport term, and (d) friction and residual term in ‘AO’ during the time from 00 UTC to 12**  
 831 **UTC 22 August 2021. The bottom panels (e-h) are the same as the top panels but for ‘AOW.’**

833 where  $P$  is air pressure,  $r$  represents radius from the TC centre,  $V_\tau$  refers to tangential wind speed, and  $\rho$  is air density. Near  
 834 the surface, both ‘AO’ and ‘AOW’ deviate from gradient wind balance due to the effects of friction. Friction reduces the

835 tangential wind speed, thereby weakening both Coriolis and centrifugal forces, while the pressure gradient force remains  
836 unchanged (negative gradient force:  $\Delta F < 0$ ). This imbalance results in a net inward force, driving inflow in the lower  
837 atmospheric layers (the secondary circulation). The magnitude of this inflow can be seen as an indicator of the deviation from  
838 the gradient wind balance, with stronger inflow corresponding to a greater degree of subgradient wind. The azimuthally  
839 averaged radial wind speed clearly shows that the enhanced inward flow towards the storm centre in the boundary layer in  
840 'AO' compared with the 'AOW' (Fig. 12). In the boundary layer, stronger radial inflow transports additional absolute angular  
841 momentum (AAM) toward the storm's core, although friction disrupts the perfect conservation of AAM. As air moves inward,  
842 its radius decreases, causing tangential wind speeds to increase (as per the conservation of AAM). While friction within the  
843 boundary layer slows the tangential winds, the winds still strengthen near the core due to the influx of air masses. This increase  
844 in wind speed amplifies the outward centrifugal force, which is primarily counteracted by a greater inward pressure gradient  
845 force, resulting in a lower central pressure in the storm's core. In 'AO', even though the simulated storm is associated with  
846 stronger radial inflow through the process described above, the tangential winds along the storm centre are unable to increase  
847 relative to 'AOW' due to the additional friction effects over the ocean (Figs. 11 and 12). This extra friction in 'AO' is caused  
848 by an unrealistically calculated  $\tau$ , which is only a function of surface wind speed. As surface wind speeds up, it generates more  
849 friction or drag on the ocean surface, which can further disrupt the conservation of AAM in 'AO.' As a result, the additional  
850 frictional dissipation of AAM in 'AO' leads to a reduction in the amount AAM available to drive wind's acceleration.  
851 Consequently, the wind speeds in 'AO' do not increase as much as expected despite the enhanced radial inflow. This explains  
852 the slower rate of intensification observed in 'AO' compared with its fully coupled counterpart, 'AOW' during the period from  
853 06 UTC to 12 UTC on 22<sup>nd</sup> August. Note that as both simulated storms move through the baroclinic zone at this time, they  
854 may experience vertical wind shear. This can disrupt the typical inflow-outflow structure of tropical storms, leading to  
855 anomalous inflow at upper levels observed in Fig. 12.



857  
 858 **Figure 11. Distribution of (a)–(b) 10-m wind speed ( $\text{m s}^{-1}$ ), (c)–(d) surface enthalpy flux ( $\text{W m}^{-2}$ ), and (e)–(f) surface roughness length**  
 859 **(m) derived from the experiment ‘AO’ (left column) and the experiment ‘AOW’ (right column) at 12 UTC on 22<sup>nd</sup> August 2021. All**  
 860 **distributions are displayed in a  $300 \text{ km} \times 300 \text{ km}$  storm-centred coordinate.**



861  
862 **Figure 12. The azimuthally averaged radial wind speed ( $\text{m s}^{-1}$ ) for the (a) experiment ‘AO’, (b) experiment ‘AOW’, and (c) difference**  
863 **between ‘AOW’ and ‘AO’ at 12 UTC on 22<sup>nd</sup> August 2021.**

## 864 **5 Implication for Potential Risks to Offshore Wind Energy**

865 *As the global demand for renewable energy continues to rise, offshore wind energy has emerged as a promising solution in the*  
866 *transition toward sustainable power generation. However, this opportunity comes with potential risks, particularly from TCs,*  
867 *which can generate extreme sea surface wave conditions, high wind speeds, and significant shear and veer between the ocean*  
868 *surface and hub heights (Wang et al., 2024a,b). Loads on offshore structures can arise from both aerodynamic and*  
869 *hydrodynamic forces and both act simultaneously on a turbine during a TC. In a design context, factors such as sustained wind*  
870 *speed, its relationship to wind gusts, the assumed vertical profile (shear) of the boundary layer, and wave heights and periods*  
871 *are crucial for calculating loads and are generally well understood. However, properties of the wind profile, including veer, as*  
872 *well as the temporal variability and directional dependence of wind and wave loads, remain less understood and are often not*  
873 *fully accounted for (Sanchez Gomez et al., 2023; Wang et al., 2024b).*

### 874 **5.1 Wind Veer**

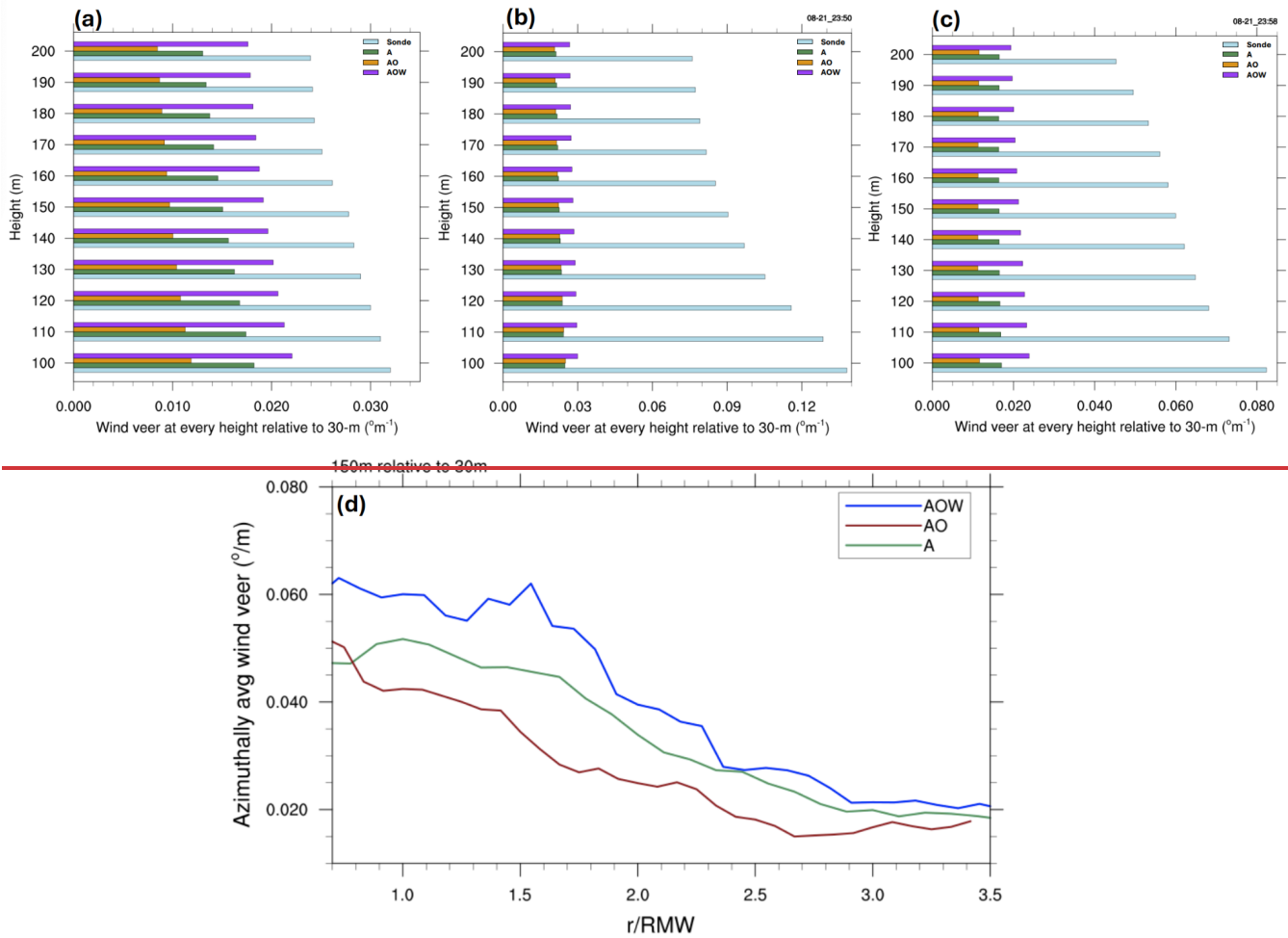
875 *Wind veer is defined as the rate of change in wind direction with altitude (e.g., Churchfield and Srinivas, 2018; Sanchez Gomez*  
876 *et al., 2023). Although the International Electrotechnical Commission (IEC) standards outlines the atmospheric conditions for*  
877 *weather extremes, including TCs, to guide the design of onshore (61400-1 IEC, 2019a) and offshore (61400-3 IEC, 2019b)*  
878 *wind turbines, wind veer is not accounted for in current design specifications (Sanchez Gomez et al., 2023). This omission*  
879 *remains despite its potential on turbine performance (Bardal et al., 2015; Gao et al., 2021) and loads (Churchfield and Srinivas,*  
880 *2018; Kapoor et al., 2020; Robertson et al., 2019; Sanchez Gomez et al., 2023). Large changes in wind direction with increasing*  
881 *altitude, driven by extreme events, can be destructive for wind turbines. For instance, a disruption in the grid connection caused*  
882 *by these extreme events may prevent the turbines from yawing into the wind, or the wind direction may change too quickly*  
883 *for the yaw control system to respond effectively, resulting in increased mechanical loads on the turbine components and*

884 potential damage to the structure. To evaluate the wind veer simulated by 'A', 'AO', and 'AOW' simulations, we estimated  
885 wind veer by calculating the difference in wind direction at multiple hub heights ranging from 100 m to 200 m in 10-m intervals  
886 relative to the bottom ( $z=30$  m) of the turbine rotor layer. These results were then compared with dropsonde observations at  
887 three different locations relative to the storm centre (91 km to the left: yellow circle—called point A, 40 km to the right:  
888 green circle—called point B, and 104 km to the right: red circle—called point C), as shown in Fig. 3d.

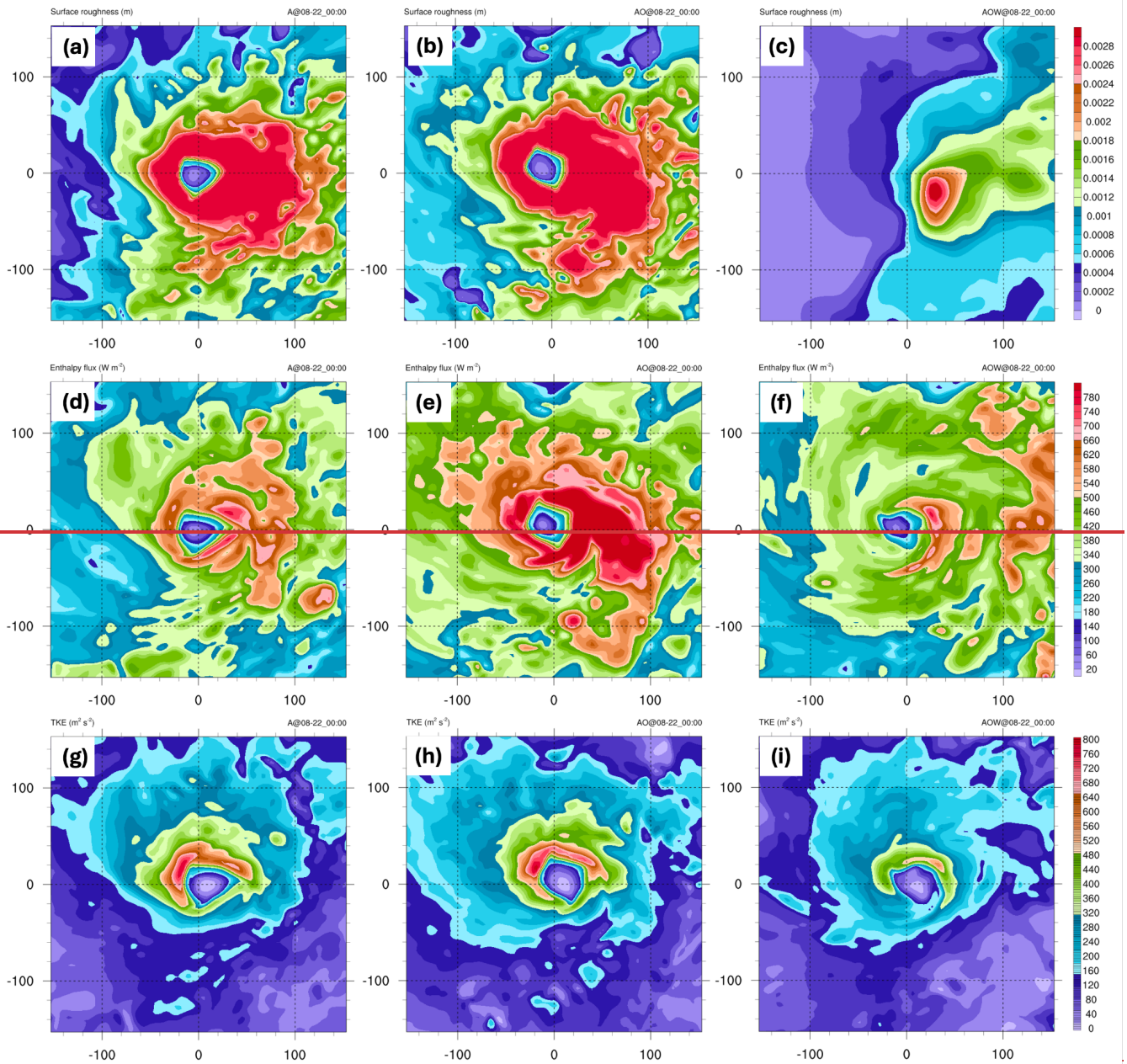
889 Firstly, the observations show that wind veer varies along the radius of the storm, with the veer noticeably increasing as it  
890 approaches the centre of Henri around 00 UTC on 22 August. For example, at 150-m hub height, wind veer is  $0.028^\circ/\text{m}$  at  
891 point A (to the left of the centre),  $0.09^\circ/\text{m}$  at point B (the closest point to the centre), and  $0.06^\circ/\text{m}$  at point C (to the right of the  
892 centre). In addition, it is apparent that wind veer is greater on the right side of the storm compared to its left side counterpart  
893 at all hub height levels (Figs. 13a–c). All three simulations significantly underestimate the wind veer, especially on the right  
894 side of the storm (Figs. 13b–c). Furthermore, the greater veer observed on the right side of the storm in the dropsonde data is  
895 not clearly captured in any of the simulations. Nevertheless, all three simulations do reasonably capture the general trend that  
896 wind veer increases as it approaches the centre of the storm. Azimuthally averaged wind veer at 150 m relative to 30 m at 00  
897 UTC on 22nd August, shown in Fig. 13d, clearly displays that the wind veers associated with the simulated storms gradually  
898 decrease with radius in all three simulations. Among the three simulations, 'AOW' better matches the magnitude of the veer  
899 at all levels at the three dropsonde locations although it still largely underestimates the values (Fig. 13). The largest veer across  
900 the radius is seen in 'AOW,' consistent with the Figs. 13a–c.

901 Wind veer and shear can be influenced by several factors, with atmospheric stability, surface friction, and subsequent dynamic  
902 and thermodynamic processes, such as both mechanical and buoyancy-driven turbulence and vertical mixing (e.g., Englberger  
903 and Lundquist, 2020; Murphy et al., 2020), all of which play key roles. For example, in stable atmospheric conditions, wind  
904 veer and shear are typically more pronounced within the boundary layer, as stratification inhibits vertical mixing. In contrast,  
905 under unstable conditions, enhanced turbulence promotes mixing, which can reduce the magnitude of wind veer and shear by  
906 redistributing momentum. Additionally, surface friction slows near-surface winds, reducing their speed and altering their  
907 direction, which creates a vertical gradient in wind speed and direction that contributes to wind veer and shear. In the light of  
908 this, we examine these factors to understand how the three simulations differ in simulating these properties and how they affect  
909 the representation of wind veer. Over the ocean, surface enthalpy flux represents the heat and moisture exchanged at the  
910 atmosphere-ocean interface, with a lower flux suggesting a more stable lower boundary layer, while a higher flux is associated  
911 with a less stable one. Regarding turbulence, turbulent kinetic energy (TKE) is commonly used as a proxy for turbulence in  
912 the atmospheric boundary layer, representing the energy associated with turbulent motions. Thus, we use TKE to assess the  
913 intensity of turbulence in the simulated storms. Figure 14 indicates horizontal distributions of surface roughness length, surface  
914 enthalpy flux, and TKE at 30-m above the ground for all three simulations at 00 UTC on 22nd August. Consistent with our  
915 previous findings,  $Z_0$  in 'A' and 'AO' exhibit similar magnitudes and distributions, as both are driven by surface wind. In  
916 contrast,  $Z_0$  in 'AOW' is significantly weaker and displays a distinct spatial distribution, characterized by ocean wave processes  
917 (Figs. 14a–c). Similarly, the surface enthalpy flux in 'AOW' is the weakest among the three, aligning with our previous findings

918 (Figs. 14d-f). In other words, 'AOW' is in a condition where decreased surface roughness and weaker surface enthalpy flux  
919 act to suppress turbulent mixing. In relatively unstable atmospheric conditions, such as in 'A' and 'AO,' turbulence is more  
920 pronounced due to buoyancy driven mixing, which tends to redistribute momentum more evenly. Further, stronger  $Z_0$  leads to  
921 greater velocity shear between the atmosphere near the surface and above. This shear creates turbulent eddies that mix the  
922 atmosphere. Therefore, more surface friction implies that the wind near the surface slows down more, creating stronger  
923 turbulence that mixes the boundary layer. Figure 14 supports this idea, demonstrating that the experiments 'A' and 'AO' are  
924 associated with greater surface enthalpy, stronger  $Z_0$ , and higher TKE compared to those in 'AOW.' Strong turbulence  
925 generated by both mechanically and thermodynamically tends to reduce wind veer by mixing momentum, while weaker  
926 turbulence likely allows the veer to persist within the boundary layer (e.g., Sanchez-Gomez and Lundquist, 2020; Stull 1988).  
927 Thus, the weaker turbulence linked to the lower  $Z_0$  in 'AOW' may restrict vertical mixing near the hub heights and below,  
928 allowing the wind veer to remain more pronounced and closer to the observed values compared to the other two simulations.  
929 It is important to note that the 3 km grid spacing used in the atmospheric model is still too coarse to accurately resolve fine-  
930 scale turbulence processes. For instance, Li et al. (2021) highlighted that mesoscale models are incapable of properly capturing  
931 small-scale features such as roll vortices, which are large turbulent eddies commonly found in a hurricane's boundary layer.  
932 Furthermore, Müller et al. (2024) discussed how the lower wind veer values simulated in mesoscale modeling during Typhoon  
933 Megi, compared to those reported by Sanchez-Gomez et al. (2023), could be attributed to the higher resolved wind veer  
934 variability in large eddy simulations (LESs). This limitation likely contributes to misrepresenting wind veer magnitude  
935 (underestimations) in all three simulations when compared to the observations. Nevertheless, this finding underscores the  
936 ability to capture critical atmosphere-ocean interactions, such as cold wakes, momentum transfer, surface stress, and boundary  
937 layer dynamics, particularly in the context of wind veer assessment. This suggests that relying solely on atmospheric-only  
938 models to quantify wind veer, as previously studied, may lead to inaccuracies and underestimations, underscoring the  
939 importance of incorporating atmosphere-ocean-wave interactions in future simulations.



942  
 943 **Figure 13. Wind veer at multiple hub heights (ranging from 100 m to 200 m in 10-m intervals) relative to the bottom of the turbine**  
 944 **rotor layer ( $z = 30$  m) for (a) point A, (b) point B, and (c) point C. Point A is represented by a yellow dot, point B by a green dot, and**  
 945 **point C by a red dot in Fig. 3d. (d) Azimuthally averaged wind veer ( $^{\circ}\text{m}^{-1}$ ) for the experiments ‘A’, ‘AO’, and ‘AOW’ at 00 UTC on**  
 946 **22nd August 2021. In (d), RMW denotes the radius of maximum wind, and  $r$  represents the radius relative to the storm centre.**



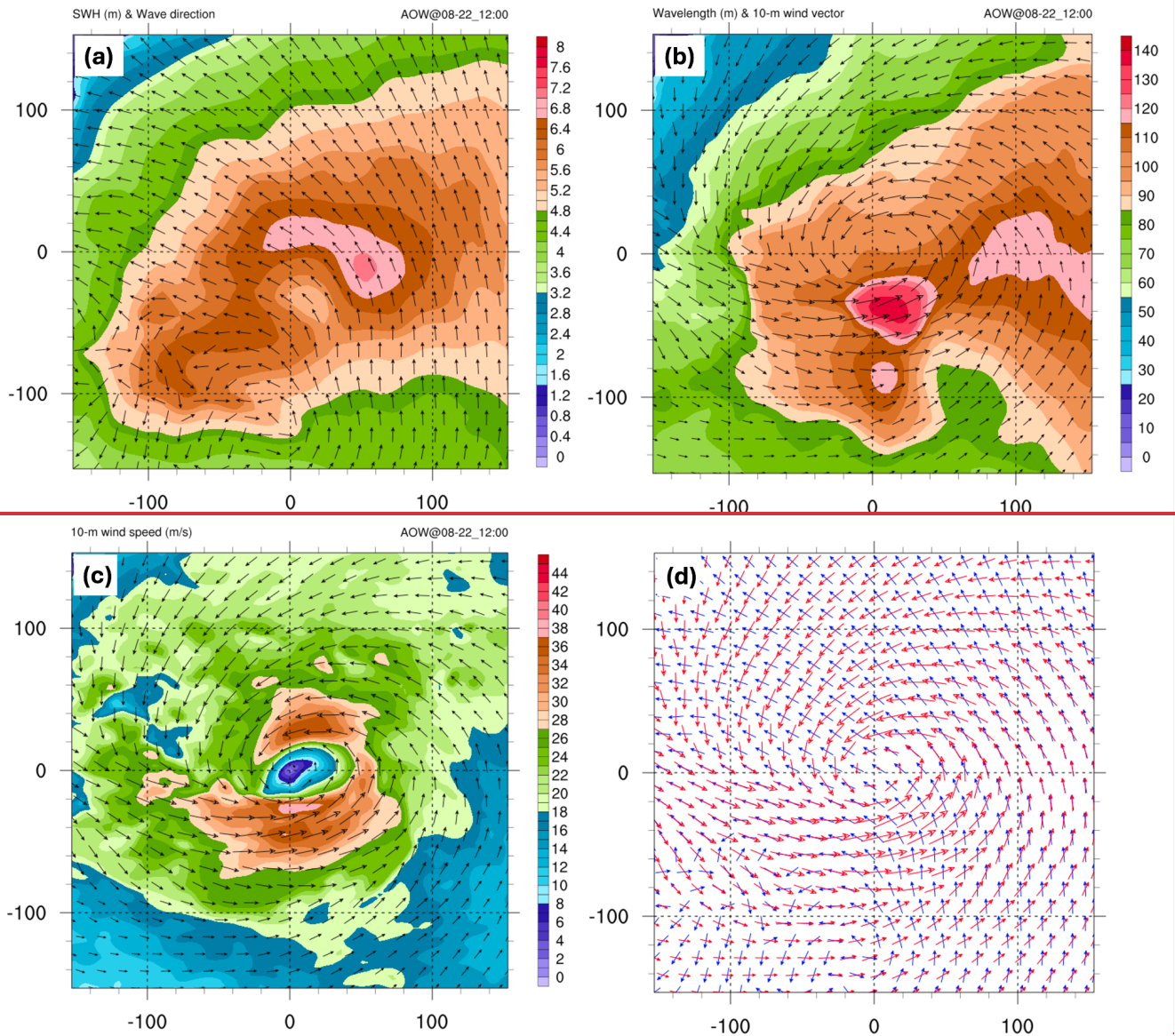
947  
 948 **Figure 14.** Distribution of surface roughness length (m; upper panel), surface enthalpy flux ( $W m^{-2}$ ; middle panel), and turbulent  
 949 kinetic energy ( $m^2 s^{-2}$ ; middle panel) for the experiments ‘A’ (left column), ‘AO’ (middle column), and ‘AOW’ (right column) are  
 950 shown at 00 UTC on 22nd August 2021.

951 **5.2 Wind-Wave Misalignment**

952 Wind-wave misalignment is another critical risk for offshore infrastructure that atmospheric-only models cannot estimate given  
 953 the lack of interaction between the atmosphere and ocean surface. Wind-wave misalignment can cause increased structural

954 loads on offshore wind turbines (discussed below), leading to fatigue damage and reduced operational lifespan. Figure 15  
955 displays ocean surface wave information, including significant wave height, wave direction, and wavelength and 10-m wind  
956 vector associated with the simulated in ‘AOW’ at 12 UTC on 22<sup>nd</sup> August. Previous studies have shown that the storm-induced  
957 wave field around a hurricane is asymmetric, with the highest waves, as measured by significant wave height, typically  
958 observed in the front-right quadrants of the storm (e.g., Chen et al., 2013; Wright et al., 2001). This typical characteristic is  
959 also evident in our simulation, showing the highest significant wave heights in the right and front-right quadrants (Fig.15a).  
960 Henri was heading northwest at 12 UTC on 22<sup>nd</sup> August 2021. In a moving storm, the waves to the right of the storm tend to  
961 grow over time (Chen et al., 2013). This happens because the waves on the right side have a longer distance to travel and grow,  
962 compared to the waves on the left side of the storm (Figs. 15a-b). In addition, directional misalignment of winds and waves is  
963 evident on all sides of the storm, except the right side, consistent with prior study (Chen et al., 2013; Figs. 15d). This  
964 misalignment is clearly represented in Fig. C1, which illustrates the time series comparison between surface wind direction  
965 and mean wave direction at two NDBC buoy stations, 41002 and 41002. The figure highlights how the wave directions deviate  
966 from the wind direction, as clearly observed in both NDBC buoys and the ‘AOW’ simulation, indicating complex interactions  
967 at play.

968 These findings have significant implications for offshore wind energy operations and maintenance. When wind and wave  
969 directions are not aligned (i.e., when they come from different directions), this creates substantial relative motion between  
970 different parts of the wind turbine, specifically between the root (base) and the hub. This misalignment can lead to increased  
971 movement or strain between these components. In contrast, when wind and waves are aligned, they combine in a way that  
972 generates the highest impact velocities. This indicates that the forces acting on the turbine are stronger when the wind and  
973 waves are moving in the same direction. In such conditions, with the two aligned, the turbine faces more severe impacts and  
974 is at a higher risk of failure. Some studies have examined wind fields brought by TCs and their impacts on offshore wind  
975 turbines (e.g., Sanchez Gomez et al., 2023; Wei et al., 2017; Itiki et al., 2023). However, most of these studies use either  
976 atmospheric-only models or empirical, parametric models that do not fully capture the complex interactions between wind and  
977 wave forces during extreme events. This limitation makes it challenging to accurately predict the operational risks faced by  
978 offshore turbines located in the hurricane belt. To assess this aspect, the models that incorporate atmosphere, ocean, and waves  
979 components, are essential. Our fully coupled model with the three components can provide a realistic representation of wind  
980 and wave behaviours, helping to predict wind-wave misalignment effectively. This allows for better assessment of the forces  
981 acting on offshore wind turbines, enabling more informed design decisions and improved operational strategies to enhance the  
982 longevity and reliability of wind turbine infrastructure.



984  
 985 **Figure 15.** The fully coupled model simulated the following at 12 UTC on 22nd August 2021: (a) significant wave height (shaded; in  
 986 meters) and wave propagation direction (vector), (b) mean wavelength (shaded; in meters) and 10-m wind (vector; in m/s), (c) 10-m  
 987 wind speed (shaded; in m/s) and wind vector (in m/s), and (d) wave propagation direction vector (blue) and 10-m wind vector (red).  
 988 The figures are displayed in a 300 km by 300 km storm-centered coordinate system. A reference wind vector of 20 m/s is shown in  
 989 panels (b) and (c).

## 990 6 Summary and Discussion

991 Previous studies of TC wind fields and their impacts on offshore wind turbines have primarily relied on atmosphere-only or  
 992 empirical models, which neglect critical interactions among the atmosphere, ocean, and waves. This limitation hampers the

993 ~~accuracy of risk assessments for offshore wind infrastructure, particularly in hurricane-prone regions. In this study, we~~  
994 ~~developed a fully coupled modelling system (C-WFS) utilizing WRF, FVCOM, and SWAN to simulate realistically capture~~  
995 ~~atmosphere-ocean-wave feedback on TC development and assess explore its implications for offshore infrastructure. U, such~~  
996 ~~as offshore wind turbines. We evaluated the performance of this coupled modeling system using Hurricane Henri (2021) as a~~  
997 ~~case study, chosen for selected for its impact on the to the densely populated U.S. Northeast and available airborne~~  
998 ~~observations, we ran nearby offshore wind lease area, as well as the extensive airborne observations available. Three~~  
999 ~~experiments of with increasing complexity; in atmosphere-ocean-wave coupled exchange processes 'A', 'AO', and 'AOW'.~~  
1000 ~~These were evaluated against observations. A were conducted and validated against a diverse range of observations, including~~  
1001 ~~IBTrACS, airborne Doppler radar, dropsonde data, as well as both in-situ and satellite-based SST and wave measurements.~~  
1002 ~~The results show that, while all simulations ed storms overestimated intensity in terms of minimum SLP, but the fully coupled~~  
1003 ~~simulation-'AOW' reduced this bias overestimation during both the development and weakening stages. Improvements are~~  
1004 ~~also evident in the 3-D storm structure, where 'AOW' also better captured 3D storm structure, especially low-level winds~~  
1005 ~~critical to coastal and offshore energy infrastructure. This improvement is attributed to wave-induced ocean mixing (cooling~~  
1006 ~~SST) and reduced surface roughness, resulting in more realistic wind fields and lower frictional loss of angular momentum. In~~  
1007 ~~contrast, 'AO', which lacks wave coupling, exhibited excessive surface roughness from simplified wind only dependent~~  
1008 ~~parameterization, causing greater frictional dissipation and weaker tangential winds despite a deeper central pressure. These~~  
1009 ~~results highlight the importance of including wave dynamics and incorporating dynamics and therodymaic feedback among~~  
1010 ~~all three components for accurate TC intensity and structural forecasts.~~  
1011 ~~The model also captures wind-wave misalignment and alignment, key processes often overlooked but crucial for evaluating~~  
1012 ~~structural loads, fatigue, and operational risks. Together, these enhancements yield a more realistic representation of storm~~  
1013 ~~evolution, intensity, and structure, underscoring the importance of fully coupled modeling systems for accurate risk~~  
1014 ~~assessments and the development of resilient offshore wind infrastructure.~~  
1015 ~~While this study applied C-WFS framework to more accurately represents wind profiles across the entire atmosphere, including~~  
1016 ~~at low altitudes, where the actual risks to offshore wind energy infrastructure occur. The enhanced performance of the fully~~  
1017 ~~coupled model is primarily attributed to ocean-wave induced mixing, which leads to further cooling of the SST. Additionally,~~  
1018 ~~the reduced surface roughness length and lower drag coefficient associated with atmosphere-ocean-wave interactions in 'AOW'~~  
1019 ~~simulation help maintain a more realistic dynamical representation of the storm structure. In contrast, excessive friction and~~  
1020 ~~surface roughness length driven by simplified parameterization (i.e., Charnock relation), in 'AO' simulation, result in increased~~  
1021 ~~frictional dissipation of AAM as surface winds strengthen. This additional frictional dissipation, caused by the unrealistically~~  
1022 ~~driven surface roughness length over the ocean, weakens tangential wind acceleration, thereby limiting the intensification of~~  
1023 ~~the storm during its peak (from 06 UTC to 12 UTC on 22nd August 2021). As a result, 'AO' shows weaker storm wind speeds~~  
1024 ~~despite having a more intense minimum SLP compared to 'AOW' during this period. This suggests that incorporating wave~~  
1025 ~~dynamics in 'AOW' plays an important role in accurately simulating TC behaviour, ultimately enhancing predictive capabilities~~  
1026 ~~for storm intensity and structure.~~

1027  
1028 Additionally, the fully coupled 'AOW' experiment is characterized by weaker surface enthalpy, leading to a more stable  
1029 atmospheric boundary layer, reduced surface roughness, and lower TKE, all resulting from an improved representation of  
1030 dynamic and thermodynamic processes through coupled interactions. This leads to a more realistic simulation of wind veer,  
1031 with values closer to observations compared to the other two simulations. This finding indicates that, depending on location,  
1032 the coupling of ocean and waves can significantly affect wind veer, which is not considered in the current IEC standard  
1033 (Sanchez Gomez et al. 2023). Moreover, the model effectively captures wind wave misalignment in comparison to buoy  
1034 observations. This misalignment poses a critical risk to offshore infrastructure, which atmospheric-only and atmosphere-ocean  
1035 coupled models are unable to predict.

1036  
1037 Although we used Category 1 Hurricane Henri and highlighted the role of air-sea interactions in TC structure and intensity, it  
1038 has not yet been applied to stronger storms or included sea spray effects, both of which are current limitations we are actively  
1039 addressing. A key motivation behind this work is to better understand how coupled dynamics modulate TC wind fields across  
1040 different intensities, particularly in regions with offshore wind farms, where storm structure and intensity can directly affect  
1041 turbine loading, resilience, and operational risk.

1042 ~~to validate the newly developed fully coupled model (C-WFS) and to highlight the impact of coupling processes on the~~  
1043 ~~intensity, structure, and evolution of TCs, the same framework has also been tested for higher-category hurricanes, including~~  
1044 ~~Laura (2020), a Category 4 storm that underwent rapid intensification. The results reveal similar trends, with ocean waves~~  
1045 ~~contributing to a decrease in hurricane intensity. However, consistent with prior research (e.g., Yamaguchi et al., 2017; Zhao~~  
1046 ~~et al., 2022), the atmospheric-only model tends to underestimate the intensity of high category TCs, particularly for those with~~  
1047 ~~minimum SLP near or below 940 hPa. As a result, the fully coupled model further underestimates the intensity of these high-~~  
1048 ~~category storms due to additional wave-induced ocean mixing and subsequent cold wakes. Some previous studies (e.g., Zhao~~  
1049 ~~et al., 2017, 2022; Zweers et al., 2015) suggested a promising remedy for this issue, such as incorporating sea spray~~  
1050 ~~parameterization. For instance, Zhao et al. (2017, 2022) successfully reproduced the intensities of Typhoons Megi (2010) and~~  
1051 ~~Haiyan (2013), both Category 5 equivalent super typhoons, using a fully coupled model that incorporated sea spray~~  
1052 ~~parameterization. They demonstrated that sea spray increases the enthalpy flux at the atmosphere-ocean interface, leading to~~  
1053 ~~a warmer boundary layer and a more unstable surface layer, which, in turn, provide positive feedback for TC intensification.~~  
1054 ~~On the other hand, a recent study (Barr and Chen, 2024) examined the role of sea spray in TC dynamics, showing that its~~  
1055 ~~effects are dependent on the storm's intensity. For weaker TCs, such as Category 1 storms, sea spray tends to inhibit~~  
1056 ~~intensification due to evaporative cooling in the boundary layer, acting as negative feedback. However, as a TC strengthens~~  
1057 ~~(e.g., Category 2 or higher), increased spray production begins to contribute positively by warming the boundary layer and~~  
1058 ~~enhancing deep convection near the eyewall. This transition highlights spray's dual role: initially opposing intensification in~~  
1059 ~~weaker storms but eventually supporting rapid intensification in stronger ones, particularly major hurricanes. While this study~~  
1060 ~~is highly informative and pioneering in demonstrating spray's dual role, its hypothesis is based on only four TCs, limiting the~~

1061 generalizability of its conclusions. The precise impact of sea spray on TC structure and intensity remains an open question,  
1062 warranting further research across a broader range of TC events. In this study, sea spray parameterization is not included in  
1063 the C-WFS modelling system. However, as part of our ongoing research, we are integrating sea spray into the system to  
1064 investigate its impact on TC behaviour across various storm intensities. Advancing this work represents a crucial step toward  
1065 improving TC simulations and deepening our understanding of the associated enhancements.

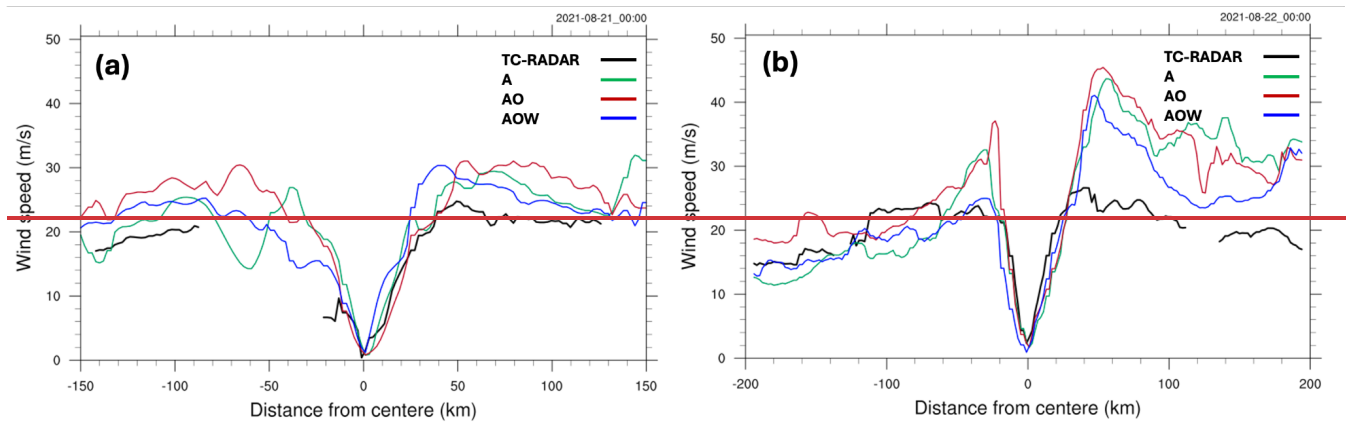
1066  
1067 Another open question is how the aspect that remains unclear is the impact of the horizontal resolution of the ocean components  
1068 influences TC development in coupled models. While it is well established that finer atmospheric resolution improves storm  
1069 intensity forecasts on TC development within the atmosphere-ocean-coupled modelling framework. While the sensitivity of  
1070 the atmospheric model's resolution to TC representation is well-established, with general consensus suggesting that reducing  
1071 horizontal grid spacing improves the accuracy of storm intensity predictions (e.g., Gentry and Lakmann, 2010; Taraphdar et  
1072 al., 2014; Prein et al., 2015), the effects of ocean resolution are less is-understood. Higher-resolution ocean grids can better  
1073 resolve mesoscale and submesoscale features such as eddies and fronts, which influence SST patterns, air-sea fluxes, and  
1074 upper-ocean mixing—factors critical to storm intensity and evolution (Zhang et al., 2023). These processes modulate SST  
1075 cooling and ocean heat content redistribution during storm passage. Unlike traditional nested-grid approaches (e.g., in  
1076 COAWST), C-WFS uses an unstructured mesh that smoothly transitions across resolutions, avoiding boundary artifacts. This  
1077 flexibility makes C-WFS particularly well suited to explore how ocean resolution affects coupled dynamics and TC behavior—  
1078 an area we aim to investigate in future work.

1079 In parallel, this modeling framework presents a valuable opportunity to assess whether current IEC (2019a, 2019b) standards  
1080 for wind conditions, such as wind shear, veer, and turbulence are adequate for regions prone to TCs. We are currently  
1081 performing a comprehensive analysis using this fully coupled model to characterize the representation of these wind  
1082 parameters, potentially informing revisions to design criteria that improve the structural resilience and reliability of offshore  
1083 wind turbines under TC-induced loading conditions.

1084 -about how the resolution of ocean components influences TC development. Higher ocean resolution allows for a more detailed  
1085 representation of mesoscale and submesoscale features (e.g., eddies and fronts affecting SST patterns, Zhang et al., 2023) and  
1086 their associated atmosphere-ocean interactions, such as heat fluxes, momentum transfer, and upper-ocean mixing processes.  
1087 These features likely play a critical role in modulating storm-induced SST cooling, redistributing ocean heat content, and  
1088 influencing the energy supply to TCs. As previously discussed, C-WFS employs an unstructured mesh grid, enabling seamless  
1089 transitions between coarse and fine resolutions. This approach removes the need for nested grids, commonly used in existing  
1090 fully coupled models (e.g., COAWST), which can introduce boundary artifacts. Consequently, C-WFS is uniquely equipped  
1091 to investigate how varying horizontal ocean resolutions affect coupling dynamics and storm development—an area that will  
1092 be thoroughly explored in future studies.

**Code and data availability.** The WRF model (Version 4.5.1) is described by Skamarock et al. (2019), and its code is publicly available from <https://github.com/wrf-model/WRF> (University Corporation for Atmospheric Research, 2019). The code for FVCOM (Version 4.3.1., Chen et al., 2003, 2013) for ocean circulation model is publicly available at <https://github.com/FVCOM-GitHub/fvcom>. The SWAN (Version 41.01, Booij et al., 1999) is a third-generation spectral wave model developed at Delft University of Technology that computes random, short-crested wind-generated waves in coastal regions and inland waters (<http://swanmodel.sourceforge.net/>). HYbrid Coordinate Ocean Model (HYCOM; Cummings and Smedstad, 2014) analysis data used for ocean model forcing is available at <http://hycom.org/dataserver/>. NCEP provides Global Forecast System (GFS; NCEP, 2015) data, which is used as atmospheric forcing data, available at <https://www.nco.ncep.noaa.gov/pmb/products/gfs/>. The OSTIA (Good et al., 2020) global sea surface temperature provides daily maps of foundation sea surface temperature at  $0.05^\circ \times 0.05^\circ$  available from [https://data.marine.copernicus.eu/product/SST\\_GLO\\_SST\\_L4\\_REP\\_OBSERVATIONS\\_010\\_011/description](https://data.marine.copernicus.eu/product/SST_GLO_SST_L4_REP_OBSERVATIONS_010_011/description). The NCL and Python codes for performing analysis and visualization are available at <https://www.ncl.ucar.edu/> and <https://www.python.org/downloads/>, respectively. All simulation data are available from the authors upon request.

### Appendix A: Radial profile of wind speed from the airborne Doppler radar and the three model simulations

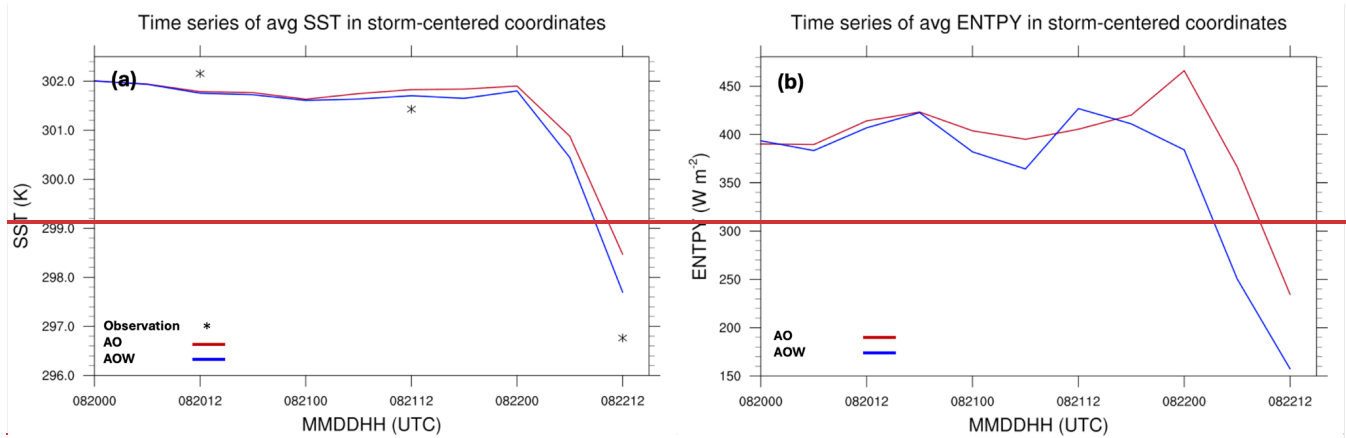


**Figure A1.** Radial profiles of wind speed from the airborne Doppler radar and the three model simulations are shown in Figure 7 for (a) 00 UTC on 21<sup>st</sup> and (b) 22<sup>nd</sup> August 2021. The profiles are presented at the 1-km level along the line from A to B, as indicated in Figure 4.

### Appendix B: Averaged SST and surface enthalpy flux for ‘AO’ and ‘AOW’ in storm centred coordinate

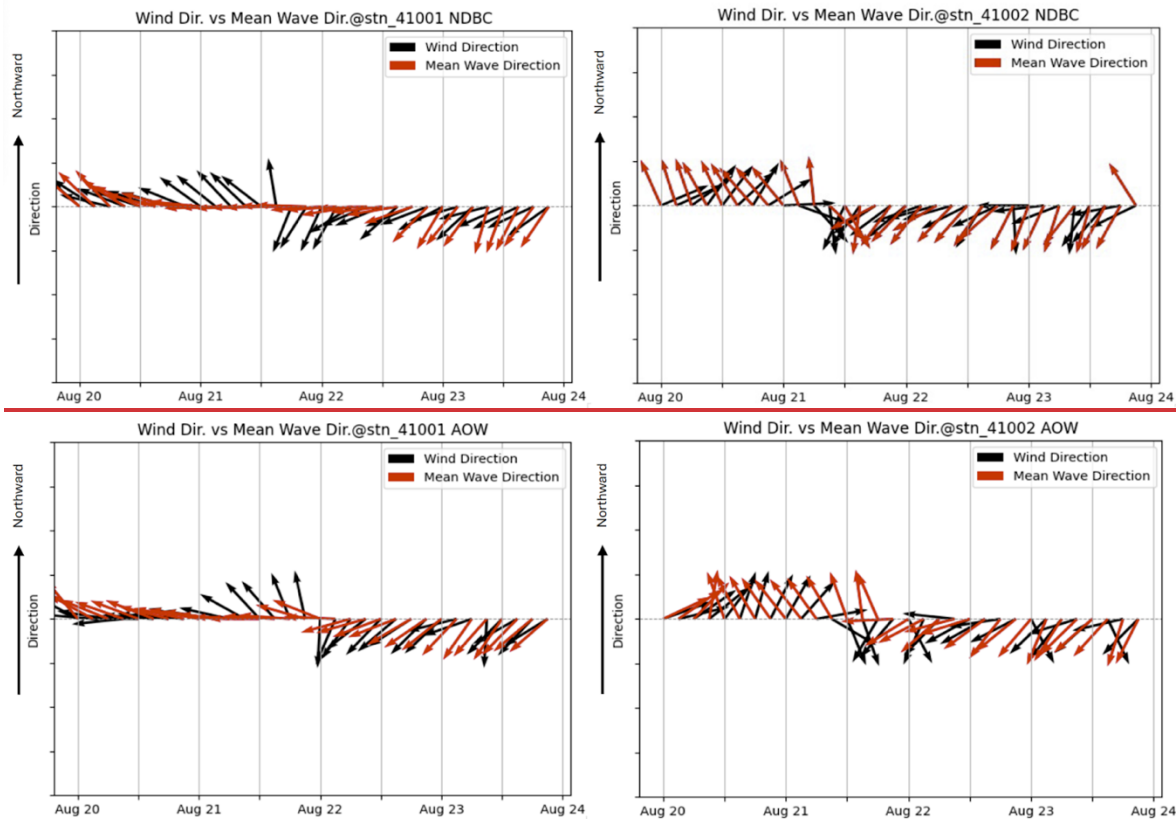
Figure B1 displays the time series of spatially averaged SST and surface enthalpy flux in a 300 km x 300 km storm centred coordinate. Both the time series reveal differences between the two coupled simulations, indicating that ‘AOW’ is associated with cooler SSTs that are closer to the observation, as well as lower surface enthalpy flux over the entire simulation period. This greater cooling of SSTs observed in ‘AOW’ partly explains the reduction in intensity. SST is reduced by the storm through wave induced vertical mixing and vertical mixed layer depth bringing cold water upward, which in turn reduces TC intensification. Wang et al. (2024b) discussed wave induced mixing primarily caused by wave breaking and non breaking wave orbital motion (non breaking wave). Through their comprehensive literature review, they suggested that wave breaking induced mixing typically has a limited impact on SST and heat fluxes near the surface, and thus on TC intensity. In contrast,

1123 ~~non-breaking wave-induced mixing penetrates much deeper, enhancing vertical mixing and mixed-layer depth, which~~  
1124 ~~ultimately contributes to a greater reduction in TC intensification. Given this discussion, the inclusion of the non-breaking~~  
1125 ~~wave feature in ‘AOW’ may play an important role in moderating the thermal structure of the upper ocean, influencing the~~  
1126 ~~exchange of heat and moisture fluxes between the ocean and the atmosphere, and ultimately improving the intensity and~~  
1127 ~~structure of Henri.~~



1129  
1130 **Figure B1. Time series of spatial-averaged (a) SST and (b) surface enthalpy flux in a 300 km × 300 km storm-centered coordinate.**  
1131 **Data is derived from OSTIA observation (asterisks), experiment ‘AO’ (red lines), and experiments ‘AOW’ (blue lines).**

1132  
1133 **Appendix C: Simulated and observed surface wind direction and mean ocean wave direction**



1134  
1135 **Figure C1. Time series comparison of surface wind direction and mean ocean surface wave direction at two NDBC buoy stations,**  
1136 **41001 (left column) and 41002 (right column), derived from NDBC buoys (top panel) and experiment 'AOW' (bottom panel).**

1137 **Author contribution.** Conceptualization, Formal analysis, Validation, Visualization: CJ, JW, PX, CH, WP; Data curation,  
1138 Investigation, Software: CJ, JW, CH, MB, GN; Funding acquisition, Resources, Supervision: JW, PX, WP; Methodology: CJ,  
1139 CH, WP; Project administration: JW, PX; Writing – original draft: CJ, JW, PX, WP; Writing – review & editing: CJ, JW, PX,  
1140 CH, MB, GN.

1141 **Competing interests.** The authors declare that they have no competing interests.

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